

County of Albany

Harold L. Joyce
Albany County Office Building
112 State Street - Albany, NY 12207



Meeting Agenda

Tuesday, August 26, 2025

5:00 PM

**Harold L. Joyce Albany County Office Building
Cahill Room - First Floor**

Public Works Committee

PREVIOUS BUSINESS:

1. APPROVING PREVIOUS MEETING MINUTES

CURRENT BUSINESS:

2. AUTHORIZING ACCEPTANCE OF GRANTS FROM THE NEW YORK STATE COUNTY INFRASTRUCTURE PROGRAM AND MVP HEALTH CARE REGARDING THE ALBANY COUNTY RAIL TRAIL FREIGHT DEPOT RENOVATION PROJECT AND AMENDING THE 2025 DEPARTMENT OF ECONOMIC DEVELOPMENT BUDGET
3. AMENDING RESOLUTION NO. 705 FOR 2024 AS AMENDED REGARDING THE NEW YORK STATE DEPARTMENT OF TRANSPORTATION SNOW AND ICE AGREEMENT
4. AUTHORIZING ACCEPTANCE OF A NEW YORK STATE COUNCIL ON THE ARTS GRANT REGARDING LEASEHOLD IMPROVEMENTS TO THE 1848 SHAKER MEETING HOUSE
5. AUTHORIZING AN AGREEMENT WITH MJG CONSTRUCTION GROUP, LLC REGARDING THE STEDMAN HOUSE RENOVATION PROJECT
6. AUTHORIZING VARIOUS WATER PURIFICATION DISTRICT CAPITAL PROJECTS REGARDING COMPLIANCE WITH NEW YORK STATE CONSOLIDATED LAW ARTICLE 5A

County of Albany

*Harold L. Joyce
Albany County Office Building
112 State Street - Albany, NY 12207*



Meeting Minutes

Tuesday, July 29, 2025

5:00 PM

**Harold L. Joyce Albany County Office Building
Cahill Room - First Floor**

Public Works Committee

PREVIOUS BUSINESS:

Present: Bill L. Ricard, David B. Mayo, Mickey Cleary, Samuel I. Fein, Andrew Joyce, William Reinhardt, Paul J. Burgdorf, Christopher H. Smith and Jennifer A. Whalen

1. APPROVING PREVIOUS MEETING MINUTES

A motion was made that the previous meeting minutes be approved. The motion carried by a unanimous vote.

CURRENT BUSINESS:**2. AMENDING THE 2025 DEPARTMENT OF PUBLIC WORKS BUDGET: SOLAR CREDITS**

A motion was made to move the proposal forward with a positive recommendation. The motion carried by a unanimous vote.

3. AMENDING RESOLUTION NO. 329 FOR 2024 REGARDING THE SPRUCE STREET GARAGE RECONSTRUCTION PROJECT

A motion was made to move the proposal forward with a positive recommendation. The motion carried by a unanimous vote.

4. AUTHORIZING AN EASEMENT TO FIRST LIGHT FIBER, INC REGARDING INSTALLATION AND MAINTENANCE OF TELECOMMUNICATION INFRASTRUCTURE AT 222 MADISON AVENUE IN THE CITY OF ALBANY

A motion was made to move the proposal forward with a positive recommendation. The motion carried by a unanimous vote.

5. AMENDING THE 2025 DEPARTMENT OF PUBLIC WORKS BUDGET: OVERTIME

A motion was made to move the proposal forward with a positive recommendation. The motion carried by a unanimous vote.

6. AMENDING THE 2025 WATER PURIFICATION DISTRICT BUDGET: CHIEF MAINTENANCE MECHANIC

A motion was made to move the proposal forward with a positive recommendation. The motion carried by a unanimous vote.

7. A RESOLUTION CALLING A PUBLIC HEARING FOR THE PURPOSE OF CONSIDERING A PROPOSED INCREASE AND IMPROVEMENT OF THE FACILITIES OF THE ALBANY COUNTY WATER PURIFICATION DISTRICT IN THE COUNTY OF ALBANY, NEW YORK

A motion was made to move the proposal forward with a positive recommendation. The motion carried by a unanimous vote.

8. AUTHORIZING ACCEPTANCE OF A BIPARTISAN INFRASTRUCTURE LAW GRANT AND APPROVAL TO ACCESS ZERO PERCENT INTEREST LOANS FROM THE ENVIRONMENTAL FACILITIES CORPORATION REGARDING THE WATER PURIFICATION DISTRICT'S SLUDGE PROCESSING IMPROVEMENT PROJECT

A motion was made to move the proposal forward with a positive recommendation. The motion carried by a unanimous vote.



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
OFFICE OF THE EXECUTIVE
112 STATE STREET
ALBANY, NEW YORK 12207-2021
(518) 447-7040 - FAX (518) 447-5589
WWW.ALBANYCOUNTYNY.GOV

MICHAEL P. McLAUGHLIN, JR.
DEPUTY COUNTY EXECUTIVE

July 29, 2025

Hon. Joanne Cunningham, Chairwoman
Albany County Legislature
112 State Street, Room 710
Albany, New York 12207

Dear Chairwoman Cunningham:

The County Executive's Office is requesting permission to accept an award from New York State County Infrastructure Grant Program (CIPG), in the amount of \$425,000. This grant award would provide funding to reconstruct the foundation and renovate the interior of the historic Freight Depot at 839 Kenwood Avenue. The renovated depot building, at the trailhead of the Albany County Rail Trail, would serve as an open community facility that will be used for gathering and recreation, adding to the Rail Trail's ability to serve the community and aid in place-making for the County. This will benefit not only individuals in the county, but the businesses along the Rail Trail as well.

In addition to the CIPG award, the Innovation Partnership between Albany County and MVP Health Care has pledged a grant of \$300,000 to support this project.

This award supports Albany County's commitment to the health and well-being of the public as well as inviting visitors to our beautiful and vibrant community.

If any further information is required or if you have any questions regarding this request, please contact me. I appreciate your consideration in this matter.

Respectfully Submitted,

Karen Ziegler

Cc: Dennis A. Feeney, Majority Leader
Frank A. Mauriello, Minority Leader
Rebekah Kennedy, Esq. Majority Counsel
James Curran, Esq. Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6687, **Version:** 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Authorization to accept grant funds from Empire State Development and MVP Health Care for the Freight Depot Renovation

Date: 7/29/2025
Department: Management & Budget
Attending Meeting: Katelyn Pauly, Martin Dolan
Submitted By: Karen Ziegler
Title: Grant Specialist
Phone: 518-447-7103

Purpose of Request: Contract Authorization Two years

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:

NYS Empire State Development 433 River Street Troy, NY 12180 and MVP Health Care 625 State Street Schenectady NY 12305

Term: (Start/end date or duration) July 24, 2025 - July 24, 2027
Amount/Raise Schedule/Fee: \$725,000

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Budget: Yes ☐ No ☒
Spreadsheet attached: Yes ☒ No ☐

Source of Funding - (Percentages)

Federal: 0 % County: 0 %
State: 59% Local: 41%

County Budget Accounts:

Revenue Account and Line: A28020-02807/A38020-03807
Revenue Amount: \$725,000
Appropriation Account and Line: A98020-44046-DEPOT
Appropriation Amount: \$725,000

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒

If Mandated, Cite Authority: Enter text.
Request for Bids / Proposals:
 Competitive Bidding Exempt: Yes ☐ No ☒
 # of Response(s): Enter text.
 # of MWBE: Enter text.
 # of Veteran Business: Enter text.
Bond Resolution No.: Enter text.
Apprenticeship Program Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: Enter text.

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

This project seeks to renovate the old Freight Depot building standing at 839 Kenwood Avenue, Slingerlands, along the Albany County Rail Trail. The County acquired the property at the end of 2018, with the hope of enhancing the experience of those using the Rail Trail. The renovated Depot would be lifted and a foundation would be added beneath it. Electric, water and sewer access would be added to the building. In addition to these infrastructure essentials, the community facility would add amenities as well as health information and services for trail visitors, such as blood pressure measurement and interactive displays. The outside of the building will have a porch, rocking chairs, and landscaping, all adding to the attractiveness of visiting the trailhead and Slingerlands in general.

USE WHOLE NUMBERS ONLY											
BUDGET LINE			DESCRIPTION		INCREASE		DECREASE		UNIT COST		DEPARTMENT NAME
FUND	ORG	OBJ	PROJECT	FOR POSITIONS ONLY							
				STATE POS. CODE	POSITION CONTROL						
A9	8020	44046	DEPOT	Fees For Services			\$725,000			\$725,000	Economic Development
TOTAL APPROPRIATIONS							\$725,000			\$0	
ESTIMATED REVENUES											
USE WHOLE NUMBERS ONLY											
BUDGET LINE			DESCRIPTION		DECREASE		INCREASE		UNIT COST		DEPARTMENT NAME
FUND	ORG	OBJ	PROJECT	FOR POSITIONS ONLY							
				STATE POS. CODE	POSITION CONTROL						
A2	8020	02807		Freight Depot			\$300,000			\$300,000	Economic Development
A3	8020	03807		NYS Freight Depot			\$425,000			\$425,000	Economic Development
TOTAL REVENUES							\$0			\$725,000	
GRAND TOTAL							\$725,000			\$725,000	

February 19, 2025

To whom it may concern:

I am pleased to confirm that the Innovation Partnership between MVP Health Care and Albany County will be providing a grant of \$300,000 to support the renovations of the train depot located on the Albany County Helderberg-Hudson Rail Trail. This funding will be allocated for both external and internal improvements, with the goal of transforming the space into a welcoming and accessible resource for the community.

Should you have any questions or require further information, please feel free to reach out. Thank you for your attention.

Sincerely,

Brandi Landy

Brandi Landy
Field Marketing – Strategic Initiatives
MVP Health Care
625 State Street
Schenectady, NY 12305

July 24, 2025

Daniel McCoy
Albany County
112 State Street
Suite 1200
Albany, NY 12207

Re: Albany County – County Infrastructure Grant Program, Project # 139,249

Dear Daniel McCoy:

I would like to take this opportunity to offer my congratulations on the acceptance of Albany County's County Infrastructure Grant Program (CIGP) application and to update you on the next steps in Empire State Development's ("ESD") grant process. Funds are being awarded (subject to the approval of the ESD Board of Directors as set forth herein) for the following NYS CIGP project(s) to be used as outlined in your original application:

- County Infrastructure Grant Program Round 1
- Albany County - ESD CIGP – \$425,000
- Project Summary – This project will renovate the old Freight Depot building standing at 839 Kenwood Avenue in Slingerlands, along the Albany County Rail Trail. The renovated depot will be lifted, and a new foundation is to be constructed beneath. Electric, water and sewer infrastructure will be added. The community facility will offer public access to water and restrooms, as well as health information and services for trail visitors.
- Total Project Cost: \$850,000

Your ESD project manager will continue to periodically request updates and/or changes to your application (revised timeline, State Environmental Quality Review Act ("SEQRA") update, State Historic Preservation Office ("SHPO") review information, Smart Growth Impact Statement ("SGIS") etc.). This project manager will work with you as necessary to finalize your application.

When your application has been finalized and when the project is nearing completion, Albany County's County Infrastructure Grant Program project will be presented to the ESD Board of Directors for approval. The Directors typically meet on a monthly basis. Please note that no project will be presented to the ESD Board of Directors for approval until the SEQRA, SHPO and SGIS reviews have been completed and proof of matching funds has been clearly demonstrated. Please also note that there is a one percent (1%) non-reimbursable commitment fee assessed to all awardees based on the grant amount awarded. The commitment fee will be due when the applicant executes documents required for processing the award, following approval by ESD Directors.

Pursuant to New York State Executive Law Articles 15-A and Article 3 of New York State Veterans' Services Law, ESD recognizes its obligation under the law to promote opportunities for maximum feasible participation of certified minority and women-owned business enterprises (MWBEs) and service-disabled veteran-owned businesses (SDVOBs) in the performance of ESD projects. As a recipient of this award, Albany County shall be required to use Good Faith Efforts to achieve MWBE and SDVOB Participation. In addition, ESD's MWBE and SDVOB Participation/Equal Employment Opportunity Policy will apply to this project. While ESD's agency-wide MWBE goal is 30% and SDVOB goal is 6%, your individual project-specific goal may be higher or lower based on the detailed scope of work, budget, and information on additional sources of funding you provide on the Grant Budget and Questions form. You will be required to use Good Faith Efforts to achieve the goals assigned to this project. Compliance with laws and the policy of ESD prohibiting discrimination in employment on the basis of age, race, creed, color, national origin, gender, sexual preference, disability or marital status shall also be required. The Office of Contractor and Supplier Diversity ("OCS D") will monitor MWBE and SDVOB utilization in connection with this project.

Following board approval, all CIGP projects must go through ESD's public hearing process and subsequently be approved by the Public Authorities Control Board. Once these final steps are achieved, your project manager will send you a Grant Disbursement Agreement ("GDA") for execution. Project disbursements may only occur after ESD's receipt of the executed GDA and with the submission of the appropriate supporting documentation. As mentioned throughout the application process, payments will be made in lump sum upon project completion on a reimbursable basis.

This award shall terminate two calendar years from the date of this letter if the project has not commenced. Please be aware that ESD may require restrictions on the future use of awarded sites consistent with the purposes of their CIGP grant terms.

Your Project Manager will reach out shortly to answer any questions you may have. We look forward to working with you on this important project.

Sincerely,



Mike Yevoli

Capital Region Regional Director

CC: Chelsea Watroba, Project Manager
Kelly Forsey, OCS D Compliance Manager
Karen Zeigler, Albany County Grants Specialist



DANIEL P. MCCOY
COUNTY EXECUTIVE

MICHAEL MCLAUGHLIN
DEPUTY COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF PUBLIC WORKS
449 NEW SALEM ROAD
VOORHEESVILLE, NEW YORK 12186-4826
(518) 765-2055 - FAX (518) 447-7047
www.albanycountyny.gov

LISA M. RAMUNDO
COMMISSIONER

SCOTT D. DUNCAN
DEPUTY COMMISSIONER

July 11, 2025

Hon. Joanne Cunningham, Chairwoman
Albany County Legislature
112 State Street, Rm. 710
Albany, NY 12207

Dear Chairwoman Cunningham:

Albany County Department of Public Works requests the legislature's approval to amend the existing Snow & Ice Agreement with New York State Department of Transportation (NYSDOT) for the 2024/2025 season.

The agreement amends the estimated expenditure by adding funding (\$152,002.05) to account for increases in labor, materials and overall costs for the 2024/2025 season.

Albany County DPW has already been compensated for the services provided during this season. In order to get their agreements up to date, NYSDOT is requesting the agreements be signed and notarized.

The documents are attached. If you have any questions, please feel free to contact my office.

Sincerely,

Lisa M. Ramundo
Commissioner

cc: Hon. Dennis Feeney, Majority Leader
Hon. Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6704, **Version:** 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Approval to amend/adjust Snow and Ice Agreement with NYSDOT for the 2024/2025 Season.

Date: July 14, 2025

Department: Public Works

Attending Meeting: Lisa M. Ramundo, Commissioner

Submitted By: Rosa Maria Tirino

Title: Director of Operations

Phone: 518-655-7919

Purpose of Request: Contract Authorization Enter text.

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:

New York State Department of Transportation (NYSDOT), 50 Wolf Rd. Albany, NY 12232

Term: (Start/end date or duration) 07/01/2024-06/30/2029

Amount/Raise Schedule/Fee: \$152,002.05

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐

Anticipated in Budget: Yes ☒ No ☐

Spreadsheet attached: Yes ☐ No ☒

Source of Funding - (Percentages)

Federal: Enter text. **County:** Enter text.

State: 100% **Local:** Enter text.

County Budget Accounts:

Revenue Account and Line: D5142.02302

Revenue Amount: \$152,002.05

Appropriation Account and Line: D5142.44107

Appropriation Amount: \$152,002.05

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒

If Mandated, Cite Authority: Enter text.

Request for Bids / Proposals:

Competitive Bidding Exempt:	Yes ☒ No ☐
# of Response(s):	Enter text.
# of MWBE:	Enter text.
# of Veteran Business:	Enter text.
Bond Resolution No.:	Enter text.
Apprenticeship Program	Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: Resolution No. 52 on 03/10/2025

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

Approval to amend/adjust Snow and Ice Agreement with NYSDOT for the 2024/2025 Season.

Contract No.: D014756
 Supplemental Agreement No.: 2
 Date Prepared: _____

SUPPLEMENTAL AGREEMENT No. 2 to Contract No. D014756

This Supplemental Agreement is by and between:

the New York State Department of Transportation ("NYSDOT"), having its principal office at 50 Wolf Road, Albany, NY 12232, on behalf of New York State ("State"); and the

ALBANY COUNTY ("Municipality")
 Acting by and through the _____.

This amends the existing Municipal Snow and Ice Agreement between the parties in the following respects only (*check all that apply*):

- ☐ Amending the contract end date ONLY
- ☐ Amending the number of lane miles/specific roads covered under Paragraphs 7 and 9 of the Original Agreement (revised map attached)
- ☒ Amending the estimated expenditure for the 24/25 season by:
 - ☒ adding funding due to exceeding the Estimated Expenditure for the above-mentioned season (required Amendment B attached with a copy of the final snow & ice voucher, if applicable)
 - ☐ adding funding to adjust the Estimated Expenditure to account for increases in labor, materials, equipment, and/or overall costs, per the terms in Paragraphs 9 and 10 in the Original Agreement (Adjustment Worksheet and Municipal Resolution attached)
- ☐ Extending the Agreement attached hereto and incorporated herein for an additional 5-year period (Extension No. ____ of a maximum of 3)
- ☐ Amends a previously adopted Agreement by replacing the Appendix A dated October 2019 with the Appendix A dated June 2023
- ☐ Other: _____

Contract No.: D014756
 Supplemental Agreement No.: _
 Date Prepared: _____

IN WITNESS THEREOF, the parties have caused this Agreement to be executed by their duly authorized officials as of the date first above written.

THE PEOPLE OF THE STATE OF NEW YORK

MUNICIPALITY:

By: _____
 For Commissioner of Transportation

By: _____

Print Name: _____

Date: _____

Title: _____

STATE OF NEW YORK

)ss.:

COUNTY OF ____

On this _____ day of _____, 20____ before me personally came _____ to me known, who, being by me duly sworn did depose and say that he/she resides at _____; that he/she is the _____ of the Municipality described in and which executed the above instrument; that it was executed by order of the _____ of said Municipality pursuant to a resolution that was duly adopted on _____ and which a certified copy is attached and made a part hereof; and that he/she signed his/her name thereto by like order.

 Notary Public

APPROVED AS TO FORM
STATE OF NEW YORK ATTORNEY GENERAL

APPROVAL BY NYS COMPTROLLER'S OFFICE

By: _____

By: _____

**NYSDOT MUNICIPAL SNOW AND ICE AGREEMENT AMENDMENT B
FOR TIME & MATERIALS (CONVENTIONAL) AGREEMENTS**

Contract #	Municipality	Ext. Season	Region #
D014756	Albany County	2024-25	1
Contract Term			7/1/2024 – 6/30/2029

**AMENDMENT TO CHANGE THE ESTIMATED EXPENDITURE
FOR SNOW & ICE AGREEMENT**

Due to the severity of the winter during the 2024/25 season, the MUNICIPALITY requests that the Municipal Snow and Ice Agreement estimated expenditure be revised to reflect the additional lane miles of state roads that were plowed/treated during the winter season. All the terms and conditions of the original contract extension remain in effect except as follows:

ADDITIONAL S&I OPERATIONS		
Original 2024/25 Estimated Expenditure	Final Snow & Ice Voucher Total	Adjustment ¹
\$1,021,638.79	\$1,173,640.84	\$152,002.05
Adjustment ¹ = Final Snow & Ice Voucher Total – Original 2024/25 Estimated Expenditure		
TOTAL CONTRACT ADJUSTMENTS		
Final Snow & Ice Voucher Total	Amount Paid for 2024/25 Season*	Contract Adjustment
\$1,173,640.84	\$1,021,638.79	\$152,002.05
Contract Adjustment = Final Snow & Ice Voucher Total – Amount Paid for 2024/25 Season		

Encumbered balance of \$337,140.80 being disbursed. Remaining balance of \$152,002.05 to be disbursed upon OSC approval of Amendment B

IN WITNESS WHEREOF, this agreement has been executed by the State, acting by and through the duly authorized representative of the COMMISSIONER OF TRANSPORTATION and the MUNICIPALITY, which has caused this Agreement to be executed by its duly authorized officer on the date and year first written in the original contract extension.

Agency Certification Contract No. D014756

“In addition to the acceptance of this contract, I also certify that original copies of this signature page will be attached to all other exact copies of this contract.”

THE PEOPLE OF THE STATE OF NEW YORK

MUNICIPALITY

BY _____
For Commissioner of Transportation

BY _____

ATTORNEY GENERAL’S SIGNATURE

NYS COMPTROLLER’S SIGNATURE

Dated _____

Dated _____

STATE OF NEW YORK)

) SS:

COUNTY OF _____)

On the _____ day of _____ in the year _____ before me personally came _____ to me known who, being by me duly sworn, did depose and say that (s)he resides in _____, New York; that (s)he is the _____ of _____ the municipality described in and which executed the above instrument; that (s)he executed said instrument by order of the Governing Body of said municipality pursuant to a resolution which was duly adopted on _____; a certified copy of such resolution attached hereto and made a part hereof.

Notary Public



SHAKER HERITAGE SOCIETY

25 Meeting House Road * Albany, New York 12211

www.ShakerHeritage.org * (518) 456-7890

Board Members

Danielle Walsh
President

Therese Daly
Treasurer

Stephen Iachetta
Kenneth Levine
Matthew Miller
James Prout
Ann Thornton
Kimberly Wells

Ex. Officio
Hon. Daniel McCoy
Hon. Peter Crummey

Johanna Batman
Executive Director

Tuesday, July 29, 2025

Honorable Joanne Cunningham, Chairwoman
Albany County Legislature
112 State Street, Room 710
Albany, New York 12207

Dear Chairwoman Cunningham:

Shaker Heritage Society requests authorization to accept a grant of \$102,000 awarded by the New York State Council on the Arts. The funds awarded will support improvements to our leasehold at the 1848 Meeting House.

This proposed scope of work includes replacing a non-code compliant fire escape stairway, regrading and building a new ramped sidewalk to the east entrance, and building a panel to screen an HVAC system from view. Matching funds for this grant will be secured through support from private foundations and individual donors. No additional funding is requested from the County.

Schematic designs and grant details are attached for your consideration. This project will additionally be submitted to the State Historic Preservation Office for Covenant Review.

Many thanks for your consideration and support. If you should have any questions, please do not hesitate to contact me.

Sincerely,

Johanna Batman
Executive Director
Shaker Heritage Society

Cc: Hon Dennis Feeney, Majority Leader
Hon. Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6732, **Version:** 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Authorization to accept a grant for capital improvements at Shaker Heritage Society

Date: 07/29/2025
Department: Shaker Heritage Society
Attending Meeting: Johanna Batman
Submitted By: Johanna Batman
Title: Executive Director
Phone: 518-456-7890

Purpose of Request: Contract Authorization

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:

New York State Council on the Arts 411 Fifth Avenue Suite 700, New York NY 10016

Term: (Start/end date or duration) July 2025 - June 2028

Amount/Raise Schedule/Fee: \$102,000

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☐ No ☒
Anticipated in Budget: Yes ☐ No ☒
Spreadsheet attached: Yes ☐ No ☒

Source of Funding - (Percentages)

Federal:	n/a	County:	n/a
State:	\$102,000	Local:	n/a

County Budget Accounts:

Revenue Account and Line:	n/a
Revenue Amount:	n/a
Appropriation Account and Line:	n/a
Appropriation Amount:	n/a

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒
If Mandated, Cite Authority: Enter text.
Request for Bids / Proposals:

Competitive Bidding Exempt:	Yes ☐ No ☒
# of Response(s):	Enter text.
# of MWBE:	Enter text.
# of Veteran Business:	Enter text.
Bond Resolution No.:	Enter text.
Apprenticeship Program	Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: Resolution No. 237 July 11, 2022

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

Shaker Heritage Society requests authorization to accept a grant of \$102,000 awarded by the New York State Council on the Arts. The funds awarded will support improvements to our leasehold at the 1848 Meeting House. This proposed scope of work includes replacing a non-code compliant fire escape stairway, regrading and building a new ramped sidewalk to the east entrance, and building a panel to screen an HVAC system from view. Matching funds for this grant will be secured through support from private foundations and individual donors. No additional funding is requested from the County.

INSTRUCTIONS FOR COMPLETING YOUR NYSCA CAPITAL CONTRACT

Grants of \$100,000 or more

Updated April 2025

This document provides instructions for submitting your contracting documents and carrying out additional steps needed to execute a NYSCA Capital Contract.

Capital Projects Team – capitalprojects@arts.ny.gov

Katie Steger, Director, Capital Projects

Lindsay Turley, Program Officer, Capital Projects

PROCESS OVERVIEW

The document below outlines the requirements for executing your contract. There are several steps to this process, and the Capital Projects team will host a webinar and office hours to review all of the requirements. The webinar will be recorded and be available on the [Manage Your NYSCA Capital Projects Grant](#) page of the NYSCA website.

CONTRACTING PORTALS – SMARTSIMPLE AND SFS

To execute a capital contract with New York State, the documents listed in these instructions must be submitted and included as part of your contract package. We begin the process by collecting the necessary forms and attachments from your organization in the SmartSimple portal (nysca.smartsimple.com - where you submitted your application). Once the Capital Projects team has reviewed and approved your materials (including any necessary revisions), we will upload the final documents to the official contract in the State Financial System (SFS – sfs.ny.gov), where it will be finalized, signed by you, and marked executed by the Office of the State Comptroller (OSC).

DOCUMENT SUBMISSION AND REVIEW

You, the grantee, will upload your documents in an Uploads folder in SmartSimple. This folder is connected to your application, and should be easily located on your organization’s dashboard when you log in. Once all documents are uploaded, you will press submit for NYSCA staff to review. It is customary for NYSCA to have feedback or need more information, and documents may go through one or more revisions, all of which will be handled by uploading items and submitting them through the Smart Simple Uploads folder. Most of the required forms must be signed by a representative of the grantee organization.

All documents requiring signatures should be signed by a staff or board member who holds organization-wide oversight and responsibility, such as the Executive Director, Chief Operating Officer, or Chief Financial Officer. The Board President may sign for all-volunteer organizations or those in leadership transitions.

If NYSCA requests revisions, the primary contact listed for your organization will receive an email from Smart Simple to alert you of the request (please make sure the email address for your primary contact is up-to-date). There is a “Notes” tab in the left menu bar of the uploads folder in

INSTRUCTIONS FOR COMPLETING YOUR NYSCA CAPITAL CONTRACT

Smart Simple where you will find the details of what Capital Projects staff needs you to do if revisions are requested. You may also share notes about your documents with NYSCA staff through the same “Notes” tab.

Please remember to click the “submit” button when you have completed uploading requested documents, as this will notify the Capital Projects team that your documents are ready for review (you will need to “submit” for your initial uploads, *and* each time NYSCA staff has requested you upload revised documents). If you need to exit SmartSimple before you have uploaded all documents, please click “save draft,” rather than “submit” to save your uploads, otherwise your folder will be locked. If this happens, just email CapitalProjects@arts.ny.gov to request your folder be unlocked. Please keep in mind that any notes you write in the Smart Simple “Notes” tab will only be visible to NYSCA once you hit “submit” - if you have pressing questions about your documents that you need answered in order to submit, please email them to us.

Once NYSCA has approved your documents, you will receive notification through SmartSimple letting you know you can notarize your budget and upload it into SmartSimple. Once NYSCA receives the notarized budget, Capital Projects staff will upload all documents into your contract in SFS (State Financial System) and enter the budget information into the contract. Once this is complete, it advances to NYSCA’s operations team for contract packaging. At this point you will be asked to sign the contract. After signature, the operations team will conduct a compliance review, which includes Vendor Responsibility Certification for your organization and any of your subcontractors* with contracts over \$100,000 to be paid with NYSCA funds; insurance documentation; and Charities Bureau status. Once compliance is cleared with NYSCA, the contract will move outside the agency for final review with the AG and State Comptroller.

While many contracts are executed within a month or two, it can take longer to fully execute some contracts, depending on the complexity of the budget, the organization's ability to meet the MWBE utilization goal, the speed with which subcontractors complete their VendRep profiles, and the results of the compliance review. Once your contract is executed, grantees can usually expect payment within 30 to 60 days.

**Note: New York State considers you, the grantee, the contractor, and the agreement between New York State and the Grantee the contract. Every vendor you engage in business with for the purposed of completing your NYSCA funded capital project is considered a subcontractor, and the agreements executed between you and your vendors the subcontracts.*

CONTRACT CHECKLIST

(Detailed instructions for each item are included in the following sections)

CAPITAL CONTRACT UPLOADS – SMART SIMPLE – *The following documents must be uploaded in your Capital Contract Uploads folder in Smart Simple. This folder is located on the main dashboard when you log in. Detailed instructions for accessing the folder are included, below.*

INSTRUCTIONS FOR COMPLETING YOUR NYSCA CAPITAL CONTRACT

- Itemized Budget Form in **Excel format** – *This is the form that was uploaded to Smart Simple with your application. Should you need to start over or you completed a Short Form Budget, follow this link for a Blank Itemized Budget Form.*
- Facility Use Affidavit
- Subcontractor Identification Form
- Copy of Site Control Documentation for the property receiving capital funding
- Proof of Workers Comp and Disability Insurance
- MWBE Attestation
- MWBE Goal Assessment Checklist
- Copies of all Executed Subcontracts (first and second tier) over \$100,000 where any portion will be paid with NYSCA funds
- Grants where NYSCA funds are allocated for Capital Eligible Systems ONLY - Equipment list with pricing and descriptions

OTHER REQUIREMENTS – MULTIPLE PLATFORMS

(Detailed Instructions are included following the Contract Uploads Instructions)

- Complete Vendor Responsibility Questionnaire (for your organization and applicable subcontractors) - <https://onlineservices.osc.state.ny.us/>
- State Financial System - <https://esupplier.sfs.ny.gov>
 - Verify Access and Organizational information in SFS
 - Confirm Prequalification in SFS.
 - Sign up for ePayment through SFS.
- Stay current with required annual financial filings with the Office of the NYS Attorney General's Charities Bureau - <https://ag.ny.gov/resources/organizations/charities-nonprofits-fundraisers>

DETAILED INSTRUCTIONS

UPLOADING CONTRACT DOCUMENTS IN SMART SIMPLE

- **Login** to Smart Simple at <https://nysca.smartsimple.com/> and navigate to your Organizational Profile to make sure all contacts are correct.
- To access the uploads module, you may either go to your dashboard and look for the “Capital Contracting” tile under “My Current Grant Awards.”
- Click on “Primary Contact” to make sure the organizational contact is assigned for the Contracting process.
- Once you are in “Upload,” you will see a drop down for “Upload Item.” The drop down will look different based on the size of your grant (refer to list in “Contract Uploads,” above).
- Select the appropriate document type from the drop down, and the upload your file. Smart Simple will automatically assign a file name based a predetermined naming convention. If you upload multiple versions of the same document type – such as with subcontracts – it will add a numbering suffix.
- Once all documents have been uploaded, please click “Submit” at the bottom of the page

INSTRUCTIONS FOR COMPLETING YOUR NYSCA CAPITAL CONTRACT

- If you need to communicate with Capital Projects staff about your uploaded documents as part of your submission or revisions, use the “Notes” tab found in the lefthand menu bar.
- If you have questions about the process or completing the documents prior to submitting them, reach out to CapitalProjects@arts.ny.gov
- When all documents have been approved, the status will change to “Document Signatures Requested” at which point you will upload your Notarized Capital Budget and resubmit.

INSTRUCTIONS FOR COMPLETING FORMS AND DOCUMENTS TO UPLOAD

ITEM 1 – ITEMIZED BUDGET AND REPORTING FORM

(link to blank form here if needed: [Blank Itemized Budget Form](#)).

This should be the budget form that was submitted with your application in Smart Simple. If you do not have the completed form to work from, please use the link to the blank form. Please follow the bullets below as a guide to work through the form, in order. When complete, upload the excel version of the document for review and approval by NYSCA staff before signing, notarizing, and scanning a PDF.

- Complete both worksheets in the excel budget form. Refer to the [Budget Webinar](#) for detailed instructions.
- **START ON TAB 2**, titled “Itemized Budget.”
 - **ACTION NEEDED – Clerical Information:** Complete the fields at the top left corner if they are not already filled in:
 - Applicant/Grantee name is your organization’s name
 - Payment No is 1
 - Payment Request Date is the date you submit your contract
 - Contract Period is
 - July 1, 2025 – June 30, 2028 for FY25 Capital Improvement grants.
(If you are completing your budget for a prior year, the period is three years from July 1 in the year your grant was awarded).
 - July 1, 2025 – June 30, 2027, for Capital Design grants
 - **No Action Needed: Columns C, D, E, and F** should already be complete with the funding source for the expense, the status of that funding, the itemized total project cost, the proposed grant allocation, respectively. Do not make any changes to the information in these columns. If you need to reallocate expenses originally listed in E or F (for example, breaking out General Construction across specific trade lines), you may copy an existing blank row and insert new rows, so that the original information in C, D, E, & F exists for reference. New allocations will be listed in columns H & I, as notes below. Copying the row before inserting it is essential for necessary formulas to populate.
 - **ACTION NEEDED: Fill in columns H and I** to reflect any project cost changes since you submitted your application.
 - H should show your proposed NYSCA expenses
 - I should show the total project costs inclusive of NYSCA expenses.

INSTRUCTIONS FOR COMPLETING YOUR NYSCA CAPITAL CONTRACT

- If there have been no changes in project cost or proposed NYSCA expenses, please fill in the same figures that were listed in Columns E and F. *Please note that the for the application, the total project is listed first (Column E) and is followed by the NYSCA portion (Column F). For contracting, these are reversed; NYSCA portion comes first (Column H), and total project comes second (Column I).*
- **No Action Needed: Column G** has a formula and will auto populate once you complete column H. DO NOT ENTER ANY TEXT INTO COLUMN G.
- **No Action Needed: J-M.** For the purposes of the contract and first payment leave Columns J-M blank. These will be used to report on grant progress in future payment requests.
- **POSSIBLE ACTION NEEDED: Scope Changes** If you have scope changes (automatically shown in Column G) between your Application Budget (Column E) and Revised Contract Budget (Column H), that total more than 10% of your total grant amount, or if you are adding elements (*following discussion with NYSCA staff*) that were not included in your application, please add notes to a third sheet explaining the changes.
- **No Action Needed: The grey rows and columns** are locked for editing and will automatically calculate as needed.
- **** Design Grantees will continue to only reflect the design budget on this form, not that of the overall capital project****
- **THEN MOVE TO TAB 3**, titled “Budget Summary”
 - **ACTION NEEDED: Clerical Information.** Be sure that the fields starting with "Applicant/Grantee Name" in the top section are all complete (information is the same as in the prior tab).
 - **POSSIBLE ACTION NEEDED: Update NYSCA Address.** If you are executing a contract for a grant awarded in FY23 or prior, please update NYSCA’s address to 411 Fifth Avenue, Suite 700, New York NY 10016
 - **ACTION NEEDED: Update Total Project and Grant Amounts.** In the lower section, update the cell for Total Project Cost (F23) with your most current projection of the total project expense from column I on the previous sheet, and update the cell for Program Grant Sum (F26) with the amount of your award from NYSCA from column H on the previous sheet (if you were awarded your full request amount and your total project cost has not changed, these may stay as is; if there are differences, please update these cells).
 - **ACTION NEEDED: Fill in the cell for Current Payment due (F42).** Note: “current payment due” should equal 25% of the grant sum awarded to you. Please enter the dollar amount.
 - **ACTION NEEDED: Cross check amounts between tabs:** Cell F23 on the Budget Summary should match the total for column I on the Itemized Budget and Cell F26 on the Budget Summary should match the total for column H on the Itemized Budget.
- **WAIT FOR APPROVAL TO SIGN AND NOTARIZE.** Once your budget is approved by NYSCA, you will receive an email notification through Smart Simple to upload a PDF of both tabs in the Itemized Budget and Reporting form, the itemized budget sheet and the signed and notarized budget summary as a single document. DO NOT DO THIS UNTIL INSTRUCTED.

INSTRUCTIONS FOR COMPLETING YOUR NYSCA CAPITAL CONTRACT

ITEM 2- FACILITY USE AFFIDAVIT

(link to form: [Facility Use Affidavit Form](#))

Complete the Facility Use Affidavit form to confirm your agreement to the terms of use for the facility benefiting from NYSCA capital support.

ITEM 3 – SUBCONTRACTOR ID FORM

(link to form: [Subcontractor Identification Form](#))

Reminder: For the purposes of your NYSCA contract, New York State considers you, the grantee, the “contractor,” and all contracts you sign in the execution of this project are considered “subcontracts.”

- Complete the Subcontractor ID form with names, EINs, and contract amounts for all agents, directors, prime- or subcontractors (indirect or direct) with whom you already have executed contracts of more than \$100,000 that will be paid with NYSCA grant funds in any amount. It is not required to have contracted subcontracts at this point, so it is fine if you do not know yet.
- In most cases, contract amounts entered here must be equal to that documented in the copies of uploaded contracts, and greater than or equal to the amount of NYSCA funding allocated toward the relevant expense. There are some exceptions to this, so contact CapitalProjects@arts.ny.gov if you need to discuss.
- *Please note* that failure to provide complete business names or EINs on this form will result in delays with NYSCA’s compliance department.
- If your itemized budget includes expense allocations above \$100,000:
 - Circle either “Y” to indicate that not all contracts have been signed and there will be additional contracts over \$100,000
 - Or “N” if all contracts have been signed.
 - Check the box to acknowledge your understanding that these vendors must be identified by the time of your first report (regardless of whether you circle Y or N)
- If your grant is less than \$100,000, you must still complete this form.
 - Indicate “N/A” in the section that asks to list your subcontractors.
 - Circle “N” for any additional contracts
 - Check the box to acknowledge your understanding that these vendors must be identified by the time of your first report, even though there will not be any.

**Please note that identified vendors must undergo a vendor responsibility review before your contract can be executed, including completion or recertification of NYS Vendor Responsibility Questionnaire. You should indicate whether this questionnaire has been completed or started on the form. (Detailed instructions included later in this document).*

ITEM 4 – SITE CONTROL DOCUMENTATION

- Upload a copy of the deed or long-term lease for the property where the funded project will take place.

INSTRUCTIONS FOR COMPLETING YOUR NYSCA CAPITAL CONTRACT

- Leases must have a term remaining that is at least as long as the useful life of the project, starting from the time of the application (January 14, 2025 for FY25 applications).
- If the name listed on the deed or lease differs from the legal name of the grantee, add a memo that explains the discrepancy, and explains why the grantee organization maintains the control of the property. The memo should either be on the first page of the pdf document with the deed or lease, or uploaded as a separate document, under document type “Site Control.”

ITEMS 5 & 6 – WORKERS COMPENSATION AND DISABILITY INSURANCE CERTIFICATES

Insurance Documentation. Please upload proof of workers’ compensation and disability insurance coverage for your organization as individual documents. You do not need to upload proof of insurance for your subcontractors.

- On documents where a certificate holder can be indicated, New York State Council on the Arts and the agency’s full address (411 Fifth Avenue, Suite 700, New York, NY 10016) must be listed.
- If your certificates expire before your contract is approved, you will need to resubmit updated proof of coverage.
- Insurance documents must be valid and cover your contract period.
- An ACORD form is not an acceptable proof of insurance coverage.
- Acceptable Forms for Worker’s Compensation Coverage:
 - Form C-105.2 – Certificate of Workers’ Compensation Insurance issued by private insurance carriers
 - Form U-26.3 – Certificate of Worker’s Compensation Insurance issued by the State insurance fund
 - Form SI-12 – Certificate of Worker’s Compensation Self-Insurance
 - Form GSI-105.2 – Certificate of Participation in Worker’s Compensation Group Self-Insurance
 - CE-200 Certificate of Attestation of Exemption from NYS Works’ Compensation
- Acceptable Forms for Disability Benefits Insurance:
 - DB-120.1 – Certificate of Disability Benefits Insurance
 - From DB-155 Certificate of Disability Self-Insurance
 - CE-200 – Certificate of Attestation of Exemption from New York State Disability Benefits Coverage

NYSCA does not need paper copies mailed to us.

ITEMS 7 & 8 - MINORITY AND WOMEN BUSINESS ENTERPRISE (MWBE) ATTESTATION AND GOAL ASSESSMENT FORMS

Please upload two documents:

- Signed MWBE Attestation Form confirming your understanding of the MWBE requirements associated with this grant (30% of NYSCA funds)
- Completed Goal Assessment Checklist outlining the target utilization of certified MWBE contractors in your region. Please refer to the webinar posted on NYSCA’s website for detailed instructions on the MWBE process and completing the Goal Assessment Checklist.

INSTRUCTIONS FOR COMPLETING YOUR NYSCA CAPITAL CONTRACT

We strongly suggest watching this prior to searching the MWBE Directory or attempting to complete your Goal Assessment Checklist.

- Click here for detailed instructions on searching the MWBE Directory and guidelines on making a “good faith effort” to utilize MWBE vendors.
- If you determine at any point that you do not expect to be able to achieve NYS’s minimum utilization goals for MWBE, you should speak to Capital Projects staff to discuss **immediately**. You may need to submit a Post-RFP Waiver Request Form and will need to discuss what documentation is needed to do so with NYSCA Staff.

Please note while any expenses accrued within the contract period but prior to NYSCA contract execution and are budgeted to be supported with NYSCA funds, are done so at an organization’s own risk, this becomes even more risky if you sense you will not meet the MWBE utilization goal and have not yet received approval for a waiver.

Overview of MWBE Utilization Plan for NYSCA Capital Projects

Capital Projects Fund grants carry a requirement of 30% utilization of subcontractors that are certified by New York State as Minority or Women Business Enterprises (MWBE). All grantees will be expected to make a “Good Faith Effort” (as outlined in the above linked document) to engage MWBE firms for grant-related subcontracting opportunities.

Please review the mandate laid out by in Article 15-A of the Executive Law, explaining the expectations associated with the MWBE initiative, available here:

<https://www.nysenate.gov/legislation/laws/EXC/A15-A>

****Design grantees – if you had already contracted with your design professionals at the time of application to NYSCA, please contact CapitalProjects@arts.ny.gov to discuss. If you do not currently have a contract with a design professional, please follow the steps below.****

- Determine if your project meets the 30% MWBE Goal
 - Search the NYS Directory of Certified MWBE Firms to review eligible vendors in your greater region for the subcontracting opportunities you have available, found on this page: <https://ny.newnycontracts.com/>.
 - In the event you do not find any MWBEs in your region, you will need to expand the search to the entire state and then reach out to see if they have regional offices, or are willing to travel to you for your project.
 - Please note that searches will only be considered valid if they use relevant CSI and NAICS commodity codes; keywords will not suffice to document a “good faith effort.” Please see the Instructions for how to use the MWBE Directory of Certified Firms for more information.
 - If there are no certified MWBE’s in your region, or if there are too few to suggest you will be able to reach the 30% goal, document this in the Goal Assessment Checklist and reach out to CapitalProjects@arts.ny.gov to discuss you intention to seek a waiver or partial waiver for the MWBE requirement as soon as you believe this may be the case.
- Complete the MWBE Goal Assessment Checklist
 - Once you have determined whether there are certified MWBEs in relevant fields located in the vicinity of your project for a particular trade/ expense line, or those willing to travel, enter the information into the Goal Assessment Checklist

INSTRUCTIONS FOR COMPLETING YOUR NYSCA CAPITAL CONTRACT

- If you are using a single contractor/vendor, list the entire grant amount under “Prime to Perform.” *This does not apply to a general contractor who is executing subcontracts across the trades.*
- For projects with multiple expense lines in the capital budget form, list these under subcontractor availability.
 - Each MWBE item should correspond directly to a line in your budget, for example if you plan to allocate \$100,000 NYSCA funds to electrical expenses, the MWBE form should list “Electrical” under “Description of Work,” and “\$100,000” under “Estimated Value.”
 - Enter the number of MBEs and WBEs found for each trade/expense search in the Directory.
- This document will auto-populate to help you set goals for your utilization of MWBEs on your NYSCA contract. Only enter information into the yellow-shaded cells, do not enter any information into white cells (they are prepopulated with formulas).
- If you need to add more rows, copy the entire row, and then insert the copied row.
- If you have identified your contractors and expect to be able to comply with the 30% target, please note that there is no need for you to engage in an open bidding process at this time, but these contractors should be included in the assessment checklist. Receipt of this plan will be necessary to execute the contract and release your initial payment.
- What to know about MWBE as your project progresses:
 - Please note that these are preliminary goals based solely on the presence of eligible businesses in the directory, and may be adjusted later based on determination of qualifications, availability, or willingness to bid on a project after you begin solicitation.
 - At the time of your first and second report submissions (which will coincide with the release of your second and third payments), you will be required to update NYSCA on your progress toward achieving the MWBE targets laid out in your utilization plan.
 - At that point, if you need to adjust your targets and request a waiver you will speak to NYSCA staff about doing so, assuming you can submit documentation demonstrating that you have complied with the requirement to make “good faith efforts” to engage MWBEs for your project. Keep screen shots of all directory searches, exports of search results, copies of solicitation and advertising materials, MWBE declinations to apply, etc.
 - Please see the guidelines for demonstrating “good faith efforts” linked to above. Once NYSCA determines that your waiver request includes adequate documentation of “good faith effort” to obtain your MWBE goal, NYSCA must then seek approval of your request from the Executive Chamber of New York State.

ITEM 9 - COPIES OF ALL EXECUTED SUBCONTRACTS OVER \$100,000 THAT WILL BE PAID WITH NYSCA FUNDS

Upload copies of any subcontracts of \$100,000 or more that will be paid in any portion with NYSCA funds. Please also include any second-tier subcontractors (subcontracts executed by your general contractor with other contractors) over \$100,000 that are receiving NYSCA funds, and any second-tier subcontracts (subs of your subcontractor) of any value where the work will be used to meet the MWBE utilization goal, as well. This item is only applicable to organizations with subcontracts already executed at the time they are completing their NYSCA contract. If you have not yet signed contracts with the vendors who will complete the work, OR if you do not anticipate having any subcontracts valued over \$100,000, please indicate this on the Subcontractor ID Form (Item 3).

INSTRUCTIONS FOR COMPLETING YOUR NYSCA CAPITAL CONTRACT

OTHER REQUIREMENTS

Vendor Responsibility Questionnaire (for your organization AND major subcontractors)

The grant contract requires an additional New York State Vendor Responsibility review at the time of contract execution. Complete and certify a Vendor Responsibility Questionnaire in the Office of the New York State Comptroller's online VendRep System. *Any contractors listed on the Subcontractor ID Form (Item 3) must also enroll and complete this questionnaire.*

- For instructions on how to enroll in the VendRep System, click here: <https://www.osc.state.ny.us/state-vendors/vendrep/enroll-vendrep-system>
- For instructions on how to complete your Vendor Responsibility Questionnaire, click here: <https://www.osc.state.ny.us/state-vendors/vendrep/file-your-vendor-responsibility-questionnaire>
- For assistance with the VendRep System, click here: <https://www.osc.state.ny.us/online-services/get-help-businesses-and-governments>
- Any subcontractors or second tier subcontractors with contracts over \$100,000 must also submit a Vendor Responsibility Questionnaire. Please alert them of their obligations as soon as possible.
- The certification must have been completed within the last six months by the time the contract gets to the Comptroller's Office. Organizations or subcontractors who have recertified more than three months prior to submitting contracting documents are encouraged to recertify.

Contact OSC Vendor Responsibility with any questions:

1-866-370-4672 or 518-408-4672

itservicedesk@osc.ny.gov

Verify access to SFS by having your organization's primary contract (SFS Vendor Delegated Admin) log into the SFS Vendor Portal - <https://esupplier.sfs.ny.gov>

- Add user access to Grants Management functionalities in SFS
 - Navigate to: View Your Information > Maintain Users
 - Select a user and click "Add a User Role"
- Click the checkbox(es) associated with the applicable role(s) for the user:
 - Prequalification Processor: to apply and review existing prequalification applications.
 - Grants Contract Approver: to review, approve, and sign grant contracts.
- Make sure to update your organization's grant profile information. From the homepage, navigate to the following tiles and verify your organization's:
 - Address: View Your Information > View Address Information
 - Contact Information: View Your Information > View Contact Information
 - Charities Registration No.: View Your Information > View Grants Information
- Review the information and if changes are needed, initiate a Supplier Change Request.
- [Click here for SFS provided Role Mapping video tutorial](#)

INSTRUCTIONS FOR COMPLETING YOUR NYSCA CAPITAL CONTRACT

Add a Grant Contract Approver's Name to your organization's profile:

- From the NavBar, navigate to: Menu > Maintain Supplier Information > Manage User Profiles
- Find your Contract Approver's User ID and enter the contract approver's name in the Description field in this format: Last Name, First Name.
- Click Save
- [Click here for SFS provided Adding a Contact video tutorial](#)

Confirm prequalification status or to update a prequalification application:

To be eligible for funding, you are required to be prequalified at the relevant application deadline, as well as maintain prequalified status at contract execution and at time of payment(s).

- Log into the SFS Vendor Portal
- To view your prequalification status, navigate to: View Your Information > View Grants Information
- To update an expiring or expired Prequalification Application, navigate to the Prequalification Welcome Page by clicking the Grants Management Tile and then the Prequalification Application Tile
- On the Welcome Page, under "Select an Activity Below," you will see one of three activities indicating the status of your prequalification:
 - "Initiate a Prequalification Application" will display if you have no prior prequalification applications in SFS. You will need to start a new application and submit it for review in SFS to be eligible for prequalification approval.
 - "Collaborate on a Prequalification Application" will display if you have an application in SFS that is in progress but has not yet been submitted in SFS for NYS Agency approval. You will need to finish the application and submit it to be eligible for prequalification approval.
 - "Update a Prequalification Application" will display if you have a previous application in SFS that has been approved or expired. You will need to start a new application and submit it in SFS to be eligible for prequalification approval.
- [Click here for the SFS provided Prequalification Video Tutorial](#)

To stay updated on your prequalification status, make sure you are added as an organizational contact in SFS so you receive email updates from the system.

For assistance with prequalification applications that have already been submitted for review in SFS, please email prequal@arts.ny.gov

Sign up for ePayment through SFS:

If you have not signed up for ePayment (direct deposit) within the State Financial System (SFS), please log into the vendor portal and sign up for ePayments. [Click here for instructions on signing up for ePayments.](#)

INSTRUCTIONS FOR COMPLETING YOUR NYSCA CAPITAL CONTRACT

Please contact the SFS Help Desk if you need additional assistance including log in and password information and refer to the [SFS Handbook: Grantee Processing in SFS \(Grantee User Manual\)](#). When contacting the SFS Help Desk, please provide your organization's name, vendor ID, and any other relevant information. The SFS Team provides live help desk support weekdays 8:00 am to 5:00 at helpdesk@sfs.ny.gov or 518-457-7717 / 855-233-8363 (toll-free).

Stay current with required annual financial filings with the Office of the NYS Attorney General's Charities Bureau.

We are required to confirm with the Charities Bureau that your status is current when we are completing your contract - <https://ag.ny.gov/resources/organizations/charities-nonprofits-fundraisers>

REPORTING REQUIREMENTS AND INSTRUCTIONS

NYSCA Capital Projects reports are also submitted through the Smart Simple interface.

Reporting Instructions for Capital Grants of \$50,000 and above

As a reminder, you can submit an interim report to request the next 35% of the grant when you reach 60% total project completion (with receipts demonstrating payment of 60% of expenses), and a final report to request the last 40% when you reach 95% total project completion (with receipts demonstrating payment of 95% of expenses).

Please keep in mind you will need to provide proof of all project expense and payment, not just those supported with NYSCA funds. Acceptable forms of documentation include invoices and proof of payment (bills of sale, contractors' lien waivers, receipts, credit card or bank statements). Payments through third party bill payers has proven difficult to acquire appropriate documentation for, so please speak with CapitalProjects@arts.ny.gov if you do not think your organization can provide proof of expenditures in one of the preferred forms of documentation above.

SHAKER MEETING HOUSE - ENTRY

SHAKER HERITAGE SOCIETY

SHAKER HERITAGE SOCIETY

25 MEETING HOUSE RD, ALBANY, NY 12211

GRANT SET

PROJECT NO. 19-037.2

ARCHITECT



Thaler Reilly Wilson

Architecture & Preservation
25 Monroe Street, Suite 202

Albany, NY 12210

518.375.1485

trw-arch.com

DRAWING LIST

GENERAL

COVER SHEET

G002 NOTES, SYMBOLS & ABBREVIATIONS

ARCHITECTURAL

A103 SITE PLAN

A201 EAST ELEVATION

A501 **EXTERIOR DETAILS**

A502 SECTION DETAILS

A503 FIRE STAIR DETAILS

NOT FOR
CONSTRUCTION

CONSTRUCT

For Estimating
Purposes Only

For Esurial

Thaler Reilly Wilson

Thaler Reilly Wilson
Architecture & Preservation, LLP

25 Monroe Street, Suite 202
Albany, NY 12210
518.375.1485
lrw-arch.com

25 Monroe Street, Suite 202
Albany, NY 12210
518.375.1485
lrw-arch.com

25 Monroe Street, Suite 202
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lrw-arch.com

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lrw-arch.com

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COVER SHEET

WHEELING HIGHER
SHAKER HERITAGE SOCIETY
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SHAKER HERITAGE SOC

COVER SHEET

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REVISIONS

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JECT NUMBER

715

SEPTEMBER 17, 2024

DRAWN BY

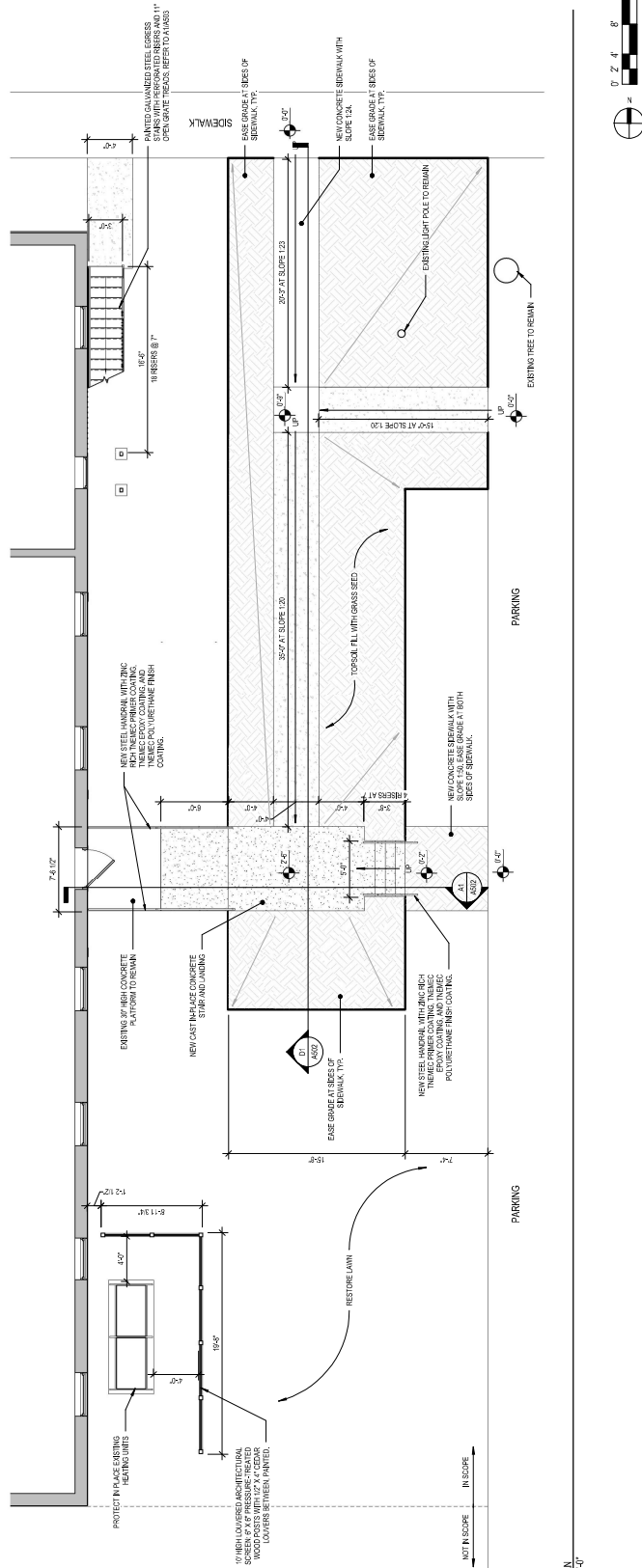


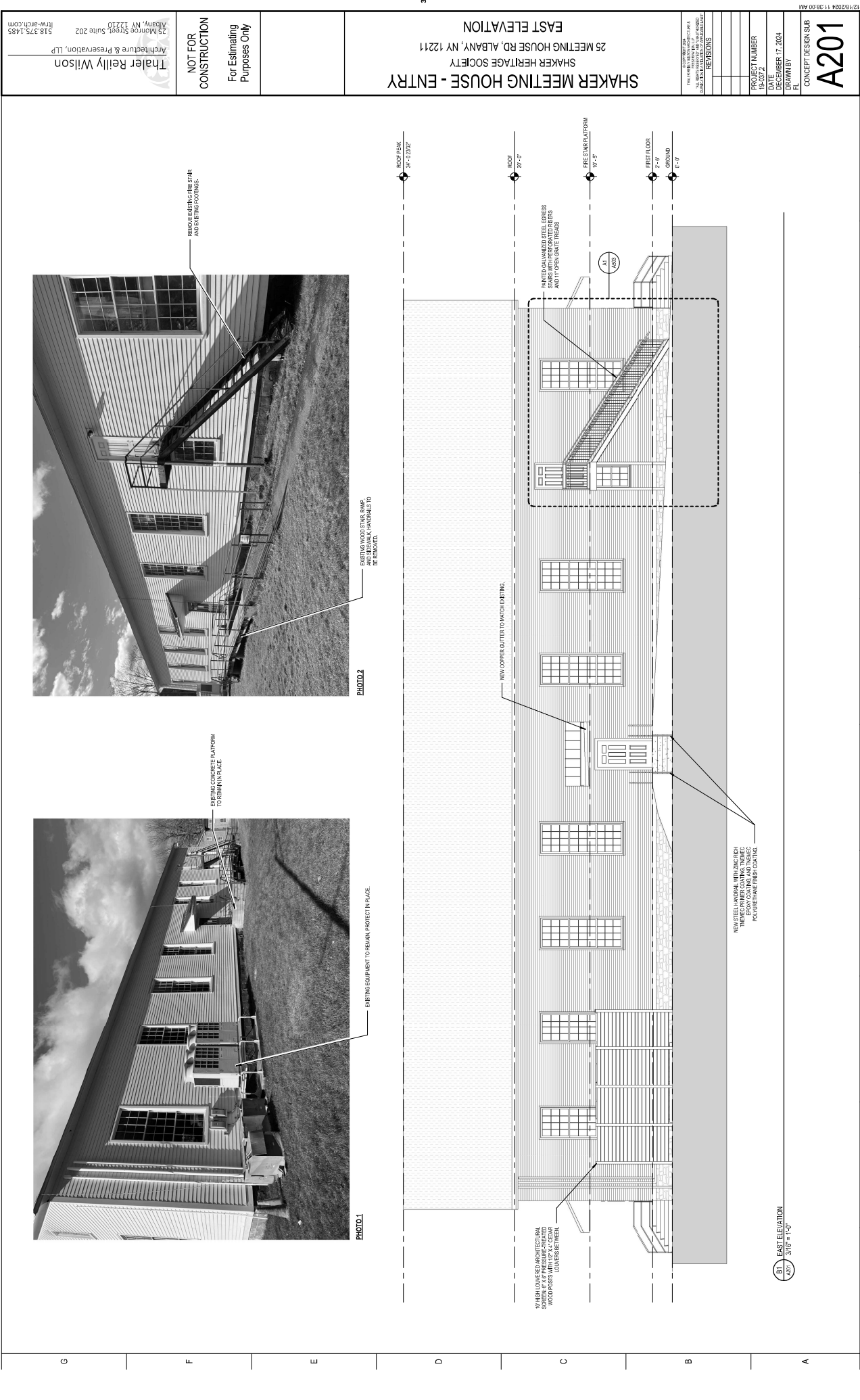


RENDERING 1



RENDERING 2





Thaler Reilly Wilson

Architecture & Preservation

January 9, 2025

Johanna Batman
Executive Director
Shaker Heritage Society
25 Meeting House Road
Albany, NY 12211

Re: NYSCA Shaker Meeting House Entry Useful Life Information

Dear Johanna,

As per your request, the following is Thaler Reilly Wilson Architecture & Preservation, LLP (TRW) narrative regarding the Useful Life of the proposed Shaker Meeting House Entry.

TRW works with many non-profit and cultural institutions so we understand how critical it is to design durable projects that are as maintenance-free as possible. As you know funding capital projects is difficult but funding periodic maintenance and repairs is especially hard. Therefore, this project has been designed so there will be reduced periodic maintenance and a building that will far exceed the 39 years of Useful Life Expectancy as indicated by the Internal Revenue Service.

Specific design elements include:

- Gutter to canopy – 20 to 35 year life expectancy
- Wood Louvers to Mechanical Units – 20 year life expectancy
- Steel Fire Stair – **45** year life expectancy
- Concrete Stair & Ramp – **45** to 80 year life expectancy
- Galvanised steel handrails – **45** year life expectancy

If you need any additional information or have any questions please feel free to contact me. Thank you for this opportunity to work with you on these exciting and interesting projects.

Sincerely,



Mark Thaler, AIA, NCARB
Partner
518-424-5186
mthaler@trw-arch.com

RESOLUTION NO. 237

**AUTHORIZING AN AGREEMENT WITH THE NEW YORK STATE COUNCIL
ON THE ARTS REGARDING A CAPITAL PROJECTS GRANT**

Introduced: 7/11/22

By Public Works Committee and Ms. Lekakis:

WHEREAS, The Executive Director of the Shaker Heritage Society has requested authorization to enter into an agreement with the New York State Council on the Arts regarding a Capital Projects Grant in the amount of \$80,000 for a term commencing July 1, 2022 and ending July 31, 2025, and

WHEREAS, The Executive Director has indicated that the grant funding will be matched by donations and pledges from foundations and private donors, then applied towards the installation of a new variable flow refrigerant HVAC system in the 1848 Meeting House of the Shaker Heritage Society, now, therefore, be it

RESOLVED, By the Albany County Legislature that the County Executive is authorized to enter into an agreement with the New York State Council on the Arts regarding a Capital Projects Grant in the amount of \$80,000 for a term commencing July 1, 2022 and ending July 31, 2025, and, be it further

RESOLVED, That the County Attorney is authorized to approve said agreement as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.

Adopted by unanimous vote – 7/11/22



DANIEL P. MCCOY
COUNTY EXECUTIVE

MICHAEL McLAUGHLIN
DEPUTY COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF GENERAL SERVICES
112 STATE STREET, SUITE 1300
ALBANY, NEW YORK 12207
(518) 447-7210 FAX (518) 447-7747
WWW.ALBANYCOUNTY.COM

DAVID M. LATINA
COMMISSIONER

SCOTT D. ALLARDICE
DEPUTY COMMISSIONER

July 30, 2025

Honorable Joanne Cunningham, Chairwoman
Albany County Legislature
112 State Street, Room 710
Albany, New York 12207

Re: Contract Authorization - Stedman House Exterior Renovation Project

Dear Chairwoman Cunningham:

General Services is requesting authorization for a contract with MJG Construction Group, LLC for the Stedman House Exterior Renovation Project with a not to exceed cost of \$831,500.00. The not to exceed cost includes their base bid of \$710,000.00, 15% contingency allowance of \$106,500.00 and a security access control system allowance of \$15,000.00.

This project will address exterior structural deterioration and historical preservation of the Stedman House building located at 1 Lodge Street adjacent to the Judicial Center.

Costs of this project will be covered by bond HHX0 Res. No. 10 of 2023.

The projected term of this contract is October 1, 2025 through September 30, 2026.

If you should have any further questions, please do not hesitate to contact me.

Sincerely yours,

David M. Latina
Commissioner

DML:tas
Enclosure(s)

cc: Dennis Feeney, Majority Leader
Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6752, **Version:** 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Contract Authorization - Stedman House Exterior Renovation Project

Date: 7/30/2025
Department: General Services
Attending Meeting: Commissioner Latina
Submitted By: David M. Latina
Title: Commissioner
Phone: 518-447-7210

Purpose of Request: Contract Authorization Enter text.

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:

MJG Construction Group, LLC - 61 Skylark Dr. , Ballston Spa, NY 12020

Term: (Start/end date or duration) 10/01/2025 - 09/30/2026
Amount/Raise Schedule/Fee: Enter text.

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Budget: Yes ☒ No ☐
Spreadsheet attached: Yes ☐ No ☒

Source of Funding - (Percentages)

Federal: Enter text. County: 100%
State: Enter text. Local: Enter text.

County Budget Accounts:

Revenue Account and Line: Enter text.
Revenue Amount: Enter text.
Appropriation Account and Line: HHX0
Appropriation Amount: \$831,500.00

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒
If Mandated, Cite Authority: Enter text.
Request for Bids / Proposals:

Competitive Bidding Exempt:	Yes ☐ No ☒
# of Response(s):	Four (4)
# of MWBE:	Enter text.
# of Veteran Business:	Enter text.
Bond Resolution No.:	Enter text.
Apprenticeship Program	Yes ☒ No ☐

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: Enter text.

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

General Services is requesting authorization for a contract with MJG Construction Group, LLC for the Stedman House Exterior Renovation Project with a not to exceed cost of \$831,500.00. The not to exceed cost includes their base bid of \$710,000.00, 15% contingency allowance of \$106,500.00 and a security access control system allowance of \$15,000.00.

This project will address exterior structural deterioration and historical preservation of the Stedman House building located at 1 Lodge Street adjacent to the Judicial Center.

Costs of this project will be covered by bond HHX0 Res. No. 10 of 2023.

The projected term of this contract is October 1, 2025 through September 30, 2026.

If you should have any further questions, please do not hesitate to contact me.

Memo

To: David Latina, Commissioner, Albany County Department of General Services

From: Michael Martin PE, Director, Facilities Engineering Division

Cc: Pamela O Neill, CPPB, Albany County Purchasing Agent
John Neri, Construction Manager, Facilities Engineering Division

Date: July 29, 2025

Re: **RFB #2025-031– Stedman House Exterior Renovation Project**

Recommendation for Award to MJG Construction Group, LLC

Commissioner Latina,

As you are aware, Thaler Reilly Wilson Architecture & Preservation LLP, has developed construction documents and along with the Albany County Facilities Engineering Division and Purchasing Division, developed a Request for Bid (RFB) and solicited bid prices for the **Stedman House Exterior Restoration Project**. Bids have been received and reviewed for conformance with the bid documents.

A complete tabulation of the Bids is attached for your use. After review of the quotes that were submitted, the Facilities Engineering Division recommends you proceed with a request for contract approval of the lowest responsive bidder, **MJG Construction Group, LLC** at a cost of **\$831,500.00**, which includes Contingency Allowances as noted.

If you have any questions regarding this project, I can be reached at 447-7032.

Thank you



DANIEL P. MCCOY
COUNTY EXECUTIVE

MICHAEL McLAUGHLIN
DEPUTY COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF GENERAL SERVICES
112 STATE STREET, SUITE 1300
ALBANY, NEW YORK 12207
(518) 447-7210 FAX (518) 447-7747
WWW.ALBANYCOUNTY.COM

DAVID M. LATINA
COMMISSIONER

SCOTT D. ALLARDICE
DEPUTY COMMISSIONER

To: Pam O Neill, Purchasing Agent

From: David M. Latina, Commissioner

Date: July 30, 2025

Re: Stedman House Exterior Renovation Project

I have reviewed the bids received for Stedman House Exterior Renovation Project. As the lowest of the responsive bidder, I recommend awarding the contract to MJG Construction Group, LLC at their proposed price of \$831,500.00.

The projected term will be October 01, 2025 through September 31, 2026

If you need any further information or have any questions, please feel free to contact me.

DML:kns



DANIEL P. McCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF GENERAL SERVICES
PURCHASING DIVISION
112 STATE STREET, ROOM 1000
ALBANY, NEW YORK 12207-2021
(518) 447-7140 - FAX (518) 447-5588

DAVID M. LATINA
COMMISSIONER OF GENERAL SERVICES

PAMELA O NEILL
PURCHASING AGENT

MEMORANDUM

TO: David Latina, Commissioner
Department of General Services

FROM: Pamela O Neill *Pamela*
Purchasing Agent

DATE: July 30, 2025

RE: RFB# 2025-031 Stedman House Exterior Renovation Project

I am in receipt of your recommendation to award the aforementioned to MJG Construction in the amount of \$831,500.00.

As MJG Construction is the lowest responsible and responsive bidder, I concur with your recommendation.

Please obtain the necessary contract approval of the Legislature, so that we may issue a Notice of Award.

COUNTY OF ALBANY

BID FORM

BID IDENTIFICATION:

Title: **Stedman House Exterior Renovation Project**
 Bid Number: **2025-031**

THIS BID IS SUBMITTED TO:

Pamela O Neill, Purchasing Agent
 Albany County Department of General Services
 Purchasing Division
 112 State Street, Room 1000
 Albany, NY 12207

1. The undersigned BIDDER proposes and agrees, if this Bid is accepted, to enter into a Contract with the owner in the form included in the Contract Documents to complete all Work as specified or indicated in the Contract Documents for the Contract Price and within the Contract Time indicated in this Bid and in accordance with the Contract Documents.
2. BIDDER accepts all of the terms and conditions of the Instructions to Bidders, including without limitation those dealing with the Disposition of Bid Security. This Bid may remain open for ninety (90) days after the day of Bid opening. BIDDER will sign the Contract and submit the Contract Security and other documents required by the Contract Documents within fifteen days after the date of OWNER'S Notice of Award.
3. In submitting this Bid, BIDDER represents, as more fully set forth in this Contract, that:
 - (a) BIDDER has examined copies of all the Contract Documents and of the following addenda: (If none, so state)

Date	Number
07/03/25	1
07/14/25	2
07/14/25	3
07/17/25	4

(receipt of all of which is hereby acknowledged) and also copies of the Notice to Bidders and the Instructions to Bidders;

- (b) BIDDER has examined the site and locality where the Work is to be performed, the legal requirements (federal, state and local laws, ordinances, rules and regulations) and the conditions affecting cost, progress or performance of the Work and has made such independent investigations as BIDDER deems necessary;

- (c) This Bid is genuine and not made in the interest of or on behalf of any undisclosed person, firm or corporation and is not submitted in conformity with any agreement or rules of any group, association, organization or corporation; BIDDER has not directly or indirectly induced or solicited any other BIDDER to submit a false or sham Bid; BIDDER has not solicited or induced any person, firm or a corporation to refrain from bidding; and BIDDER has not sought by collusion to obtain for himself any advantage over any other Bidder or over the owner.

4. BIDDER will complete the Work for the following prices(s): (Attach Bid Proposal)
5. BIDDER agrees to commence the Work within the number of calendar days or by the specific date indicated in the Contract. BIDDER agrees that the Work will be completed within the number of Calendar days or by the specific date indicated in the contract.
6. The following documents are attached to and made a condition of this Bid:
- (a) Non-Collusive Bidding Certificate (Attachment "A")
 - (b) Acknowledgment by Bidder (Attachment "B")
 - (c) Vendor Responsibility Questionnaire (Attachment "C")
 - (d) Iranian Energy Divestment Certification (Attachment "D")
 - (e) MS-4-1 Certification Statement RE: Stormwater Discharges (Attachment "E")
 - (f) Bidder Qualification Questionnaire (Attachment "F")
 - (g) Non Interruption of Work Agreement (Attachment "G")
 - (h) Required Apprenticeship Training Program Documentation (refer to RFB Section 27)

7. Communication concerning this Bid shall be addressed to:

Micah Henzel - President

Phone: 518-728-0439

8. Terms used in this Bid have the meanings assigned to them in the Contract and General Provisions.

COUNTY OF ALBANY

BID FORM

BID IDENTIFICATION:

Title: Stedman House Exterior Renovation Project

Bid Number: 2025-031

Conditions:

1. All bid prices must include all materials, labor, equipment, incidentals and other associated costs.
2. Base Bid work shall carry a 15% Base Bid Contingency Allowance for additional work discovered during construction beyond scope of work indicated on drawings and specifications. Contractor shall receive advance approval from the County Engineer prior to performing any additional work.

LUMP SUM BASE BID: \$ 710,000 (a)

15 % CONTINGENCY ALLOWANCE: \$ 106,500 (b)

SECURITY ACCESS CONTROL SYSTEM ALLOWANCE:

\$ 15,000.00

TOTAL BID:

(Base Bid + 15% Contingency Allowance + Security Access Control System Allowance)

\$ 831,500

COMPANY: MJG Construction Group, LLC

ADDRESS: 61 Skylark Dr

CITY, STATE, ZIP: Ballston Spa, NY, 12020

TEL. NO.: 518-728-0439

FAX NO.: _____

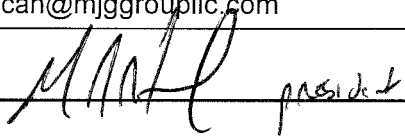
FEDERAL TAX ID NO.: 88-3712214

***CERTIFICATE OF REGISTRATION NUMBER
(ARTICLE 8 PUBLIC WORK CONTRACTOR REGISTRY)***

24-63914-CR

REPRESENTATIVE: Micah Henzel

E-MAIL: micah@mjggroupllc.com

SIGNATURE AND TITLE  president

DATE 07/24/25

ATTACHMENT "A"
NON-COLLUSIVE BIDDING CERTIFICATE PURSUANT TO
SECTION 103-D OF THE NEW YORK STATE GENERAL MUNICIPAL LAW

A. By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid, each party thereto certifies as to its own organizations, under penalty of perjury, that to the best of knowledge and belief:

(1) The prices in this bid have been arrived at independently without collusion, consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor.

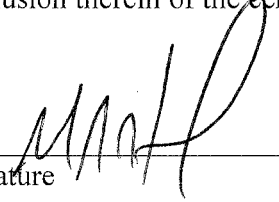
(2) Unless otherwise required by law, the prices which have been quoted in this bid have not knowingly been disclosed by the bidder and will not knowingly be disclosed by the bidder, directly or indirectly, prior to opening, to any bidder or to any competitor.

(3) No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.

A bid shall not be considered for award nor shall any award be made where (1), (2), and (3) above have not been complied with; provided, however, that in any case the bidder cannot make the foregoing certification, the bidder shall so state and shall furnish with the bid a signed statement which sets forth in detail the reasons thereof. Where (1), (2), and (3) above have not been complied with, the bid shall not be considered for any award nor shall any award be made unless the head of the Purchasing Unit to the political subdivision, public department, agency or official thereof to which the bid is made, or his designee, determines that such disclosure was not made for the purpose of restricting competition.

The fact that a bidder (a) has published price lists, rates, or tariffs covering items being procured, (b) has informed prospective customer of proposed or pending publication of new or revised price lists for such items, or (c) has sold the same items to other customers at the same prices being bid, does not constitute, without more, a disclosure within the meaning of paragraph "A" above.

B. Any bid hereafter made to any political subdivision of the state or any public department, agency or official thereof by a corporate bidder for work or services performed or to be performed or goods sold or to be sold, where competitive bidding is required by statute, rule, regulation, local law, and where such bid contains the certification referred to in paragraph "A" of this section, shall be deemed to have been authorized by the Board of Directors of the bidder, and such authorization shall be deemed to include the submission of the bid and the inclusion therein of the certificate as to non-collusion as the act and deed of the corporation



 Signature

 President

 Title

07/24/25

 Date

 MJG Construction Group, LLC

 Company Name

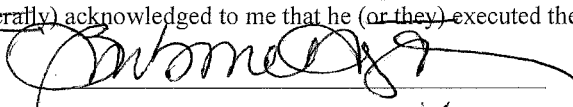
ATTACHMENT "B"
ACKNOWLEDGMENT BY BIDDER

If Individual or Individuals:

STATE OF NEW YORK)
COUNTY OF SARATOGA) SS.:

On this 23rd day of July, 2025, before me personally appeared Micah Hertzel to me known and known to me to be the same person(s) described in and who executed the within instrument, and he (or they severally) acknowledged to me that he (or they) executed the same.

BARBARA A. KENYON
Notary Public State of New York
No. 01KE4984927
Qualified in Saratoga County
My Commission Expires August 5 2025


Notary Public, State of New York
Qualified in Saratoga County
Commission Expires August 5, 2025

If Corporation:

STATE OF _____)
COUNTY OF _____) SS.:

On this _____ day of _____, 200____, before me personally appeared _____ to me known, who, being by me sworn, did say that he resides at (give address) _____; that he is the (give title) _____ of the (name of corporation) _____, the corporation described in and which executed the above instrument; that he knows the seal of the corporation, and that the seal affixed to the instrument is such corporate seal; that it was so affixed by order of the board of directors of the corporation, and that he signed his name thereto by like order.

Notary Public, State of _____

Qualified in _____

Commission Expires _____

If Partnership:

STATE OF _____)
COUNTY OF _____) SS.:

On the _____ day of _____, 200____, before me personally came _____, to me known to be the individual who executed the foregoing, and who, being duly sworn, did depose and say that he / she is a partner of the firm of _____ and that he / she has the authority to sign the same, and acknowledged that he / she executed the same as the act and deed of said partnership.

Notary Public, State of _____

Qualified in _____

Commission Expires _____

ATTACHMENT "C"
ALBANY COUNTY
VENDOR RESPONSIBILITY QUESTIONNAIRE

1. VENDOR IS: ☒ PRIME CONTRACTOR			
2. VENDOR'S LEGAL BUSINESS NAME MJG Construction Group, LLC		3. IDENTIFICATION NUMBERS a) FEIN # 88-3712214 b) DUNS #	
4. D/B/A – Doing Business As (if applicable) & COUNTY FIELD:		5. WEBSITE ADDRESS (if applicable) www.mjggroupllc.com	
6. ADDRESS OF PRIMARY PLACE OF BUSINESS/EXECUTIVE OFFICE 61 Skylark Dr, Ballston Spa, NY, 12020		7. TELEPHONE NUMBER 518-728-0439	8. FAX NUMBER
9. ADDRESS OF PRIMARY PLACE OF BUSINESS/EXECUTIVE OFFICE <i>IN NEW YORK STATE, if different from above</i>		10. TELEPHONE NUMBER	11. FAX NUMBER
12. AUTHORIZED CONTACT FOR THIS QUESTIONNAIRE Name Micah Henzel Title President Telephone Number 518-728-0439 Fax Number e-mail micah@mjggroupllc.com			
13. LIST ALL OF THE VENDOR'S PRINCIPAL OWNERS.			
a) NAME Micah Henzel	TITLE President	b) NAME	TITLE
c) NAME	TITLE	d) NAME	TITLE
A DETAILED EXPLANATION IS REQUIRED FOR EACH QUESTION ANSWERED WITH A "YES," AND MUST BE PROVIDED AS AN ATTACHMENT TO THE COMPLETED QUESTIONNAIRE. YOU MUST PROVIDE ADEQUATE DETAILS OR DOCUMENTS TO AID THE COUNTY IN MAKING A DETERMINATION OF VENDOR RESPONSIBILITY. PLEASE NUMBER EACH RESPONSE TO MATCH THE QUESTION NUMBER.			
14. DOES THE VENDOR USE, OR HAS IT USED IN THE PAST FIVE (5) YEARS, ANY OTHER BUSINESS NAME, FEIN, or D/B/A OTHER THAN THOSE LISTED IN ITEMS 2-4 ABOVE? List all other business name(s), Federal Employer Identification Number(s) or any D/B/A names and the dates that these names or numbers were/are in use. Explain the relationship to the vendor. ☐ Yes ☒ No			
15. ARE THERE ANY INDIVIDUALS NOW SERVING IN A MANAGERIAL OR CONSULTING CAPACITY TO THE VENDOR, INCLUDING PRINCIPAL OWNERS AND OFFICERS, WHO NOW SERVE OR IN THE PAST ONE (1) YEARS HAVE SERVED AS: a) An elected or appointed public official or officer? <i>List each individual's name, business title, the name of the organization and position elected or appointed to, and dates of service</i> ☐ Yes ☒ No b) An officer of any political party organization in Albany County, whether paid or unpaid? <i>List each individual's name, business title or consulting capacity and the official political position held with applicable service dates.</i> ☐ Yes ☒ No			

16.	WITHIN THE PAST (5) YEARS, HAS THE VENDOR, ANY INDIVIDUALS SERVING IN MANAGERIAL OR CONSULTING CAPACITY, PRINCIPAL OWNERS, OFFICERS, MAJOR STOCKHOLDER(S) (10% OR MORE OF THE VOTING SHARES FOR PUBLICLY TRADED COMPANIES, 25% OR MORE OF THE SHARES FOR ALL OTHER COMPANIES), AFFILIATE OR ANY PERSON INVOLVED IN THE BIDDING OR CONTRACTING PROCESS:	
a)	1. been suspended, debarred or terminated by a local, state or federal authority in connection with a contract or contracting process; 2. been disqualified for cause as a bidder on any permit, license, concession franchise or lease; 3. entered into an agreement to a voluntary exclusion from bidding/contracting; 4. had a bid rejected on an Albany County contract for failure to comply with the MacBride Fair Employment Principles; 5. had a low bid rejected on a local, state or federal contract for failure to meet statutory affirmative action or M/WBE requirements on a previously held contract; 6. had status as a Women's Business Enterprise, Minority Business Enterprise or Disadvantaged Business Enterprise, de-certified, revoked or forfeited; 7. been subject to an administrative proceeding or civil action seeking specific performance or restitution in connection with any local, state or federal government contract; 8. been denied an award of a local, state or federal government contract, had a contract suspended or had a contract terminated for non-responsibility; or 9. had a local, state or federal government contract suspended or terminated for cause prior to the completion of the term of the contract.	☐ Yes ☒ No
b)	been indicted, convicted, received a judgment against them or a grant of immunity for any business-related conduct constituting a crime under local, state or federal law including but not limited to, fraud extortion, bribery, racketeering, price-fixing, bid collusion or any crime related to truthfulness and/or business conduct?	☐ Yes ☒ No
c)	been issued a citation, notice, violation order, or are pending an administrative hearing or proceeding or determination of violations of: 1. federal, state or local health laws, rules or regulations.	☐ Yes ☒ No
17.	IN THE PAST THREE (3) YEARS, HAS THE VENDOR OR ITS AFFILIATES ¹ HAD ANY CLAIMS, JUDGMENTS, INJUNCTIONS, LIENS, FINES OR PENALTIES SECURED BY ANY GOVERNMENTAL AGENCY? Indicate if this is applicable to the submitting vendor or affiliate. State whether the situation(s) was a claim, judgment, injunction, lien or other with an explanation. Provide the name(s) and address(es) of the agency, the amount of the original obligation and outstanding balance. If any of these items are open, unsatisfied, indicate the status of each item as "open" or "unsatisfied."	☐ Yes ☒ No
18.	DURING THE PAST THREE (3) YEARS, HAS THE VENDOR FAILED TO: a) file returns or pay any applicable federal, state or city taxes? <i>Identify the taxing jurisdiction, type of tax, liability year(s), and tax liability amount the vendor failed to file/pay and the current status of the liability.</i> b) file returns or pay New York State unemployment insurance? <i>Indicate the years the vendor failed to file/pay the insurance and the current status of the liability.</i> c) Property Tax <i>Indicate the years the vendor failed to file.</i>	☐ Yes ☒ No ☐ Yes ☒ No ☐ Yes ☒ No
19.	HAVE ANY BANKRUPTCY PROCEEDINGS BEEN INITIATED BY OR AGAINST THE VENDOR OR ITS AFFILIATES ¹ WITHIN THE PAST SEVEN (7) YEARS (WHETHER OR NOT CLOSED) OR IS ANY BANKRUPTCY PROCEEDING PENDING BY OR AGAINST THE VENDOR OR ITS AFFILIATES REGARDLESS OF THE DATE OF FILING? Indicate if this is applicable to the submitting vendor or affiliate. If it is an affiliate, include the affiliate's name and FEIN. Provide the court name, address and docket number. Indicate if the proceedings have been initiated, remain pending or have been closed. If closed, provide the date closed.	☐ Yes ☒ No
20.	IS THE VENDOR CURRENTLY INSOLVENT, OR DOES VENDOR CURRENTLY HAVE REASON TO BELIEVE THAT AN INVOLUNTARY BANKRUPTCY PROCEEDING MAY BE BROUGHT AGAINST IT? Provide financial information to support the vendor's current position, for example, Current Ration, Debt Ration, Age of Accounts Payable, Cash Flow and any documents that will provide the agency with an understanding of the vendor's situation.	☐ Yes ☒ No

21.	IN THE PAST FIVE (5) YEARS, HAS THE VENDOR OR ANY AFFILIATES ¹ :	☐ Yes	☒ No
	a) defaulted or been terminated on, or had its surety called upon to complete, any contract (public or private) awarded;		
Indicate if this is applicable to the submitting vendor or affiliate. Detail the situation(s) that gave rise to the negative action, any corrective action taken by the vendor and the name of the contracting agency.			

¹ "Affiliate" meaning: (a) any entity in which the vendor owns more than 50% of the voting stock; (b) any individual, entity or group of principal owners or officers who own more than 50% of the voting stock of the vendor; or (c) any entity whose voting stock is more than 50% owned by the same individual, entity or group described in clause (b). In addition, if a vendor owns less than 50% of the voting stock of another entity, but directs or has the right to direct such entity's daily operations, that entity will be an "affiliate" for purposes of this questionnaire.

ALBANY COUNTY VENDOR RESPONSIBILITY QUESTIONNAIRE

FEIN #

State of: New York)
County of: Saratoga) ss:

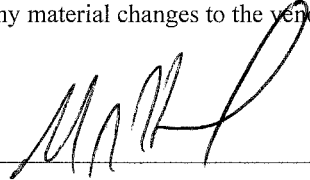
CERTIFICATION:

The undersigned: recognizes that this questionnaire is submitted for the express purpose of assisting the County of Albany in making a determination regarding an award of contract or approval of a subcontract; acknowledges that the County may in its discretion, by means which it may choose, verify the truth and accuracy of all statements made herein; acknowledges that intentional submission of false or misleading information may constitute a felony under Penal Law Section 210.40 or a misdemeanor under Penal Law Section 210.35 or Section 210.45, and may also be punishable by a fine and/or imprisonment of up to five years under 18 USC Section 1001 and may result in contract termination; and states that the information submitted in this questionnaire and any attached pages is true, accurate and complete.

The undersigned certifies that he/she:

- Has not altered the content of the questions in the questionnaire in any manner;
- Has read and understands all of the items contained in the questionnaire and any pages attached by the submitting vendor;
- Has supplied full and complete responses to each item therein to the best of his/her knowledge, information and belief;
- Is knowledgeable about the submitting vendor's business and operations;
- Understands that Albany County will rely on the information supplied in the questionnaire when entering into a contract with the vendor;
- Is under duty to notify the Albany County Purchasing Division of any material changes to the vendor's responses.

Name of Business MJG Construction Group, LLC

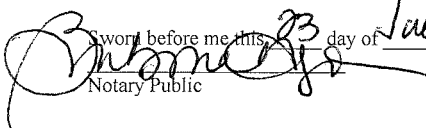
Signature of Owner 

Address 61 Skylark Dr

Printed Name of Signatory Micah Henzel

City, State, Zip Ballston Spa, NY, 12020

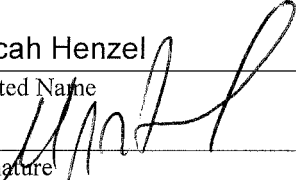
Title President


Sworn before me this 23 day of July, 2025
Notary Public

BARBARA A. KENYON
Notary Public State of New York
No. 01KE4984927
Qualified in Saratoga County 2025
My Commission Expires August 5

Micah Henzel

Printed Name

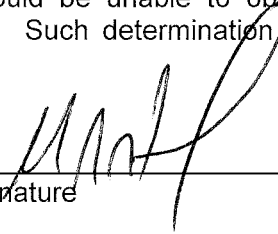
Signature 

07/24/25

Date

Attachment "D"
Certification Pursuant to Section 103-g
Of the New York State
General Municipal Law

- A. By submission of this bid/proposal, each bidder/proposer and each person signing on behalf of any bidder/proposer certifies, and in the case of a joint bid, each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each bidder is not on the list created pursuant to paragraph (b) of subdivision 3 of Section 165-a of the New York State Finance Law.
- B. A Bid/Proposal shall not be considered for award, nor shall any award be made where the condition set forth in Paragraph A above has not been complied with; provided, however, that in any case the bidder/proposer cannot make the foregoing certification set forth in Paragraph A above, the bidder/proposer shall so state and shall furnish with the bid a signed statement which sets forth in detail the reasons therefor. Where Paragraph A above cannot be complied with, the Purchasing Unit to the political subdivision, public department, agency or official thereof to which the bid/proposal is made, or his designee, may award a bid/proposal, on a case by case business under the following circumstances:
1. The investment activities in Iran were made before April 12, 2012, the investment activities in Iran have not been expanded or renewed after April 12, 2012, and the Bidder/Proposer has adopted, publicized and is implementing a formal plan to cease the investment activities in Iran and to refrain from engaging in any new investments in Iran; or
 2. The political subdivision makes a determination that the goods or services are necessary for the political subdivision to perform its functions and that, absent such an exemption, the political subdivision would be unable to obtain the goods or services for which the contract is offered. Such determination shall be made in writing and shall be a public document.



 Signature

 President

 Title

07/24/25

Date

MJG Construction Group, LLC

Company Name

ATTACHMENT "E"

Sheet MS4-1: Bidder/Proposer Certification Statement (to be used with Section 34 Part A – General Contracts)

As a bidder seeking to provide services on behalf of Albany County, I certify under penalty of law that I understand and agree to comply with the terms and conditions of the New York State Pollutant Discharge Elimination System ("SPDES") General Permit for Stormwater Discharges from Municipal Separate Storm Sewer Systems (MS4 Permit) and Albany County Local Law 7 of 2007, and agree to implement any Best Management Practices or corrective actions identified by Albany County or an authorized representative thereof as necessary to maintain compliance. I understand that Albany County must comply with the terms and conditions of the aforementioned MS4 Permit, and that it is unlawful for any person to directly or indirectly cause or contribute to a violation of water quality standards. I am also aware that County Local Law 7 of 2007 prohibits any activities that cause or contribute to a violation of the County's SPDES permit. Further, I understand that any non-compliance by Albany County will not diminish, eliminate or lessen my own liability.

Name of Third Party Entity: MJG Construction Group, LLC

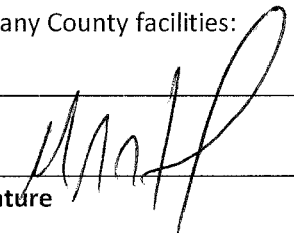
Address: 61 Skylark Dr, Ballston Spa, NY, 12020

Phone Number(s): 518-728-0439

Description of activities to be performed by your firm or organization within Albany County are related to the Albany County Storm Water Management Program (SWMP) (include any activities that have the potential to generate or prevent pollution and/or affect water quality):

Description of where the work is to be performed within Albany County facilities:

Stedman House


Signature

Micah Henzel

Printed Name

President

Title

07/24/25

Date

ATTACHMENT "F"
BIDDER QUALIFICATION QUESTIONNAIRE

The undersigned guarantees the accuracy of all statements and answers herein contained. (Please print in ink or type in the spaces provided). Attach additional sheets if necessary. This statement of Bidder's qualifications is required of all Bidders. Additional data on Bidder's qualifications may be requested from selected Bidders after the Bid opening.

1. How many years has your firm been in business? 3 years

2. List up to three (3) projects of this nature that you have completed in the last three (3) years, and give the name, address and telephone number of a reference from each. Also give the completion date, the original contract bid price and the completed cost of each project listed.

1. See Attached

2.

3.

ATTACHMENT "F"
BIDDER QUALIFICATION QUESTIONNAIRE

3. List projects presently under contract by your firm, the dollar volume of the contract and the percentage completion of the contract.

See Attached

4. Has your firm ever failed to complete work awarded to it, if so, state where and why.

No

5. Is your firm presently or has your firm ever been a party defendant in a lawsuit commenced against your firm alleging failure to properly complete work in accordance with the contract for same; if so, give details.

No

ATTACHMENT "F"
BIDDER QUALIFICATION QUESTIONNAIRE

6. Has your firm received two (2) final determinations within any consecutive six-year period, the second final determination occurring within the past five (5) years, that your firm willfully failed to pay the prevailing rate of wages or to provide supplements with Article 8 of the Labor Law, if so, give details.

No

7. Do you plan to sublet any part of this work? If so, give details.

No

8. Give the name, address and telephone number of an individual who represents each of the following and whom the Owner may contact to investigate your financial responsibility: a surety, and a bank.

See attached

ATTACHMENT "F"
BIDDER QUALIFICATION QUESTIONNAIRE

9. Give a summary of your financial statement. (List assets and liabilities, use an insert sheet, if needed).

Furnish Upon Request

10. State the true, exact, correct and complete name of the partnership, corporation or trade name under which you do business, and the address of the place of business. (If a corporation, state the name and title of all officers. If a partnership, state the name of all partners. If a trade name, state the names of the individuals who do business under the trade name.) It is absolutely necessary that information be furnished.

MJG Construction Group, LLC

Correct Name of Bidder

(a) The business is a: LLC

(b) The address of principal place of business is: 61 Skylark Dr, Ballston Spa, NY, 12020

(c) The names of the corporate officers, or partners, or individuals doing business under a trade name, are as follows:

Micah Henzel - President

ATTACHMENT "F"
BIDDER QUALIFICATION QUESTIONNAIRE

11. Is your firm qualified to do business in the State of New York? Yes X No ____.

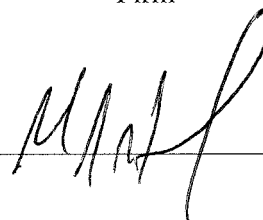
If No, signing this qualification statement constitutes agreement to obtain such qualification prior to award of contract immediately upon owner's request.

MJG Construction Group, LLC

Firm

Dated: 07/24/25

By



Micah Henzel - President

(Typed)

ATTACHMENT "G"
NON-INTERRUPTION OF WORK AGREEMENT

By submission of the bid for:

The bidder agrees that if this bid is accepted, he/she will not intentionally engage in any course of conduct or activity, or employ for the purposes of performing the public work, any subcontractors, employees, labor or materials which will or may result in the interruption of the performance of the public work due to labor strife or unrest by workmen employed by the bidder or by any of the trades working in or about the public works and/or premises where the work is being performed.

Firm: MJG Construction Group, LLC

By:

(Signature)

Micah Henzel

(Typed)

Title: President

Date: 07/24/25

AIA® Document A310™ – 2010

Bid Bond

CONTRACTOR:
(Name, legal status and address)

MJG Construction Group, LLC
61 Skylark Drive
Ballston Spa, New York 12020

SURETY:
(Name, legal status and principal place of business)

Endurance Assurance Corporation
12890 Lebanon Road
Mt. Juliet, Tennessee 37122

OWNER:
(Name, legal status and address)

Albany County Department of General Services
112 State Street
Albany, New York 12207

BOND AMOUNT: Five Percent of the Attached Bid (5%)

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

PROJECT: Stedman House Exterior Restoration Project, RFB #2025-031

(Name, location or address, and Project number, if any)

The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

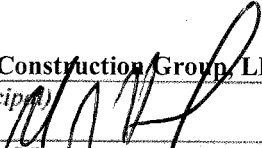
If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

Signed and sealed this **10th** day of **July, 2025**

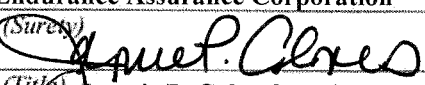

(Witness)

MJG Construction Group, LLC

(Principal)  *(Seal)*

(Title) **Micah Henzel, Kayley Klinkel**

Endurance Assurance Corporation

(Surety)  *(Seal)*


(Witness) **Kayley Klinkel**

(Title) **Jaymie P. Columbus, Attorney-in-Fact**

CAUTION: You should sign an original AIA Contract Document, on which this text appears in RED. An original assures that changes will not be obscured.

Init.

AIA Document A310™ – 2010. Copyright © 1963, 1970 and 2010 by The American Institute of Architects. All rights reserved. WARNING: This AIA® Document is protected by U.S. Copyright Law and International Treaties. Unauthorized reproduction or distribution of this AIA® Document, or any portion of it, may result in severe civil and criminal penalties, and will be prosecuted to the maximum extent possible under the law. Purchasers are permitted to reproduce ten (10) copies of this document when completed. To report copyright violations of AIA Contract Documents, e-mail The American Institute of Architects' legal counsel, copyright@aia.org.

061110

INDIVIDUAL

STATE OF _____ }
COUNTY OF _____ } ss

On this _____ day of _____, _____, before me personally appeared _____ to me known and known to me to be the individual in and who executed the foregoing instrument and _____ acknowledged to me that _____ executed the same in his individual capacity.

Notary Public

COPARTNERSHIP

STATE OF _____ }
COUNTY OF _____ } ss

On this _____ day of _____, _____, before me personally appeared _____ to me known and known to me to be one of the firm of _____ described in and who executed the foregoing instrument and he/she thereupon acknowledged to me that he/she executed the same as and for the act and deed of said firm.

Notary Public

LIMITED LIABILITY COMPANY

STATE OF NEW YORK }
COUNTY OF SARATOGA } ss

On this 23rd day of July, 2025, before me personally appeared Micah Henzel to me known, who, being by me first duly sworn, did depose and say that he/she resides in Ballston Spa, New York; that he/she is the President of MJG Construction Group, LLC a Limited Liability Company, the Company described in and which executed the foregoing instrument; that he executed the foregoing instrument as the act and deed of said Company; and that he had the requisite authority to do so.

BARBARA A. KENYON
Notary Public State of New York
No. 01KE4984927
Qualified in Saratoga County
My Commission Expires August 5 2025

Notary Public

SURETY

STATE OF NEW YORK }
COUNTY OF ALBANY } ss

I, Kayley Klinkel Notary Public of Schenectady County, in the State of New York do hereby certify that Jaymie P. Columbus Attorney-in-Fact, of the Endurance Assurance Corporation, who is personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person, and acknowledged that he/she signed, sealed and delivered said instrument, for and on behalf of the Endurance Assurance Corporation, for the uses and purposes therein set forth.

Given under my hand and notarial seal at my office in the City of Latham in said County, this 10th day of July, A.D. 2025.

Kayley Klinkel
Notary Public, State of New York
Reg. No. 01KL0014828
Qualified in Schenectady County
Commission Expires 10/24/2027

Notary Public

ENDURANCE ASSURANCE CORPORATION

Balance Sheet - Statutory - Basis

December 31, 2024

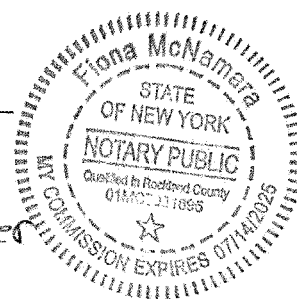
Assets:	
Bonds	\$ 9,575,738,600
Preferred stocks	15,965,244
Common stocks	2,974,058,744
Cash, cash equivalents, and short-term investments	380,926,489
Other invested assets	382,161,094
Receivables for securities	7,743,789
Total cash and invested assets	<u>13,336,593,960</u>
Agents' balances or uncollected premiums	3,751,103,321
Reinsurance recoverable on loss and loss adjustment expense payments	1,079,426,776
Funds held by or deposited with reinsured companies	146,694,256
Investment income due and accrued	75,551,122
Current federal and foreign income tax recoverable and interest thereon	9,551,514
Net deferred tax asset	201,158,214
Receivables from parent, subsidiaries and affiliates	38,811,391
Other assets	396,387,073
Total admitted assets	<u>\$ 19,035,277,627</u>
Liabilities:	
Loss and loss adjustment expenses	\$ 7,434,113,469
Reinsurance payable on paid loss and loss adjustment expenses	797,536,780
Commissions payable, contingent commissions and other similar charges	7,067,852
Other expenses	26,564,195
Taxes, licenses and fees (excluding federal and foreign income taxes)	18,927,896
Unearned premiums	2,959,915,954
Advanced premium	9,091,368
Dividends declared and unpaid (stockholders)	430,000,000
Ceded reinsurance premiums payable	1,659,311,317
Funds held by company under reinsurance treaties	155,788,157
Amounts withheld or retained by company for account of others	702,732,322
Remittances and items not allocated	186,045,368
Provision for reinsurance	26,000,766
Payable to parent, subsidiaries and affiliates	234,928,735
Payable for securities	48,893,701
Other liabilities	57,059,433
Total liabilities	<u>14,753,977,313</u>
Capital and surplus:	
Common capital stock	5,000,000
Gross paid in and contributed surplus	3,480,137,280
Unassigned funds (surplus)	794,870,512
Aggregate write-ins for special surplus funds	1,292,522
Total capital and surplus	<u>4,281,300,314</u>
Total liabilities and capital and surplus	<u>\$ 19,035,277,627</u>

I, Hana Entela, Treasurer of Endurance Assurance Corporation (the "Company") do hereby certify that to the best of my knowledge and belief, the foregoing is a full and true Statutory Statement of Admitted Assets, Liabilities, Capital and Surplus of the Company as of December 31, 2024 prepared in conformity with accounting practices prescribed or permitted by the State of Delaware Department of Insurance. The foregoing statement should not be taken as a complete statement of financial condition of the Company. Such a statement is available upon request at the Company's office located at 4 Manhattanville Road, Purchase, NY 10577.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Company at Purchase, New York.

Hana Entela

Subscribed and sworn to before me this 25th day of March, 2025
State of New York, County of Westchester
Fiona McNamara



KNOW ALL BY THESE PRESENTS, that **Endurance Assurance Corporation**, a Delaware corporation ("EAC"), **Endurance American Insurance Company**, a Delaware corporation ("EAIC"), **Lexon Insurance Company**, a Texas corporation ("LIC"), and/or **Bond Safeguard Insurance Company**, a South Dakota corporation ("BSIC"), each, a "Company" and collectively, "**Sompo International**," do hereby constitute and appoint: **David Brockmann, Jaymie P. Columbus, Kayley Klinkel, Kimberly Ritchie, Robert Crandall**

as true and lawful Attorney(s)-In-Fact to make, execute, seal, and deliver for, and on its behalf as surety or co-surety; bonds and undertakings given for any and all purposes, also to execute and deliver on its behalf as aforesaid renewals, extensions, agreements, waivers, consents or stipulations relating to such bonds or undertakings provided, however, that no single bond or undertaking so made, executed and delivered shall obligate the Company for any portion of the penal sum thereof in excess of the sum of **One Hundred Million (\$100,000,000.00)**

Such bonds and undertakings for said purposes, when duly executed by said attorney(s)-in-fact, shall be binding upon the Company as fully and to the same extent as if signed by the President of the Company under its corporate seal attested by its Corporate Secretary.

This appointment is made under and by authority of certain resolutions adopted by the board of directors of each Company by unanimous written consent effective the 30th day of March, 2023 for BSIC and LIC and the 17th day of May, 2023 for EAC and EAIC, a copy of which appears below under the heading entitled "Certificate".

This Power of Attorney is signed and sealed by facsimile under and by authority of the following resolution adopted by the board of directors of each Company by unanimous written consent effective the 30th day of March, 2023 for BSIC and LIC and the 17th day of May, 2023 for EAC and EAIC and said resolution has not since been revoked, amended or repealed:

RESOLVED, that the signature of an individual named above and the seal of the Company may be affixed to any such power of attorney or any certificate relating thereto by facsimile, and any such power of attorney or certificate bearing such facsimile signature or seal shall be valid and binding upon the Company in the future with respect to any bond or undertaking to which it is attached.

IN WITNESS WHEREOF, each Company has caused this instrument to be signed by the following officers, and its corporate seal to be affixed this 25th day of May, 2023.

Endurance Assurance Corporation

Endurance American Insurance Company

Lexon Insurance Company

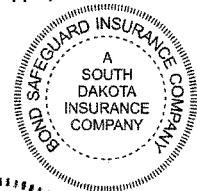
Bond Safeguard Insurance Company

By: *Richard M Appel*
Richard Appel; SVP & Senior Counsel

By: *Richard M Appel*
Richard Appel; SVP & Senior Counsel

By: *Richard M Appel*
Richard Appel; SVP & Senior Counsel

By: *Richard M Appel*
Richard Appel; SVP & Senior Counsel



ACKNOWLEDGEMENT

On this 25th day of May, 2023, before me, personally came the above signatories known to me, who being duly sworn, did depose and say that he/she is an officer of each of the Companies; and that he executed said instrument on behalf of each Company by authority of his office under the by-laws of each Company.

By: *Amy Taylor*
Amy Taylor Notary Public – My Commission Expires 3/9/27



CERTIFICATE

I, the undersigned Officer of each Company, DO HEREBY CERTIFY that:

1. That the original power of attorney of which the foregoing is a copy was duly executed on behalf of each Company and has not since been revoked, amended or modified; that the undersigned has compared the foregoing copy thereof with the original power of attorney, and that the same is a true and correct copy of the original power of attorney and of the whole thereof;
2. The following are resolutions which were adopted by the board of directors of each Company by unanimous written consent effective the 30th day of March, 2023 for BSIC and LIC and the 17th day of May, 2023 for EAC and EAIC and said resolutions have not since been revoked, amended or modified:
"RESOLVED, that each of the individuals named below is authorized to make, execute, seal and deliver for and on behalf of the Company any and all bonds, undertakings or obligations in surety or co-surety with others: **RICHARD M. APPEL, MATTHEW E. CURRAN, MARGARET HYLAND, SHARON L. SIMS, CHRISTOPHER L. SPARRO,** and be it further
RESOLVED, that each of the individuals named above is authorized to appoint attorneys-in-fact for the purpose of making, executing, sealing and delivering bonds, undertakings or obligations in surety or co-surety for and on behalf of the Company."
3. The undersigned further certifies that the above resolutions are true and correct copies of the resolutions as so recorded and of the whole thereof.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal this

10th day of July, 2025

By: *Daniel S. Lute*, Secretary

NOTICE: U. S. TREASURY DEPARTMENT'S OFFICE OF FOREIGN ASSETS CONTROL (OFAC)

No coverage is provided by this Notice nor can it be construed to replace any provisions of any surety bond or other surety coverage provided. This Notice provides information concerning possible impact on your surety coverage due to directives issued by OFAC. **Please read this Notice carefully.**

The Office of Foreign Assets Control (OFAC) administers and enforces sanctions policy, based on Presidential declarations of "national emergency". OFAC has identified and listed numerous foreign agents, front organizations, terrorists, terrorist organizations, and narcotics traffickers as "Specially Designated Nationals and Blocked Persons". This list can be located on the United States Treasury's website – <https://www.treasury.gov/resource-center/sanctions/SDN-List>.

In accordance with OFAC regulations, if it is determined that you or any other person or entity claiming the benefits of any coverage has violated U.S. sanctions law or is a Specially Designated National and Blocked Person, as identified by OFAC, any coverage will be considered a blocked or frozen contract and all provisions of any coverage provided are immediately subject to OFAC. When a surety bond or other form of surety coverage is considered to be such a blocked or frozen contract, no payments nor premium refunds may be made without authorization from OFAC. Other limitations on the premiums and payments may also apply.

Any reproductions are void.

Surety Claims Submission: LexonClaimAdministration@sompo-intl.com

Telephone: 615-553-9500 Mailing Address: Sompo International; 12890 Lebanon Road; Mount Juliet, TN 37122-2870



References

Owner References:

Matt Folts – Skidmore College – 518-365-2404

Erin Reich – Schnectady County – 518-338-8026

Dominic Rigosu – RIDA Architecture/Cohoes Housing Authority – 518-713-4537

Trade References:

Bill Marggi – Jupiter Environmental – 518-527-7088

Dewey Delsignore – Delsignore Companies – 518-365-0936

Russell Lincoln – Eckert Mechanical – 518-937-4282

Supplier References:

Brad Stewart – KAMCO Supply – 518-232-0548

Curtis Latzko – Allerdice – 518-584-1127

Josh Rougier – Erie Materials – 518-396-0692

Bank Reference:

SEFCU – Shylia Beyer – 800-727-3328

Insurances/Bonding:

David Brockmann – Arthur Gallagher – 518-330-1743

Attorney:

Couch White, LLP – Don Hillman

Respectfully Submitted,

Micah Henzel

President

RFB-2025-031 Bid Tabulation
 Stedman House Exterior Renovation Project
 July 24, 2025 @ 11:00 am

Bidder	Bunkoff	Gallo	MJG Contruction	PCC Contracting
Lump Sum Base Bid	\$ 1,120,000.00	\$ 1,160,600.00	\$ 710,000.00	\$ 958,900.00
15% Contingency	\$ 168,000.00	\$ 174,090.00	\$ 106,500.00	\$ 143,835.00
Security Access				
Control System				
Allowance	\$ 15,000.00	\$ 15,000.00	\$ 15,000.00	\$ 15,000.00
Total Bid	\$ 1,303,000.00	\$ 1,349,690.00	\$ 831,500.00	\$ 1,117,735.00

RESOLUTION NO. 10

BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, AUTHORIZING VARIOUS CAPITAL PROJECTS FOR THE DEPARTMENT OF GENERAL SERVICES, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$11,500,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$11,500,000 OF SERIAL BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Introduced: 2/13/23

By Audit and Finance Committee:

THE COUNTY LEGISLATURE OF THE COUNTY OF ALBANY, NEW YORK, HEREBY RESOLVES AS FOLLOWS:

Section 1. The County of Albany, New York (the “County”) is hereby authorized to undertake County facility roof renovations to various County owned properties, together with any necessary site work and the acquisition and installation of furnishings, equipment, machinery and apparatus for the foregoing purposes, as further described in the 2023 Capital Plan in the County’s 2023-2027 Capital Program, as amended and supplemented (hereinafter referred to as the “Capital Program”). The estimated maximum cost of said class of objects or purposes, including preliminary costs and costs incidental thereto and the financing thereof (including costs relating to the issuance of the obligations authorized by this resolution), is an amount not to exceed \$1,500,000 and said amount is hereby appropriated therefor. The plan of financing includes the issuance of an amount not to exceed \$1,500,000 of serial bonds (and bond anticipation notes in anticipation of the issuance of such serial bonds) in such series and amounts as may be necessary to pay the cost thereof, but in no event in excess of \$1,500,000 to pay the cost of the capital project.

The period of probable usefulness of the class of objects or purposes herein authorized and for which \$1,500,000 of said serial bonds are herein authorized to be issued, within the limitations of Section 11.00a.12(a)(1) of the New York Local Finance Law (the “Law”), is twenty-five (25) years.

Section 2. The County is hereby authorized to undertake various capital projects consisting of various building upgrades and improvements, such upgrades and improvements to include office modernization and upgrades prior to relocations (fit-up costs and office build out), pre and post site work, design fees, various internal and external upgrades and elevator modernizations, together with any necessary site work and the acquisition and installation of furnishings, equipment, machinery and apparatus for the foregoing purposes, as further described in the 2023 Capital Plan in the County’s 2023-2027 Capital Program, as amended and supplemented (hereinafter referred to as the “Capital Program”). The estimated maximum cost of said class of objects or purposes, including preliminary costs and costs incidental

thereto and the financing thereof (including costs relating to the issuance of the obligations authorized by this resolution), is an amount not to exceed \$7,000,000 and said amount is hereby appropriated therefor. The plan of financing includes the issuance of an amount not to exceed \$7,000,000 of serial bonds (and bond anticipation notes in anticipation of the issuance of such serial bonds) in such series and amounts as may be necessary to pay the cost thereof, but in no event in excess of \$7,000,000 to pay the cost of the capital project.

The period of probable usefulness of the class of objects or purposes herein authorized and for which \$7,000,000 of said serial bonds are herein authorized to be issued, within the limitations of Section 11.00a.12(a)(1) of the New York Local Finance Law (the “Law”), is twenty-five (25) years.

Section 3. The County is hereby authorized to undertake various capital projects consisting of various mechanical upgrades and improvements, such upgrades and improvements to include all HVAC, energy management system improvements, all chiller and electrical systems, together with any necessary site work and the acquisition and installation of furnishings, equipment, machinery and apparatus for the foregoing purposes, as further described in the 2023 Capital Plan in the County’s 2023-2027 Capital Program, as amended and supplemented (hereinafter referred to as the “Capital Program”). The estimated maximum cost of said class of objects or purposes, including preliminary costs and costs incidental thereto and the financing thereof (including costs relating to the issuance of the obligations authorized by this resolution), is an amount not to exceed \$3,000,000 and said amount is hereby appropriated therefor. The plan of financing includes the issuance of an amount not to exceed \$3,000,000 of serial bonds (and bond anticipation notes in anticipation of the issuance of such serial bonds) in such series and amounts as may be necessary to pay the cost thereof, but in no event in excess of \$3,000,000 to pay the cost of the capital project.

The period of probable usefulness of the class of objects or purposes herein authorized and for which \$3,000,000 of said serial bonds are herein authorized to be issued, within the limitations of Section 11.00a.13 of the New York Local Finance Law (the “Law”), is fifteen (15) years.

Section 4. Serial bonds (and bond anticipation notes in anticipation of the issuance of such serial bonds) in the aggregate principal amount not to exceed \$11,500,000 to finance said appropriations are hereby authorized to be issued pursuant to the provisions of the Law.

Section 5. The following additional matters are hereby determined and stated:

(a) The buildings described above are class “A” buildings, as defined in Section 11.00a11(a) of the Law.

(b) Current funds are not required by the Law to be provided as a down payment prior to the issuance of the serial bonds authorized by this resolution or any bond anticipation notes issued in anticipation thereof in accordance with Section 107.00 of the Law.

(c) The proposed maturity of the bonds authorized by this resolution will exceed five (5) years.

Section 6. The serial bonds authorized by this resolution and any notes issued in anticipation of the sale of such bonds shall contain the recital of validity prescribed by Section 52.00 of the Law and said serial bonds and any notes issued in anticipation of said bonds shall be general obligations of the County, payable as to both principal and interest by a general tax upon all the taxable real property within the County without limitation of rate or amount. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and provision shall be made annually in the budget of the County by appropriation for (a) the amortization and redemption of the bonds and any notes in anticipation thereof to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 7. Subject to the provisions of this resolution and of the Law, pursuant to the provisions of Section 30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals of said notes and of Section 21.00, Section 23.00, Section 50.00, Sections 56.00 to 60.00, Section 62.00, Section 63.00 and Section 164.00 of the Law, the powers and duties of the County Legislature pertaining or incidental to the sale and issuance of the obligations herein authorized, including but not limited to authorizing bond anticipation notes and prescribing the terms, form and contents and as to the sale and issuance of the bonds herein authorized and of any bond anticipation notes issued in anticipation of said bonds, and the renewals of said notes, are hereby delegated to the County Comptroller, the chief fiscal officer of the County.

Section 8. The County Comptroller is further authorized to take such actions and execute such documents as may be necessary to ensure the continued status of the interest on the bonds authorized by this resolution and any notes issued in anticipation thereof, as excludable from gross income for federal income tax purposes pursuant to Section 103 of the Internal Revenue Code of 1986, as amended (the "Code") and to designate the bonds authorized by this resolution and any notes issued in anticipation thereof, if applicable, as "qualified tax-exempt bonds" in accordance with Section 265(b)(3)(B)(i) of the Code.

Section 9. The County Comptroller is further authorized to enter into a continuing disclosure undertaking with the initial purchaser of the bonds or notes authorized by this resolution, containing provisions which are satisfactory to such purchaser in compliance with the provisions of Rule 15c2-12, promulgated by the Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934.

Section 10. Pursuant to Article 8 of the Environmental Conservation Law, Chapter 43-B of the Consolidated Laws of New York, as amended (the “SEQR Act”) and the regulations adopted pursuant thereto by the Department of Environmental Conservation of the State of New York, being 6 NYCRR Part 617, as amended (the “Regulations” and collectively with the SEQR Act, “SEQRA”), the County must satisfy the requirements contained in SEQRA prior to making a final determination whether to proceed with the above referenced project. Based upon an examination of the project and a memorandum from the Albany County Department of Economic Development, Conservation and Planning, the County hereby makes the following determination: The projects authorized by this resolution each constitute a “Type II action” pursuant to 6 NYCRR 617.5(c)(1), (2) and (31), and therefore, pursuant to 6 NYCRR 617.6(a)(1)(i), the County has no further responsibilities under SEQRA with respect to such projects.

Section 11. The County may initially use funds from the General Fund or such other funds that may be available to pay the cost of the specific objects or purposes authorized by this resolution, pursuant to Section 165.10 of the Law. The County then reasonably expects to reimburse such expenditure with the proceeds of the bonds or bond anticipation notes authorized by Section 4 of this resolution. This resolution shall constitute the declaration of the County’s “official intent” to reimburse the expenditures authorized by Sections 1, 2 and 3 hereof with the proceeds of the bonds and notes authorized herein, as required by United States Treasury Regulation Section 1.150-2.

Section 12. The validity of the bonds authorized by this resolution, and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

- (1) (a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or
- (b) the provisions of law which should be complied with at the date of the publication of such resolution are not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or
- (2) such obligations are authorized in violation of the provisions of the constitution.

Section 13. This bond resolution shall take effect immediately and the Clerk of the County Legislature is hereby authorized and directed to publish the foregoing resolution in full (or a summary as permitted by the Law), together with a notice attached in substantially the form as prescribed in Section 81.00 of the Law, in the Evangelist and the Times Union, newspapers designated as the official newspapers of the County for such publication.

On long roll call vote the following members voted in favor: Burgdorf, Clay, Cleary, Collins, Commisso, Cunningham, Drake, Efekoro, Ethier, Feeney, Grimm, A.

*Joyce, R. Joyce, Lekakis, Lockart, Mauriello, McLean Lane, Miller, Perlee, Plotsky,
Reidy, Reinhardt, Ricard, Simpson, Smith, Tunny, Ward and Whalen – 28
Those opposed – 0
Resolution was adopted – 2/13/23*



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
WATER PURIFICATION DISTRICT
1 CANAL ROAD, SOUTH
ALBANY, NEW YORK 12204

REMITTANCE: 112 STATE ST., BASEMENT, ALBANY, NY 12207
PHONE: (518) 447-1611 FAX: (518) 433-0369
www.albanycountyny.gov

COMMISSION
DENNIS RIGOSU
CHAIRMAN

NICHOLAS W. FOGLIA
MAGGIE ALIX
CHARLES G. CARLUCCIO
GARRY NATHAN

ANGELO GAUDIO, P.E.
EXECUTIVE DIRECTOR

July 31, 2025

Hon. Joanne Cunningham
Chairwoman
Albany County Legislature
112 State Street – Suite 710
Albany, New York 12207

**Re: Approval of Water Purification District Capital Projects in compliance with EFC and
New York State Consolidated Law Article 5A**

Dear Chairwoman Cunningham,

The Albany County Water Purification District (District) is requesting Legislative approval of the District's Capital Projects as listed below and as previously adopted by the Legislature under the 2025-2029 and subsequent 2026-2030 Albany County Capital Program and as presented at the County Public Hearing that will be held on August 26, 2025.

- Sludge Processing Improvement Project
- North Plant Treatment Process Improvements
- South Plant Treatment Process Improvements
- North and South Plant HVAC Upgrades

The approval of the above referenced capital projects shall be based on the following criteria:

1. The District facility improvements identified under the adopted Capital Program were determined to be in the public interest.
2. The District facility improvements identified under the adopted Capital Program were determined to be approved pursuant to Article 5A, Section 268 of the New York Consolidated Law.
3. The estimated annual debt service obligations for the proposed facility improvements identified under the adopted Capital Program do not constitute an undue burden to a typical property that would bear the cost of said improvements as defined by the New York State Comptroller.

4. The proposed facility improvements would not require a separate review by the New State Comptroller, as the cost of said improvements was determined not to constitute an undue burden to a typical property.
5. The estimated annual debt service obligation will be borne by the eight member municipalities that comprise the District in accordance with the original municipal agreements that were executed as part of the District formation.

Enclosed with this request is a copy of the District's 2025-2029 and 2026-2030 Capital Programs that were previously adopted via Legislative Resolution 212 of 2024 and Resolution 229 of 2025 respectively. The aforementioned resolutions and the debt service estimate for the proposed projects outlined the Capital Program are also attached for your reference.

If you have any questions or concerns you may reach me at (518) 447-1617.

Sincerely,



Angelo Gaudio, P.E.
Executive Director

Enc: 2025-2029 Capital Program
2026-2030 Capital Program
Resolution 212 of 2024
Resolution 229 of 2025
Debt Service Estimates

cc: Hon. Dennis A. Feeney, Majority Leader
Hon. Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6762, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Approval of the Water Purification District's capital projects in compliance with Environmental Facilities Corporation and New York State Consolidated Law Article 5A.

Date: 7/31/2025

Department: WPD

Attending Meeting: Angelo Gaudio

Submitted By: Angelo Gaudio

Title: Executive Director

Phone: 518-447-1624

Purpose of Request: Other (State if not Listed)

Capital Project Approval

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:

Enter text.

Term: (Start/end date or duration)

Enter text.

Amount/Raise Schedule/Fee:

Enter text.

BUDGET INFORMATION:

Is there a Fiscal Impact:

Yes ☒ No ☐

Anticipated in Budget:

Yes ☒ No ☐

Spreadsheet attached:

Yes ☐ No ☒

Source of Funding - (Percentages)

Federal: Enter text. County:

100%

State: Enter text. Local:

Enter text.

County Budget Accounts:

Revenue Account and Line:

NA

Revenue Amount:

NA

Appropriation Account and Line:

NA

Appropriation Amount:

NA

ADDITIONAL INFORMATION:

Mandated Program/Service:

Yes ☒ No ☐

If Mandated, Cite Authority:

NYSDEC

Request for Bids / Proposals:

Competitive Bidding Exempt: Yes ☐ No ☒

of Response(s): NA

of MWBE: NA

of Veteran Business: NA

Bond Resolution No.: NA

Apprenticeship Program Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: Res 212 of 2024 and Res 229 of 2025

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

Approval of the District's capital projects in compliance with Environmental Facilities Corporation (EFC) and New York State Consolidated Law Article 5A requirements. Project approval is required to amend existing bond resolutions needed to secure grant money and financing through EFC.

G Fund Water Purification Capital Projects

North and South Plant HVAC Upgrades

Project Number: G2301

Debt Account: _____

Project Description: Project to include the study, design, and construction of improvement to existing HVAC systems at both the North and South treatment plants. Existing HVAC systems at both facilities are at the end of their useful life.

Amendment(s): 2024-2028 - Increase Costs.

Project Status:	New	1	Existing	Amended	Continual	Completed		
Authorized Funding	Pre-2024	2024	2025	2026	2027	2028	2029	Total
County		0.150	1.350					1.500
State								-
Federal								-
Other								-
Total Project Cost	-	0.150	1.350	-	-	-	-	1.500

North Plant Treatment Process Improvements

Project Number: G2401

Debt Account: _____

Project Description: Project will include improvements to unit treatment process associated with the North Plant. Phase 1 of the project will include replacement of the mechanical screens, grit system, primary and secondary clarifiers, plant water pumps and upgrades to the high voltage electrical system. Upgrades have been identified in a 2023 Capital Study that is currently being finalized. Grouping projects together will allow the District to maximize the potential for grant opportunities in the coming years.

Amendment(s): 2025-2029 - cost of project amended to account for EFC fees.

Project Status:	New	Existing	1	Amended	Continual	Completed		
Authorized Funding	Pre-2024	2024	2025	2026	2027	2028	2029	Total
County		0.100	5.000	45.000	19.900	2.294		72.294
State								-
Federal								-
Other Approp.	0.150	0.150						0.300
Total Project Cost	0.150	0.250	5.000	45.000	19.900	2.294	-	72.594

South Plant Treatment Process Improvements

Project Number: G2402

Debt Account: _____

Project Description: Project will include improvements to unit treatment process associated with the North Plant. Phase 1 of the project will include replacement of the mechanical screens, grit system, primary and secondary clarifiers, plant water pumps and upgrades to the high voltage electrical system. Upgrades have been identified in a 2023 Capital Study that is currently being finalized. Grouping projects together will allow the District to maximize the potential for grant opportunities in the coming years.

Amendment(s): 2025-2029 - cost of project amended to account for administration fees from EFC.

Project Status:	New	Existing	1	Amended	Continual	Completed		
Authorized Funding	Pre-2024	2024	2025	2026	2027	2028	2029	Total
County			3.000	25.000	7.500	5.392		40.892
State								-
Federal								-
Other Approp.		0.150						0.150
Total Project Cost	-	0.150	3.000	25.000	7.500	5.392	-	41.042

G Fund Water Purification Capital Projects

Sludge Processing Improvements

Project Number: G2501

Debt Account: _____

Project Description:

Project to include the study, design and construction of improvements to the existing sludge processing systems. Project will be broken up into phases. Phase 1 work will include improvements to the North Plant incinerators, sludge mixing and dewatering systems. Phase 2 work will include work to consolidate sludge operation to the North Plant via a new sludge forcemain and upgrades to the North Plant incinerator emissions controls. The final scope for Phase 2 emission controls is still being negotiated with DEC. Project has been amended to include the Phase 2 work and the work associated with the Septage and FOG receiving station project. Phase 1, Phase 2 and Septage/FOG receiving work has been consolidated into a single comprehensive project to take advantage EFC funding opportunities, namely the Bipartisan Infrastructure Grant and 0% hardship financing. District will be pursuing additional grants with EFC in 2024.

Amendment(s):

Project Status:	<u>1</u>	New	<u>Existing</u>	<u>Amended</u>	<u>Continual</u>	<u>Completed</u>			
Authorized Funding	Pre-2024	2024	2025	2026	2027	2028	2029	Total	
County		1.000	11.500	20.000	20.000	9.000		61.500	
State								-	
Federal		3.500						3.500	
Other								-	
Total Project Cost	-	4.500	11.500	20.000	20.000	9.000	-	65.000	

Water Purification District Capital Plan Summary: All Projects

Project Status:	<u>1</u>	New	<u>1</u>	Existing	<u>2</u>	Amended	<u>0</u>	Continual	<u>0</u>	Completed
Authorized Funding	Pre-2024	2024	2025	2026	2027	2028	2029	Total		
County	-	1.250	20.850	90.000	47.400	16.686	-	176.186		
State	-	-	-	-	-	-	-	-		
Federal	-	3.500	-	-	-	-	-	3.500		
Other	0.150	0.300	-	-	-	-	-	0.450		
Total Project Cost	0.150	5.050	20.850	90.000	47.400	16.686	-	180.136		

2026-2030 Capital Plan Summary

Project Status: 6 New 27 Existing 7 Amended 9 Continual 6 Completed

Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
Civic Center	14.506	0.600	1.000	2.000	2.000	0.000	0.000	20.106
General Services	57.289	14.241	6.203	9.665	9.121	9.077	-6.245	99.351
Information Services	2.147	1.850	2.825	2.200	1.500	1.000	0.875	12.397
AC Sheriff's Office	0.000	1.989	0.000	0.000	0.000	0.000	0.000	1.989
Public Works	92.823	24.072	13.573	12.125	3.925	2.700	2.700	151.918
Water Purification	0.250	22.900	60.500	78.000	18.486	0.000	0.000	180.136
Shaker Place	78.658	3.745	5.450	5.000	5.425	0.000	0.000	98.278
Total Project Cost	245.673	69.397	89.551	108.990	40.457	12.777	-2.670	564.175

All amounts listed in this plan are in millions of dollars.

Amounts listed in Pre-years are authorized amounts.

Amounts listed in out years are estimates.

G Fund Water Purification Capital Projects

North and South Plant HVAC Upgrades									
Project Number: <u>G2301</u>			Debt Account: _____						
Project Description:		Project to include the study, design, and construction of improvement to existing HVAC systems at both the North and South treatment plants. Existing HVAC systems at both facilities are at the end of their useful life.							
		Amendment(s): 2024-2028 - Increase Costs.							
Project Status:		<u> </u> New	<u> 1 </u> Existing	<u> </u> Amended	<u> </u> Continual	<u> </u> Completed			
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total
County State Federal Other			1.000	0.500					1.500
									-
									-
									-
Total Project Cost		-	1.000	0.500	-	-	-	-	1.500

North Plant Treatment Process Improvements										
Project Number: <u>G2401</u>			Debt Account: _____							
Project Description:			Project will include improvements to unit treatment process associated with the North Plant. Phase 1 of the project will include replacement of the mechanical screens, grit system, primary and secondary clarifiers, plant water pumps and upgrades to the high voltage electrical system. Upgrades have been identified in a 2023 Capital Study that is currently being finalized. Grouping projects together will allow the District to maximize the potential for grant opportunities in the coming years. Cost has been amended to \$72,594,000 to account for EFC fess that were incorporated into the EFC Intended Use Plan (IUP).							
Amendment(s): 2025-2029 - cost of project amended to account for EFC fees.										
Project Status:		<u> </u> New	<u> </u> 1	Existing	<u> </u> Amended	<u> </u> Continual	<u> </u> Completed			
Authorized Funding			Pre-2025	2025	2026	2027	2028	2029	2030	Total
County State Federal				10.000	25.000	35.000	2.444	-		72.444
										-
										-
Other Approp.			0.150							0.150
Total Project Cost			0.150	10.000	25.000	35.000	2.444	-	-	72.594

South Plant Treatment Process Improvements										
Project Number: <u>G2402</u>		Debt Account: _____								
Project Description:		Project will include improvements to unit treatment process associated with the North Plant. Phase 1 of the project will include replacement of grit system, primary and secondary clarifiers, plant water pumps and upgrades to the high voltage electrical system. Upgrades have been identified in a 2023 Capital Study that is currently being finalize. Grouping projects together will allow the District to maximize the potential for grant opportunities in the coming years. Project cost was amended to \$41,042,000 to incorporate administration fees from EFC that were included on the 2024 Intended Use Plan (IUP)								
		Amendment(s): 2025-2029 - cost of project amended to account for administration fees from EFC.								
Project Status: _____		New	_____	Existing	<u>1</u>	Amended	_____	Continual	_____	Completed
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total	
County			5.000	15.000	15.000	6.042			41.042	
State									-	
Federal									-	
Other Approp.									-	
Total Project Cost		-	5.000	15.000	15.000	6.042	-	-	41.042	

G Fund Water Purification Capital Projects

Sludge Processing Improvements

Project Number: G2501

Debt Account: _____

Project Description:

Project to include the study, design and construction of improvements to the existing North and South Plant sludge processing systems. Project was amended to eliminate the South Plant Sludge processing consolidation based on comments received from DEC in 2024. Project will now include improvements to the North and South Plant incinerators, sludge mixing and dewatering systems. The North Plant incinerators will be outfitted with anew emissions system. Project will also include a new Septage and FOG receiving station at the North Plant project. Project is expected to receive EFC funding and a \$25M Bipartisan Infrastructure Grant and 0% hardship financing for up to \$25M.

Amendment(s):

Project Status:	<u> </u> New	<u> </u> Existing	<u> </u> 1	Amended	<u> </u> Continual	<u> </u> Completed			
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total
County State Federal Other			4.400	19.000	28.000	10.000			61.400
									-
									-
	0.100	2.500	1.000						3.600
Total Project Cost		0.100	6.900	20.000	28.000	10.000	-	-	65.000

Water Purification District Capital Plan Summary: All Projects

Project Status:	<u>0</u> New	<u>2</u> Existing	<u>2</u> Amended	<u>0</u> Continual	<u>0</u> Completed			
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	-	20.400	59.500	78.000	18.486	-	-	176.386
State	-	-	-	-	-	-	-	-
Federal	-	-	-	-	-	-	-	-
Other	0.250	2.500	1.000	-	-	-	-	3.750
Total Project Cost	0.250	22.900	60.500	78.000	18.486	-	-	180.136

RESOLUTION NO. 212**PUBLIC HEARING ON THE PROPOSED 2025-2029 ALBANY COUNTY
CAPITAL PROGRAM**

Introduced: 4/8/24

By Audit and Finance Committee:

WHEREAS, Pursuant to Section 609 of the Albany County Charter, the Albany County Legislature shall publish a summary of the proposed Capital Program for Albany County as well as the time and place for a public hearing on such summary, now, therefore, be it

RESOLVED, That the summary of the proposed Albany County Capital Program for 2025-2029 shall be made available for public inspection on the County website and at the Offices of the County Clerk, Albany County Courthouse, Albany, NY and the Clerk of the Legislature, 112 State Street, Room 740, Albany, NY, during normal business hours, and, be it further

RESOLVED, By the Albany County Legislature that a public hearing on the proposed Albany County Capital Program for 2025-2029 will be held by the County Legislature remotely with participation instructions available on the County website at 7:15 p.m. on the 23rd day of April, 2024, and the Clerk of the County Legislature is hereby directed to cause notice to be published containing the necessary information in accordance with the applicable provisions of law.

RESOLUTION NO. 229

ADOPTING THE ALBANY COUNTY CAPITAL PROGRAM FOR 2026-2030

Introduced: 6/9/25

By Audit and Finance Committee:

WHEREAS, The Albany County Charter § 609(b) requires the Albany County Legislature to adopt the Capital Program each year, and

WHEREAS, The County Executive has prepared and submitted a five-year Capital Program for 2026-2030 to this Honorable Body which includes a summary of projects, a list of all capital improvements proposed to be undertaken during the next five fiscal years, cost estimates and recommended time schedules for each improvement, and the proposed methods of financing the facilities to be constructed or acquired, and

WHEREAS, The Clerk of the Legislature published a summary of the Capital Program and public hearing notice in the official newspapers stating the times and locations where copies of the Capital Program have been available for inspection by the public, and

WHEREAS, This Honorable Body held said public hearing on May 27, 2025, as published, now, therefore, be it

RESOLVED, That the 2026-2030 Capital Program as set forth in the published summary is hereby adopted by this Honorable Body, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.

Adopted by unanimous vote – 6/9/25

State of New York
County of Albany

This is to certify that I, the undersigned, Clerk of the Albany County Legislature, have compared the foregoing copy of the resolution and/or local law with the original resolution and/or local law now on file in the office, and which was passed by the Legislature of said County on the 9th day of June, 2025, a majority of all members elected to the Legislature voting in favor thereof, and that the same is a correct and true transcript of such original resolution and/or local law and the whole thereof.



IN WITNESS THEREOF, I have hereunto set my hand and the official seal of the County Legislature this 11th day of June, 2025.

A handwritten signature in cursive script, reading "Nicole Chambers", written over a horizontal line.

Clerk, Albany County Legislature

Debt Service Impact: Sludge Processing Improvement Project

Baseline	Total
Capital Cost	\$65,000,000
Grants (BIL & ARPA)	\$28,500,000
Hardship Eligible	\$25,000,000
Interest Portion	\$11,500,000.00
Interest (Subsidized)	3.5% ==> Assumed
Interest (Hardship)	0%
Term (years)	30
Annual Debt Service Cost	(\$1,458,603.65)

Community Impact	Debt Service %	Annual Service	Population	Annual Avg Flow (mgd)	EDU Estimate based on Flow	Cost per EDU
City of Albany	54.40%	(793,480.38)	100,081	23.4	94,968	\$ (8.36)
Town of Colonie	14.40%	(210,038.93)	42,577	5.5	22,321	\$ (9.41)
Village of Colonie	4.10%	(59,802.75)	7,705	1.1	4,464	\$ (13.40)
Guilderland	1.70%	(24,796.26)	5,462	0.7	2,841	\$ (8.73)
Menands	2.40%	(35,006.49)	4,503	1	4,058	\$ (8.63)
Watervliet	4.30%	(62,719.96)	10,285	1.9	7,711	\$ (8.13)
Green Island	11.90%	(173,573.83)	2,966	1.4	5,682	\$ (30.55)
Cohoes	6.80%	(99,185.05)	18,043	3.1	12,581	\$ (7.88)
Total		(1,458,603.65)	191,622	38.1	154,627	\$ (9.43)

Avg. People per House	2.24 ==> Based on 2024 Census Data for Albany County
Flow per bedroom	110 gpd Based DEC Design Standards for Intermediate Sized Wastewater Systems
Flow Estimate Per household	246.4 gpd per EDU
NYS Comptroller Cost Limit	\$299 ==> Sewer District Cost to Household limit

- Notes:
- 1. Flow data based on averaged metered flows between March 2024 and February 2025

Debt Service Impact: North Plant Improvement Project

Baseline	Total
Capital Cost	\$72,594,000
Grants	\$0
Hardship Eligible	\$0
Interest Portion	\$72,594,000
Interest	4.5% ==> Assumed
Interest (Hardship)	0%
Term (years)	30
Annual Debt Service Cost	(\$4,456,657.67)

Community Impact	Debt Service %	Annual Service	Population	Annual Avg Flow (mgd)	EDU Estimate based on Flow	Cost per EDU
City of Albany	54.40%	(2,424,421.77)	100,081	23.4	94,968	\$ (25.53)
Town of Colonie	14.40%	(641,758.70)	42,577	5.5	22,321	\$ (28.75)
Village of Colonie	4.10%	(182,722.96)	7,705	1.1	4,464	\$ (40.93)
Guilderland	1.70%	(75,763.18)	5,462	0.7	2,841	\$ (26.67)
Menands	2.40%	(106,959.78)	4,503	1	4,058	\$ (26.35)
Watervliet	4.30%	(191,636.28)	10,285	1.9	7,711	\$ (24.85)
Green Island	11.90%	(530,342.26)	2,966	1.4	5,682	\$ (93.34)
Cohoes	6.80%	(303,052.72)	18,043	3.1	12,581	\$ (24.09)
Total		(4,456,657.67)	191,622	38.1	154,627	\$ (28.82)

Avg. People per House	2.24 ==> Based on 2024 Census Data for Albany County
Flow per bedroom	110 gpd Based DEC Design Standards for Intermediate Sized Wastewater Systems
Flow Estimate Per household	246.4 gpd per EDU
NYS Comptroller Cost Limit	\$299 ==> Sewer District Cost to Household limit

- Notes:
- 1. Flow data based on averaged metered flows between March 2024 and February 2025

Debt Service Impact: South Plant Improvement Project

Baseline	Total
Capital Cost	\$41,042,000
Grants	\$0
Hardship Eligible	\$0
Interest Portion	\$41,042,000
Interest	4.5% ==> Assumed
Interest (Hardship)	0%
Term (years)	30
Annual Debt Service Cost	(\$2,519,631.70)

Community Impact	Debt Service %	Annual Service	Population	Annual Avg Flow (mgd)	EDU Estimate based on Flow	Cost per EDU
City of Albany	54.40%	(1,370,679.65)	100,081	23.4	94,968	\$ (14.43)
Town of Colonie	14.40%	(362,826.97)	42,577	5.5	22,321	\$ (16.25)
Village of Colonie	4.10%	(103,304.90)	7,705	1.1	4,464	\$ (23.14)
Guilderland	1.70%	(42,833.74)	5,462	0.7	2,841	\$ (15.08)
Menands	2.40%	(60,471.16)	4,503	1	4,058	\$ (14.90)
Watervliet	4.30%	(108,344.16)	10,285	1.9	7,711	\$ (14.05)
Green Island	11.90%	(299,836.17)	2,966	1.4	5,682	\$ (52.77)
Cohoes	6.80%	(171,334.96)	18,043	3.1	12,581	\$ (13.62)
Total		(2,519,631.70)	191,622	38.1	154,627	\$ (16.29)

Avg. People per House

Flow per bedroom

Flow Estimate Per household

NYS Comptroller Cost Limit

2.24 ==> Based on 2024 Census Data for Albany County

110 gpd Based DEC Design Standards for Intermediate Sized Wastewater Systems

246.4 gpd per EDU

\$299 ==> Sewer District Cost to Household limit

Notes:

1. Flow data based on averaged metered flows between March 2024 and February 2025

Debt Service Impact: HVAC Improvement Project

Baseline	Total
Capital Cost	\$1,500,000
Grants	\$0
Hardship Eligible	\$0
Interest Portion	\$1,500,000
Interest	4.5% ==> Assumed
Interest (Hardship)	0%
Term (years)	30
Annual Debt Service Cost	(\$92,087.31)

Community Impact	Debt Service %	Annual Service	Population	Annual Avg Flow (mgd)	EDU Estimate based on Flow	Cost per EDU
City of Albany	54.40%	(50,095.50)	100,081	23.4	94,968	\$ (0.53)
Town of Colonie	14.40%	(13,260.57)	42,577	5.5	22,321	\$ (0.59)
Village of Colonie	4.10%	(3,775.58)	7,705	1.1	4,464	\$ (0.85)
Guilderland	1.70%	(1,565.48)	5,462	0.7	2,841	\$ (0.55)
Menands	2.40%	(2,210.10)	4,503	1	4,058	\$ (0.54)
Watervliet	4.30%	(3,959.75)	10,285	1.9	7,711	\$ (0.51)
Green Island	11.90%	(10,958.39)	2,966	1.4	5,682	\$ (1.93)
Cohoes	6.80%	(6,261.94)	18,043	3.1	12,581	\$ (0.50)
Total		(92,087.31)	191,622	38.1	154,627	\$ (0.60)

Avg. People per House

Flow per bedroom

Flow Estimate Per household

NYS Comptroller Cost Limit

2.24 ==> Based on 2024 Census Data for Albany County

110 gpd Based DEC Design Standards for Intermediate Sized Wastewater Systems

246.4 gpd per EDU

\$299 ==> Sewer District Cost to Household limit

Notes:

1. Flow data based on averaged metered flows between March 2024 and February 2025