

County of Albany

Harold L. Joyce
Albany County Office Building
112 State Street - Albany, NY 12207



Meeting Agenda

Monday, July 14, 2025

7:00 PM

**William J. Conboy II Legislative Chambers
Albany County Courthouse**

County Legislature

Call to Order**Roll Call****PREVIOUS BUSINESS**

- 142.** BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, DATED JULY 14, 2025, AUTHORIZING VARIOUS MECHANICAL UPGRADES AND IMPROVEMENTS AT COUNTY-OWNED PROPERTIES, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$3,000,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$3,000,000 BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Sponsors: Audit and Finance Committee

- 143.** BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, DATED JULY 14, 2025, AUTHORIZING THE ACQUISITION AND REPLACEMENT OF COUNTY-OWNED VEHICLES AND TRUCKS, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$500,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$500,000 BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Sponsors: Audit and Finance Committee

- 144.** BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, DATED JULY 14, 2025, AUTHORIZING VARIOUS BUILDING UPGRADES AND IMPROVEMENTS, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$7,000,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$7,000,000 BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Sponsors: Audit and Finance Committee

145. BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, DATED JULY 14, 2025, AUTHORIZING ROOF RENOVATIONS AND/OR REPLACEMENT AT VARIOUS COUNTY-OWNED PROPERTIES, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$1,500,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$1,500,000 BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Sponsors: Audit and Finance Committee

245. PUBLIC HEARING ON PROPOSED LOCAL LAW NO. "E" FOR 2025: A LOCAL LAW OF THE COUNTY OF ALBANY, NEW YORK, IMPOSING AN ADDITIONAL ONE PERCENT RATE OF TAX ON SALES AND USES OF TANGIBLE PERSONAL PROPERTY AND OF CERTAIN SERVICES, AND ON OCCUPANCY OF HOTEL ROOMS AND AMUSEMENT CHARGES, PURSUANT TO ARTICLE 29 OF THE TAX LAW OF THE STATE OF NEW YORK

Sponsors: Cunningham

246. PUBLIC HEARING ON PROPOSED LOCAL LAW NO. "F" FOR 2025: A LOCAL LAW OF THE COUNTY OF ALBANY, NEW YORK ENACTING A MORTGAGE RECORDING TAX PURSUANT TO SECTION 253-p OF THE TAX LAW OF THE STATE OF NEW YORK

Sponsors: Cunningham

248. PUBLIC HEARING ON PROPOSED LOCAL LAW NO. "Q" FOR 2024: A LOCAL LAW OF THE COUNTY OF ALBANY, NEW YORK CREATING CHAPTER 114 OF THE ALBANY COUNTY CODE, REGARDING THE CREATION OF THE ALBANY COUNTY OFFICE OF ASSIGNED COUNSEL

Sponsors: Cunningham

249. RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, AUTHORIZING THE EXECUTION OF A RESERVE FUND REPLENISHMENT AGREEMENT BY AND BETWEEN THE COUNTY AND THE ALBANY CONVENTION CENTER AUTHORITY

Sponsors: Cunningham, Feeney, Willingham, Domalewicz, Lane and Miller

- 250.** RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, AUTHORIZING THE EXECUTION OF AN ADMINISTRATIVE SERVICES FUNDING AGREEMENT BY AND BETWEEN THE COUNTY AND THE ALBANY CONVENTION CENTER AUTHORITY

Sponsors: Cunningham, Feeney, Willingham, Domalewicz, Lane and Miller

CURRENT BUSINESS:

- 251.** AUTHORIZING THE ACCEPTANCE OF NEW YORK STATE CONSOLIDATED HIGHWAY IMPROVEMENT PROGRAM FUNDING FOR COUNTY ROAD MAINTENANCE AND REPAIR AND AMENDING THE 2025 DEPARTMENT OF PUBLIC WORKS BUDGET

Sponsors: Public Works Committee

- 252.** AUTHORIZING AN AGREEMENT WITH STEPHENSON EQUIPMENT, INC. REGARDING THE PURCHASE OF A LEEBOY 8608 PAVER

Sponsors: Public Works Committee

- 253.** AMENDING RESOLUTION NO. 61 FOR 2025 REGARDING THE PURCHASE OF PRIMARY CLARIFIER COMPONENTS

Sponsors: Public Works Committee

- 254.** AMENDING THE 2025 WATER PURIFICATION DISTRICT BUDGET: EQUIPMENT REPAIR

Sponsors: Public Works Committee

- 255.** AUTHORIZING AN INTERGOVERNMENTAL AGREEMENT WITH THE TOWN OF BERNE REGARDING THE INSTALLATION OF A FITNESS COURT

Sponsors: Public Works Committee

- 256.** AUTHORIZING AGREEMENTS WITH VARIOUS ALBANY COUNTY MUNICIPALITIES FOR THE PROVISION OF YOUTH BUREAU SERVICES

Sponsors: Social Services Committee

257. AUTHORIZING AN AGREEMENT WITH REJHA GROUP, LLC REGARDING TRANSPORTATION SERVICES FOR CHILDREN WITH SPECIAL NEEDS TO EDUCATION AND THERAPY PROGRAMS

Sponsors: Social Services Committee

258. AUTHORIZING AN AGREEMENT WITH MILLBROOK BEHAVIORAL HEALTH FOR THE PROVISION OF FOSTER CARE SERVICES

Sponsors: Social Services Committee

259. AUTHORIZING THE ACCEPTANCE OF GRANT FUNDING AND AN AGREEMENT REGARDING YOUTH BUREAU SERVICES AND AMENDING THE 2025 DEPARTMENT FOR CHILDREN, YOUTH AND FAMILIES BUDGET

Sponsors: Social Services Committee

260. AUTHORIZING AGREEMENTS REGARDING THE 2025 SUMMER YOUTH EMPLOYMENT PROGRAM AND AMENDING THE 2025 DEPARTMENT FOR CHILDREN, YOUTH AND FAMILIES BUDGET

Sponsors: Social Services Committee

261. AUTHORIZING AN AGREEMENT WITH BUILDING BRIDGES SUPERVISION, LLC REGARDING SUPERVISED VISITATION SERVICES AND AMENDING THE 2025 PUBLIC DEFENDER'S OFFICE BUDGET

Sponsors: Law Committee

262. AMENDING RESOLUTION NO. 612 FOR 2024 REGARDING PREVENTION EDUCATION AND AMENDING THE 2025 CRIME VICTIM AND SEXUAL VIOLENCE CENTER BUDGET

Sponsors: Law Committee

263. AUTHORIZING AN AGREEMENT WITH THE NEW YORK STATE DIVISION OF CRIMINAL JUSTICE SERVICES REGARDING SEXUAL ASSAULT CRISIS AND PREVENTION SERVICES

Sponsors: Law Committee

- 264.** AMENDING THE 2025 CRIME VICTIM AND SEXUAL VIOLENCE CENTER BUDGET: PREVENTION EDUCATION

Sponsors: Law Committee

- 265.** AUTHORIZING AN AGREEMENT WITH THE NEW YORK STATE DIVISION OF CRIMINAL JUSTICE SERVICES REGARDING THE STATEWIDE TARGETED REDUCTIONS IN INTIMATE VIOLENCE GRANT AND AMENDING THE 2025 DISTRICT ATTORNEY'S OFFICE BUDGET

Sponsors: Law Committee

- 266.** AMENDING RESOLUTION NO. 286 FOR 2024 REGARDING OPIOID SETTLEMENT FUNDING

Sponsors: Health Committee

- 267.** AMENDING RESOLUTION NO. 281 FOR 2024 REGARDING OPIOID SETTLEMENT FUNDING

Sponsors: Health Committee

- 268.** AMENDING THE 2025 DEPARTMENT OF MENTAL HEALTH BUDGET: INPATIENT HOSPITALIZATION COSTS

Sponsors: Health Committee

- 269.** AUTHORIZING AN AGREEMENT WITH THE NEW YORK STATE DEPARTMENT OF HEALTH/HEALTH RESEARCH, INC. REGARDING THE PUBLIC HEALTH EMERGENCY PREPAREDNESS PROGRAM

Sponsors: Health Committee

- 270.** AUTHORIZING AN AGREEMENT WITH THE NEW YORK STATE DIVISION OF CRIMINAL JUSTICE SERVICES REGARDING THE STATEWIDE TARGETED REDUCTIONS IN INTIMATE PARTNER VIOLENCE GRANT AND AMENDING THE 2025 PROBATION DEPARTMENT BUDGET

Sponsors: Public Safety Committee

271. APPROVING A COLLECTIVE BARGAINING AGREEMENT WITH CIVIL SERVICES EMPLOYEES ASSOCIATION-LOCAL 1000 DEPARTMENT OF HEALTH UNIT LOCAL 801 AND AMENDING THE 2025 ALBANY COUNTY DEPARTMENT OF HEALTH BUDGET

Sponsors: Personnel Committee

272. BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, DATED JULY 14, 2025, AUTHORIZING THE REPAVING AND/OR RECONSTRUCTION OF COUNTY ROADS, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$1,250,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$1,250,000 BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Sponsors: Audit and Finance Committee

273. BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, DATED JULY 14, 2025, AUTHORIZING THE ACQUISITION OF PASSENGER VEHICLES AND HEAVY-DUTY AND LIGHT-DUTY TRUCKS FOR USE BY THE COUNTY, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$1,600,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$1,600,000 BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Sponsors: Audit and Finance Committee

274. BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, DATED JULY 14, 2025, AUTHORIZING THE RECONSTRUCTION AND/OR REPLACEMENT OF CULVERTS ON COUNTY ROADWAYS, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$500,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$500,000 BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Sponsors: Audit and Finance Committee

- 275.** BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, DATED JULY 14, 2025, AUTHORIZING THE RECONSTRUCTION AND/OR REPLACEMENT OF BRIDGES ON COUNTY ROADWAYS, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$3,000,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$3,000,000 BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Sponsors: Audit and Finance Committee

- 276.** BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, DATED JULY 14, 2025, AUTHORIZING THE RAIL TRAIL PEDESTRIAN BRIDGE REHABILITATION PROJECT, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$250,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$250,000 BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Sponsors: Audit and Finance Committee

- 277.** BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, DATED JULY 14, 2025, AUTHORIZING THE CONSTRUCTION OF VARIOUS HIGHWAY AND TRAIL IMPROVEMENTS, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$900,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$900,000 BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Sponsors: Audit and Finance Committee

- 278.** BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, DATED JULY 14, 2025, AUTHORIZING TRAFFIC SIGNAL UPGRADES, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$250,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$250,000 BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Sponsors: Audit and Finance Committee

279. BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, DATED JULY 14, 2025, AUTHORIZING THE ACQUISITION OF FOOD SERVICE AND DISTRIBUTION EQUIPMENT FOR USE AT SHAKER PLACE REHABILITATION AND NURSING CENTER, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$1,000,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$1,000,000 BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Sponsors: Audit and Finance Committee

280. AUTHORIZING AN AGREEMENT WITH CORNING ABSTRACT REGARDING REAL PROPERTY TITLE SEARCH SERVICES

Sponsors: Audit and Finance Committee

281. AUTHORIZING CANCELLATION OF CERTAIN UNENFORCEABLE DELINQUENT REAL PROPERTY TAX LIENS ON 74 MORTON AVENUE AND 86 MORTON AVENUE IN THE CITY OF ALBANY AND CHARGE BACK OF THE AMOUNT CREDITED IN CONNECTION WITH SAID LIENS

Sponsors: Audit and Finance Committee

282. SUPPLEMENTAL BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, DATED JULY 14, 2025, STATING THE ESTIMATED MAXIMUM COST OF THE "CORE SWITCH, TELEPHONE REPLACEMENT AND DATA CENTER PROJECT" IS \$2,795,000, APPROPRIATING AN ADDITIONAL \$650,000 FOR SAID PROJECT, AND AUTHORIZING THE ISSUANCE OF \$650,000 BONDS OF SAID COUNTY TO FINANCE SAID ADDITIONAL APPROPRIATION

Sponsors: Audit and Finance Committee

- 283.** BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, DATED JULY 14, 2025, AUTHORIZING INFORMATION TECHNOLOGY INFRASTRUCTURE AND COMPUTER SYSTEM UPGRADES AT SHAKER PLACE REHABILITATION AND NURSING CENTER, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$495,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$495,000 BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION
- 284.** PUBLIC HEARING ON PROPOSED LOCAL LAW NO. "G" FOR 2025: A LOCAL LAW DESIGNATING THE DEVELOPMENT OF AFFORDABLE HOUSING AS A GOVERNMENTAL AND PUBLIC PURPOSE OF THE COUNTY OF ALBANY, NEW YORK

Sponsors: Cunningham and Willingham

LOCAL LAWS:

LOCAL LAW NO. "B" FOR 2025: A LOCAL LAW OF THE COUNTY OF ALBANY, NEW YORK AMENDING CHAPTER 297 OF THE ALBANY COUNTY CODE, SETTING FORTH REQUIREMENTS THAT THE ALBANY COUNTY DEPARTMENT OF HEALTH NOTIFY LOCAL OFFICIALS REGARDING ELEVATED CONTAMINANT LEVELS FOUND IN DRINKING WATER IN ALBANY COUNTY

Sponsors: Cunningham, Kuhn, Reinhardt, Miller, Feeney, Ricard, Plotsky, Lekakis, Perlee, Lockart, Alix, Beston, Cleary, Ethier, Gillespie, Lane, Laurilliard, McLaughlin, Pedo, Rosano, Whalen, Willingham, Reidy, R. Joyce and Collins

LOCAL LAW NO. "D" FOR 2025: A LOCAL LAW OF THE COUNTY OF ALBANY, NEW YORK MAKING A DETERMINATION THAT PROMOTING OR ENCOURAGING THE UNDERTAKING OF CONVENTION FACILITY PROJECTS BY THE ALBANY CONVENTION CENTER AUTHORITY IS A GOVERNMENTAL AND PUBLIC PURPOSE OF THE COUNTY OF ALBANY, NEW YORK

Sponsors: Cunningham, Feeney, Willingham, Domalewicz, Alix, Beston, Cleary, Ethier, Gillespie, Laurilliard, Lekakis, McLaughlin, Pedo, Plotsky, Ricard, Rosano and Whalen

LOCAL LAW NO. "G" FOR 2025: A LOCAL LAW DESIGNATING THE DEVELOPMENT OF AFFORDABLE HOUSING AS A GOVERNMENTAL AND PUBLIC PURPOSE OF THE COUNTY OF ALBANY, NEW YORK

Sponsors: Cunningham and Willingham

Adjournment



DANIEL P. MCCOY
COUNTY EXECUTIVE

MICHAEL McLAUGHLIN
DEPUTY COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF GENERAL SERVICES
112 STATE STREET, SUITE 1300
ALBANY, NEW YORK 12207
(518) 447-7210 FAX (518) 447-7747
WWW.ALBANYCOUNTYNY.GOV

DAVID M. LATINA
COMMISSIONER

SCOTT D. ALLARDICE
DEPUTY COMMISSIONER

February 13, 2025

Honorable Joanne Cunningham, Chairwoman
Albany County Legislature
112 State Street, Room 710
Albany, New York 12207

Re: Bond Resolution Various Mechanical Upgrades & Improvements

Dear Chairwoman Cunningham:

The Department of General Services respectfully requests approval of a bond resolution authorizing \$3,000,000 for Various Mechanical Upgrades & Improvements. As part of our efforts to maintain any/all existing facilities, this project will address any mechanical repairs to our properties. These improvements will generally include, but not limited to, all HVAC, energy management system improvements, all chiller and electrical systems.

These projects are included in the current Capital Plan.

If you should have any further questions, please do not hesitate to contact me.

Sincerely yours,

David M. Latina
Commissioner

DML:tas
Enclosure(s)

cc: Dennis Feeney, Majority Leader
Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6362, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Bond Resolution, Various Mechanical Upgrades & Improvements

Date: 2/12/2025
Department: General Services
Attending Meeting: Commissioner Latina
Submitted By: David M Latina
Title: Commissioner
Phone: 518-447-7210

Purpose of Request: Bond Approval Enter text.

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:
Enter text.

Term: (Start/end date or duration) Enter text.
Amount/Raise Schedule/Fee: \$3,000,000.00

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Budget: Yes ☒ No ☐
Spreadsheet attached: Yes ☐ No ☒

Source of Funding - (Percentages)

Federal: Enter text. County: 100%
State: Enter text. Local: Enter text.

County Budget Accounts:

Revenue Account and Line: Enter text.
Revenue Amount: Enter text.
Appropriation Account and Line: Enter text.
Appropriation Amount: Enter text.

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒
If Mandated, Cite Authority: Enter text.
Request for Bids / Proposals:

Competitive Bidding Exempt:	Yes ☐ No ☐
# of Response(s):	Enter text.
# of MWBE:	Enter text.
# of Veteran Business:	Enter text.
Bond Resolution No.:	Enter text.
Apprenticeship Program	Yes ☐ No ☐

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: Enter text.

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

The Department of General Services respectfully requests approval of a bond resolution authorizing \$3,000,000 for Various Mechanical Upgrades & Improvements. As part of our efforts to maintain any/all existing facilities, this project will address any mechanical repairs to our properties. These improvements will generally include, but not limited to, all HVAC, energy management system improvements, all chiller and electrical systems.

These projects are included in the current Capital Plan.

Various Building Upgrades & Improvements

Project Number: DGS-23-01

Project Status: 1 New Existing Amended

Amendment(s):

As part of our efforts to maintain all existing facilities, this project will address any office modernization & upgrades, relocations, renovations, any pre & post site work, design fees, various internal / external upgrades, and elevator modernizations.

Year	Pre 2022	2022	2023	2024	2025	2026	2027	Total
County Debt (Bonds & BANS)			7.000	7.000	7.000			21.000
NYS Reimbursement								
Total County Cost	-	-	7.000	7.000	7.000	-	-	21.000

County Facility Roof Renovations

Project Number: DGS-23-02

Project Status: 1 New Existing Amended

Amendment(s):

The purpose of this project is to address the ongoing needed reconstructure and renovations to the roofs of various County owned properties. This project is essential to keeping our buildings safe and avoid additional problems.

Year	Pre 2022	2022	2023	2024	2025	2026	2027	Total
County Debt (Bonds & BANS)			1.500	0.500	0.500			2.500
NYS Reimbursement								
Total County Cost	-	-	1.500	0.500	0.500	-	-	2.500

Various Mechanical Upgrades & Improvements

Project Number: DGS-23-03

Project Status: 1 New Existing Amended

Amendment(s):

As part of our efforts to maintain any/all existing facilities, this project will address any mechanical upgrades to our properties. These improvements will generally include, but not be limited to, all HVAC, energy management system improvements, all chiller, and electrical systems.

Year	Pre 2022	2022	2023	2024	2025	2026	2027	Total
County Debt (Bonds & BANS)			3.000	3.000	3.000			9.000
NYS Reimbursement								
Total County Cost	-	-	3.000	3.000	3.000	-	-	9.000

General Services Capital Plan Summary: All Projects

New Projects: 3

Existing Projects: 2

Amended Projects: 9

Project Financing (in millions of dollars)

Year	Pre 2022	2022	2023	2024	2025	2026	2027	Total
County Debt (Bonds & BANS)	45.190	6.550	13.800	12.000	12.000	1.500	0.500	91.540
NYS Reimbursement	(0.310)	(0.310)	(0.810)	(0.310)	(0.310)	(0.310)	(0.310)	(2.670)
Total County Cost	44.880	6.240	12.990	11.690	11.690	1.190	0.190	88.870

RESOLUTION NO. 10

BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, AUTHORIZING VARIOUS CAPITAL PROJECTS FOR THE DEPARTMENT OF GENERAL SERVICES, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$11,500,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$11,500,000 OF SERIAL BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Introduced: 2/13/23

By Audit and Finance Committee:

THE COUNTY LEGISLATURE OF THE COUNTY OF ALBANY, NEW YORK, HEREBY RESOLVES AS FOLLOWS:

Section 1. The County of Albany, New York (the "County") is hereby authorized to undertake County facility roof renovations to various County owned properties, together with any necessary site work and the acquisition and installation of furnishings, equipment, machinery and apparatus for the foregoing purposes, as further described in the 2023 Capital Plan in the County's 2023-2027 Capital Program, as amended and supplemented (hereinafter referred to as the "Capital Program"). The estimated maximum cost of said class of objects or purposes, including preliminary costs and costs incidental thereto and the financing thereof (including costs relating to the issuance of the obligations authorized by this resolution), is an amount not to exceed \$1,500,000 and said amount is hereby appropriated therefor. The plan of financing includes the issuance of an amount not to exceed \$1,500,000 of serial bonds (and bond anticipation notes in anticipation of the issuance of such serial bonds) in such series and amounts as may be necessary to pay the cost thereof, but in no event in excess of \$1,500,000 to pay the cost of the capital project.

The period of probable usefulness of the class of objects or purposes herein authorized and for which \$1,500,000 of said serial bonds are herein authorized to be issued, within the limitations of Section 11.00a.12(a)(1) of the New York Local Finance Law (the "Law"), is twenty-five (25) years.

Section 2. The County is hereby authorized to undertake various capital projects consisting of various building upgrades and improvements, such upgrades and improvements to include office modernization and upgrades prior to relocations (fit-up costs and office build out), pre and post site work, design fees, various internal and external upgrades and elevator modernizations, together with any necessary site work and the acquisition and installation of furnishings, equipment, machinery and apparatus for the foregoing purposes, as further described in the 2023 Capital Plan in the County's 2023-2027 Capital Program, as amended and supplemented (hereinafter referred to as the "Capital Program"). The estimated maximum cost of said class of objects or purposes, including preliminary costs and costs incidental

thereto and the financing thereof (including costs relating to the issuance of the obligations authorized by this resolution), is an amount not to exceed \$7,000,000 and said amount is hereby appropriated therefor. The plan of financing includes the issuance of an amount not to exceed \$7,000,000 of serial bonds (and bond anticipation notes in anticipation of the issuance of such serial bonds) in such series and amounts as may be necessary to pay the cost thereof, but in no event in excess of \$7,000,000 to pay the cost of the capital project.

The period of probable usefulness of the class of objects or purposes herein authorized and for which \$7,000,000 of said serial bonds are herein authorized to be issued, within the limitations of Section 11.00a.12(a)(1) of the New York Local Finance Law (the "Law"), is twenty-five (25) years.

Section 3. The County is hereby authorized to undertake various capital projects consisting of various mechanical upgrades and improvements, such upgrades and improvements to include all HVAC, energy management system improvements, all chiller and electrical systems, together with any necessary site work and the acquisition and installation of furnishings, equipment, machinery and apparatus for the foregoing purposes, as further described in the 2023 Capital Plan in the County's 2023-2027 Capital Program, as amended and supplemented (hereinafter referred to as the "Capital Program"). The estimated maximum cost of said class of objects or purposes, including preliminary costs and costs incidental thereto and the financing thereof (including costs relating to the issuance of the obligations authorized by this resolution), is an amount not to exceed \$3,000,000 and said amount is hereby appropriated therefor. The plan of financing includes the issuance of an amount not to exceed \$3,000,000 of serial bonds (and bond anticipation notes in anticipation of the issuance of such serial bonds) in such series and amounts as may be necessary to pay the cost thereof, but in no event in excess of \$3,000,000 to pay the cost of the capital project.

The period of probable usefulness of the class of objects or purposes herein authorized and for which \$3,000,000 of said serial bonds are herein authorized to be issued, within the limitations of Section 11.00a.13 of the New York Local Finance Law (the "Law"), is fifteen (15) years.

Section 4. Serial bonds (and bond anticipation notes in anticipation of the issuance of such serial bonds) in the aggregate principal amount not to exceed \$11,500,000 to finance said appropriations are hereby authorized to be issued pursuant to the provisions of the Law.

Section 5. The following additional matters are hereby determined and stated:

(a) The buildings described above are class "A" buildings, as defined in Section 11.00a11(a) of the Law.

(b) Current funds are not required by the Law to be provided as a down payment prior to the issuance of the serial bonds authorized by this resolution or any bond anticipation notes issued in anticipation thereof in accordance with Section 107.00 of the Law.

(c) The proposed maturity of the bonds authorized by this resolution will exceed five (5) years.

Section 6. The serial bonds authorized by this resolution and any notes issued in anticipation of the sale of such bonds shall contain the recital of validity prescribed by Section 52.00 of the Law and said serial bonds and any notes issued in anticipation of said bonds shall be general obligations of the County, payable as to both principal and interest by a general tax upon all the taxable real property within the County without limitation of rate or amount. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and provision shall be made annually in the budget of the County by appropriation for (a) the amortization and redemption of the bonds and any notes in anticipation thereof to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 7. Subject to the provisions of this resolution and of the Law, pursuant to the provisions of Section 30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals of said notes and of Section 21.00, Section 23.00, Section 50.00, Sections 56.00 to 60.00, Section 62.00, Section 63.00 and Section 164.00 of the Law, the powers and duties of the County Legislature pertaining or incidental to the sale and issuance of the obligations herein authorized, including but not limited to authorizing bond anticipation notes and prescribing the terms, form and contents and as to the sale and issuance of the bonds herein authorized and of any bond anticipation notes issued in anticipation of said bonds, and the renewals of said notes, are hereby delegated to the County Comptroller, the chief fiscal officer of the County.

Section 8. The County Comptroller is further authorized to take such actions and execute such documents as may be necessary to ensure the continued status of the interest on the bonds authorized by this resolution and any notes issued in anticipation thereof, as excludable from gross income for federal income tax purposes pursuant to Section 103 of the Internal Revenue Code of 1986, as amended (the "Code") and to designate the bonds authorized by this resolution and any notes issued in anticipation thereof, if applicable, as "qualified tax-exempt bonds" in accordance with Section 265(b)(3)(B)(i) of the Code.

Section 9. The County Comptroller is further authorized to enter into a continuing disclosure undertaking with the initial purchaser of the bonds or notes authorized by this resolution, containing provisions which are satisfactory to such purchaser in compliance with the provisions of Rule 15c2-12, promulgated by the Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934.

Section 10. Pursuant to Article 8 of the Environmental Conservation Law, Chapter 43-B of the Consolidated Laws of New York, as amended (the "SEQR Act") and the regulations adopted pursuant thereto by the Department of Environmental Conservation of the State of New York, being 6 NYCRR Part 617, as amended (the "Regulations" and collectively with the SEQR Act, "SEQRA"), the County must satisfy the requirements contained in SEQRA prior to making a final determination whether to proceed with the above referenced project. Based upon an examination of the project and a memorandum from the Albany County Department of Economic Development, Conservation and Planning, the County hereby makes the following determination: The projects authorized by this resolution each constitute a "Type II action" pursuant to 6 NYCRR 617.5(c)(1), (2) and (31), and therefore, pursuant to 6 NYCRR 617.6(a)(1)(i), the County has no further responsibilities under SEQRA with respect to such projects.

Section 11. The County may initially use funds from the General Fund or such other funds that may be available to pay the cost of the specific objects or purposes authorized by this resolution, pursuant to Section 165.10 of the Law. The County then reasonably expects to reimburse such expenditure with the proceeds of the bonds or bond anticipation notes authorized by Section 4 of this resolution. This resolution shall constitute the declaration of the County's "official intent" to reimburse the expenditures authorized by Sections 1, 2 and 3 hereof with the proceeds of the bonds and notes authorized herein, as required by United States Treasury Regulation Section 1.150-2.

Section 12. The validity of the bonds authorized by this resolution, and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

- (1) (a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or
- (b) the provisions of law which should be complied with at the date of the publication of such resolution are not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or
- (2) such obligations are authorized in violation of the provisions of the constitution.

Section 13. This bond resolution shall take effect immediately and the Clerk of the County Legislature is hereby authorized and directed to publish the foregoing resolution in full (or a summary as permitted by the Law), together with a notice attached in substantially the form as prescribed in Section 81.00 of the Law, in the Evangelist and the Times Union, newspapers designated as the official newspapers of the County for such publication.

On long roll call vote the following members voted in favor: Burgdorf, Clay, Cleary, Collins, Commisso, Cunningham, Drake, Efekoro, Ethier, Feeney, Grimm, A.

State of New York
County of Albany

This is to certify that I, the undersigned, Clerk of the Albany County Legislature, have compared the foregoing copy of the resolution and/or local law with the original resolution and/or local law now on file in the office, and which was passed by the Legislature of said County on the 13th day of February, 2023, a majority of all members elected to the Legislature voting in favor thereof, and that the same is a correct and true transcript of such original resolution and/or local law and the whole thereof.



IN WITNESS THEREOF, I have hereunto set my hand and the official seal of the County Legislature this 14th day of February, 2023.


Clerk, Albany County Legislature

RESOLUTION NO. 142

BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, DATED JULY 14, 2025, AUTHORIZING VARIOUS MECHANICAL UPGRADES AND IMPROVEMENTS AT COUNTY-OWNED PROPERTIES, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$3,000,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$3,000,000 BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Introduced: 4/14/25

By Audit and Finance Committee:

THE COUNTY LEGISLATURE OF THE COUNTY OF ALBANY, NEW YORK, HEREBY RESOLVES AS FOLLOWS:

Section 1. The County of Albany, New York (the “County”) is hereby authorized to construct various mechanical upgrades and improvements at County-owned properties, as further described in the County’s 2026-2030 Capital Program, as amended and supplemented (hereinafter referred to as the “Capital Program”). The estimated maximum cost of said specific object or purpose, including preliminary costs and costs incidental thereto and the financing thereof (including the costs relating to the issuance of the obligations authorized by this resolution), is an amount not to exceed \$3,000,000 and said amount is hereby appropriated therefor. The plan of financing is described as follows: (a) the issuance of an amount not to exceed \$3,000,000 of bonds (and bond anticipation notes in anticipation of the issuance of such bonds) in such series and amounts as may be necessary to pay the cost thereof, (b) the receipt of various federal and state grants, and/or (c), subject to the discretion of the County, the application of moneys from the County’s General Fund or such other moneys of the County that may be available to pay the costs thereof. In the event that such grants or other moneys become available, the County will issue obligations in a principal amount less than the \$3,000,000 amount authorized by this resolution, or pay down such obligations with the amount of such grants and other moneys received by the County.

Section 2. Bonds (and bond anticipation notes in anticipation of the issuance of such bonds) in the aggregate principal amount not to exceed \$3,000,000 to finance said appropriation are hereby authorized to be issued pursuant to the provisions of the Law.

Section 3. The following additional matters are hereby determined and stated:

(a) The period of probable usefulness applicable to the specific object or purpose herein authorized and for which \$3,000,000 of said bonds are herein

authorized to be issued, within the limitations of Section 11.00a.13 of the New York Local Finance Law (the “Law”), is ten (10) years.

(b) Current funds are not required by the Law to be provided as a down payment prior to the issuance of the bonds authorized by this resolution or any bond anticipation notes issued in anticipation thereof in accordance with Section 107.00 of the Law.

(c) The proposed maturity of the bonds authorized by this resolution will exceed five (5) years.

Section 4. The bonds authorized by this resolution and any notes issued in anticipation of the sale of such bonds shall contain the recital of validity prescribed by Section 52.00 of the Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County, payable as to both principal and interest by a general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and provision shall be made annually in the budget of the County by appropriation for (a) the amortization and redemption of the bonds and any notes in anticipation thereof to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 5. Subject to the provisions of this resolution and of the Law, pursuant to the provisions of Section 30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals of said notes and of Section 21.00, Section 23.00, Section 50.00, Sections 56.00 to 60.00, Section 62.00, Section 63.00, Section 164.00 and Section 168.00 of the Law, the powers and duties of the County Legislature pertaining or incidental to the sale and issuance of the obligations herein authorized, including but not limited to authorizing bond anticipation notes and prescribing the terms, form and contents and as to the sale and issuance of the bonds herein authorized and of any bond anticipation notes issued in anticipation of said bonds, and the renewals of said notes, are hereby delegated to the County Comptroller, the chief fiscal officer of the County.

Section 6. The County Comptroller is further authorized to take such actions and execute such documents as may be necessary to ensure the continued status of the interest on the bonds authorized by this resolution and any notes issued in anticipation thereof, as excludable from gross income for federal income tax purposes pursuant to Section 103 of the Internal Revenue Code of 1986, as amended (the “Code”) and to designate the bonds authorized by this resolution and any notes issued in anticipation thereof, if applicable, as “qualified tax-exempt bonds” in accordance with Section 265(b)(3)(B)(i) of the Code.

Section 7. The County Comptroller is further authorized to enter into a continuing disclosure undertaking with the initial purchaser of the bonds or notes

authorized by this resolution, containing provisions which are satisfactory to such purchaser in compliance with the provisions of Rule 15c2-12, promulgated by the Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934.

Section 8. Pursuant to Article 8 of the Environmental Conservation Law, Chapter 43-B of the Consolidated Laws of New York, as amended (the “SEQR Act”) and the regulations adopted pursuant thereto by the Department of Environmental Conservation of the State of New York, being 6 NYCRR Part 617, as amended (the “Regulations” and collectively with the SEQR Act, “SEQRA”), the County must satisfy the requirements contained in SEQRA prior to making a final determination whether to proceed with the above referenced project. Based upon an examination of the projects and memoranda from the Albany County Department of Economic Development, Conservation and Planning, the County hereby makes the following determination: The project authorized by this resolution described in Section 1 constitutes a “Type II action” pursuant to 6 NYCRR 617.5(c)(1) and (5); and therefore that, pursuant to 6 NYCRR 617.6(a)(1)(i), the County has no further responsibilities under SEQRA with respect to the project.

Section 9. The County may initially use funds from the General Fund or such other funds that may be available to pay the cost of the specific objects or purposes authorized by this resolution, pursuant to Section 165.10 of the Law. If the County determines to issue bonds or bond anticipation notes to finance the specific objects or purposes authorized by this resolution, the County then reasonably expects to reimburse such expenditures with the proceeds of such bonds or bond anticipation notes. If the County determines to issue bonds or bond anticipation notes to finance the specific objects or purposes authorized by this resolution, then this resolution shall constitute the declaration of the County’s “official intent” to reimburse the expenditures authorized by Section 1 hereof with the proceeds of the bonds and notes authorized herein, as required by United States Treasury Regulation Section 1.150-2. The provisions of this section are expressly subject to the plan of finance as determined by the County as described in Section 1 above.

Section 10. The validity of the bonds authorized by this resolution, and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

- (1) (a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or
- (b) the provisions of law which should be complied with at the date of the publication of such resolution are not substantially complied with,

and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or

- (2) such obligations are authorized in violation of the provisions of the constitution.

Section 11. This bond resolution shall take effect immediately and the Clerk of the County Legislature is hereby authorized and directed to publish the foregoing resolution in full (or a summary as permitted by the Law), together with a notice attached in substantially the form as prescribed in Section 81.00 of the Law, in the official newspaper(s) of the County for such publication.

Referred to Audit and Finance Committee - 4/14/25

Favorable Recommendation Audit and Finance – 6/26/25



DANIEL P. MCCOY
COUNTY EXECUTIVE

MICHAEL McLAUGHLIN
DEPUTY COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF GENERAL SERVICES
112 STATE STREET, SUITE 1300
ALBANY, NEW YORK 12207
(518) 447-7210 FAX (518) 447-7747
WWW.ALBANYCOUNTYNY.GOV

DAVID M. LATINA
COMMISSIONER

SCOTT D. ALLARDICE
DEPUTY COMMISSIONER

February 13, 2025

Honorable Joanne Cunningham, Chairwoman
Albany County Legislature
112 State Street, Room 710
Albany, New York 12207

Re: Bond Resolution for Vehicle and Truck Replacement Project

Dear Chairwoman Cunningham:

The Department of General Services respectfully requests approval of a bond resolution authorizing \$500,000 for Vehicle and Truck Replacement Project. This project would replace fleet pool vehicles in accordance with our Department Vehicle Replacement Plan. This plan would replace 11 +/- vehicles per year for the next 2 years and the vehicles being replaced are 10 years old or older. This project was amended to change the completion date to 2026.

This project has been included in the current Capital Plan.

If you should have any further questions, please do not hesitate to contact me.

Sincerely yours,

David M. Latina
Commissioner

DML:tas
Enclosure(s)

cc: Dennis Feeney, Majority Leader
Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6363, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Bond Resolution for Vehicle and Truck Replacement Project

Date: 2/12/2025
Department: General Services
Attending Meeting: Commissioner Latina
Submitted By: David M Latina
Title: Commissioner
Phone: 518-447-7210

Purpose of Request: Bond Approval Enter text.

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:
Enter text.

Term: (Start/end date or duration) Enter text.
Amount/Raise Schedule/Fee: \$500,000.00

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Budget: Yes ☒ No ☐
Spreadsheet attached: Yes ☐ No ☒

Source of Funding - (Percentages)

Federal: Enter text. County: 100%
State: Enter text. Local: Enter text.

County Budget Accounts:

Revenue Account and Line: Enter text.
Revenue Amount: Enter text.
Appropriation Account and Line: Enter text.
Appropriation Amount: Enter text.

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒
If Mandated, Cite Authority: Enter text.
Request for Bids / Proposals:

Competitive Bidding Exempt:	Yes ☐ No ☐
# of Response(s):	Enter text.
# of MWBE:	Enter text.
# of Veteran Business:	Enter text.
Bond Resolution No.:	Enter text.
Apprenticeship Program	Yes ☐ No ☐

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: Enter text.

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

The Department of General Services respectfully requests approval of a bond resolution authorizing \$500,000 for Vehicle and Truck Replacement Project. This project would replace fleet pool vehicles in accordance with our Department Vehicle Replacement Plan. This plan would replace 11 +/- vehicles per year for the next 2 years and the vehicles being replaced are 10 years old or older. This project was amended to change the completion date to 2026.

This project has been included in the current Capital Plan.

Albany County Office Building Renovations

Project Number: DGS-02-01

Project Status: New Existing 1 Amended

Amendment(s):

2023-2027 Consolidating project into new project going forward.

This project provides for the renovation of the Harold L. Joyce Albany County Office Building. The project includes a new roof, HVAC and electrical systems, ADA compliance, elevator modernization and various interior and exterior upgrades. This project began in the Fall of 2002 and is estimated to be complete in 2023. The project has a useful life of 20 to 30 years.

	Year	Pre 2022	2022	2023	2024	2025	2026	2027	Total
County Debt (Bonds & BANS)		20.484	1.300	-	-				21.784
NYS Reimbursement									
Total County Cost		20.484	1.300	-	-	-	-	-	21.784

Facility Improvement Project

Project Number: DGS-08-01

Project Status: New Existing 1 Amended

Amendment(s):

2022-2026 reduce total cost

2023-2027 Consolidating project into new project going forward.

As part of a continuing program to maintain existing facilities, this project consists of interior painting, carpeting, HVAC modifications, departmental relocation costs, design fees, construction fit-up costs (retrofit / office buildout), moving expenses and the installation of energy management systems at various facilities. Also included are expenses for Times Union Center garage and Spruce Street garage from 2016.

	Year	Pre 2022	2022	2023	2024	2025	2026	2027	Total
County Debt (Bonds & BANS)		8.442	0.500	-	-				8.942
NYS Reimbursement									
Total County Cost		8.442	0.500	-	-	-	-	-	8.942

Vehicle and Truck Replacement Project

Project Number: DGS-12-01

Project Status: New Existing 1 Amended

Amendment(s):

2022-2026 add additional year to project

2023-2027 add additional years and increase yearly costs.

This project would replace fleet pool vehicles in accordance with our Department Vehicle Replacement Plan. This plan would replace 11 +/- vehicles per year for the next 2 years and the vehicles being replace are 10 years old or older. This project was amended to change the completion date to 2024.

	Year	Pre 2022	2022	2023	2024	2025	2026	2027	Total
County Debt (Bonds & BANS)		2.114	0.250	0.500	0.500	0.500	0.500	0.500	4.864
NYS Reimbursement									
Total County Cost		2.114	0.250	0.500	0.500	0.500	0.500	0.500	4.864

County-wide Facilities Evaluation

Project Number: DGS-15-01

Project Status: New 1 Existing Amended

Amendment(s):

Many of the County's facilities are aged and would benefit from a structural and engineering evaluation. The proposed evaluation will allow the County to make the best use of it's resources. This project will include evaluation and engineering fees starting in 2015, with any construction beginning in the out years.

	Year	Pre 2022	2022	2023	2024	2025	2026	2027	Total
County Debt (Bonds & BANS)		1.350							1.350
NYS Reimbursement									
Total County Cost		1.350	-	-	-	-	-	-	1.350

RESOLUTION NO. 11

BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, AUTHORIZING THE ACQUISITION OF VEHICLES FOR THE DEPARTMENT OF GENERAL SERVICES, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$500,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$500,000 OF SERIAL BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Introduced: 2/13/23

By Audit and Finance Committee:

THE COUNTY LEGISLATURE OF THE COUNTY OF ALBANY, NEW YORK, HEREBY RESOLVES AS FOLLOWS:

Section 1. The County of Albany, New York (the "County") is hereby authorized to acquire fleet motor pool vehicles and various other equipment, as further described in the 2023 Capital Plan in the County's 2023-2027 Capital Program, as amended and supplemented (hereinafter referred to as the "Capital Program"). The estimated maximum cost of said class of objects or purposes, including preliminary costs and costs incidental thereto and the financing thereof (including costs relating to the issuance of the obligations authorized by this resolution), is an amount not to exceed \$500,000 and said amount is hereby appropriated therefor. The plan of financing includes the issuance of an amount not to exceed \$500,000 of serial bonds (and bond anticipation notes in anticipation of the issuance of such serial bonds) in such series and amounts as may be necessary to pay the cost thereof, but in no event in excess of \$500,000 to pay the cost of the capital project.

The period of probable usefulness of the class of objects or purposes herein authorized and for which \$500,000 of said serial bonds are herein authorized to be issued, within the limitations of Section 11.00a.77 of the New York Local Finance Law (the "Law"), is three (3) years.

Section 2. Serial bonds (and bond anticipation notes in anticipation of the issuance of such serial bonds) in the aggregate principal amount not to exceed \$500,000 to finance said appropriation are hereby authorized to be issued pursuant to the provisions of the Law.

Section 3. The following additional matters are hereby determined and stated:

- (a) Current funds are not required by the Law to be provided as a down payment prior to the issuance of the serial bonds authorized by this

resolution or any bond anticipation notes issued in anticipation thereof in accordance with Section 107.00 of the Law.

(b) The proposed maturity of the bonds authorized by this resolution will not exceed five (5) years.

Section 4. The serial bonds authorized by this resolution and any notes issued in anticipation of the sale of such bonds shall contain the recital of validity prescribed by Section 52.00 of the Law and said serial bonds and any notes issued in anticipation of said bonds shall be general obligations of the County, payable as to both principal and interest by a general tax upon all the taxable real property within the County without limitation of rate or amount. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and provision shall be made annually in the budget of the County by appropriation for (a) the amortization and redemption of the bonds and any notes in anticipation thereof to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 5. Subject to the provisions of this resolution and of the Law, pursuant to the provisions of Section 30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals of said notes and of Section 21.00, Section 23.00, Section 50.00, Sections 56.00 to 60.00, Section 62.00, Section 63.00 and Section 164.00 of the Law, the powers and duties of the County Legislature pertaining or incidental to the sale and issuance of the obligations herein authorized, including but not limited to authorizing bond anticipation notes and prescribing the terms, form and contents and as to the sale and issuance of the bonds herein authorized and of any bond anticipation notes issued in anticipation of said bonds, and the renewals of said notes, are hereby delegated to the County Comptroller, the chief fiscal officer of the County.

Section 6. The County Comptroller is further authorized to take such actions and execute such documents as may be necessary to ensure the continued status of the interest on the bonds authorized by this resolution and any notes issued in anticipation thereof, as excludable from gross income for federal income tax purposes pursuant to Section 103 of the Internal Revenue Code of 1986, as amended (the "Code") and to designate the bonds authorized by this resolution and any notes issued in anticipation thereof, if applicable, as "qualified tax-exempt bonds" in accordance with Section 265(b)(3)(B)(i) of the Code.

Section 7. The County Comptroller is further authorized to enter into a continuing disclosure undertaking with the initial purchaser of the bonds or notes authorized by this resolution, containing provisions which are satisfactory to such purchaser in compliance with the provisions of Rule 15c2-12, promulgated by the Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934.

Section 8. Pursuant to Article 8 of the Environmental Conservation Law, Chapter 43-B of the Consolidated Laws of New York, as amended (the "SEQR Act") and the regulations adopted pursuant thereto by the Department of Environmental Conservation of the State of New York, being 6 NYCRR Part 617, as amended (the "Regulations" and collectively with the SEQR Act, "SEQRA"), the County must satisfy the requirements contained in SEQRA prior to making a final determination whether to proceed with the above referenced project. Based upon an examination of the project and a memorandum from the Albany County Department of Economic Development, Conservation and Planning, the County hereby makes the following determination: The project authorized by this resolution constitutes a "Type II action" pursuant to 6 NYCRR 617.5(c)(31), and therefore, pursuant to 6 NYCRR 617.6(a)(1)(i), the County has no further responsibilities under SEQRA with respect to the project.

Section 9. The County may initially use funds from the General Fund or such other funds that may be available to pay the cost of the specific objects or purposes authorized by this resolution, pursuant to Section 165.10 of the Law. The County then reasonably expects to reimburse such expenditure with the proceeds of the bonds or bond anticipation notes authorized by Section 2 of this resolution. This resolution shall constitute the declaration of the County's "official intent" to reimburse the expenditures authorized by Section 1 hereof with the proceeds of the bonds and notes authorized herein, as required by United States Treasury Regulation Section 1.150-2.

Section 10. The validity of the bonds authorized by this resolution, and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

- (1) (a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or
- (b) the provisions of law which should be complied with at the date of the publication of such resolution are not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or
- (2) such obligations are authorized in violation of the provisions of the constitution.

Section 11. This bond resolution shall take effect immediately and the Clerk of the County Legislature is hereby authorized and directed to publish the foregoing resolution in full (or a summary as permitted by the Law), together with a notice attached in substantially the form as prescribed in Section 81.00 of the Law, in the Evangelist and the Times Union, newspapers designated as the official newspapers of the County for such publication.

State of New York
County of Albany

This is to certify that I, the undersigned, Clerk of the Albany County Legislature, have compared the foregoing copy of the resolution and/or local law with the original resolution and/or local law now on file in the office, and which was passed by the Legislature of said County on the 13th day of February, 2023, a majority of all members elected to the Legislature voting in favor thereof, and that the same is a correct and true transcript of such original resolution and/or local law and the whole thereof.



IN WITNESS THEREOF, I have hereunto set my hand and the official seal of the County Legislature this 14th day of February, 2023.

A handwritten signature in black ink, appearing to read "Nicole Chamber", is written over a horizontal line.

Clerk, Albany County Legislature

RESOLUTION NO. 143

BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, DATED JULY 14, 2025, AUTHORIZING THE ACQUISITION AND REPLACEMENT OF COUNTY-OWNED VEHICLES AND TRUCKS, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$500,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$500,000 BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Introduced: 4/14/25

By Audit and Finance Committee:

THE COUNTY LEGISLATURE OF THE COUNTY OF ALBANY, NEW YORK, HEREBY RESOLVES AS FOLLOWS:

Section 1. The County of Albany, New York (the “County”) is hereby authorized to acquire and replace (a) trucks at the estimated maximum cost of \$340,000 and (b) passenger vehicles at the estimated maximum cost of \$160,000 currently owned by the County, as further described in the County’s 2026-2030 Capital Program, as amended and supplemented (hereinafter referred to as the “Capital Program”). The estimated maximum cost of said specific object or purpose, including preliminary costs and costs incidental thereto and the financing thereof (including the costs relating to the issuance of the obligations authorized by this resolution), is an amount not to exceed \$500,000 and said amount is hereby appropriated therefor. The plan of financing is described as follows: (a) the issuance of an amount not to exceed \$500,000 of bonds (and bond anticipation notes in anticipation of the issuance of such bonds) in such series and amounts as may be necessary to pay the cost thereof, (b) the receipt of various federal and state grants, and/or (c), subject to the discretion of the County, the application of moneys from the County’s General Fund or such other moneys of the County that may be available to pay the costs thereof. In the event that such grants or other moneys become available, the County will issue obligations in a principal amount less than the \$500,000 amount authorized by this resolution, or pay down such obligations with the amount of such grants and other moneys received by the County.

Section 2. Bonds (and bond anticipation notes in anticipation of the issuance of such bonds) in the aggregate principal amount not to exceed \$500,000 to finance said appropriation are hereby authorized to be issued pursuant to the provisions of the Law.

Section 3. The following additional matters are hereby determined and stated:

(a) The period of probable usefulness applicable to the specific object or purpose herein authorized and for which \$340,000 of said bonds are herein

authorized to be issued, within the limitations of Section 11.00a.28 of the New York Local Finance Law (the “Law”), is fifteen (15) years. The period of probable usefulness applicable to the specific object or purpose herein authorized and for which \$160,000 of said bonds are herein authorized to be issued, within the limitations of Section 11.00a.77 of the New York Local Finance Law (the “Law”), is three (3) years.

(b) Current funds are not required by the Law to be provided as a down payment prior to the issuance of the bonds authorized by this resolution or any bond anticipation notes issued in anticipation thereof in accordance with Section 107.00 of the Law.

(c) The proposed maturity of the bonds authorized by this resolution will exceed five (5) years.

Section 4. The bonds authorized by this resolution and any notes issued in anticipation of the sale of such bonds shall contain the recital of validity prescribed by Section 52.00 of the Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County, payable as to both principal and interest by a general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and provision shall be made annually in the budget of the County by appropriation for (a) the amortization and redemption of the bonds and any notes in anticipation thereof to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 5. Subject to the provisions of this resolution and of the Law, pursuant to the provisions of Section 30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals of said notes and of Section 21.00, Section 23.00, Section 50.00, Sections 56.00 to 60.00, Section 62.00, Section 63.00, Section 164.00 and Section 168.00 of the Law, the powers and duties of the County Legislature pertaining or incidental to the sale and issuance of the obligations herein authorized, including but not limited to authorizing bond anticipation notes and prescribing the terms, form and contents and as to the sale and issuance of the bonds herein authorized and of any bond anticipation notes issued in anticipation of said bonds, and the renewals of said notes, are hereby delegated to the County Comptroller, the chief fiscal officer of the County.

Section 6. The County Comptroller is further authorized to take such actions and execute such documents as may be necessary to ensure the continued status of the interest on the bonds authorized by this resolution and any notes issued in anticipation thereof, as excludable from gross income for federal income tax purposes pursuant to Section 103 of the Internal Revenue Code of 1986, as amended (the “Code”) and to designate the bonds authorized by this resolution and any notes issued in anticipation thereof, if applicable, as “qualified tax-exempt bonds” in accordance with Section 265(b)(3)(B)(i) of the Code.

Section 7. The County Comptroller is further authorized to enter into a continuing disclosure undertaking with the initial purchaser of the bonds or notes authorized by this resolution, containing provisions which are satisfactory to such purchaser in compliance with the provisions of Rule 15c2-12, promulgated by the Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934.

Section 8. Pursuant to Article 8 of the Environmental Conservation Law, Chapter 43-B of the Consolidated Laws of New York, as amended (the "SEQR Act") and the regulations adopted pursuant thereto by the Department of Environmental Conservation of the State of New York, being 6 NYCRR Part 617, as amended (the "Regulations" and collectively with the SEQR Act, "SEQRA"), the County must satisfy the requirements contained in SEQRA prior to making a final determination whether to proceed with the above referenced project. Based upon an examination of the projects and memoranda from the Albany County Department of Economic Development, Conservation and Planning, the County hereby makes the following determination: The project authorized by this resolution described in Section 1 constitutes a "Type II action" pursuant to 6 NYCRR 617.5(c)(1) and (5); and therefore that, pursuant to 6 NYCRR 617.6(a)(1)(i), the County has no further responsibilities under SEQRA with respect to the project.

Section 9. The County may initially use funds from the General Fund or such other funds that may be available to pay the cost of the specific objects or purposes authorized by this resolution, pursuant to Section 165.10 of the Law. If the County determines to issue bonds or bond anticipation notes to finance the specific objects or purposes authorized by this resolution, the County then reasonably expects to reimburse such expenditures with the proceeds of such bonds or bond anticipation notes. If the County determines to issue bonds or bond anticipation notes to finance the specific objects or purposes authorized by this resolution, then this resolution shall constitute the declaration of the County's "official intent" to reimburse the expenditures authorized by Section 1 hereof with the proceeds of the bonds and notes authorized herein, as required by United States Treasury Regulation Section 1.150-2. The provisions of this section are expressly subject to the plan of finance as determined by the County as described in Section 1 above.

Section 10. The validity of the bonds authorized by this resolution, and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

- (1) (a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or
- (b) the provisions of law which should be complied with at the date of the publication of such resolution are not substantially complied with,

and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or

- (2) such obligations are authorized in violation of the provisions of the constitution.

Section 11. This bond resolution shall take effect immediately and the Clerk of the County Legislature is hereby authorized and directed to publish the foregoing resolution in full (or a summary as permitted by the Law), together with a notice attached in substantially the form as prescribed in Section 81.00 of the Law, in the official newspaper(s) of the County for such publication.

Referred to Audit and Finance Committee - 4/14/25

Favorable Recommendation Audit and Finance Committee – 6/26/25



DANIEL P. MCCOY
COUNTY EXECUTIVE

MICHAEL McLAUGHLIN
DEPUTY COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF GENERAL SERVICES
112 STATE STREET, SUITE 1300
ALBANY, NEW YORK 12207
(518) 447-7210 FAX (518) 447-7747
WWW.ALBANYCOUNTYNY.GOV

DAVID M. LATINA
COMMISSIONER

SCOTT D. ALLARDICE
DEPUTY COMMISSIONER

February 13, 2025

Honorable Joanne Cunningham, Chairwoman
Albany County Legislature
112 State Street, Room 710
Albany, New York 12207

Re: Bond Resolution for Various Building Upgrades & Improvements

Dear Chairwoman Cunningham:

The Department of General Services respectfully requests approval of a bond resolution authorizing \$7,000,000 for Various Building Upgrades & Improvements. As part of our efforts to maintain all existing facilities, this project will address any office modernization & upgrades prior to relocations (fit-up costs & office build out), any pre & post site work, design fees, various internal / external upgrades and elevator modernizations.

These projects are included in the current Capital Plan.

If you should have any further questions, please do not hesitate to contact me.

Sincerely yours,

David M. Latina
Commissioner

DML:tas
Enclosure(s)

cc: Dennis Feeney, Majority Leader
Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6364, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Bond Resolution for Various Building Upgrades & Improvements

Date: 2/12/2025
Department: General Services
Attending Meeting: Commissioner Latina
Submitted By: David M Latina
Title: Commissioner
Phone: 518-447-7210

Purpose of Request: Bond Approval Enter text.

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:
Enter text.

Term: (Start/end date or duration) Enter text.
Amount/Raise Schedule/Fee: \$7,000,000.00

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Budget: Yes ☒ No ☐
Spreadsheet attached: Yes ☐ No ☒

Source of Funding - (Percentages)

Federal: Enter text. County: 100%
State: Enter text. Local: Enter text.

County Budget Accounts:

Revenue Account and Line: Enter text.
Revenue Amount: Enter text.
Appropriation Account and Line: Enter text.
Appropriation Amount: Enter text.

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒
If Mandated, Cite Authority: Enter text.
Request for Bids / Proposals:

Competitive Bidding Exempt:	Yes ☐ No ☐
# of Response(s):	Enter text.
# of MWBE:	Enter text.
# of Veteran Business:	Enter text.
Bond Resolution No.:	Enter text.
Apprenticeship Program	Yes ☐ No ☐

Previous requests for Identical or Similar Action:
Resolution/Law Number and Date:

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

The Department of General Services respectfully requests approval of a bond resolution authorizing \$7,000,000 for Various Building Upgrades & Improvements. As part of our efforts to maintain all existing facilities, this project will address any office modernization & upgrades prior to relocations (fit-up costs & office build out), any pre & post site work, design fees, various internal / external upgrades and elevator modernizations.

These projects are included in the current Capital Plan.

Various Building Upgrades & Improvements

Project Number: DGS-23-01

Project Status: 1 New Existing Amended

Amendment(s):

As part of our efforts to maintain all existing facilities, this project will address any office modernization & upgrades, relocations, renovations, any pre & post site work, design fees, various internal / external upgrades, and elevator modernizations.

	Year	Pre 2022	2022	2023	2024	2025	2026	2027	Total
County Debt (Bonds & BANS)				7.000	7.000	7.000			21.000
NYS Reimbursement									
Total County Cost		-	-	7.000	7.000	7.000	-	-	21.000

County Facility Roof Renovations

Project Number: DGS-23-02

Project Status: 1 New Existing Amended

Amendment(s):

The purpose of this project is to address the ongoing needed reconstructure and renovations to the roofs of various County owned properties. This project is essential to keeping our buildings safe and avoid additional problems.

	Year	Pre 2022	2022	2023	2024	2025	2026	2027	Total
County Debt (Bonds & BANS)				1.500	0.500	0.500			2.500
NYS Reimbursement									
Total County Cost		-	-	1.500	0.500	0.500	-	-	2.500

Various Mechanical Upgrades & Improvements

Project Number: DGS-23-03

Project Status: 1 New Existing Amended

Amendment(s):

As part of our efforts to maintain any/all existing facilities, this project will address any mechanical upgrades to our properties. These improvements will generally include, but not be limited to, all HVAC, energy management system improvements, all chiller, and electrical systems.

	Year	Pre 2022	2022	2023	2024	2025	2026	2027	Total
County Debt (Bonds & BANS)				3.000	3.000	3.000			9.000
NYS Reimbursement									
Total County Cost		-	-	3.000	3.000	3.000	-	-	9.000

General Services Capital Plan Summary: All Projects

New Projects: 3

Existing Projects: 2

Amended Projects: 9

Project Financing (in millions of dollars)

Year	Pre 2022	2022	2023	2024	2025	2026	2027	Total
County Debt (Bonds & BANS)	45.190	6.550	13.800	12.000	12.000	1.500	0.500	91.540
NYS Reimbursement	(0.310)	(0.310)	(0.810)	(0.310)	(0.310)	(0.310)	(0.310)	(2.670)
Total County Cost	44.880	6.240	12.990	11.690	11.690	1.190	0.190	88.870

RESOLUTION NO. 10

BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, AUTHORIZING VARIOUS CAPITAL PROJECTS FOR THE DEPARTMENT OF GENERAL SERVICES, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$11,500,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$11,500,000 OF SERIAL BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Introduced: 2/13/23

By Audit and Finance Committee:

THE COUNTY LEGISLATURE OF THE COUNTY OF ALBANY, NEW YORK, HEREBY RESOLVES AS FOLLOWS:

Section 1. The County of Albany, New York (the "County") is hereby authorized to undertake County facility roof renovations to various County owned properties, together with any necessary site work and the acquisition and installation of furnishings, equipment, machinery and apparatus for the foregoing purposes, as further described in the 2023 Capital Plan in the County's 2023-2027 Capital Program, as amended and supplemented (hereinafter referred to as the "Capital Program"). The estimated maximum cost of said class of objects or purposes, including preliminary costs and costs incidental thereto and the financing thereof (including costs relating to the issuance of the obligations authorized by this resolution), is an amount not to exceed \$1,500,000 and said amount is hereby appropriated therefor. The plan of financing includes the issuance of an amount not to exceed \$1,500,000 of serial bonds (and bond anticipation notes in anticipation of the issuance of such serial bonds) in such series and amounts as may be necessary to pay the cost thereof, but in no event in excess of \$1,500,000 to pay the cost of the capital project.

The period of probable usefulness of the class of objects or purposes herein authorized and for which \$1,500,000 of said serial bonds are herein authorized to be issued, within the limitations of Section 11.00a.12(a)(1) of the New York Local Finance Law (the "Law"), is twenty-five (25) years.

Section 2. The County is hereby authorized to undertake various capital projects consisting of various building upgrades and improvements, such upgrades and improvements to include office modernization and upgrades prior to relocations (fit-up costs and office build out), pre and post site work, design fees, various internal and external upgrades and elevator modernizations, together with any necessary site work and the acquisition and installation of furnishings, equipment, machinery and apparatus for the foregoing purposes, as further described in the 2023 Capital Plan in the County's 2023-2027 Capital Program, as amended and supplemented (hereinafter referred to as the "Capital Program"). The estimated maximum cost of said class of objects or purposes, including preliminary costs and costs incidental

thereto and the financing thereof (including costs relating to the issuance of the obligations authorized by this resolution), is an amount not to exceed \$7,000,000 and said amount is hereby appropriated therefor. The plan of financing includes the issuance of an amount not to exceed \$7,000,000 of serial bonds (and bond anticipation notes in anticipation of the issuance of such serial bonds) in such series and amounts as may be necessary to pay the cost thereof, but in no event in excess of \$7,000,000 to pay the cost of the capital project.

The period of probable usefulness of the class of objects or purposes herein authorized and for which \$7,000,000 of said serial bonds are herein authorized to be issued, within the limitations of Section 11.00a.12(a)(1) of the New York Local Finance Law (the "Law"), is twenty-five (25) years.

Section 3. The County is hereby authorized to undertake various capital projects consisting of various mechanical upgrades and improvements, such upgrades and improvements to include all HVAC, energy management system improvements, all chiller and electrical systems, together with any necessary site work and the acquisition and installation of furnishings, equipment, machinery and apparatus for the foregoing purposes, as further described in the 2023 Capital Plan in the County's 2023-2027 Capital Program, as amended and supplemented (hereinafter referred to as the "Capital Program"). The estimated maximum cost of said class of objects or purposes, including preliminary costs and costs incidental thereto and the financing thereof (including costs relating to the issuance of the obligations authorized by this resolution), is an amount not to exceed \$3,000,000 and said amount is hereby appropriated therefor. The plan of financing includes the issuance of an amount not to exceed \$3,000,000 of serial bonds (and bond anticipation notes in anticipation of the issuance of such serial bonds) in such series and amounts as may be necessary to pay the cost thereof, but in no event in excess of \$3,000,000 to pay the cost of the capital project.

The period of probable usefulness of the class of objects or purposes herein authorized and for which \$3,000,000 of said serial bonds are herein authorized to be issued, within the limitations of Section 11.00a.13 of the New York Local Finance Law (the "Law"), is fifteen (15) years.

Section 4. Serial bonds (and bond anticipation notes in anticipation of the issuance of such serial bonds) in the aggregate principal amount not to exceed \$11,500,000 to finance said appropriations are hereby authorized to be issued pursuant to the provisions of the Law.

Section 5. The following additional matters are hereby determined and stated:

(a) The buildings described above are class "A" buildings, as defined in Section 11.00a11(a) of the Law.

(b) Current funds are not required by the Law to be provided as a down payment prior to the issuance of the serial bonds authorized by this resolution or any bond anticipation notes issued in anticipation thereof in accordance with Section 107.00 of the Law.

(c) The proposed maturity of the bonds authorized by this resolution will exceed five (5) years.

Section 6. The serial bonds authorized by this resolution and any notes issued in anticipation of the sale of such bonds shall contain the recital of validity prescribed by Section 52.00 of the Law and said serial bonds and any notes issued in anticipation of said bonds shall be general obligations of the County, payable as to both principal and interest by a general tax upon all the taxable real property within the County without limitation of rate or amount. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and provision shall be made annually in the budget of the County by appropriation for (a) the amortization and redemption of the bonds and any notes in anticipation thereof to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 7. Subject to the provisions of this resolution and of the Law, pursuant to the provisions of Section 30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals of said notes and of Section 21.00, Section 23.00, Section 50.00, Sections 56.00 to 60.00, Section 62.00, Section 63.00 and Section 164.00 of the Law, the powers and duties of the County Legislature pertaining or incidental to the sale and issuance of the obligations herein authorized, including but not limited to authorizing bond anticipation notes and prescribing the terms, form and contents and as to the sale and issuance of the bonds herein authorized and of any bond anticipation notes issued in anticipation of said bonds, and the renewals of said notes, are hereby delegated to the County Comptroller, the chief fiscal officer of the County.

Section 8. The County Comptroller is further authorized to take such actions and execute such documents as may be necessary to ensure the continued status of the interest on the bonds authorized by this resolution and any notes issued in anticipation thereof, as excludable from gross income for federal income tax purposes pursuant to Section 103 of the Internal Revenue Code of 1986, as amended (the "Code") and to designate the bonds authorized by this resolution and any notes issued in anticipation thereof, if applicable, as "qualified tax-exempt bonds" in accordance with Section 265(b)(3)(B)(i) of the Code.

Section 9. The County Comptroller is further authorized to enter into a continuing disclosure undertaking with the initial purchaser of the bonds or notes authorized by this resolution, containing provisions which are satisfactory to such purchaser in compliance with the provisions of Rule 15c2-12, promulgated by the Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934.

Section 10. Pursuant to Article 8 of the Environmental Conservation Law, Chapter 43-B of the Consolidated Laws of New York, as amended (the "SEQR Act") and the regulations adopted pursuant thereto by the Department of Environmental Conservation of the State of New York, being 6 NYCRR Part 617, as amended (the "Regulations" and collectively with the SEQR Act, "SEQRA"), the County must satisfy the requirements contained in SEQRA prior to making a final determination whether to proceed with the above referenced project. Based upon an examination of the project and a memorandum from the Albany County Department of Economic Development, Conservation and Planning, the County hereby makes the following determination: The projects authorized by this resolution each constitute a "Type II action" pursuant to 6 NYCRR 617.5(c)(1), (2) and (31), and therefore, pursuant to 6 NYCRR 617.6(a)(1)(i), the County has no further responsibilities under SEQRA with respect to such projects.

Section 11. The County may initially use funds from the General Fund or such other funds that may be available to pay the cost of the specific objects or purposes authorized by this resolution, pursuant to Section 165.10 of the Law. The County then reasonably expects to reimburse such expenditure with the proceeds of the bonds or bond anticipation notes authorized by Section 4 of this resolution. This resolution shall constitute the declaration of the County's "official intent" to reimburse the expenditures authorized by Sections 1, 2 and 3 hereof with the proceeds of the bonds and notes authorized herein, as required by United States Treasury Regulation Section 1.150-2.

Section 12. The validity of the bonds authorized by this resolution, and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

- (1) (a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or
- (b) the provisions of law which should be complied with at the date of the publication of such resolution are not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or
- (2) such obligations are authorized in violation of the provisions of the constitution.

Section 13. This bond resolution shall take effect immediately and the Clerk of the County Legislature is hereby authorized and directed to publish the foregoing resolution in full (or a summary as permitted by the Law), together with a notice attached in substantially the form as prescribed in Section 81.00 of the Law, in the Evangelist and the Times Union, newspapers designated as the official newspapers of the County for such publication.

On long roll call vote the following members voted in favor: Burgdorf, Clay, Cleary, Collins, Commisso, Cunningham, Drake, Efekoro, Ethier, Feeney, Grimm, A.

State of New York
County of Albany

This is to certify that I, the undersigned, Clerk of the Albany County Legislature, have compared the foregoing copy of the resolution and/or local law with the original resolution and/or local law now on file in the office, and which was passed by the Legislature of said County on the 13th day of February, 2023, a majority of all members elected to the Legislature voting in favor thereof, and that the same is a correct and true transcript of such original resolution and/or local law and the whole thereof.



IN WITNESS THEREOF, I have hereunto set my hand and the official seal of the County Legislature this 14th day of February, 2023.


Clerk, Albany County Legislature

RESOLUTION NO. 144

BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, DATED JULY 14, 2025, AUTHORIZING VARIOUS BUILDING UPGRADES AND IMPROVEMENTS, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$7,000,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$7,000,000 BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Introduced: 4/14/25

By Audit and Finance Committee:

THE COUNTY LEGISLATURE OF THE COUNTY OF ALBANY, NEW YORK, HEREBY RESOLVES AS FOLLOWS:

Section 1. The County of Albany, New York (the “County”) is hereby authorized to construct various building upgrades and improvements at County-owned properties, as further described in the County’s 2026-2030 Capital Program, as amended and supplemented (hereinafter referred to as the “Capital Program”). The estimated maximum cost of said specific object or purpose, including preliminary costs and costs incidental thereto and the financing thereof (including the costs relating to the issuance of the obligations authorized by this resolution), is an amount not to exceed \$7,000,000 and said amount is hereby appropriated therefor. The plan of financing is described as follows: (a) the issuance of an amount not to exceed \$7,000,000 of bonds (and bond anticipation notes in anticipation of the issuance of such bonds) in such series and amounts as may be necessary to pay the cost thereof, (b) the receipt of various federal and state grants, and/or (c), subject to the discretion of the County, the application of moneys from the County’s General Fund or such other moneys of the County that may be available to pay the costs thereof. In the event that such grants or other moneys become available, the County will issue obligations in a principal amount less than the \$7,000,000 amount authorized by this resolution, or pay down such obligations with the amount of such grants and other moneys received by the County.

Section 2. Bonds (and bond anticipation notes in anticipation of the issuance of such bonds) in the aggregate principal amount not to exceed \$7,000,000 to finance said appropriation are hereby authorized to be issued pursuant to the provisions of the Law.

Section 3. The following additional matters are hereby determined and stated:

(a) The period of probable usefulness applicable to the specific object or purpose herein authorized and for which \$7,000,000 of said bonds are herein authorized to be issued, within the limitations of Section 11.00a.12(a)(2) of the New York Local Finance Law (the “Law”), is fifteen (15) years.

(b) Current funds are not required by the Law to be provided as a down payment prior to the issuance of the bonds authorized by this resolution or any bond anticipation notes issued in anticipation thereof in accordance with Section 107.00 of the Law.

(c) The proposed maturity of the bonds authorized by this resolution will exceed five (5) years.

Section 4. The bonds authorized by this resolution and any notes issued in anticipation of the sale of such bonds shall contain the recital of validity prescribed by Section 52.00 of the Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County, payable as to both principal and interest by a general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and provision shall be made annually in the budget of the County by appropriation for (a) the amortization and redemption of the bonds and any notes in anticipation thereof to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 5. Subject to the provisions of this resolution and of the Law, pursuant to the provisions of Section 30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals of said notes and of Section 21.00, Section 23.00, Section 50.00, Sections 56.00 to 60.00, Section 62.00, Section 63.00, Section 164.00 and Section 168.00 of the Law, the powers and duties of the County Legislature pertaining or incidental to the sale and issuance of the obligations herein authorized, including but not limited to authorizing bond anticipation notes and prescribing the terms, form and contents and as to the sale and issuance of the bonds herein authorized and of any bond anticipation notes issued in anticipation of said bonds, and the renewals of said notes, are hereby delegated to the County Comptroller, the chief fiscal officer of the County.

Section 6. The County Comptroller is further authorized to take such actions and execute such documents as may be necessary to ensure the continued status of the interest on the bonds authorized by this resolution and any notes issued in anticipation thereof, as excludable from gross income for federal income tax purposes pursuant to Section 103 of the Internal Revenue Code of 1986, as amended (the "Code") and to designate the bonds authorized by this resolution and any notes issued in anticipation thereof, if applicable, as "qualified tax-exempt bonds" in accordance with Section 265(b)(3)(B)(i) of the Code.

Section 7. The County Comptroller is further authorized to enter into a continuing disclosure undertaking with the initial purchaser of the bonds or notes authorized by this resolution, containing provisions which are satisfactory to such purchaser in compliance with the provisions of Rule 15c2-12, promulgated by the

Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934.

Section 8. Pursuant to Article 8 of the Environmental Conservation Law, Chapter 43-B of the Consolidated Laws of New York, as amended (the “SEQR Act”) and the regulations adopted pursuant thereto by the Department of Environmental Conservation of the State of New York, being 6 NYCRR Part 617, as amended (the “Regulations” and collectively with the SEQR Act, “SEQRA”), the County must satisfy the requirements contained in SEQRA prior to making a final determination whether to proceed with the above referenced project. Based upon an examination of the projects and memoranda from the Albany County Department of Economic Development, Conservation and Planning, the County hereby makes the following determination: The project authorized by this resolution described in Section 1 constitutes a “Type II action” pursuant to 6 NYCRR 617.5(c)(1) and (5); and therefore that, pursuant to 6 NYCRR 617.6(a)(1)(i), the County has no further responsibilities under SEQRA with respect to the project.

Section 9. The County may initially use funds from the General Fund or such other funds that may be available to pay the cost of the specific objects or purposes authorized by this resolution, pursuant to Section 165.10 of the Law. If the County determines to issue bonds or bond anticipation notes to finance the specific objects or purposes authorized by this resolution, the County then reasonably expects to reimburse such expenditures with the proceeds of such bonds or bond anticipation notes. If the County determines to issue bonds or bond anticipation notes to finance the specific objects or purposes authorized by this resolution, then this resolution shall constitute the declaration of the County’s “official intent” to reimburse the expenditures authorized by Section 1 hereof with the proceeds of the bonds and notes authorized herein, as required by United States Treasury Regulation Section 1.150-2. The provisions of this section are expressly subject to the plan of finance as determined by the County as described in Section 1 above.

Section 10. The validity of the bonds authorized by this resolution, and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

- (1) (a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or
- (b) the provisions of law which should be complied with at the date of the publication of such resolution are not substantially complied with,

and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or

- (2) such obligations are authorized in violation of the provisions of the constitution.

Section 11. This bond resolution shall take effect immediately and the Clerk of the County Legislature is hereby authorized and directed to publish the foregoing resolution in full (or a summary as permitted by the Law), together with a notice attached in substantially the form as prescribed in Section 81.00 of the Law, in the official newspaper(s) of the County for such publication.

Referred to Audit and Finance Committee - 4/14/25

Favorable Recommendation Audit and Finance – 6/26/25



DANIEL P. MCCOY
COUNTY EXECUTIVE

MICHAEL McLAUGHLIN
DEPUTY COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF GENERAL SERVICES
112 STATE STREET, SUITE 1300
ALBANY, NEW YORK 12207
(518) 447-7210 FAX (518) 447-7747
WWW.ALBANYCOUNTYNY.GOV

DAVID M. LATINA
COMMISSIONER

SCOTT D. ALLARDICE
DEPUTY COMMISSIONER

February 13, 2025

Honorable Joanne Cunningham, Chairwoman
Albany County Legislature
112 State Street, Room 710
Albany, New York 12207

Re: Bond Resolution for County Facilities Roof Renovations

Dear Chairwoman Cunningham:

The Department of General Services respectfully requests approval of a bond resolution authorizing \$1,500,000 for County Facility Roof Renovations. The purpose of this project is to address the ongoing needed reconstruction and renovations to the roofs of various County owned properties. This project is essential to keeping our buildings safe and avoid additional problems.

This project has been included in the current Capital Plan.

If you should have any further questions, please do not hesitate to contact me.

Sincerely yours,

David M. Latina
Commissioner

DML:tas
Enclosure(s)

cc: Dennis Feeney, Majority Leader
Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6366, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Bond Resolution for County Facilities Roof Renovations

Date: 2/12/2025
Department: General Services
Attending Meeting: Commissioner Latina
Submitted By: David M Latina
Title: Commissioner
Phone: 518-447-7210

Purpose of Request: Bond Approval Enter text.

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:
Enter text.

Term: (Start/end date or duration) Enter text.
Amount/Raise Schedule/Fee: \$1,500,000.00

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Budget: Yes ☒ No ☐
Spreadsheet attached: Yes ☐ No ☒

Source of Funding - (Percentages)

Federal: Enter text. County: 100%
State: Enter text. Local: Enter text.

County Budget Accounts:

Revenue Account and Line: Enter text.
Revenue Amount: Enter text.
Appropriation Account and Line: Enter text.
Appropriation Amount: Enter text.

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒
If Mandated, Cite Authority: Enter text.
Request for Bids / Proposals:

Competitive Bidding Exempt:	Yes ☐ No ☐
# of Response(s):	Enter text.
# of MWBE:	Enter text.
# of Veteran Business:	Enter text.
Bond Resolution No.:	Enter text.
Apprenticeship Program	Yes ☐ No ☐

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: Enter text.

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

The Department of General Services respectfully requests approval of a bond resolution authorizing \$1,500,000 for County Facility Roof Renovations. The purpose of this project is to address the ongoing needed reconstruction and renovations to the roofs of various County owned properties. This project is essential to keeping our buildings safe and avoid additional problems.

This project has been included in the current Capital Plan.

Various Building Upgrades & Improvements

Project Number: DGS-23-01

Project Status: 1 New Existing Amended

Amendment(s):

As part of our efforts to maintain all existing facilities, this project will address any office modernization & upgrades, relocations, renovations, any pre & post site work, design fees, various internal / external upgrades, and elevator modernizations.

	Year	Pre 2022	2022	2023	2024	2025	2026	2027	Total
County Debt (Bonds & BANS)				7.000	7.000	7.000			21.000
NYS Reimbursement									
Total County Cost		-	-	7.000	7.000	7.000	-	-	21.000

County Facility Roof Renovations

Project Number: DGS-23-02

Project Status: 1 New Existing Amended

Amendment(s):

The purpose of this project is to address the ongoing needed reconstructure and renovations to the roofs of various County owned properties. This project is essential to keeping our buildings safe and avoid additional problems.

	Year	Pre 2022	2022	2023	2024	2025	2026	2027	Total
County Debt (Bonds & BANS)				1.500	0.500	0.500			2.500
NYS Reimbursement									
Total County Cost		-	-	1.500	0.500	0.500	-	-	2.500

Various Mechanical Upgrades & Improvements

Project Number: DGS-23-03

Project Status: 1 New Existing Amended

Amendment(s):

As part of our efforts to maintain any/all existing facilities, this project will address any mechanical upgrades to our properties. These improvements will generally include, but not be limited to, all HVAC, energy management system improvements, all chiller, and electrical systems.

	Year	Pre 2022	2022	2023	2024	2025	2026	2027	Total
County Debt (Bonds & BANS)				3.000	3.000	3.000			9.000
NYS Reimbursement									
Total County Cost		-	-	3.000	3.000	3.000	-	-	9.000

General Services Capital Plan Summary: All Projects

New Projects: 3

Existing Projects: 2

Amended Projects: 9

Project Financing (in millions of dollars)

Year	Pre 2022	2022	2023	2024	2025	2026	2027	Total
County Debt (Bonds & BANS)	45.190	6.550	13.800	12.000	12.000	1.500	0.500	91.540
NYS Reimbursement	(0.310)	(0.310)	(0.810)	(0.310)	(0.310)	(0.310)	(0.310)	(2.670)
Total County Cost	44.880	6.240	12.990	11.690	11.690	1.190	0.190	88.870

RESOLUTION NO. 10

BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, AUTHORIZING VARIOUS CAPITAL PROJECTS FOR THE DEPARTMENT OF GENERAL SERVICES, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$11,500,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$11,500,000 OF SERIAL BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Introduced: 2/13/23

By Audit and Finance Committee:

THE COUNTY LEGISLATURE OF THE COUNTY OF ALBANY, NEW YORK, HEREBY RESOLVES AS FOLLOWS:

Section 1. The County of Albany, New York (the "County") is hereby authorized to undertake County facility roof renovations to various County owned properties, together with any necessary site work and the acquisition and installation of furnishings, equipment, machinery and apparatus for the foregoing purposes, as further described in the 2023 Capital Plan in the County's 2023-2027 Capital Program, as amended and supplemented (hereinafter referred to as the "Capital Program"). The estimated maximum cost of said class of objects or purposes, including preliminary costs and costs incidental thereto and the financing thereof (including costs relating to the issuance of the obligations authorized by this resolution), is an amount not to exceed \$1,500,000 and said amount is hereby appropriated therefor. The plan of financing includes the issuance of an amount not to exceed \$1,500,000 of serial bonds (and bond anticipation notes in anticipation of the issuance of such serial bonds) in such series and amounts as may be necessary to pay the cost thereof, but in no event in excess of \$1,500,000 to pay the cost of the capital project.

The period of probable usefulness of the class of objects or purposes herein authorized and for which \$1,500,000 of said serial bonds are herein authorized to be issued, within the limitations of Section 11.00a.12(a)(1) of the New York Local Finance Law (the "Law"), is twenty-five (25) years.

Section 2. The County is hereby authorized to undertake various capital projects consisting of various building upgrades and improvements, such upgrades and improvements to include office modernization and upgrades prior to relocations (fit-up costs and office build out), pre and post site work, design fees, various internal and external upgrades and elevator modernizations, together with any necessary site work and the acquisition and installation of furnishings, equipment, machinery and apparatus for the foregoing purposes, as further described in the 2023 Capital Plan in the County's 2023-2027 Capital Program, as amended and supplemented (hereinafter referred to as the "Capital Program"). The estimated maximum cost of said class of objects or purposes, including preliminary costs and costs incidental

thereto and the financing thereof (including costs relating to the issuance of the obligations authorized by this resolution), is an amount not to exceed \$7,000,000 and said amount is hereby appropriated therefor. The plan of financing includes the issuance of an amount not to exceed \$7,000,000 of serial bonds (and bond anticipation notes in anticipation of the issuance of such serial bonds) in such series and amounts as may be necessary to pay the cost thereof, but in no event in excess of \$7,000,000 to pay the cost of the capital project.

The period of probable usefulness of the class of objects or purposes herein authorized and for which \$7,000,000 of said serial bonds are herein authorized to be issued, within the limitations of Section 11.00a.12(a)(1) of the New York Local Finance Law (the "Law"), is twenty-five (25) years.

Section 3. The County is hereby authorized to undertake various capital projects consisting of various mechanical upgrades and improvements, such upgrades and improvements to include all HVAC, energy management system improvements, all chiller and electrical systems, together with any necessary site work and the acquisition and installation of furnishings, equipment, machinery and apparatus for the foregoing purposes, as further described in the 2023 Capital Plan in the County's 2023-2027 Capital Program, as amended and supplemented (hereinafter referred to as the "Capital Program"). The estimated maximum cost of said class of objects or purposes, including preliminary costs and costs incidental thereto and the financing thereof (including costs relating to the issuance of the obligations authorized by this resolution), is an amount not to exceed \$3,000,000 and said amount is hereby appropriated therefor. The plan of financing includes the issuance of an amount not to exceed \$3,000,000 of serial bonds (and bond anticipation notes in anticipation of the issuance of such serial bonds) in such series and amounts as may be necessary to pay the cost thereof, but in no event in excess of \$3,000,000 to pay the cost of the capital project.

The period of probable usefulness of the class of objects or purposes herein authorized and for which \$3,000,000 of said serial bonds are herein authorized to be issued, within the limitations of Section 11.00a.13 of the New York Local Finance Law (the "Law"), is fifteen (15) years.

Section 4. Serial bonds (and bond anticipation notes in anticipation of the issuance of such serial bonds) in the aggregate principal amount not to exceed \$11,500,000 to finance said appropriations are hereby authorized to be issued pursuant to the provisions of the Law.

Section 5. The following additional matters are hereby determined and stated:

(a) The buildings described above are class "A" buildings, as defined in Section 11.00a11(a) of the Law.

(b) Current funds are not required by the Law to be provided as a down payment prior to the issuance of the serial bonds authorized by this resolution or any bond anticipation notes issued in anticipation thereof in accordance with Section 107.00 of the Law.

(c) The proposed maturity of the bonds authorized by this resolution will exceed five (5) years.

Section 6. The serial bonds authorized by this resolution and any notes issued in anticipation of the sale of such bonds shall contain the recital of validity prescribed by Section 52.00 of the Law and said serial bonds and any notes issued in anticipation of said bonds shall be general obligations of the County, payable as to both principal and interest by a general tax upon all the taxable real property within the County without limitation of rate or amount. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and provision shall be made annually in the budget of the County by appropriation for (a) the amortization and redemption of the bonds and any notes in anticipation thereof to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 7. Subject to the provisions of this resolution and of the Law, pursuant to the provisions of Section 30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals of said notes and of Section 21.00, Section 23.00, Section 50.00, Sections 56.00 to 60.00, Section 62.00, Section 63.00 and Section 164.00 of the Law, the powers and duties of the County Legislature pertaining or incidental to the sale and issuance of the obligations herein authorized, including but not limited to authorizing bond anticipation notes and prescribing the terms, form and contents and as to the sale and issuance of the bonds herein authorized and of any bond anticipation notes issued in anticipation of said bonds, and the renewals of said notes, are hereby delegated to the County Comptroller, the chief fiscal officer of the County.

Section 8. The County Comptroller is further authorized to take such actions and execute such documents as may be necessary to ensure the continued status of the interest on the bonds authorized by this resolution and any notes issued in anticipation thereof, as excludable from gross income for federal income tax purposes pursuant to Section 103 of the Internal Revenue Code of 1986, as amended (the "Code") and to designate the bonds authorized by this resolution and any notes issued in anticipation thereof, if applicable, as "qualified tax-exempt bonds" in accordance with Section 265(b)(3)(B)(i) of the Code.

Section 9. The County Comptroller is further authorized to enter into a continuing disclosure undertaking with the initial purchaser of the bonds or notes authorized by this resolution, containing provisions which are satisfactory to such purchaser in compliance with the provisions of Rule 15c2-12, promulgated by the Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934.

Section 10. Pursuant to Article 8 of the Environmental Conservation Law, Chapter 43-B of the Consolidated Laws of New York, as amended (the "SEQR Act") and the regulations adopted pursuant thereto by the Department of Environmental Conservation of the State of New York, being 6 NYCRR Part 617, as amended (the "Regulations" and collectively with the SEQR Act, "SEQRA"), the County must satisfy the requirements contained in SEQRA prior to making a final determination whether to proceed with the above referenced project. Based upon an examination of the project and a memorandum from the Albany County Department of Economic Development, Conservation and Planning, the County hereby makes the following determination: The projects authorized by this resolution each constitute a "Type II action" pursuant to 6 NYCRR 617.5(c)(1), (2) and (31), and therefore, pursuant to 6 NYCRR 617.6(a)(1)(i), the County has no further responsibilities under SEQRA with respect to such projects.

Section 11. The County may initially use funds from the General Fund or such other funds that may be available to pay the cost of the specific objects or purposes authorized by this resolution, pursuant to Section 165.10 of the Law. The County then reasonably expects to reimburse such expenditure with the proceeds of the bonds or bond anticipation notes authorized by Section 4 of this resolution. This resolution shall constitute the declaration of the County's "official intent" to reimburse the expenditures authorized by Sections 1, 2 and 3 hereof with the proceeds of the bonds and notes authorized herein, as required by United States Treasury Regulation Section 1.150-2.

Section 12. The validity of the bonds authorized by this resolution, and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

- (1) (a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or
- (b) the provisions of law which should be complied with at the date of the publication of such resolution are not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or
- (2) such obligations are authorized in violation of the provisions of the constitution.

Section 13. This bond resolution shall take effect immediately and the Clerk of the County Legislature is hereby authorized and directed to publish the foregoing resolution in full (or a summary as permitted by the Law), together with a notice attached in substantially the form as prescribed in Section 81.00 of the Law, in the Evangelist and the Times Union, newspapers designated as the official newspapers of the County for such publication.

On long roll call vote the following members voted in favor: Burgdorf, Clay, Cleary, Collins, Commisso, Cunningham, Drake, Efekoro, Ethier, Feeney, Grimm, A.

State of New York
County of Albany

This is to certify that I, the undersigned, Clerk of the Albany County Legislature, have compared the foregoing copy of the resolution and/or local law with the original resolution and/or local law now on file in the office, and which was passed by the Legislature of said County on the 13th day of February, 2023, a majority of all members elected to the Legislature voting in favor thereof, and that the same is a correct and true transcript of such original resolution and/or local law and the whole thereof.



IN WITNESS THEREOF, I have hereunto set my hand and the official seal of the County Legislature this 14th day of February, 2023.


Clerk, Albany County Legislature

RESOLUTION NO. 145

BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, DATED JULY 14, 2025, AUTHORIZING ROOF RENOVATIONS AND/OR REPLACEMENT AT VARIOUS COUNTY-OWNED PROPERTIES, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$1,500,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$1,500,000 BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Introduced: 4/14/25

By Audit and Finance Committee:

THE COUNTY LEGISLATURE OF THE COUNTY OF ALBANY, NEW YORK, HEREBY RESOLVES AS FOLLOWS:

Section 1. The County of Albany, New York (the “County”) is hereby authorized to undertake roof renovations and/or replacement at various County-owned properties, as further described in the County’s 2026-2030 Capital Program, as amended and supplemented (hereinafter referred to as the “Capital Program”). The estimated maximum cost of said specific object or purpose, including preliminary costs and costs incidental thereto and the financing thereof (including the costs relating to the issuance of the obligations authorized by this resolution), is an amount not to exceed \$1,500,000 and said amount is hereby appropriated therefor. The plan of financing is described as follows: (a) the issuance of an amount not to exceed \$1,500,000 of bonds (and bond anticipation notes in anticipation of the issuance of such bonds) in such series and amounts as may be necessary to pay the cost thereof, (b) the receipt of various federal and state grants, and/or (c), subject to the discretion of the County, the application of moneys from the County’s General Fund or such other moneys of the County that may be available to pay the costs thereof. In the event that such grants or other moneys become available, the County will issue obligations in a principal amount less than the \$1,500,000 amount authorized by this resolution, or pay down such obligations with the amount of such grants and other moneys received by the County.

Section 2. Bonds (and bond anticipation notes in anticipation of the issuance of such bonds) in the aggregate principal amount not to exceed \$1,500,000 to finance said appropriation are hereby authorized to be issued pursuant to the provisions of the Law.

Section 3. The following additional matters are hereby determined and stated:

(a) The period of probable usefulness applicable to the specific object or purpose herein authorized and for which \$1,500,000 of said bonds are herein

authorized to be issued, within the limitations of Section 11.00a.12(a)(2) of the New York Local Finance Law (the “Law”), is fifteen (15) years.

(b) Current funds are not required by the Law to be provided as a down payment prior to the issuance of the bonds authorized by this resolution or any bond anticipation notes issued in anticipation thereof in accordance with Section 107.00 of the Law.

(c) The proposed maturity of the bonds authorized by this resolution will exceed five (5) years.

Section 4. The bonds authorized by this resolution and any notes issued in anticipation of the sale of such bonds shall contain the recital of validity prescribed by Section 52.00 of the Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County, payable as to both principal and interest by a general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and provision shall be made annually in the budget of the County by appropriation for (a) the amortization and redemption of the bonds and any notes in anticipation thereof to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 5. Subject to the provisions of this resolution and of the Law, pursuant to the provisions of Section 30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals of said notes and of Section 21.00, Section 23.00, Section 50.00, Sections 56.00 to 60.00, Section 62.00, Section 63.00, Section 164.00 and Section 168.00 of the Law, the powers and duties of the County Legislature pertaining or incidental to the sale and issuance of the obligations herein authorized, including but not limited to authorizing bond anticipation notes and prescribing the terms, form and contents and as to the sale and issuance of the bonds herein authorized and of any bond anticipation notes issued in anticipation of said bonds, and the renewals of said notes, are hereby delegated to the County Comptroller, the chief fiscal officer of the County.

Section 6. The County Comptroller is further authorized to take such actions and execute such documents as may be necessary to ensure the continued status of the interest on the bonds authorized by this resolution and any notes issued in anticipation thereof, as excludable from gross income for federal income tax purposes pursuant to Section 103 of the Internal Revenue Code of 1986, as amended (the “Code”) and to designate the bonds authorized by this resolution and any notes issued in anticipation thereof, if applicable, as “qualified tax-exempt bonds” in accordance with Section 265(b)(3)(B)(i) of the Code.

Section 7. The County Comptroller is further authorized to enter into a continuing disclosure undertaking with the initial purchaser of the bonds or notes

authorized by this resolution, containing provisions which are satisfactory to such purchaser in compliance with the provisions of Rule 15c2-12, promulgated by the Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934.

Section 8. Pursuant to Article 8 of the Environmental Conservation Law, Chapter 43-B of the Consolidated Laws of New York, as amended (the “SEQR Act”) and the regulations adopted pursuant thereto by the Department of Environmental Conservation of the State of New York, being 6 NYCRR Part 617, as amended (the “Regulations” and collectively with the SEQR Act, “SEQRA”), the County must satisfy the requirements contained in SEQRA prior to making a final determination whether to proceed with the above referenced project. Based upon an examination of the projects and memoranda from the Albany County Department of Economic Development, Conservation and Planning, the County hereby makes the following determination: The project authorized by this resolution described in Section 1 constitutes a “Type II action” pursuant to 6 NYCRR 617.5(c)(1) and (5); and therefore that, pursuant to 6 NYCRR 617.6(a)(1)(i), the County has no further responsibilities under SEQRA with respect to the project.

Section 9. The County may initially use funds from the General Fund or such other funds that may be available to pay the cost of the specific objects or purposes authorized by this resolution, pursuant to Section 165.10 of the Law. If the County determines to issue bonds or bond anticipation notes to finance the specific objects or purposes authorized by this resolution, the County then reasonably expects to reimburse such expenditures with the proceeds of such bonds or bond anticipation notes. If the County determines to issue bonds or bond anticipation notes to finance the specific objects or purposes authorized by this resolution, then this resolution shall constitute the declaration of the County’s “official intent” to reimburse the expenditures authorized by Section 1 hereof with the proceeds of the bonds and notes authorized herein, as required by United States Treasury Regulation Section 1.150-2. The provisions of this section are expressly subject to the plan of finance as determined by the County as described in Section 1 above.

Section 10. The validity of the bonds authorized by this resolution, and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

- (1) (a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or
- (b) the provisions of law which should be complied with at the date of the publication of such resolution are not substantially complied with,

and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or

- (2) such obligations are authorized in violation of the provisions of the constitution.

Section 11. This bond resolution shall take effect immediately and the Clerk of the County Legislature is hereby authorized and directed to publish the foregoing resolution in full (or a summary as permitted by the Law), together with a notice attached in substantially the form as prescribed in Section 81.00 of the Law, in the official newspaper(s) of the County for such publication.

Referred to Audit and Finance Committee - 4/14/25

Favorable Recommendation Audit and Finance Committee – 6/26/25

LOCAL LAW NO. "E" FOR 2025

A LOCAL LAW OF THE COUNTY OF ALBANY, NEW YORK, IMPOSING AN ADDITIONAL ONE PERCENT RATE OF TAX ON SALES AND USES OF TANGIBLE PERSONAL PROPERTY AND OF CERTAIN SERVICES, AND ON OCCUPANCY OF HOTEL ROOMS AND AMUSEMENT CHARGES, PURSUANT TO ARTICLE 29 OF THE TAX LAW OF THE STATE OF NEW YORK

Introduced: 6/9/25

By Cunningham:

BE IT ENACTED by the County Legislature of the County of Albany, as follows:

SECTION 1. The first sentence of Section 2 of Local Law No. 3 for 1967, entitled "A Local Law of the County of Albany in relation to the imposition of a County Sales and Use Tax", as amended, is amended to read as follows:

"SECTION 2. Imposition of sales tax. On and after March 1, 1970, there is hereby imposed and there shall be paid a tax of three percent upon, and for the period commencing September 1, 1992, and ending November 30, 2027, there is hereby imposed and there shall be paid an additional tax at the rate of one percent upon."

SECTION 2. Section 2-B of such Local Law No. 3 for 1967, as amended, is amended to read as follows:

"SECTION 2-B. Exemption of certain energy sources and related services from additional one percent rate of tax.

Notwithstanding any inconsistent provision of this Local Law, receipts from the sale of property and services described in Section 2-A of this Local Law and consideration given or contracted to be given for such property and services shall be exempt from the additional one percent rate of sales and compensating use taxes imposed by Sections 2 and 4, respectively, of this Local Law for the period commencing September 1, 1992, and ending November 30, 2027."

SECTION 3. Subdivision (g) of Section 3 of such Local Law No. 3 for 1967, as amended, is amended to read as follows:

"(g) With respect to the additional tax of one percent imposed for the period commencing September 1, 1992, and ending November 30, 2027, the provisions of subdivisions (a), (b), (c), (d) and (e) of this Section apply,

except that for the purposes of this subdivision, all references in said subdivisions (a), (b), (c) and (d) to an effective date shall be read as referring to September 1, 1992, all references in said subdivision (a) to the date four months prior to the effective date shall be read as referring to May 1, 1992, and the reference in subdivision (b) to the date immediately preceding the effective date shall be read as referring to August 31, 1992. Nothing herein shall be deemed to exempt from tax at the rate in effect prior to September 1, 1992, any transaction which may not be subject to the additional tax imposed effective on that date.”

SECTION 4. Section 4 of such Local Law No. 3 for 1967, as amended, is amended to read as follows:

“SECTION 4. Imposition of compensating use tax.

(a) Except to the extent that property or services have already been or will be subject to the sales tax under this enactment, there is hereby imposed on every person a use tax for the use within this taxing jurisdiction on and after September 1, 1992, except as otherwise exempted under this enactment, (A) of any tangible personal property purchased at retail, (B) of any tangible personal property (other than computer software used by the author or other creator) manufactured, processed or assembled by the user, (i) if items of the same kind of tangible personal property are offered for sale by him in the regular course of business or (ii) if items are used as such or incorporated into a structure, building or real property, by a contractor, subcontractor or repairman in erecting structures or buildings, or building on, or otherwise adding to, altering, improving, maintaining, servicing or repairing real property, property or land, as the terms real property, property or land are defined in the real property tax law, if items of the same kind are not offered for sale as such by such contractors, subcontractor or repairman or other user in the regular course of business, (C) of any of the services described in paragraphs (1), (7) and (8) of subdivision (c) of Section Two, (D) of any tangible personal property, however acquired, where not acquired for purposes of resale, upon which any of the services described under paragraphs (2), (3) and (7) of subdivision (c) of Section Two have been performed, (E) of any telephone answering service described in subdivision (b) of Section Two and (F) of any computer software written or otherwise created by the user if the user offers software of a similar kind for sale as such or as a component part of other property in the regular course of business.

(b) For purposes of clause (A) of subdivision (a) of this Section, for the period commencing September 1, 1992, and ending November 30,

2027, the tax shall be at the rate of four percent, and on and after December 1, 2027, the tax shall be at the rate of three percent, of the consideration given or contracted to be given for such property, or for the use of such property, including any charges for shipping or delivery as described in paragraph three of subdivision (b) of Section One, but excluding any credit for tangible personal property accepted in part payment and intended for resale.

(c) For purposes of subclause (i) of clause (B) of subdivision (a) of this section, for the period commencing September 1, 1992, and ending November 30, 2027, the tax shall be at the rate of four percent, and on and after December 1, 2027, the tax shall be at the rate of three percent, of the price at which items of the same kind of tangible personal property are offered for sale by the user, and the mere storage, keeping, retention or withdrawal from storage of tangible personal property by the person who manufactured, processed or assembled such property shall not be deemed a taxable use by him.

(d) For purposes of subclause (ii) of clause (B) of subdivision (a) of this section, for the period commencing September 1, 1992, and ending November 30, 2027, the tax shall be at the rate of four percent, and on and after December 1, 2027, the tax shall be at the rate of three percent, of the consideration given or contracted to be given for the tangible personal property manufactured, processed or assembled into the tangible personal property the use of which is subject to tax, including any charges for shipping or delivery as described in paragraph three of subdivision (b) of Section One.

(e) Notwithstanding the foregoing provision of this section, for purposes of clause (B) of subdivision (a) of this section, there shall be no tax on any portion of such price which represents the value added by the user to tangible personal property which he fabricates and installs to the specifications of an addition or capital improvement to real property, property or land, as the terms real property, property or land are defined in the real property tax law, over and above the prevailing normal purchase price prior to such fabrication of such tangible personal property which a manufacturer, producer or assembler would charge an unrelated contractor who similarly fabricated and installed such tangible personal property to the specifications of an addition or capital improvement to such real property, property or land.

(f) For purposes of clauses (C), (D) and (E) of subdivision (a) of this section, for the period commencing September 1, 1992, and ending November 30, 2027, the tax shall be at the rate of four percent, and on

and after December 1, 2027, the tax shall be at the rate of three percent, of the consideration given or contracted to be given for the service, including the consideration for any tangible personal property transferred in conjunction with the performance of the service and also including any charges for shipping and delivery of the property so transferred and of the tangible personal property upon which the service was performed as such charges are described in paragraph three of subdivision (b) of Section One.

(g) For purposes of clause (F) of subdivision (a) of this Section, for the period commencing September 1, 1992, and ending November 30, 2027, the tax shall be at the rate of four percent, and on and after December 1, 2027, the tax shall be at the rate of three percent, of the consideration given or contracted to be given for the tangible personal property which constitutes the blank medium, such as disks or tapes, used in conjunction with the software, or for the use of such property, and the mere storage, keeping, retention or withdrawal from storage of computer software described in such clause (F) by its author or other creator shall not be deemed a taxable use by such person.”

SECTION 5. Paragraph (B) of subdivision (1) of Section 11 of such Local Law No. 3 for 1967, as amended, is amended to read as follows:

(B) With respect to the additional tax of one percent imposed for the period beginning September 1, 1992, and ending November 30, 2027, in respect to the use of property used by the purchaser in this County prior to September 1, 1992.”

SECTION 6. A new subdivision (s) of section 14 of such Local Law No. 3 for 1967, as amended, is added to read as follows:

“(s) Notwithstanding any inconsistent provision of law, the County shall allocate and distribute quarterly to the cities and the area in the County outside the cities the same proportion of net collections attributable to the additional one percent rate of taxes imposed by sections two and four of this Local Law for the period commencing December 1, 2025 and ending November 30, 2027, as the County allocates and distributes the net collections from the County’s three percent rate of such taxes, as of July 20, 2025, and such portion of net collections attributable to such additional one percent rate of such taxes shall be allocated and distributed to the towns and villages in the County in the same manner as the net collections attributable to the County’s three percent rate of such taxes are allocated and distributed to such towns and villages as of July 20, 2025. In the event that any city

in the County exercises its prior right to impose tax pursuant to Section 1224 of the New York Tax Law, then the County shall not allocate and distribute net collections in accordance with the previous sentence for any period of time during which any such city tax is in effect, and the County shall instead set aside net collections attributable to such additional one percent rate of such taxes for County purposes for any such period that any such city tax is in effect.

SECTION 7. This enactment shall take effect December 1, 2025.

Referred to Law and Audit and Finance Committees – 6/9/25

RESOLUTION NO. 145

BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, DATED JULY 14, 2025, AUTHORIZING ROOF RENOVATIONS AND/OR REPLACEMENT AT VARIOUS COUNTY-OWNED PROPERTIES, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$1,500,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$1,500,000 BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Introduced: 4/14/25

By Audit and Finance Committee:

THE COUNTY LEGISLATURE OF THE COUNTY OF ALBANY, NEW YORK, HEREBY RESOLVES AS FOLLOWS:

Section 1. The County of Albany, New York (the “County”) is hereby authorized to undertake roof renovations and/or replacement at various County-owned properties, as further described in the County’s 2026-2030 Capital Program, as amended and supplemented (hereinafter referred to as the “Capital Program”). The estimated maximum cost of said specific object or purpose, including preliminary costs and costs incidental thereto and the financing thereof (including the costs relating to the issuance of the obligations authorized by this resolution), is an amount not to exceed \$1,500,000 and said amount is hereby appropriated therefor. The plan of financing is described as follows: (a) the issuance of an amount not to exceed \$1,500,000 of bonds (and bond anticipation notes in anticipation of the issuance of such bonds) in such series and amounts as may be necessary to pay the cost thereof, (b) the receipt of various federal and state grants, and/or (c), subject to the discretion of the County, the application of moneys from the County’s General Fund or such other moneys of the County that may be available to pay the costs thereof. In the event that such grants or other moneys become available, the County will issue obligations in a principal amount less than the \$1,500,000 amount authorized by this resolution, or pay down such obligations with the amount of such grants and other moneys received by the County.

Section 2. Bonds (and bond anticipation notes in anticipation of the issuance of such bonds) in the aggregate principal amount not to exceed \$1,500,000 to finance said appropriation are hereby authorized to be issued pursuant to the provisions of the Law.

Section 3. The following additional matters are hereby determined and stated:

(a) The period of probable usefulness applicable to the specific object or purpose herein authorized and for which \$1,500,000 of said bonds are herein

authorized to be issued, within the limitations of Section 11.00a.12(a)(2) of the New York Local Finance Law (the “Law”), is fifteen (15) years.

(b) Current funds are not required by the Law to be provided as a down payment prior to the issuance of the bonds authorized by this resolution or any bond anticipation notes issued in anticipation thereof in accordance with Section 107.00 of the Law.

(c) The proposed maturity of the bonds authorized by this resolution will exceed five (5) years.

Section 4. The bonds authorized by this resolution and any notes issued in anticipation of the sale of such bonds shall contain the recital of validity prescribed by Section 52.00 of the Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County, payable as to both principal and interest by a general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and provision shall be made annually in the budget of the County by appropriation for (a) the amortization and redemption of the bonds and any notes in anticipation thereof to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 5. Subject to the provisions of this resolution and of the Law, pursuant to the provisions of Section 30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals of said notes and of Section 21.00, Section 23.00, Section 50.00, Sections 56.00 to 60.00, Section 62.00, Section 63.00, Section 164.00 and Section 168.00 of the Law, the powers and duties of the County Legislature pertaining or incidental to the sale and issuance of the obligations herein authorized, including but not limited to authorizing bond anticipation notes and prescribing the terms, form and contents and as to the sale and issuance of the bonds herein authorized and of any bond anticipation notes issued in anticipation of said bonds, and the renewals of said notes, are hereby delegated to the County Comptroller, the chief fiscal officer of the County.

Section 6. The County Comptroller is further authorized to take such actions and execute such documents as may be necessary to ensure the continued status of the interest on the bonds authorized by this resolution and any notes issued in anticipation thereof, as excludable from gross income for federal income tax purposes pursuant to Section 103 of the Internal Revenue Code of 1986, as amended (the “Code”) and to designate the bonds authorized by this resolution and any notes issued in anticipation thereof, if applicable, as “qualified tax-exempt bonds” in accordance with Section 265(b)(3)(B)(i) of the Code.

Section 7. The County Comptroller is further authorized to enter into a continuing disclosure undertaking with the initial purchaser of the bonds or notes

authorized by this resolution, containing provisions which are satisfactory to such purchaser in compliance with the provisions of Rule 15c2-12, promulgated by the Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934.

Section 8. Pursuant to Article 8 of the Environmental Conservation Law, Chapter 43-B of the Consolidated Laws of New York, as amended (the “SEQR Act”) and the regulations adopted pursuant thereto by the Department of Environmental Conservation of the State of New York, being 6 NYCRR Part 617, as amended (the “Regulations” and collectively with the SEQR Act, “SEQRA”), the County must satisfy the requirements contained in SEQRA prior to making a final determination whether to proceed with the above referenced project. Based upon an examination of the projects and memoranda from the Albany County Department of Economic Development, Conservation and Planning, the County hereby makes the following determination: The project authorized by this resolution described in Section 1 constitutes a “Type II action” pursuant to 6 NYCRR 617.5(c)(1) and (5); and therefore that, pursuant to 6 NYCRR 617.6(a)(1)(i), the County has no further responsibilities under SEQRA with respect to the project.

Section 9. The County may initially use funds from the General Fund or such other funds that may be available to pay the cost of the specific objects or purposes authorized by this resolution, pursuant to Section 165.10 of the Law. If the County determines to issue bonds or bond anticipation notes to finance the specific objects or purposes authorized by this resolution, the County then reasonably expects to reimburse such expenditures with the proceeds of such bonds or bond anticipation notes. If the County determines to issue bonds or bond anticipation notes to finance the specific objects or purposes authorized by this resolution, then this resolution shall constitute the declaration of the County’s “official intent” to reimburse the expenditures authorized by Section 1 hereof with the proceeds of the bonds and notes authorized herein, as required by United States Treasury Regulation Section 1.150-2. The provisions of this section are expressly subject to the plan of finance as determined by the County as described in Section 1 above.

Section 10. The validity of the bonds authorized by this resolution, and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

- (1) (a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or
- (b) the provisions of law which should be complied with at the date of the publication of such resolution are not substantially complied with,

and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or

- (2) such obligations are authorized in violation of the provisions of the constitution.

Section 11. This bond resolution shall take effect immediately and the Clerk of the County Legislature is hereby authorized and directed to publish the foregoing resolution in full (or a summary as permitted by the Law), together with a notice attached in substantially the form as prescribed in Section 81.00 of the Law, in the official newspaper(s) of the County for such publication.

Referred to Audit and Finance Committee - 4/14/25

Favorable Recommendation Audit and Finance Committee – 6/26/25

LOCAL LAW NO. “F” FOR 2025

A LOCAL LAW OF THE COUNTY OF ALBANY, NEW YORK ENACTING A MORTGAGE RECORDING TAX PURSUANT TO SECTION 253-p OF THE TAX LAW OF THE STATE OF NEW YORK

Introduced: 6/9/25

By Cunningham:

BE IT ENACTED by the County Legislature of the County of Albany, as follows:

SECTION 1. Pursuant to the provision of Section 253-p of the Tax Law of the State of New York, there is hereby imposed in the County of Albany, New York a tax of twenty-five cents for each one hundred dollars and each remaining major fraction thereof of principal debt or obligation which is or under any contingency may be secured at the date of execution thereof, or at any time thereafter, by a mortgage on real property situated within Albany County and recorded on or after October 31, 2008 and a tax of twenty-five cents on such mortgage if the principal debt or obligation which is or by any contingency may be secured by such mortgage is less than one hundred dollars.

SECTION 2. The tax imposed by this local law shall be administered and collected in the same manner as the taxes imposed under subdivision one of section two hundred fifty three and paragraph (b) of subdivision one of section two hundred fifty-five of Article 11 of the Tax Law and shall be paid as provided in Section 253-p of the Tax Law and shall be in addition to the taxes imposed by Section 253 of the Tax Law.

SECTION 3. This local law shall expire December 1, 2027, provided further, however, that such expiration shall not preclude the adoption and enactment of additional local laws by the County of Albany pursuant to the provisions of Section 253-p of the Tax Law upon the expiration of this local law or any subsequent local law adopted and enacted pursuant to the provisions thereof.

SECTION 4. Notwithstanding any provision of Article 11 of the Tax Law to the contrary, the balance of all monies paid to the recording officer of the County of Albany during each month upon account of the tax imposed pursuant to this local law, after deduction of the necessary expenses of the recording officer's office as provided in Section 262 of the Tax Law, except taxes paid upon mortgages which under the provisions of this local law or Section 260 of the Tax Law are first to be apportioned by the New York State Commissioner of Taxation and Finance, shall be paid over by such officer on or before the tenth day of each succeeding month to the Albany County Director of Finance and, after the deduction by the Director of Finance

of the necessary expenses as provided in Section 262 of the Tax Law, shall be deposited in the General Fund of the County of Albany for expenditure on County purposes. Notwithstanding the provisions of the preceding sentence, the tax so imposed and paid upon mortgages covering real property situated in two or more counties, which under the provisions of this local law or Section 260 of the Tax Law are first to be apportioned by the Commissioner of Taxation and Finance, shall be paid over by the recording officer receiving the same as provided by the determination of the Commissioner.

SECTION 5. This local law shall take effect on December 1, 2025, provided that the Clerk of this Legislature shall mail a certified copy hereof by registered or certified mail to the Commissioner of the New York State Department of Taxation and Finance at least 30 days prior to such date. The Clerk of this Legislature shall also file certified copies hereof with the County Clerk of the County of Albany, the Secretary of State of the State of New York and the State Comptroller within five days after the enactment of this local law.

Referred to Law and Audit and Finance Committees – 6/9/25

RESOLUTION NO. 246

PUBLIC HEARING ON PROPOSED LOCAL LAW NO. “F” FOR 2025: A LOCAL LAW OF THE COUNTY OF ALBANY, NEW YORK ENACTING A MORTGAGE RECORDING TAX PURSUANT TO SECTION 253-p OF THE TAX LAW OF THE STATE OF NEW YORK

Introduced: 6/9/25

By Cunningham:

RESOLVED, By the County Legislature of the County of Albany that a public hearing on proposed Local Law No. “F” for 2025, “A LOCAL LAW OF THE COUNTY OF ALBANY, NEW YORK ENACTING A MORTGAGE RECORDING TAX PURSUANT TO SECTION 253-p OF THE TAX LAW OF THE STATE OF NEW YORK” to be held by the Albany County Legislature at 7:00 p.m. on Tuesday, July 29, 2025, with participation information to be made available on the Albany County website, and the Clerk of the County Legislature is directed to cause notice of such hearing to be published containing the necessary information in accordance with the applicable provisions of law.

Referred to Law and Audit and Finance Committees – 6/9/25

Favorable Recommendation Law Committee – 6/25/25

Favorable Recommendation Audit and Finance Committee – 6/26/25

LOCAL LAW NO. “Q” FOR 2024

**A LOCAL LAW OF THE COUNTY OF ALBANY, NEW YORK CREATING
CHAPTER ____ OF THE ALBANY COUNTY CODE, REGARDING THE
CREATION OF THE ALBANY COUNTY OFFICE OF ASSIGNED COUNSEL**

Introduced: 10/15/24

By: Kuhn

A LOCAL LAW amending the Laws of Albany County by adding a new Chapter XXX relating to the creation of the Albany County Office of Assigned Counsel.

BE IT ENACTED By the County Legislature of the County of Albany as follows:

Section 1. A new Chapter is hereby added to the Laws of Albany County to read as follows:

Chapter XXX

ALBANY COUNTY OFFICE OF ASSIGNED COUNSEL

Sec. XXX—101. Short Title

Sec. XXX—102. Purpose

Sec. XXX—103. Definitions

Sec. XXX—104. Board of Directors, Membership

Sec. XXX—105. Board of Directors, Powers and Duties

Sec. XXX—106. Assigned Counsel Administrator, Appointment, Term.

Sec. XXX—107. Operation of Program

Sec. XXX—108. Rules and Regulations

Sec. XXX---109. Severability

Sec. XXX—101. Short Title.

This Chapter shall be known as and cited as “The Albany County Office of Assigned Counsel.”

Sec. XXX—102. Establishment, Purpose.

Pursuant to New York State County Law Article 18-B, §722(3)(a)(b) there shall be an Office of Assigned Counsel, which shall operate as an independent office separate from the Albany County Office of the Public Defender and the Albany

County Office of the Alternate Public Defender. The purpose of said office is to maintain an Assigned Counsel Program in the County by establishing protocols and policies for assigning attorneys to indigent clients in both family court and the criminal court(s) to ensure the provision of professional, skilled, ethical and client-centered legal representation of such indigent clients. The Assigned Counsel Program shall operate in compliance with the Standards for establishing and Administering Assigned Counsel Programs promulgated by the New York State Office of Indigent Legal Services pursuant to New York State Executive Law §832 (the “Standards”).

Further, the development and creation of this plan is done in conjunction with the recent provision of funding dedicated to indigent defense provided by the State of New York via the Hurrell-Herring Settlement. This Plan shall remain in force and effect unless and until those resources are extinguished.

Sec. XXX—103. Definitions

- a. Administrator—the person who administers the Assigned Counsel Program in the County and ensures that all appropriate Standards are met;
- b. Assigned Counsel—A private attorney or attorneys, other than an attorney or attorneys employed by the Public or Alternate Public Defender’s Offices, paid by the government at an hourly rate established under New York State County Law Article 18-B to represent eligible clients;
- c. Assigned Counsel Program—A private attorney or attorneys, other than an attorney or attorneys employed by Public or Alternate Public Defender’s Office(s), paid by the government at an hourly rate established under New York State County Law 18-B to represent eligible clients;
- d. Clients—Indigent persons entitled to mandated representation in criminal defense and family law matters under New York State County Law 18-B;
- e. County—The County of Albany;
- f. Independent—Freedom from improper influence and control by any outside entity to ensure that the Assigned Counsel Program and assigned counsel make decisions based solely on the interests of their clients;

- g. Indigent—Individual(s) who do not possess the means to adequately finance their own defense. Indigent status will be determined in accordance with the applicable standards set by the New York State Office of Indigent Legal Services;
- h. Judge(s)—Judges, magistrates, and any other persons with adjudicative powers over clients eligible for mandated representation;
- i. Mandated Representation—Government-funded legal representation that is constitutionally or statutorily required including, but not limited to, representation in criminal matters pursuant to New York State County Law Article 18-B, family court proceedings, and appellate representation;
- j. Mentor(s), Mentoring Attorney(s), Resource Attorney(s)—An experienced attorney who provides training, consultation, and guidance to other attorneys on the Panel;
- k. Office of the Assigned Counsel Program—The office responsible for administering the Assigned Counsel program in Albany County;
- l. Panel—The Assigned Counsel Program’s list of attorneys eligible to receive assignments in the County, which should be limited to those in good standing and with the requisite skills and training. The Assigned Counsel Program shall develop regulations and requirements for representation that comport with applicable guidelines provided by the New York State Office of Indigent Legal Services.
- m. Panel Attorney—An attorney who has been evaluated by the Albany County Assigned Counsel Program, and is rendering services to eligible persons in family and criminal court(s);
- n. Quality Representation—Representation of clients in a professional, skilled, ethical and client-centered manner, consistent with best practices as defined by the Standards;
- o. Standards—Standards for Establishing and Administering Assigned Counsel Programs in New York State promulgated by the New York State Office of Indigent Legal Services pursuant to New York State Executive Law §832;
- p. Supervising Attorney—An attorney who assists the Administrator in the execution of the tasks necessary to operate the Assigned Counsel Program and

ensure that its responsibilities are executed in compliance with the Standards and all other applicable law;

- q. Vouchers—Document(s) supplied by a Panel Attorney which details an assigned counsel matter, the fees for representation and disbursements that were incurred by a Panel Attorney during their representation of an indigent client. Completed and accurate Vouchers are necessary in order to secure payment.

Sec. XXX-104. Assigned Counsel Board of Directors

- a. There shall be an Assigned Counsel Board of Directors, [of whom three (3) shall be appointed by the County Executive, one (1) shall be appointed by the Chairperson of the County Legislature, and one (1) shall be appointed by the Majority Leader of the Albany County Legislature.] which shall consist of five (5) members. Three (3) members shall be appointed by the County Executive, one (1) shall be appointed by the Chairperson of the Albany County Legislature, and one (1) shall be appointed by the Majority Leader of the Albany County Legislature. The Board shall consist of [five (5) members chosen in accordance with] the following:
 - (i) One (1) member [shall be] chosen by the County Executive shall be from among the individuals recommended to the Board of Directors by the Albany County Bar Association [which shall be submitted to the Albany County Executive];
 - (ii) One (1) member [shall be] chosen by the County Executive shall be an attorney who actively practices criminal or family law;
 - (iii) One (1) member chosen by the County Executive shall be a member of the general public who is not a member of the legal profession;
 - (iv) One (1) member [shall be] chosen by the Majority Leader shall be a former assigned counsel attorney who has practiced family law; and
 - (v) One (1) member [shall be] chosen by the Chairperson of the Legislature who shall be a former assigned counsel attorney who has practiced criminal law;
 - (vi) One (1) member shall be the Chairperson of the Law Committee of the Albany County Legislature, who shall serve as an *ex officio*, non-voting member of the Board.

Upon making their formal recommendation, the County Executive, the Chairperson of the Legislature and the Majority Leader shall notify each of the

respective offices regarding their appointments. Each appointment shall be filed with the Clerk of the Albany County Legislature within forty-five (45) days of their recommendation.

[The Albany County Legislature shall hold a vote regarding the confirmation of the proposed appointees to the Assigned Counsel Board of Directors within forty-five (45) days of receiving the County Executive's appointments.]

- b. When a vacancy occurs in the membership of the Assigned Counsel Board of Directors, the vacancy shall, within [sixty (60)] forty-five (45) days, be filled for the unexpired portion of the term in the same manner as the original appointment.
- c. The majority of the Board's members shall be attorneys who are not judges. No member of the board of Directors shall hold a position as a prosecutor, a member of law enforcement or any other government official whose duties may be adversarial to clients receiving mandated representation.
- d. There shall be a Chair of the Board of Directors. Such individual shall be chosen from among the members of the Board of Directors upon a majority vote. The Chair shall serve for a two (2) year term, but in no instance shall said term extend beyond the member's term of appointment as a member of the Board of Directors. No member shall be eligible to serve as Chair for consecutive terms.
- e. The members of the Board of Directors shall be appointed for a term of two (2) years, except as indicated below, beginning on January 1 and ending on December 31 of the following calendar year, terms for the inaugural Board of Directors shall be as follows:
 - a. The member [recommended] appointed by the County Executive, as recommended by the Albany County Bar Association shall serve from January 1, 2025 through December 31, 2025;
 - b. The member [recommended] appointed by the County Executive who actively practices criminal or family law shall serve from January 1, 2025 through December 31, 2026;
 - c. The member appointed by the County Executive who is a member of the general public and not a member of the legal profession shall serve from January 1, 2025 through December 31, 2025;
 - d. The member [recommended] appointed by the Majority Leader of the Legislature shall serve from January 1, 2025 through December 31, 2025; and,

- e. The member [recommended] appointed by the Chairperson of the Legislature shall serve from January 1, 2025 through December 31, 2026.

No person shall serve as a member of the Board of Directors for more than three (3) consecutive two (2) year terms. Members of the Board of Directors shall continue to serve until their successors have been [approved] appointed.

- f. Any individual chosen to fill a vacancy created otherwise than by expiration of a term shall be appointed in the same manner in which their successor was appointed and for the unexpired term of the member whom he or she is to succeed.
- g. In all instances, the Board of Directors shall require an affirmative vote of at least three (3) members of the Board to take any official action, or to exercise any of the powers and duties authorized by this law.
- h. No member of the Board of Directors shall be compensated for the services to be provided but may be reimbursed for any reasonable expenses that may be incurred in the conduct of their official duties of such members.

Sec. XXX—105. Board of Directors, powers and duties

The Board of Directors shall have the following powers and duties:

- a. Provide guidance and advice on the operation of the Assigned Counsel Program in the County;
- b. Appoint the Assigned Counsel Program Administrator in consultation with the New York State Office of Indigent Legal Services and subject to approval by the Albany County Executive and confirmation of the Albany County Legislature;
- c. Study and review the existing program under Article 18-B of the New York State County Law for provisions of indigent representation in the courts and recommend any appropriate modifications to the program;
- d. Establish and implement uniform rules and regulations in compliance with the Standards for creation and administration of the Assigned Counsel Program to enable the effective provision of indigent legal services in Albany County;

- e. Review the annual Budget request from the Assigned Counsel Program Administrator for submission to the Albany County Commissioner of Management and Budget in compliance with County budgetary law and procedures;
- f. Consult with the New York State Office of Indigent Legal Services, the Judiciary, and community groups regarding the provisions of indigent legal services in the County;
- g. Prepare and review an Annual Report for submission to the County Executive and County Legislature by December 31st of each year regarding the services provided by the Assigned Counsel Program including but not limited to: numbers and types of cases, attorney caseloads, and Office expenditures;
- h. Meet on at least a biannual basis in order to ensure that all the responsibilities and duties of the Assigned Counsel Program are executed in a prompt and efficient manner.

Sec. XXX—106. Assigned Counsel Program Administrator; appointment, qualifications, term

- a. The Board of Directors shall [nominate] appoint an Assigned Counsel Program Administrator subject to the approval of the Albany County Executive and confirmation by the Albany County Legislature. Any person so nominated shall be an attorney in good standing, licensed in State of New York, possess administrative experience, be well-versed in Criminal and/or Family law matters, and demonstrate integrity and commitment to quality representation of indigent clients;
- b. The Administrator shall serve full-time and shall not engage in the private practice of law during their appointment.
- c. The Administrator shall serve a term of two (2) years subject to [reappointment] another nomination at the discretion of the Board of Directors and the approval of the County Executive [subject to the] and confirmation by the Albany County Legislature.
- d. [The Administrator may be removed for cause by the Board of Directors, after notice and opportunity to be heard pursuant to procedures established by the

Board of Directors. The Administrator serves at the pleasure of the Board of Directors and the Albany County Executive pursuant to §2507 of the Albany County Charter.] The Administrator serves pursuant to §2507 of the Albany County Charter, and may be removed for cause , after notice and opportunity to be heard in compliance with procedures established by the Board of Directors.

Sec. XXX—107. Assigned Counsel Program Administrator; Powers and Duties.

- a. The Administrator shall be the administrative head of the office, and shall have the duty to ensure to the extent possible that all indigent persons are provided with quality legal representation as soon as feasible after arrest, detention or requires for counsel in a criminal case and at the earliest possible stage of a state intervention case in family court;
- b. Develop and monitor program policies, standards, and operational procedures of the Assigned Counsel Program regarding qualifications for appointment to each panel, recruitment of attorneys to serve on each panel, limitations on attorney caseloads, guidelines for administrative responsibilities of panel attorneys and supervision and review of attorney caseloads and quality of legal representation;
- c. Maintain panels of attorneys eligible to receive assignments for indigent representations based upon sufficient knowledge and experience. These panels are required to be subdivided into categories commensurate with the experience of each respective panel attorney and consistent with the Standards. The Administrator shall be responsible for establishing the requirements and conditions necessary for attorneys to be assigned more complex matters.
- d. Establish qualifications and standards for certification of attorneys to become members of the panels. All determinations on applications for certification to the panels shall be made by the Assigned Counsel Program Administrator. Any attorney aggrieved by the determination of the Administrator may seek review of the determination by the Board of Directors.
- e. Establish procedures and standards for a recertification program. Upon review of recertification applications and any other relevant information provided, the Assigned Counsel Administrator shall make determinations regarding all applications. All determinations regarding applications for recertification to the panels shall be made by the Administrator. Any Attorney

aggrieved by the determination of the Administrator may seek review of the determination by the Board of Directors.

- f. Ensure adequate participation on the panels and that training for assigned counsel is provided.
- g. Oversee the rotation and coordination of Panel Attorneys, implement a fair and prompt process for assignment of Panel Attorneys to all matters, ensure that the ability, training and experience of panel attorneys are matched to the complexity of the cases to which they are assigned, and timely assignments of counsel to indigent clients as soon as feasible after arrest, detention or requires for counsel in a criminal case and at the earliest possible stage of a state intervention case in family court;
- h. Review all vouchers for services provided through the Assigned Counsel Program including attorney vouchers to ensure proper payment for services performed;
- i. Prepare any and all Budget proposal for the Office of the Assigned Counsel Program for review by the Board of Directors and County Department pursuant to County law and policy.
- j. Maintain records of accounts and expenditures of the Office of Assigned Counsel Program in compliance with all applicable law and County policy;
- k. Serve as an information resource to the Board of Directors and the attorneys on each assigned counsel panel;
- l. Establish procedures for submission, investigation, and resolution of complaints from clients, client family members, co-counsel, opposing counsel, and the judiciary regarding legal representation;
- m. Conduct a periodic evaluation and review of the Assigned Counsel Program budget and communicate fiscal and programmatic needs of the program to the New York State Office of Indigent Legal Services to obtain state funding;
- n. Prepare contracts with appropriate legal organizations such as the Albany County Bar Association and other Assigned Counsel Programs to perform certain services required by the Assigned Counsel Program enumerated in this Chapter in compliance with all legal and budgetary mandates;

- o. Attend meeting(s) of the Board of Directors and provide the annual reports as requested by the Board of Directors on the Assigned Counsel Program which shall include number of cases assigned, attorneys assigned, services provided to the Panels and office expenditures;
- p. Ensure compliance with County Law, policies, and procedures;
- q. Approve requests by panel attorneys of use of funding issued by the New York State Office of Indigent Legal Services for any non-attorney professional services such as investigators, expert witnesses, social workers, interpreters, mental health, supervised visitation or any other professional services as required to provide quality representation.
- r. Remove or suspend for a definite period of time, an attorney from the panel for cause, upon notice and opportunity to be heard, subject to review by the Board of Directors pursuant to procedures established by the Assigned Counsel Program.
- s. Make applications for other sources of state and federal funding to meet the budgetary and programmatic needs of the Assigned Counsel Program;
- t. Any other duties necessary to carry out the purposes of this Chapter.

Sec. XXX—108. Assignment of Defense Counsel

- a. Order of Assignment—The Albany County Public Defender’s Office has the primary responsibility for providing services for indigent defense/representation matter. In the event that the Albany County Public Defender’s Office is determined to have a conflict, this responsibility will pass to the Albany County Alternate Public Defender’s Office. The Albany County Alternate Public Defender’s Office has the secondary responsibility for indigent defense/representation matters. If the Alternate Public Defender’s Office is determined to have a conflict, then the Assigned Counsel Program will have the responsibility to assign counsel to an indigent person pursuant to the provisions of the Plan. The Court may, utilizing its inherent powers, appoint an attorney from the Assigned Counsel Program’s list of Panel Attorneys to a case involving an indigent client if circumstances require an immediate appointment, and the Court is unable to contact the Assigned Counsel Program to make such an appointment. If the Court makes such an appointment, they shall notify the Assigned Counsel Program within two (2) days of the assignment. Such notification shall be in a manner which ensures that the

Assigned Counsel Program is informed of the Court's appointment as soon as practicable..

- b. Counsel at First Appearance (CAFA) Program--The Albany County Public Defender's Office and Alternate Public Defender's Office administer a program to provide indigent people counsel at first appearance and arraignment. In the event that the Public Defender's Office and Alternate Public Defender's Office cannot provide representation to an eligible indigent person, the Court may assign a Panel Attorney to represent the eligible indigent person from a list provided by the Assigned Counsel Program.
- c. Acceptance—Attorneys may be asked to accept assignment in emergencies or on very short notice. While acceptance of such assignments is not required, it is strongly encouraged.
- d. Non-Criminal Matters—The Administrator shall assign counsel to any person legally entitled to representation in family court or other matters constitutionally or statutorily eligible for representation. The Administrator may assign counsel at earliest stage possible. The Assigned Counsel Administrator shall develop rules and regulations for the assignment of counsel to indigents in all other legally eligible matters.
- e. Manner of Assignment—The Administrator shall make assignment on a rotating basis from a list of all eligible participating attorneys. In assigning counsel, due regard shall be given to the following factors: (1) Potential conflict(s) of interest; (2) Geographic proximity; (3) Prior representation (continuity of counsel); (4) Attorney caseload; and (5) Applicable expertise and experience with the type of case involved.
- f. Second Chair, Resource Attorneys—Pursuant to the rules and regulations developed by the Assigned Counsel Program, the Administrator may require (or Panel Attorneys may request) that the Panel Attorney consult a Resource Attorney or 2nd Chair Attorney as appropriate.
- g. Amendments—The Assigned Counsel Program may, with a majority vote of the Board of Directors and the written approval of the New York State Office of Indigent Legal Services, make such amendments to this assignment process as are necessary and proper .

Sec. XXX—109. Responsibilities of Assigned Counsel Program

- a. The Assigned Counsel Program shall ensure that Panel Attorneys have access to non-attorney professional services such as investigative, expert witnesses, social workers, interpreters, mental health professionals, supervised visitation or any other professional service, as required, to provide quality representation;
- b. The work of assigned counsel shall be periodically evaluated based upon objective criteria developed and published by the Assigned Counsel Program;
- c. Each attorney new to the representation of an indigent client may receive a mentor to assist the attorney to provide quality representation;
- d. All assigned counsel shall have access to appropriate substantive, procedural, and practical training programs through the Albany County Bar Association and other organizations that provide legal training as well as Resource Attorneys who are available for private consultation on case related matters;
- e. Ensure the creation of a “2nd Chair Program” for the criminal panel whereby a less experienced attorney is paired with a more knowledgeable attorney to obtain necessary trial experience;
- f. Undertake effort to ensure provision of adequate facilities for client meetings, equipment, and legal research programs for Panel Attorneys. The Office of the Assigned Counsel Program may work in conjunction with the Albany County Bar Association and County of Albany to provide these services;
- g. Develop appropriate resources for Panel Attorneys who may be handling complex litigation including matters requiring forensic expertise;
- h. Ensure that any attorney seeking appointment to the Panel through initial certification or recertification carries professional liability insurance.

Sec. XXX—110. Rules and Regulations

The Administrator, with the support of the Board of Directors, shall establish rules and regulations for the administration of the Assigned Counsel Program, and update them as necessary. A copy of such rules and regulations so established shall be filed with the Clerk of the Albany County Legislature and the Office of the Albany County Attorney. [and updated as necessary]

Sec. XXX—111. Severability

If any clause, sentence, paragraph, subdivision, section, or part of this ordinance or the application thereof to any person, individual, corporation, firm, partnership, entity, or circumstance shall be adjudged by any court of competent jurisdiction to be invalid or unconstitutional, such order or judgment shall not affect, impair or invalidate the remainder thereof, but shall be confined in its operation to the clause, sentence, paragraph, subdivision, section or part of this ordinance, or in its applications to the person, individual, corporation, firm, partnership, entity, or circumstance directly involved in the controversy in which such order or judgement shall be rendered.

This Local Law shall take effect _____ days after its filing with the Secretary of State.

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Referred to Law Committee – 10/15/24

Favorable Recommendation Law Committee – 12/2/24

RESOLUTION NO. 248

PUBLIC HEARING ON PROPOSED LOCAL LAW NO. “Q” FOR 2024: A LOCAL LAW OF THE COUNTY OF ALBANY, NEW YORK CREATING CHAPTER 114 OF THE ALBANY COUNTY CODE, REGARDING THE CREATION OF THE ALBANY COUNTY OFFICE OF ASSIGNED COUNSEL

Introduced: 6/9/25

By Cunningham:

RESOLVED, By the County Legislature of the County of Albany that a public hearing on proposed Local Law No. “Q” for 2024, “A Local Law of the County of Albany, New York Creating Chapter 114 of the Albany County Code, Regarding the Creation of the Albany County Office of Assigned Counsel” to be held by the Albany County Legislature at 7:00 p.m. on Wednesday July 29, 2025, with participation information to be made available on the Albany County website, and the Clerk of the County Legislature is directed to cause notice of such hearing to be published containing the necessary information in accordance with the applicable provisions of law.

Referred to Law Committee – 6/9/25

Favorable Recommendation Law Committee – 6/25/25

DRAFT

COUNTY OF ALBANY, NEW YORK

AND

ALBANY CONVENTION CENTER AUTHORITY

RESERVE FUND REPLENISHMENT AGREEMENT

DATED AS OF _____ 1, 2025

RELATING TO THE [TAX-EXEMPT REVENUE BOND (CIDC
ALBANY CENTER, LLC PROJECT), SERIES 2025] IN THE
PRINCIPAL AMOUNT OF [\$_____] ISSUED BY ALBANY
COUNTY CAPITAL RESOURCE CORPORATION.

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EXHIBIT B - Form of Opinion of County Attorney	B-1

RESERVE FUND REPLENISHMENT AGREEMENT

This RESERVE FUND REPLENISHMENT AGREEMENT, dated as of _____, 2025 (this “Replenishment Agreement”), by and between ALBANY COUNTY, NEW YORK, a municipal corporation duly organized and existing under the laws of the State of New York (the “County”), and ALBANY CONVENTION CENTER AUTHORITY, a body corporate and politic constituting a public benefit corporation of the State of New York (the “Authority”).Au

WITNESSETH:

WHEREAS, the Authority is a body corporate and politic constituting a public benefit corporation of the State of New York (the “State”), created and existing under and by virtue of Title 28-BB of Article 8 of the Public Authorities Law of the State (the “PAL”), Chapter 468 of the Laws of 2004 of the State, as amended from time to time (the “Act”), organized for the purpose of, among other things, constructing, transforming and improving new and existing facilities for a convention center in the City of Albany, Albany County, New York, such convention center to include a trade exhibition facility, hotel accommodations, transportation infrastructure, tourism facilities, theatre facilities, retail business, and commercial office space facilities; and

WHEREAS, to accomplish its stated purposes, the Authority is authorized and empowered under the Act to (A) enter into agreements with local entities, (B) to acquire, construct, and reconstruct convention facilities; (C) to borrow money, make contracts and leases, and execute all instruments necessary or convenient for its corporate purposes; and (D) to issue its negotiable bonds to finance the cost such project or for any other corporate purpose; and

WHEREAS, pursuant to a resolution adopted by the members of the board of directors of the Albany County Capital Resource Corporation (the “Issuer”) on _____, 2025 (the “Initial Bond Resolution”), the Issuer authorized the issuance of its [Tax-Exempt Revenue Bond (CIDC Albany Center, LLC Project), Series 2025A in the principal amount of \$_____] (the “Initial Bond”) for the purpose of financing a project (the “Initial Project”) consisting of the following: [(A) (1) the reconstruction and renovation of an existing building containing approximately 40,840 square feet and located on an approximately .84 acre parcel of land located at 120 and 126 State Street (Tax Map Nos.: 76.33-1-23 and 76.33-1-22) in the City of Albany, Albany County, New York (the “Land”), (2) the construction on the Land of an approximately 59,810 square foot building (the “New Facility,” and collectively with the Existing Facility, the “Facility”), and (3) the acquisition and installation thereon and therein of machinery and equipment (the “Equipment”) (the Land, the Facility, and the Equipment being hereinafter collectively referred to as the “Project Facility”), all of the foregoing to be owned by CIDC Albany Center, LLC (the “Borrower”) and leased to the Authority for use as a convention facility and any other directly and indirectly related activities;] (B) the financing of all or a portion of the costs of the foregoing, together with necessary incidental costs in connection therewith, by the issuance of the Initial Bond; and (C) the payment of a portion of the costs incidental to the issuance of the Initial Bond, including issuance costs of the Initial Bond and any reserve funds as may be necessary to secure the Initial Bond; and

WHEREAS, the Initial Bond will be issued pursuant to the terms of the Initial Bond Resolution, one or more certificates of determination (each, a “Certificate of Determination”) executed by an authorized officer of the Issuer and a trust indenture dated as of _____, 2025 (the “Indenture”) by and between the Issuer and _____, as trustee (the “Trustee”) for the holders of the Initial Bond; and

WHEREAS, prior to or simultaneously with the issuance of the Initial Bond, the Issuer and the Borrower will execute and deliver a loan agreement (the “Loan Agreement”) by and between the Issuer, as lender, and the Borrower, as borrower, pursuant to the terms of which Loan Agreement (A) the Issuer will

agree (1) to issue the Initial Bond, and (2) to make the Loan to the Borrower for the purpose of assisting in financing the Project, and (B) in consideration of the Loan, the Borrower will agree (1) to cause the Project to be undertaken and completed, (2) to use the proceeds of the Loan disbursed under the Indenture to pay (or reimburse the Borrower for the payment of) the costs of the Project, and (3) to make payments sufficient in amount to pay when due all amounts due with respect to the Initial Bond (the “Loan Payments”) to or upon the order of the Issuer in repayment of the Loan, which Loan Payments shall include amounts equal to the debt service payments due on the Initial Bond; and

WHEREAS, pursuant to a resolution adopted by the members of the Authority on _____, 2025 (the “Authority Resolution”), the members of the Authority authorized, among other things, (1) the lease of the Project Facility from the Borrower and the execution and delivery of various documents in connection therewith, and (2) execution and delivery by the Authority of this Replenishment Agreement; and

WHEREAS, pursuant to the Authority Resolution, the Authority and the Borrower will execute and deliver a [lease agreement dated as of _____, 2025 (the “Authority Lease”)] pursuant to which, among other things, the Authority will agree to lease the Project Facility from the Borrower and to make rental payments (the “Rental Payments”) to the Borrower in amounts sufficient to pay when due the Loan Payments; and

WHEREAS, pursuant to Section __ of the Indenture, the Issuer and the Trustee have established a Reserve Fund with respect to the Initial Bond, within which the Issuer is required to maintain an amount equal to [\$ _____] (the “Reserve Fund Requirement”) to provide a source of payment for the Initial Bond in the event the assets and revenues of the Authority are insufficient to make such Rental Payments; and

WHEREAS, the Authority has requested the County provide security for the Rental Payments in the form of a source of replenishment for the Reserve Fund in the event the assets and revenues of the Authority are insufficient to make the Rental Payments in amounts sufficient to pay debt service when due on the Initial Bond; and

WHEREAS, pursuant to the terms of the Act, the Authority’s purposes are deemed to be public purposes and involve the performance of an essential governmental function for which public funds may be expended and the Authority in carrying out its respective powers and duties under the Act is deemed to be acting in a governmental capacity; and

WHEREAS, pursuant to Local Law No. __ of 2025 of the County (the “County Law”), the County may, from time to time, appropriate sums of money toward project costs or other costs and expenses related to Convention Facility Projects (as defined in the County Law) and make, advances, loans, gifts, grants, subsidies or contributions of such funds to the Authority; and

WHEREAS, pursuant to a resolution duly adopted by the members of the County Legislature of Albany County (the “County Legislature”) on _____, 2025 (the “County Resolution”), the County Legislature authorized the County to enter into this Replenishment Agreement and to agree to make the Replenishment Payments (as defined herein) in amounts not to exceed the Reserve Fund Requirement, subject to annual appropriation by the County; and

WHEREAS, pursuant to this Replenishment Agreement, the County will pledge to the Authority, to secure the payments to be made by the County hereunder, a sufficient portion of any and all public funds to be apportioned or otherwise made available to the County for purposes of replenishing the Reserve Fund Requirement as necessary; and

WHEREAS, pursuant to this Replenishment Agreement, upon any replenishment by the County of the Reserve Fund Requirement, the County shall be entitled to reimbursement by the Authority in the amount of such replenishment;

NOW, THEREFORE, in consideration of the premises and of the mutual representations, covenants and agreements herein set forth, the Authority and the County each binding itself, its successors and assigns, do mutually promise, covenant and agree as follows:

ARTICLE I

DEFINITIONS

Section 1.1 Definitions. Unless the context or use indicates another or different meaning or intent, capitalized terms used in this Replenishment Agreement and the preambles hereto not otherwise defined herein shall have the meanings assigned thereto in the Schedule of Definitions attached to the Indenture as Appendix A and made a part hereof. In addition, as used herein, each capitalized term as used in this Replenishment Agreement (including the exhibits hereto) shall have the following meanings:

“Act” shall mean Title 28-BB of Article 8 of the Public Authorities Law of the State, as amended from time to time.

[“Administrative Fee Agreement” means the administrative fee agreement dated as of _____, 2025 by and between the County and the Authority.]

“Agreement” means collectively, the [Administrative Fee Agreement] and the Replenishment Agreement.

“Authority” means (A) Albany Convention Center Authority and its successors and assigns, and (B) any public instrumentality or political subdivision resulting from or surviving any consolidation or merger to which Albany Convention Center Authority, or its successors or assigns may be a party.

“Authority Resolution” means the resolution of the members of the Authority duly adopted on _____, 2025 authorizing the Authority to enter into the Agreement.

“Business Day” means any day of the year other than (A) a Saturday or Sunday, (B) a day on which the New York Stock Exchange is closed or (C) a day on which commercial banks in New York, New York, or the city or cities in which the Office of the Trustee is located, are authorized or required by law, regulation or executive order to close.

“Code” means the Internal Revenue Code of 1986, as amended, including, when appropriate, the statutory predecessor of said Code, and the applicable regulations (whether proposed, temporary or final) of the United States Treasury Department promulgated under said Code and the statutory predecessor of said Code, and any official rulings and judicial determinations under the foregoing applicable to the Initial Bond.

[“Continuing Disclosure Agreement” means the continuing disclosure agreement dated as of the date of issuance of the Initial Bond by and among the Trustee, the Borrower, the Authority, and the County.]

“County” means Albany County.

“County Resolution” means the resolution of the County Legislature duly adopted on _____, 2025 authorizing the County to enter into the Agreement.

“Hotel Occupancy Tax Receipts” means, for any given fiscal year of the Authority, the amount of the hotel occupancy tax received by the Authority pursuant to Local Law No. 5 of 2020 of the County.

“Indenture” means the trust indenture dated as of _____, 2025 by and between the Issuer and the Trustee, as said trust indenture may be amended or supplemented from time to time.

“Initial Bond” means the Issuer’s [Tax-Exempt Revenue Bond (CIDC Albany Center, LLC Project), Series 2025A in the principal amount of \$ _____], issued pursuant to the Initial Bond Resolution and Article [II] of the Indenture and sold to [KeyBanc Capital Markets Inc.] pursuant to the provisions of the [Initial Bond Purchase Agreement], in substantially the form attached to the Indenture as Schedule I thereto, and any Initial Bond issued in exchange or substitution therefor.

[“Initial Bond Purchase Agreement” means the bond purchase and continuing compliance agreement dated as of _____, 2025 by and between the Issuer and [KeyBanc Capital Markets Inc.] relating to the purchase of the Initial Bond by [KeyBanc Capital Markets Inc.], as said bond purchase and continuing compliance agreement may be amended or supplemented from time to time.]

“Initial Bond Resolution” means the resolution of the members of the board of directors of the Issuer duly adopted on _____, 2025 authorizing the Issuer to undertake the Initial Project, to issue and sell the Initial Bond and to execute and deliver the Initial Financing Documents (as defined therein) to which the Issuer is a party.

“Initial Project” shall have the meaning assigned to such term in the [fifth] recital clause to the Indenture.

“Issuer” means (A) Albany County Capital Resource Corporation and its successors and assigns, and (B) any public instrumentality or political subdivision resulting from or surviving any consolidation or merger to which Albany County Capital Resource Corporation, or its successors or assigns may be a party.

“Replenishment Payments” means the amounts appropriated by the County and paid to the Trustee pursuant to Section 3.1 of this Replenishment Agreement to cure a deficiency in the Reserve Fund as necessary to maintain the Reserve Fund Requirement.

“Reserve Fund” means the fund so designated established pursuant to Section ___ of the Indenture.

“Replenishment Agreement” the reserve fund replenishment agreement dated as of _____, 2025 by and between the County and the Authority.

“Reserve Fund Requirement” shall have the meaning assigned to such term in the eighth recital clause of this Replenishment Agreement.

“State” means the State of New York.

“Trustee” means [_____], a banking corporation organized and existing under the laws of the State of New York, or any successor trustee or co-trustee acting as trustee under the Indenture.

Section 1.2 Rules of Construction. Unless the context clearly indicates to the contrary, the following rules shall apply to the construction of this Replenishment Agreement:

(A) Number. Words importing the singular number shall include the plural number and vice versa.

(B) Gender. Words importing the feminine, masculine and neuter genders shall each include correlative words of the other genders.

(C) Approvals and Consents. All approvals, consents and acceptances required to be given or made by any person or party hereunder shall be at the sole discretion of the person or party whose approval, consent or acceptance is required.

(D) References. All references herein to particular articles, sections or exhibits without reference to a specific document are references to articles or sections of or exhibits to this Replenishment Agreement.

(E) Headings. The captions and headings and table of contents herein are solely for convenience of reference and shall not constitute part of this Replenishment Agreement, nor shall they affect its meaning, construction or effect.

(F) Terms. The terms “hereby”, “hereof”, “hereto”, “herein”, “hereunder” and any similar terms, as used in this Replenishment Agreement, refer to this Replenishment Agreement in its entirety and not the particular article or section of this Replenishment Agreement in which they appear, and the term “hereafter” means after, and the term “theretofore” means before, the date set forth on the cover page of this Replenishment Agreement.

Section 1.3 Exhibits and Appendices Incorporated. All exhibits and appendices to this Replenishment Agreement, including any amendments and supplements hereto, are hereby incorporated herein and made a part of this Replenishment Agreement.

ARTICLE II

REPRESENTATIONS AND WARRANTIES

Section 2.1 Representations and Warranties of the County. The County represents and warrants as follows:

(A) Existence and Authority; Legal Power. The County is a municipal corporation, duly created and existing under the laws of the State and has full legal right, power and authority to (i) conduct its business and own its properties, (ii) enter into this Replenishment Agreement [and the Continuing Disclosure Agreement] and various related documents to which the County is a party, (iii) adopt the County Resolution, and (iv) carry out and consummate all other transactions contemplated by each of the aforesaid documents.

(B) Compliance. The County has complied and will comply with the County Resolution and with all applicable laws of the State.

(C) Authorization. The County has duly approved the execution and delivery of this Replenishment Agreement [and the Continuing Disclosure Agreement] and has authorized the taking of any and all action as may be required on the part of the County to carry out, give effect to and consummate the transactions contemplated by each of the foregoing.

(D) Binding Obligation. This Replenishment Agreement has been duly authorized, executed and delivered by the County and, assuming due authorization and execution by the Authority, constitutes a legal, valid and binding obligation of the County enforceable in accordance with its terms. The County acknowledges and agrees that the defense of sovereign immunity is not available to the County in any proceedings by the Authority or the Trustee to enforce any of the obligations of the County under this Replenishment Agreement [or the Continuing Disclosure Agreement], and, to the fullest extent permitted by law, the County consents to the initiation of any such proceedings in any court of competent jurisdiction and agrees not to assert the defense of sovereign immunity in any such proceedings. The enforceability (but not the validity) of rights or remedies with respect to this Replenishment Agreement [or the Continuing Disclosure Agreement] may be limited by bankruptcy, insolvency or other laws affecting creditors' rights or remedies heretofore or hereafter enacted.

(E) Consents and Approvals. All consents, approvals, authorizations or orders of, or filings, registrations or declarations with any court, governmental authority, legislative body, board, agency or commission, including but not limited to those required by the State Environmental Quality Review Act, which are required for the due authorization of, which would constitute a condition precedent to or the absence of which would materially adversely affect the due performance by the County of its obligations hereunder or the consummation of the transactions contemplated hereby have been duly obtained and are in full force and effect, except for the State approvals, which, if not already obtained, shall be obtained prior to the date of issuance and sale of the Initial Bond, and the provision of Replenishment Payments hereunder; provided, however, the County makes no representation or warranty as to any consents, approvals, authorizations, orders, filings, registrations or declarations that may be required by any federal or state securities law.

(F) No Litigation. There is no action, suit, proceeding, inquiry or investigation, at law or in equity, before or by any court, public board or body, pending or, to the knowledge of the County, threatened against the County, nor is there any basis therefor, (i) affecting the creation, organization or existence of the County or the title of its officers to their respective offices, (ii) seeking to prohibit, restrain or enjoin the execution of this Replenishment Agreement [or the Continuing Disclosure Agreement], or (iii) in any way

contesting or affecting the validity or enforceability of the County Resolution, this Replenishment Agreement, [the Continuing Disclosure Agreement] or any agreement or instrument relating to any of the foregoing or used or contemplated for use in the consummation of the transactions contemplated by any of the foregoing.

(G) No Violation. The County is not in any material respect in breach of or in default under any applicable law or administrative regulation of the State or the United States of America or any applicable judgment or decree or any agreement or other instrument to which the County is a party or by which it or any of its properties is bound, and no event has occurred which with the passage of time, the giving of notice or both would constitute such a breach or default; and the execution and delivery of this Replenishment Agreement and the adoption of the County Resolution and compliance with the respective provisions thereof will not conflict with or constitute a breach of or default under any applicable law or administrative regulation of the State or the United States of America or any applicable judgment or decree or any agreement or other instrument to which the County is a party or by which it or any of its property is bound.

(H) County Resolution. The County Resolution has been duly adopted by the County and remains in full force and effect as of the date of execution hereof.

(I) Reserved.

(J) No Default. The County is not in default under any loan agreement, note, bond, mortgage or other instrument evidencing or securing indebtedness.

[(K) Disclosure Correct and Complete. The information supplied by the County to the Authority does not contain any untrue statement of a material fact or omit to state any material fact required to be stated therein or necessary to make the statements therein, in the light of the circumstances under which they were made, not misleading.]

(L) Representations Complete. All representations made herein by the County are true, complete and accurate as of the execution date of this Replenishment Agreement and will be true, complete and accurate as of the date of the issuance and sale of the Initial Bond and the provision of the Replenishment Payments hereunder.

Section 2.2 Representations and Warranties of the Authority. The Authority represents and warrants as follows:

(A) Existence and Authority; Legal Power. The Authority is duly organized and validly existing as a public benefit corporation of the State and has full legal right, power and authority to (i) conduct its business and own its properties, (ii) enter into this Replenishment Agreement [and the Continuing Disclosure Agreement], and various related documents to which the Authority is a party, (iii) adopt the Authority Resolution, and (iv) carry out and consummate all other transactions contemplated by each of the aforesaid documents.

(B) Compliance. The Authority has complied and will comply with the Authority Resolution and with all applicable laws of the State.

(C) Authorization. The Authority has duly approved the execution and delivery of this Replenishment Agreement, the Authority Lease, [the Continuing Disclosure Agreement], and various related documents and has authorized the taking of any and all action as may be required on the part of the

Authority to carry out, give effect to and consummate the transactions contemplated by each of the foregoing.

(D) Binding Obligation. This Replenishment Agreement has been duly authorized, executed and delivered by the Authority and, assuming due authorization and execution by the County, constitutes a legal, valid and binding obligation of the Authority enforceable in accordance with its terms. The enforceability (but not the validity) of rights or remedies with respect to this Replenishment Agreement may be limited by bankruptcy, insolvency or other laws affecting creditors' rights or remedies heretofore or hereafter enacted.

(E) Consents and Approvals. All consents, approvals, authorizations or orders of, or filings, registrations or declarations with any court, governmental authority, legislative body, board, agency or commission, including but not limited to those required by the State Environmental Quality Review Act, which are required for the due authorization of, which would constitute a condition precedent to or the absence of which would materially adversely affect the due performance by the Authority of its obligations hereunder or the consummation of the transactions contemplated hereby have been duly obtained and are in full force and effect, except for the State approvals, which, if not already obtained, shall be obtained prior to the date of issuance and sale of the Initial Bond and the provision of the Replenishment Payments by the County hereunder; provided, however, the Authority makes no representation or warranty as to any consents, approvals, authorizations, orders, filings, registrations or declarations that may be required by any federal or state securities law.

(F) No Litigation. There is no action, suit, proceeding, inquiry or investigation, at law or in equity, before or by any court, public board or body, pending or, to the knowledge of the Authority, threatened against the Authority, nor is there any basis therefor, (i) affecting the creation, organization or existence of the Authority or the title of its officers to their respective offices, (ii) seeking to prohibit, restrain or enjoin the execution of this Replenishment Agreement [or the Continuing Disclosure Agreement], or (iii) in any way contesting or affecting the validity or enforceability of the Authority Resolution, this Replenishment Agreement, [the Continuing Disclosure Agreement], or any agreement or instrument relating to any of the foregoing or used or contemplated for use in the consummation of the transactions contemplated by any of the foregoing.

(G) No Violation. The Authority is not in any material respect in breach of or in default under any applicable law or administrative regulation of the State or the United States of America or any applicable judgment or decree or any agreement or other instrument to which the Authority is a party or by which it or any of its properties is bound, and no event has occurred which with the passage of time, the giving of notice or both would constitute such a breach or default; and the execution and delivery of this Replenishment Agreement, [the Continuing Disclosure Agreement], and the adoption of the Authority Resolution and compliance with the respective provisions thereof will not conflict with or constitute a breach of or default under any applicable law or administrative regulation of the State or the United States of America or any applicable judgment or decree or any agreement or other instrument to which the Authority is a party or by which it or any of its property is bound.

(H) Authority Resolution. The Authority Resolution has been duly adopted by the Authority and remains in full force and effect as of the date of execution hereof.

(I) Authority to Act. The Authority has full legal right and authority and all necessary licenses and permits required as of the date hereof to lease the Project Facility, to carry on its activities relating thereto, and to carry out and consummate all transactions contemplated by this Replenishment Agreement [and the Continuing Disclosure Agreement].

(J) Initial Project. The description of the Initial Project set forth in Initial Bond Resolution is an accurate description of the Initial Project.

(K) No Default. The Authority is not in default under any loan agreement, note, bond, mortgage or other instrument evidencing or securing indebtedness.

(L) Approvals. All consents, authorizations and approvals, if any, of any third party with respect to the lease by the Authority of the Project Facility, have been duly obtained.

(M) Disclosure Correct and Complete. The information supplied by the Authority does not contain any untrue statement of a material fact or omit to state any material fact required to be stated therein or necessary to make the statements therein, in the light of the circumstances under which they were made, not misleading.

(N) Representations Complete. All representations made herein by the Authority are true, complete and accurate as of the execution date of this Replenishment Agreement and will be true, complete and accurate as of the date of the issuance and sale of the Initial Bond and the provision of the Replenishment Payments hereunder.

ARTICLE III

REPLENISHMENT PROVISIONS

Section 3.1 Replenishment Clauses.

(A) Replenishment Consummation. Subject to the conditions and in accordance with the terms of this Replenishment Agreement, the County hereby agrees, subject to annual appropriation by the County (as necessary), to secure the Rental Payments by providing Replenishment Payments to the Trustee in the amounts and at such times as set forth in Section 3.1(B) below, and the Authority hereby agrees to reimburse the County for such Replenishment Payments as set forth in Section 3.1(B) below.

(B) Replenishment Payments. (1) County Payments. Upon receipt of written notice from the Trustee pursuant to Section [] of the Indenture that there is a deficiency in the Reserve Fund regarding the amount necessary to maintain the Reserve Fund Requirement as a result of a draw on the Reserve Fund to make a debt service payment due on the Initial Bond, the County shall deposit, or cause to be deposited, with the Trustee the amount necessary to cure such deficiency. Notwithstanding anything herein to the contrary, the County shall make such payment on or before January 31 of the County's first fiscal year following receipt of such notice from the Trustee. Amounts so deposited by the County shall be invested by the Trustee pursuant to the terms of the Indenture.

(2) Authority Reimbursements. Upon making any Replenishment Payments as may be required under subsection (1) above, the County shall prepare and deliver an invoice to the Authority for reimbursement of any amounts so paid by the County to the Trustee. Upon receipt of any such invoice from the County, the Authority shall deposit, or cause to be deposited, with the County the amount requested under such invoice. Notwithstanding anything herein to the contrary, the Authority shall make such payment to the County upon receipt by the Authority of the next succeeding quarterly distribution of Hotel Occupancy Tax Receipts, and in any event on or before the last day of the County's fiscal year in which the County made payment to the Trustee pursuant to subsection (1) above.

(C) Nature of Replenishment. (1) The County is obligated only to pay such Replenishment Payments as may lawfully be made from funds budgeted and appropriated for that purpose during the County's first fiscal year following receipt of written notice from the Trustee pursuant to Section [] of the Indenture. The County agrees to deliver notice to the Authority and the Trustee promptly after any decision to not appropriate is made. The Authority and the County hereby agree that this Replenishment Agreement shall be deemed executory only to the extent of the monies appropriated and available for the purpose of this Replenishment Agreement, and no liability on account therefor shall be incurred beyond the amount of such monies. It is understood that neither this Replenishment Agreement nor any representation by any public employee or officer creates any legal or moral obligation to request, appropriate or make available monies for the purpose of this Replenishment Agreement. This Replenishment Agreement shall not constitute indebtedness of the County for purposes of the State Constitution or Section 20.00 of the Local Finance Law. This Replenishment Agreement is not a general obligation of the County. Neither the faith and credit nor the taxing power of the County are pledged to the payment of any amount due or to become due under this Replenishment Agreement.

(2) The Authority's obligation to reimburse the County for any Replenishment Payments made by the County pursuant to this Section 3.1 hereof shall be subject and subordinate to at all times the Authority's obligations to pay the Rental Payments.

Section 3.2 Other Amounts Payable.

- (A) The County hereby expressly agrees to pay as such expenses are incurred, the amount of any expenses incurred by the Authority as a result of the County's failure to make any payment under this Replenishment Agreement when due or failure to otherwise comply with the terms of this Replenishment Agreement (including but not limited to the reasonable fees and expenses of the Authority, the Trustee, the owners of Initial Bond, and attorneys representing any of the foregoing); and
- (B) The Authority hereby expressly agrees to pay:
 - (i) When due, costs payable to consultants and attorneys utilized by the Authority and the County in connection with the execution and delivery of this Replenishment Agreement, including, but not limited to, costs incurred by the County through CMA Consulting Services ("CMA") in connection with the execution and delivery of this Replenishment Agreement and any future reviews completed by CMA in connection with the Project, the Initial Bond, and/or the ratings thereof; and
 - (ii) As such expenses are incurred, the amount of any expenses (including but not limited to the reasonable fees and expenses of the Authority, the Trustee, the owners of Initial Bond, and attorneys representing any of the foregoing) incurred as a result of the Authority's failure to make any payment under this Replenishment Agreement when due or failure to otherwise comply with the terms of this Replenishment Agreement.

Section 3.3 Project Facility Use and Inspection.

- (A) Subject to the conditions hereof, the Authority will lease, occupy, and operate the Project Facility.
- (B) The Authority shall permit the County and its authorized representatives, at all reasonable times and upon reasonable notice, to enter upon the property of the Authority and the Project Facility, and to examine all documents relating thereto.

ARTICLE IV

GENERAL PROJECT CONDITIONS, COVENANTS AND REPRESENTATIONS

Section 4.1 Compliance with Laws and Agreements.

(A) Compliance. The Authority agrees that the Project Facility shall at all times during the term of this Replenishment Agreement be in compliance with applicable federal and State laws and regulations. The Authority will at all times maintain and operate (or cause to be maintained and operated) the Project Facility, in compliance with all applicable federal, State and local laws, ordinances, rules, regulations and this Replenishment Agreement, and with all other applicable laws and regulations to the extent necessary to ensure the availability of the Project Facility for its intended purposes and to ensure the safety of the public.

(B) SEQRA. The Authority certifies with respect to the Project Facility that it has complied, and agrees to continue to comply, with all requirements of the State Environmental Quality Review Act, as so applicable to the Authority.

Section 4.2 Effective Date and Term. The date of this Replenishment Agreement is for reference purposes only and this Replenishment Agreement shall become effective upon the date of execution and delivery hereof by the parties hereto, shall remain in full force and effect from such date and shall expire on such date as the Initial Bond shall be discharged and satisfied in accordance with the provisions thereof and all obligations of the County to the Authority hereunder are satisfied.

Section 4.3 Execution and Delivery of Other Documents.

(A) Execution and Delivery of Documents Upon Sale of Initial Bond. Prior to or on the date of sale of the Initial Bond, the County agrees to deliver to the Authority such other documents, instruments and certificates as the Authority may reasonably request from the County as may be required by the Borrower in connection with sale of the Initial Bond.

(B) Execution and Delivery of Closing Documents. The County further agrees to deliver to the Authority:

(i) a closing certificate in the form of Exhibit A hereof as to confirmation of certain matters set forth in this Replenishment Agreement, signatures and incumbency of authorized signatories and certain other matters;

(ii) the opinion of the County Attorney, dated the date of issuance of the Initial Bond, in the form of Exhibit B hereto; and

(iii) such additional certificates, documents and opinions as may be reasonably requested by the Authority.

The obligation of the Authority to lease, occupy and operate the Project Facility are conditioned upon the delivery of the opinions, certificates and documents required by this Section 4.3, in form and substance satisfactory to the Authority. With respect to such opinions, certificates and documents the forms of which are appended hereto, the County hereby acknowledges that it and its counsel have reviewed such forms and the County hereby agrees to deliver or cause to be delivered such items in the forms appended hereto (except for the insertion of the appropriate names and titles).

Section 4.4 Accounting and Records.

(A) Access to Records. To the extent the Authority is in possession of records relating to the Project, the Authority agrees to (i) permit any authorized representatives of the County to review or audit all records relative to the Project Facility; and (ii) promptly fulfill information requests by the County or its authorized representatives relating to same.

(B) Record Retention. The Authority agrees to retain all files and records in its possession relating to the Project Facility for at least six (6) years after Initial Project completion and to retain all other files and records in its possession relating to the Initial Bond for the term of this Replenishment Agreement.

Section 4.5 Remediation. The Authority agrees to rectify promptly any breach of this Article IV with or without notice from the County.

ARTICLE V

COVENANTS

Section 5.1 Payment of Replenishment Payments. The County covenants and agrees that it shall duly and punctually pay or cause to be paid the Replenishment Payments in amounts not to exceed any deficiency in the Reserve Fund necessary to maintain the Reserve Fund Requirement as a result of a draw on the Reserve Fund to make a debt service payment due on the Initial Bond, subject to annual appropriation, at the dates and places and in the manner stated in Section 3.1 hereof and that such obligation shall not be subject to any defense (other than payment) or any rights of setoff, recoupment, abatement, counterclaim or deduction and shall be without any rights of suspension, deferment, diminution or reduction it might otherwise have against the Authority, the Issuer, the Trustee or the owner(s) of the Initial Bond.

Section 5.2 County Appropriation. (A) The County intends, subject to Section 3.1 hereof, to make all Replenishment Payments due hereunder. The County reasonably believes that an amount sufficient to make all Replenishment Payments due hereunder can be obtained from legally available funds of the County.

(B) The County covenants and agrees that it will direct an officer from the County's Department of Management and Budget to include within each Fiscal Year budget during the term of this Replenishment Agreement a request for funds sufficient to discharge its obligation to make Replenishment Payments due hereunder for such Fiscal Year.

(C) If at any time during any Fiscal Year, the amount appropriated in the budget is determined to be insufficient to pay when due amounts due under this Replenishment Agreement, the County will direct an officer from the County's Department of Management and Budget to submit a written request to the County Legislature at its next scheduled meeting, or at least within sixty (60) days from such request, for a supplemental appropriation sufficient to cover the deficiency.

ARTICLE VI

DEFAULTS

Section 6.1 Defaults. An “event of default” or a “default” shall mean, whenever they are used herein, any one or more of the following events:

(A) Failure by the County to pay or cause to be paid when due the Replenishment Payments to be paid under this Replenishment Agreement, other than a failure to pay pursuant to Section 3.1 hereof due to an event of non-appropriation;

(B) Failure by the County to pay or to cause to be paid when due any other payment required to be made hereunder, which failure continues for a period of thirty (30) days after payment thereof was due, provided that written notice thereof shall have been given to the County not less than thirty (30) days prior to the due date thereof, other than a failure to pay pursuant to Section 3.1 hereof due to an event of non-appropriation;

(C) Failure by the County to observe and perform any covenant, condition or agreement on its part to be observed or performed, other than as referred to in subsections (A) and (B) of this Section and other than in Section 5.2, which failure shall continue for a period of thirty (30) days after written notice, specifying such failure and requesting that it be remedied, is given to the County by the Authority or such longer period, as is required to cure such default, if by reason of the nature of such failure the same cannot be remedied within such thirty (30) day period and the County has within such thirty (30) day period commenced to take appropriate actions to remedy such failure and is diligently pursuing such actions;

(D) Any representation or warranty of the County contained herein shall have been at the time it was made untrue in any material respect; or

(E) The County shall generally not pay its debts as such debts become due, or shall admit in writing its inability to pay its debts generally, or shall make a general assignment for the benefit of creditors; or any proceeding shall be instituted by or against the County seeking to adjudicate it a bankrupt or insolvent, or seeking liquidation, winding up, reorganization, arrangement, adjustment, protection, relief, or composition of it or its debts under any law relating to bankruptcy, insolvency or reorganization or relief of debtors, or seeking the entry of an order for relief or the appointment of a receiver, trustee, or other similar official for it for any substantial part of its property; or the County shall authorize any of the actions set forth above in this subsection (E).

ARTICLE VII

REMEDIES

Section 7.1 Remedies. Whenever any event of default referred to in Section 6.1 hereof shall have happened and be continuing, the Authority may take whatever action at law or in equity may appear necessary or desirable to collect the payments then due and thereafter to become due, or to enforce performance and observance of any obligation, agreement or covenant of the County hereunder.

Section 7.2 No Remedy Exclusive. No remedy herein conferred upon or reserved hereunder is intended to be exclusive of any other available remedy or remedies, but each and every such remedy shall be cumulative and shall be in addition to every other remedy given hereunder or now or hereafter existing at law or in equity or by statute. No delay or omission to exercise any right or power accruing upon any default shall impair any such right or power or shall be construed to be a waiver thereof, but any such right and power may be exercised from time to time and as often as may be deemed expedient. In order to entitle the Authority to exercise any remedy reserved to it in this Article, it shall not be necessary to give any notice, other than such notice as may be herein expressly required.

Section 7.3 Waiver and Non-Waiver. In the event any agreement contained herein should be breached by either party and thereafter waived by the other party, such waiver shall be limited to the particular breach so waived and shall not be deemed to waive any other breach hereunder. No delay or omission by the Authority to exercise any right or power accruing upon default shall impair any right or power or shall be construed to be a waiver of any such default or acquiescence therein.

ARTICLE VIII

MISCELLANEOUS

Section 8.1 Notices. All notices, certificates or other communications hereunder shall be sufficiently given, and shall be deemed given, when delivered in writing to the address or fax number (if expressly permitted in the provision requiring such communication) of the identified party or parties set forth below:

IF TO THE AUTHORITY:

Albany Convention Center Authority
55 Eagle Street
Albany, New York 12207
Attention: Monica Kurzejeski, Executive Director

WITH A COPY TO:

Harris Beach Murtha Cullina PLLC
677 Broadway – Suite 1101
Albany, New York 12207
Attention: Robert J. Ryan, Esq.

IF TO THE COUNTY:

County of Albany
112 State Street, Room 1210
Albany, New York 12207
Attention: M. David Reilly, Jr., Commissioner of Management and Budget

WITH A COPY TO:

Office of the County Attorney
County of Albany
112 State Street, Room 600
Albany, New York 12207
Attention: Jeffery Jamison, County Attorney

and

Roemer Wallens Gold & Mineaux LLP
13 Columbia Circle
Albany, New York 12203
Attention: John R. Mineaux, Esq.

IF TO THE TRUSTEE:

[_____]
[_____]
[_____]

Attention: [_____]

WITH A COPY TO:

[]

[]

[]

Attention: []

Any of the foregoing parties may designate any further or different addresses or fax numbers to which subsequent notices, certificates or other communications shall be sent by notice in writing given to the others.

Section 8.2 Binding Effect. Upon execution and delivery by the County and the Authority, this Replenishment Agreement shall inure to the benefit of and shall be binding upon the Authority and the County and their respective successors and assigns.

Section 8.3 Severability. In the event that any provision of this Replenishment Agreement shall be held illegal, invalid or unenforceable by any court of competent jurisdiction, such holding shall not invalidate, render unenforceable or otherwise affect any other provision hereof.

Section 8.4 Amendments, Supplements and Modifications. This Replenishment Agreement shall not be amended, supplemented or modified except by a written instrument executed by the Authority and the County.

Section 8.5 Execution in Counterparts. This Replenishment Agreement may be executed in several counterparts, each of which shall be an original and all of which shall constitute but one and the same instrument.

Section 8.6 Applicable Law. This Replenishment Agreement shall be governed by and construed in accordance with the laws of the State, including the Act.

Section 8.7 Captions. The captions or headings in this Replenishment Agreement are for convenience only and shall not in any way define, limit or describe the scope or intent of any provisions or sections of this Replenishment Agreement.

Section 8.8 Benefit of Agreement. This Replenishment Agreement is executed, among other reasons, to induce the issuance and sale of the Initial Bond by the Issuer, to induce the provision of the Replenishment Payments by the County, to induce the lease, occupation, and operation of the Project Facility by the Authority, and, to the extent necessary from time to time, to secure the Initial Bond. Accordingly, those rights of the Authority to enforce the duties, covenants, obligations and agreements of the County set forth herein, including the right to enforce the payment by the County of any deficiency in the Reserve Fund in order to maintain the Reserve Fund Requirement, may at any time, in whole or in part, be assigned and pledged by the Authority to the Trustee for the benefit of the owners of the Initial Bond and thereafter such duties, covenants, obligations and agreements so assigned and pledged shall be for the benefit of and enforceable by the Trustee and the Authority[, except that beneficial owners of the Initial Bond shall be third-party beneficiaries of Section 8.9(B) of this Replenishment Agreement].

Section 8.9 Further Assurances; Disclosure of Financial Information, Operating Data and Other Information.

(A) The County shall, at the request of the Authority, authorize, execute, acknowledge and deliver such further resolutions, conveyances, transfers, assurances, financing statements and other

instruments as may be deemed necessary or desirable by the Authority, in its sole discretion, for better assuring, conveying, granting, assigning and confirming the rights, security interests and agreements granted or intended to be granted by this Replenishment Agreement. The County also agrees to furnish to the Authority such additional information concerning the financial condition of the County as the Authority may from time-to-time reasonably request.

(B) [Without limiting the generality of the foregoing, the County agrees to comply with the terms of the Continuing Disclosure Agreement.]

(C) If and so long as the offering of the Initial Bond continues the County will furnish such information with respect to itself as the owner(s) of the Initial Bond may from time-to-time reasonably request in writing.

Section 8.10 Assignment of Agreement. The County consents to the pledge and assignment at any time of any portion of the Authority's estate, right, title and interest and claim in, to and under this Replenishment Agreement and the right to make all related waivers and agreements in the name and on behalf of the Authority, as agent and attorney-in-fact, and to perform all other related acts which are necessary and appropriate under this Replenishment Agreement, if any.

Section 8.11 Agreement Supersedes Prior Agreements. This Replenishment Agreement supersedes any other prior or contemporaneous agreements or understandings, written or oral, between the parties relating to the financing of the Initial Project.

IN WITNESS WHEREOF, the County and the Authority have each caused this Replenishment Agreement to be executed and delivered as of the date first above written.

ALBANY COUNTY, NEW YORK

BY: _____
Hon. Daniel P. McCoy
County Executive

ALBANY CONVENTION
CENTER AUTHORITY

BY: _____
Monica Kurzejeski
Executive Director

[Reserve Fund Replenishment Agreement Signature Page]

EXHIBIT A

FORM OF GENERAL CERTIFICATE OF THE COUNTY

[TO BE INSERTED WHEN FINALIZED]

EXHIBIT B

FORM OF OPINION OF COUNTY ATTORNEY

[TO BE INSERTED WHEN FINALIZED]

RESOLUTION NO. 249

RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, AUTHORIZING THE EXECUTION OF A RESERVE FUND REPLENISHMENT AGREEMENT BY AND BETWEEN THE COUNTY AND THE ALBANY CONVENTION CENTER AUTHORITY

Introduced: 6/9/25

By Cunningham, Feeney, Willingham, Domalewicz, Lane and Miller:

WHEREAS, The Albany [County] Convention Center Authority (the “Authority”) is a body corporate and politic constituting a public benefit corporation of the State of New York (the “State”), created and existing under and by virtue of Title 28-BB of Article 8 of the Public Authorities Law of the State (the “PAL”), Chapter 468 of the Laws of 2004 of the State, as amended from time to time (the “Act”), organized for the purpose of, among other things, constructing, transforming and improving new and existing facilities for a convention center in the City of Albany, Albany County, New York. Such a convention center is to include a trade exhibition facility, hotel accommodations, transportation infrastructure, tourism facilities, theatre facilities, retail business, and commercial office space facilities; and

WHEREAS, To accomplish its stated purposes, the Authority is authorized and empowered under the Act to (A) enter into agreements with local entities; (B) to acquire, construct, and reconstruct convention facilities; (C) to borrow money, make contracts and leases, and execute all instruments necessary or convenient for its corporate purposes; and (D) to issue its negotiable bonds to finance the cost of such project(s) or for any other corporate purpose; and

WHEREAS, The Authority is considering participating in a project (the “[Initial] Project”) consisting of (A) (1) the reconstruction and renovation of an existing building containing approximately 40,840 square feet [and located on an approximately .84 acre parcel of land located] **(the “Existing Facility”) comprised of six stories in the front portion of the Existing Facility and two stories in the rear portion of the Existing Facility, respectively, located on two parcels of land containing approximately 0.84 acres** at 120 and 126 State Street (Tax Map Nos.: 76.33-1-23 and 76.33-1-22) in the City of Albany, Albany County, New York (the “Land”), (2) the construction [on the Land of an] **of an additional two stories to the rear portion of the Existing Facility containing** approximately 59,810 square [foot building] **feet** (the “[New Facility] **Addition**,” and collectively with the Existing Facility, the “Facility”) and (3) the acquisition and installation thereon and therein of machinery and equipment (the “Equipment”) (the Land, the Facility, and the Equipment being hereinafter collectively referred to as the “Project Facility”), all of the foregoing to be owned by CIDC Albany Center, LLC (the “Borrower”) and leased to the Authority, **pursuant to a lease agreement (the “Authority Lease”) by**

and between the Borrower and the Authority, for use as a convention facility and any other directly and indirectly related activities; (B) the financing of all or a portion of the costs of the foregoing, together with necessary incidental costs in connection therewith, by the issuance by the Albany County Capital Resource Corporation (the “Issuer”) of its Tax-Exempt Lease Revenue Bonds (CIDC Albany Center, LLC Project), Series [2025A] 2025 in the aggregate principal amount of not to exceed \$40,000,000.00 (the “[Initial Bond] Bonds”); and (C) the [payment of paying of] a portion of the costs incidental to the issuance of the [Initial Bond] Bonds, including issuance costs of the [Initial Bond] Bonds, capitalized interest and any reserve funds as may be necessary to secure the [Initial Bond] Bonds; and

WHEREAS, The [Initial Bond] Bonds will be issued pursuant to the terms of [the Initial Bond] a resolution adopted by the members of the board of directors of the Issuer on June 4, 2025 (the “Bond Resolution”), one or more certificates of determination (each, a “Certificate of Determination”) executed by an authorized officer of the Issuer, and a trust indenture [dated as of _____, 2025] (the “Indenture”) by and between the Issuer and a financial institution to be selected by the Issuer and the Borrower, as trustee (the “Trustee”) for the holders of the [Initial Bond] Bonds; and

WHEREAS, Prior to or simultaneously with the issuance of the [Initial Bond] Bonds, the Issuer and the Borrower will execute and deliver a loan agreement (the “Loan Agreement”) by and between the Issuer, as lender, and the Borrower, as borrower, pursuant to the terms of which Loan Agreement (A) the Issuer will agree (1) to issue the [Initial Bond] Bonds, and (2) to make a loan (the “Loan”) of the proceeds of the Bonds to the Borrower for the purpose of assisting in financing the Project, and (B) in consideration of the Loan, the Borrower will agree (1) to cause the Project to be undertaken and completed, (2) to use the proceeds of the Loan disbursed under the Indenture to pay (or reimburse the Borrower for the payment of) the costs of the Project, and (3) to make payments sufficient in amount to pay when due all amounts due with respect to the [Initial Bond] Bonds (the “Loan Payments”) to or upon the order of the Issuer in repayment of the Loan, which Loan Payments shall include amounts equal to the debt service payments due on the [Initial Bond] Bonds; and

WHEREAS, Pursuant to the Authority Lease, among other things, the Authority will agree to lease the Project Facility from the Borrower and to make rental payments (the “Rental Payments”) to the Borrower in amounts sufficient to pay when due the Loan Payments; and

WHEREAS, Pursuant to [Section __ of] the Indenture, the Issuer and the Trustee [have established a Reserve Fund] will establish a reserve fund with respect to the [Initial Bond] Bonds (the “Reserve Fund”), within which the Issuer [is] will be required to maintain an amount equal to [\$_____] at least \$2,400,00.00 and in no event to exceed \$3,000,000.00 (the “Reserve Fund

Requirement”) to provide a source of payment for the [Initial Bond] **Bonds** in the event the assets and revenues of the Authority are insufficient to make such Rental Payments; and

WHEREAS, The Authority has requested the County of Albany (the “County”) **to** provide security for the [Authority’s lease of the Project Facility from the Borrower] **Authority Lease** in the form of a source of replenishment for the [reserve fund to be established with respect to the Initial Bond, within which the Issuer will be required to maintain an amount of at least two million, four hundred thousand dollars (\$2,400,000.00) and in no event to exceed three million dollars (\$3,000,000.00) (the [“Reserve Fund Requirement”]) to provide a source of payment for the Initial Bond in the event the assets and revenues of the Authority are insufficient to make lease payments in amounts sufficient to pay when due the debt service payments on the Initial Bond]; and

WHEREAS, Pursuant to the terms of the Act, the Authority’s purposes are deemed to be public purposes and involve the performance of an essential governmental function for which public funds may be expended and the Authority in carrying out its respective powers and duties under the Act is deemed to be acting in a governmental capacity; and

WHEREAS, Pursuant to Local Law No. **D[]** of 2025 of the County (the “County Law”), the County may, from time to time, appropriate sums of money toward project costs or other costs and expenses related to Convention Facility Projects (as defined in the County Law) and make advances, loans, subsidies or contributions of such funds to the Authority; and

WHEREAS, The terms of the payments to be made by the County are to be set forth in a reserve fund replenishment agreement by and between the County and the Authority (the “Replenishment Agreement”) pursuant to which (i) the County will agree to make payments in sufficient amounts to replenish, if necessary, the Reserve Fund Requirement, and (ii) the Authority will agree to reimburse the County for such payments; and

WHEREAS, The County desires to enter into the Replenishment Agreement and various other documents and agreements in connection therewith **including, but not limited to, a proposed continuing disclosure agreement by and among the Trustee, the Borrower, the Authority and the County** (hereinafter, collectively, the “County Documents”), and to be responsible for the replenishment, if necessary, of the Reserve Fund Requirement upon the terms and conditions set forth in the Replenishment Agreement; and

WHEREAS, Pursuant to Article 8 of the Environmental Conservation Law, Chapter 43-B of the Consolidated Laws of New York, as amended[.] **(the “SEQR**

Act”) and the regulations [(the “Regulations”)] adopted pursuant thereto by the Department of Environmental Conservation of the State of New York, **being 6 NYCRR Part 617, as amended (the “Regulations” and [(collectively, with the SEQR Act, “SEQRA”), by resolution adopted by the members of the board of directors of the Issuer on June 4, 2025 (the “SEQR [Act] Resolution”), [neither] the Issuer [nor the County has yet made a determination as to the potential environmental significance of the Initial Project and] (A) concurred in the determination that the City of Albany Planning Board (the “Planning Board”) is the “lead agency” with respect to SEQRA and (B) acknowledged receipt of a negative declaration from the Planning Board dated April 8, 2025 (the "Negative Declaration") in which the Planning Board determined that the Project is a “Type I action” and that the Project will result in no significant adverse impacts on the environment, and**, therefore, [has not yet determined whether] an environmental impact statement [is required to] **need not** be prepared [with respect to the Initial Project]; and

WHEREAS, The providing for the replenishment of the Reserve Fund Requirement and the execution and delivery of the County Documents (collectively, the “Action”) appears to constitute a “Type II action” (as said quoted term is defined in the Regulations), and, therefore, it appears that no further determination or procedure under [the SEQR Act] **SEQRA** is required with respect to the Action; now, therefore, be it

RESOLVED, By the Albany County Legislature as follows:

Section 1. All action taken by this Honorable Body or the County Executive with respect to the [Initial] Project **and the County Documents** is hereby ratified and confirmed.

Section 2. This Honorable Body hereby finds and determines that:

- (A) (1) Pursuant to Section 617.5(c)(1), (24) and (26) of the Regulations, the Action is a “Type II action” (as said quoted term is defined in the Regulations); and
(2) Therefore, the County hereby determines that no environmental impact statement or any other determination or procedure is required under the Regulations with respect to the Action;
- (B) By virtue of the laws of the State, and the Charter of the County (the “Charter”), the County has been vested with all powers necessary and convenient to carry out and effectuate the purpose and provisions of the Charter and to exercise all powers granted to it under the Charter.
- (C) The [Initial] Project constitutes a “project” as defined in the Act.

(D) The undertaking of the [Initial] Project, and the replenishment of the Reserve Fund Requirement by the County, will promote and encourage a Convention Facility Project (as such capitalized term is defined in the County Law).

(E) Pursuant to the Act and the County Law, the County's participation in the [Initial] Project constitutes an essential governmental function of the County for which the County is authorized to expend public funds.

(F) It is desirable and in the public interest for the County to enter into the County Documents and to provide for the replenishment of the Reserve Fund Requirement as necessary pursuant to the terms set forth in the Replenishment Agreement.

Section 3. The form and substance of the Replenishment Agreement is hereby approved.

Section 4. The [Initial Bond is to be issued by the Issuer in an aggregate principal amount not to exceed \$_____, and the] replenishment of the Reserve Fund Requirement by the County, all pursuant to the laws of the State and in accordance with the terms of the Replenishment Agreement, is hereby authorized and approved.

Section 5. The County Executive, or the Deputy County Executive, is hereby authorized, on behalf of the County, to execute and deliver the County Documents and, where appropriate, the Clerk of the County and/or the County Attorney of the County is hereby authorized to affix the seal of the County thereto and to attest the same, all in substantially the form thereof as the County Executive, or the Deputy County Executive, with advice from the County Attorney, shall approve, with such changes, variations, omissions and insertions as the County Executive, or the Deputy County Executive, shall approve, the execution and delivery thereof by the County Executive, or the Deputy County Executive, to constitute conclusive evidence of such approval.

Section 6. The officers, employees and agents of the County are hereby authorized and directed for and in the name and on behalf of the County to do all lawful acts and things required or provided for by the provisions of the County Documents, and to execute and deliver all such additional certificates, instruments, and documents, to pay all such fees, charges and expenses and to do all such further lawful acts and things as may be necessary or, in the opinion of the officer, employee or agent acting, desirable and proper to effect the purposes of the foregoing resolution

and to cause compliance by the County with all of the terms, covenants and provisions of the County Documents binding upon the County.

Section 7. The appropriation of County funds in an amount not to exceed any replenishment of the Reserve Fund Requirement, as authorized pursuant to the Act and the County Law, is hereby approved.

Section 8. The County is hereby authorized and directed to prepare information concerning the County and to furnish such information for use in an offering document to be distributed by the Issuer in connection with the sale of the **[Initial Bond] Bonds** (hereinafter referred to as the “Official Statement”), and the County Executive, or Deputy County Executive, is hereby authorized and directed to deliver letters or certificates to the Issuer and the underwriter(s) of the **[Initial Bond] Bonds** signed on behalf of the County, stating in substance that the information contained in the Official Statement relating to the County is approved and does not contain any untrue statement of material fact and does not omit any material facts necessary to make the statements made, in light of the circumstances under which they were made, not misleading and the County hereby authorizes the distribution of the Official Statement in connection with any public offering of the **[Initial Bond] Bonds**.

Section 9. The County Executive, or Deputy County Executive is authorized and directed to distribute copies of this resolution, or cause such copies to be distributed, to the Authority and the Issuer and to do such further things or perform such lawful acts as may be necessary or convenient to implement the provisions of this resolution.

Section 10. The County Attorney is authorized to approve the County Documents, together with any documents referenced in Sections 6 and 8 hereof, as to form and content.

Section 11. The Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.

Section 12. This resolution shall take effect immediately.

[Remainder of page left blank intentionally]

Referred to Law and Audit and Finance Committees – 6/9/25

RESOLUTION NO. 249

RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, AUTHORIZING THE EXECUTION OF A RESERVE FUND REPLENISHMENT AGREEMENT BY AND BETWEEN THE COUNTY AND THE ALBANY CONVENTION CENTER AUTHORITY

Introduced: 6/9/25

By Cunningham, Feeney, Willingham, Domalewicz, Lane and Miller:

WHEREAS, The Albany Convention Center Authority (the “Authority”) is a body corporate and politic constituting a public benefit corporation of the State of New York (the “State”), created and existing under and by virtue of Title 28-BB of Article 8 of the Public Authorities Law of the State (the “PAL”), Chapter 468 of the Laws of 2004 of the State, as amended from time to time (the “Act”), organized for the purpose of, among other things, constructing, transforming and improving new and existing facilities for a convention center in the City of Albany, Albany County, New York. Such a convention center is to include a trade exhibition facility, hotel accommodations, transportation infrastructure, tourism facilities, theatre facilities, retail business, and commercial office space facilities; and

WHEREAS, To accomplish its stated purposes, the Authority is authorized and empowered under the Act to (A) enter into agreements with local entities; (B) to acquire, construct, and reconstruct convention facilities; (C) to borrow money, make contracts and leases, and execute all instruments necessary or convenient for its corporate purposes; and (D) to issue its negotiable bonds to finance the cost of such project(s) or for any other corporate purpose; and

WHEREAS, The Authority is considering participating in a project (the “Project”) consisting of (A) (1) the reconstruction and renovation of an existing building containing approximately 40,840 square feet (the “Existing Facility”) comprised of six stories in the front portion of the Existing Facility and two stories in the rear portion of the Existing Facility, respectively, located on two parcels of land containing approximately 0.84 acres at 120 and 126 State Street (Tax Map Nos.: 76.33-1-23 and 76.33-1-22) in the City of Albany, Albany County, New York (the “Land”), (2) the construction of an additional two stories to the rear portions of the Existing Building containing approximately 59,810 square feet (the “Addition,” and collectively with the Existing Facility, the “Facility”) and (3) the acquisition and installation thereon and therein of machinery and equipment (the “Equipment”) (the Land, the Facility, and the Equipment being hereinafter collectively referred to as the “Project Facility”), all of the foregoing to be owned by CIDC Albany Center, LLC (the “Borrower”) and leased to the Authority, pursuant to a lease agreement (the “Authority Lease”) by and between the Borrower and the Authority, for use as a convention facility and any other directly and indirectly related activities; (B) the

financing of all or a portion of the costs of the foregoing, together with necessary incidental costs in connection therewith, by the issuance by the Albany County Capital Resource Corporation (the “Issuer”) of its Tax-Exempt Lease Revenue Bonds (Albany Convention Center Authority Project), Series 2025 in the aggregate principal amount of not to exceed \$40,000,000.00 (the “Bonds”); and (C) the paying a portion of the costs incidental to the issuance of the Bonds, including issuance costs of the Bonds, capitalized interest and any reserve funds as may be necessary to secure the Bonds; and

WHEREAS, The Bonds will be issued pursuant to the terms of a resolution adopted by the members of the board of directors of the Issuer on June 4, 2025 (the “Bond Resolution”), one or more certificates of determination (each, a “Certificate of Determination”) executed by an authorized officer of the Issuer, and a trust indenture (the “Indenture”) by and between the Issuer and a financial institution to be selected by the Issuer and the Borrower, as trustee (the “Trustee”) for the holders of the Bonds; and

WHEREAS, Prior to or simultaneously with the issuance of the Bonds, the Issuer and the Borrower will execute and deliver a loan agreement (the “Loan Agreement”) by and between the Issuer, as lender, and the Borrower, as borrower, pursuant to the terms of which Loan Agreement (A) the Issuer will agree (1) to issue the Bonds, and (2) to make a loan (the “Loan”) of the proceeds of the Bonds to the Borrower for the purpose of assisting in financing the Project, and (B) in consideration of the Loan, the Borrower will agree (1) to cause the Project to be undertaken and completed, (2) to use the proceeds of the Loan disbursed under the Indenture to pay (or reimburse the Borrower for the payment of) the costs of the Project, and (3) to make payments sufficient in amount to pay when due all amounts due with respect to the Bonds (the “Loan Payments”) to or upon the order of the Issuer in repayment of the Loan, which Loan Payments shall include amounts equal to the debt service payments due on the Bonds; and

WHEREAS, Pursuant to the Authority Lease, among other things, the Authority will agree to lease the Project Facility to the Borrower and to make rental payments (the “Rental Payments”) to the Borrower in amounts sufficient to pay when due the Loan Payments; and

WHEREAS, Pursuant to the Indenture, the Issuer and the Trustee will establish a reserve fund with respect to the Bonds (the “Reserve Fund”), within which the Issuer will be required to maintain an amount equal to at least \$2,400,00.00 and in no event to exceed \$3,000,000.00 (the “Reserve Fund Requirement”) to provide a source of payment for the Bonds in the event the assets and revenues of the Authority are insufficient to make such Rental Payments; and

WHEREAS, The Authority has requested the County of Albany (the “County”) provide security for the Authority Lease in the form of a source of replenishment for the Reserve Fund Requirement; and

WHEREAS, Pursuant to the terms of the Act, the Authority’s purposes are deemed to be public purposes and involve the performance of an essential governmental function for which public funds may be expended and the Authority in carrying out its respective powers and duties under the Act is deemed to be acting in a governmental capacity; and

WHEREAS, Pursuant to Local Law No. D of 2025 of the County (the “County Law”), the County may, from time to time, appropriate sums of money toward project costs or other costs and expenses related to Convention Facility Projects (as defined in the County Law) and make advances, loans, subsidies or contributions of such funds to the Authority; and

WHEREAS, The terms of the payments to be made by the County are to be set forth in a reserve fund replenishment agreement by and between the County and the Authority (the “Replenishment Agreement”) pursuant to which (i) the County will agree to make payments in sufficient amounts to replenish, if necessary, the Reserve Fund Requirement, and (ii) the Authority will agree to reimburse the County for such payments; and

WHEREAS, The County desires to enter into the Replenishment Agreement and various other documents and agreements in connection therewith including, but not limited to, a proposed continuing disclosure agreement by and among the Trustee, the Borrower, the Authority and the County (hereinafter, collectively, the “County Documents”), and to be responsible for the replenishment, if necessary, of the Reserve Fund Requirement upon the terms and conditions set forth in the Replenishment Agreement; and

WHEREAS, Pursuant to Article 8 of the Environmental Conservation Law, Chapter 43-B of the Consolidated Laws of New York, as amended (the “SEQR Act”) and the regulations adopted pursuant thereto by the Department of Environmental Conservation of the State of New York, being 6 NYCRR Part 617, as amended (the “Regulations” and collectively, with the SEQR Act, “SEQRA”), by resolution adopted by the members of the board of directors of the Issuer on June 4, 2025 (the “SEQR Resolution”), the Issuer (A) concurred in the determination that the City of Albany Planning Board (the “Planning Board”) is the “lead agency” with respect to SEQRA and (B) acknowledged receipt of a negative declaration from the Planning Board dated April 8, 2025 (the “Negative Declaration”) in which the Planning Board determined that the Project is a “Type I action” and that the Project will result in no significant adverse impacts on the environment, and , therefore, an environmental impact statement need not be prepared; and

WHEREAS, The providing for the replenishment of the Reserve Fund Requirement and the execution and delivery of the County Documents (collectively, the “Action”) appears to constitute a “Type II action” (as said quoted term is defined in the Regulations), and, therefore, it appears that no further determination or procedure under SEQRA is required with respect to the Action; now, therefore, be it

RESOLVED, By the Albany County Legislature as follows:

Section 1. All action taken by this Honorable Body or the County Executive with respect to the Project and the County Documents is hereby ratified and confirmed.

Section 2. This Honorable Body hereby finds and determines that:

- (A) (1) Pursuant to Section 617.5(c)(1), (24) and (26) of the Regulations, the Action is a “Type II action” (as said quoted term is defined in the Regulations); and
(2) Therefore, the County hereby determines that no environmental impact statement or any other determination or procedure is required under the Regulations with respect to the Action;
- (B) By virtue of the laws of the State, and the Charter of the County (the “Charter”), the County has been vested with all powers necessary and convenient to carry out and effectuate the purpose and provisions of the Charter and to exercise all powers granted to it under the Charter.
- (C) The Project constitutes a “project” as defined in the Act.
- (D) The undertaking of the Project, and the replenishment of the Reserve Fund Requirement by the County, will promote and encourage a Convention Facility Project (as such capitalized term is defined in the County Law).
- (E) Pursuant to the Act and the County Law, the County’s participation in the Project constitutes an essential governmental function of the County for which the County is authorized to expend public funds.
- (F) It is desirable and in the public interest for the County to enter into the County Documents and to provide for the replenishment of the Reserve Fund Requirement as necessary pursuant to the terms set forth in the Replenishment Agreement.

Section 3. The form and substance of the Replenishment Agreement is hereby approved.

Section 4. The replenishment of the Reserve Fund Requirement by the County, all pursuant to the laws of the State and in accordance with the terms of the Replenishment Agreement, is hereby authorized and approved.

Section 5. The County Executive, or the Deputy County Executive, is hereby authorized, on behalf of the County, to execute and deliver the County Documents and, where appropriate, the Clerk of the County and/or the County Attorney of the County is hereby authorized to affix the seal of the County thereto and to attest the same, all in substantially the form thereof as the County Executive, or the Deputy County Executive, with advice from the County Attorney, shall approve, with such changes, variations, omissions and insertions as the County Executive, or the Deputy County Executive, shall approve, the execution and delivery thereof by the County Executive, or the Deputy County Executive, to constitute conclusive evidence of such approval.

Section 6. The officers, employees and agents of the County are hereby authorized and directed for and in the name and on behalf of the County to do all lawful acts and things required or provided for by the provisions of the County Documents, and to execute and deliver all such additional certificates, instruments, and documents, to pay all such fees, charges and expenses and to do all such further lawful acts and things as may be necessary or, in the opinion of the officer, employee or agent acting, desirable and proper to effect the purposes of the foregoing resolution and to cause compliance by the County with all of the terms, covenants and provisions of the County Documents binding upon the County.

Section 7. The appropriation of County funds in an amount not to exceed any replenishment of the Reserve Fund Requirement, as authorized pursuant to the Act and the County Law, is hereby approved.

Section 8. The County is hereby authorized and directed to prepare information concerning the County and to furnish such information for use in an offering document to be distributed by the Issuer in connection with the sale of the Bonds (hereinafter referred to as the "Official Statement"), and the County Executive, or Deputy County Executive, is hereby authorized and directed to deliver letters or certificates to the Issuer and the underwriter(s) of the Bonds signed on behalf of the County, stating in substance that the information contained in the Official Statement relating to the County is approved and does not contain any untrue statement of material fact and does not omit any material facts necessary to make the statements made, in light of the circumstances under which they were made, not misleading and the County hereby authorizes the distribution of the Official Statement in connection with any public offering of the Bonds.

Section 9. The County Executive, or Deputy County Executive is authorized and directed to distribute copies of this resolution, or cause such copies to be distributed, to the Authority and the Issuer and to do such further things or perform such lawful acts as may be necessary or convenient to implement the provisions of this resolution.

Section 10. The County Attorney is authorized to approve the County Documents, together with any documents referenced in Sections 6 and 8 hereof, as to form and content.

Section 11. The Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.

Section 12. This resolution shall take effect immediately.

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DRAFT

ALBANY CONVENTION CENTER AUTHORITY

AND

COUNTY OF ALBANY, NEW YORK

ADMINISTRATIVE SERVICES FUNDING AGREEMENT

DATED AS OF _____ 1, 2025

RELATING TO THE [TAX-EXEMPT REVENUE BOND (CIDC
ALBANY CENTER, LLC PROJECT), SERIES 2025] IN THE
PRINCIPAL AMOUNT OF [\$_____] ISSUED BY ALBANY
COUNTY CAPITAL RESOURCE CORPORATION.

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ADMINISTRATIVE SERVICES FUNDING AGREEMENT

This ADMINISTRATIVE SERVICES FUNDING AGREEMENT, dated as of _____, 2025 (this “Agreement”), by and between ALBANY CONVENTION CENTER AUTHORITY, a body corporate and politic constituting a public benefit corporation of the State of New York (the “Authority”) and ALBANY COUNTY, NEW YORK, a municipal corporation duly organized and existing under the laws of the State of New York (the “County”).

WITNESSETH:

WHEREAS, the Authority is a body corporate and politic constituting a public benefit corporation of the State of New York (the “State”), created and existing under and by virtue of Title 28-BB of Article 8 of the Public Authorities Law of the State (the “PAL”), Chapter 468 of the Laws of 2004 of the State, as amended from time to time (the “Act”), organized for the purpose of, among other things, constructing, transforming and improving new and existing facilities for a convention center in the City of Albany, Albany County, New York, such convention center to include a trade exhibition facility, hotel accommodations, transportation infrastructure, tourism facilities, theatre facilities, retail business, and commercial office space facilities; and

WHEREAS, to accomplish its stated purposes, the Authority is authorized and empowered under the Act to (A) enter into agreements with local entities, (B) to acquire, construct, and reconstruct convention facilities; (C) to borrow money, make contracts and leases, and execute all instruments necessary or convenient for its corporate purposes; and (D) to issue its negotiable bonds to finance the cost such project or for any other corporate purpose; and

WHEREAS, the Authority desires to expand its convention facilities by entering into a thirty-year lease agreement (the “Lease”) with CIDC Albany Center, LLC (the “Landlord/Borrower”) for a Project consisting of the following: (A) (1) the reconstruction and renovation of an existing building containing approximately 40,840 square feet and located on an approximately .84 acre parcel of land located at 120 and 126 State Street (Tax Map Nos.: 76.33-1-23 and 76.33-1-22) in the City of Albany, Albany County, New York (the “Land”), (2) the construction on the Land of an approximately 59,810 square foot building (the “New Facility,” and collectively with the Existing Facility, the “Facility”), and (3) the acquisition and installation thereon and therein of machinery and equipment (the “Equipment”) (the Land, the Facility, and the Equipment being hereinafter collectively referred to as the “Project Facility”); and

WHEREAS, pursuant to a resolution adopted by the members of the Authority on _____, 2025 (the “Authority Resolution”), the members of the Authority authorized, among other things, (1) the lease of the Project Facility from the Landlord/Borrower and the execution and delivery of various documents in connection therewith; and

WHEREAS, pursuant to the Authority Resolution, the Authority and the Landlord/Borrower will execute and deliver a lease agreement dated as of _____, 2025 (the “Authority Lease”) pursuant to which, among other things, the Authority will agree to lease the Project Facility from the Landlord/Borrower and to make rental payments (the “Rental Payments”); and

WHEREAS, the Authority’s Rental Payments will be used by Landlord/Borrower to finance the Project Facility pursuant to a resolution adopted by the members of the board of directors of the Albany County Capital Resource Corporation (the “Issuer”) on _____, 2025 (the “Initial Bond Resolution”), whereby the Issuer authorized the issuance of its [Tax-Exempt Revenue Bond (CIDC Albany Center, LLC Project), Series 2025A in the principal amount of \$_____] (the “Initial Bond”); and

WHEREAS, to assist the financing of the Project Facility the Authority has requested the County to provide security for its Rental Payments in the event the assets and revenues of the Authority are insufficient to make the Rental Payments in amounts sufficient for Landlord/Borrower to pay debt service when due on the Initial Bond; and

WHEREAS, the Authority requested that such County security be in the form of a source of replenishment to maintain an amount equal to [\$_____] (the "Reserve Fund Requirement") in a debt service reserve fund, which will initially be funded by the Landlord/Borrower from the Initial Bond proceeds, that would be used by Landlord/Borrower to make debt service payments in connection with the Initial Bond if the Authority has insufficient assets and revenues to make the Rental Payments; and

WHEREAS, pursuant to Local Law No. __ of 2025 of the County (the "County Law"), the County may, from time to time, appropriate sums of money toward project costs or other costs and expenses related to Convention Facility Projects (as defined in the County Law) and make, advances, loans, gifts, grants, subsidies or contributions of such funds to the Authority; and

WHEREAS, pursuant to a resolution duly adopted by the members of the County Legislature of Albany County (the "County Legislature") on _____, 2025 (the "County Resolution"), the County Legislature authorized the County to enter into a Reserve Fund Replenishment Agreement and to agree to make the Replenishment Payments (as defined herein) in amounts not to exceed the Reserve Fund Requirement, subject to annual appropriation by the County; and

WHEREAS, pursuant to the Reserve Fund Replenishment Agreement, upon any replenishment by the County of the Reserve Fund Requirement, the County shall be entitled to reimbursement by the Authority in the amount of such replenishment; and

WHEREAS, in connection with the County's support of the Authority's Project and Rental Payments, the Parties desire to enter into this Administrative Services Funding Agreement;

NOW, THEREFORE, in consideration of the premises and of the mutual representations, covenants and agreements herein set forth, the Authority and the County each binding itself, its successors and assigns, do mutually promise, covenant and agree as follows:

ARTICLE I

DEFINITIONS

Section 1.1 Definitions. Unless the context or use indicates another or different meaning or intent, capitalized terms used in this Agreement and the preambles hereto not otherwise defined herein shall have the meanings as follows:

“Act” shall mean Title 28-BB of Article 8 of the Public Authorities Law of the State, as amended from time to time.

“Agreement” means the Administrative Funding Agreement dated as of _____, 2025 by and between the County and the Authority.

“Authority” means (A) Albany Convention Center Authority and its successors and assigns, and (B) any public instrumentality or political subdivision resulting from or surviving any consolidation or merger to which Albany Convention Center Authority or its successors or assigns may be a party.

“Authority Resolution” means the resolution of the members of the Authority duly adopted on _____, 2025 authorizing the Authority to enter into the Agreement.

“Business Day” means any day of the year other than (A) a Saturday or Sunday, (B) a day on which the New York Stock Exchange is closed or (C) a day on which commercial banks in New York, New York, or the city or cities in which the Office of the Trustee is located, are authorized or required by law, regulation or executive order to close.

“County” means Albany County.

“County Resolution” means the resolution of the County Legislature duly adopted on _____, 2025 authorizing the County to enter into the Agreement.

“Hotel Occupancy Tax Receipts” means, for any given fiscal year of the Authority, the amount of the hotel occupancy tax received by the Authority pursuant to Local Law No. 5 of 2020 of the County.

“Indenture” means the trust indenture dated as of _____, 2025 by and between the Albany County Capital Resource Corporation and the Trustee with respect to the Initial Bond, as said trust indenture may be amended or supplemented from time to time.

“Initial Bond” means the Tax-Exempt Revenue Bond (CIDC Albany Center, LLC Project), Series 2025A in the principal amount of \$ _____], issued by the Albany County Capital Resource Corporation.

“Reserve Fund Replenishment Agreement” shall have the meaning assigned to such term in the tenth recital clause of this Agreement.

“Reserve Fund Requirement” shall have the meaning assigned to such term in the eighth recital clause of this Agreement.

“State” means the State of New York.

“Trustee” means [_____], a banking corporation organized and existing under the laws of the State of New York, or any successor trustee or co-trustee acting as trustee under the Indenture.

Section 1.2 Rules of Construction. Unless the context clearly indicates to the contrary, the following rules shall apply to the construction of the Agreement:

(A) Number. Words importing the singular number shall include the plural number and vice versa.

(B) Gender. Words importing the feminine, masculine and neuter genders shall each include correlative words of the other genders.

(C) Approvals and Consents. All approvals, consents and acceptances required to be given or made by any person or party hereunder shall be at the sole discretion of the person or party whose approval, consent or acceptance is required.

(D) References. All references herein to particular articles, sections or exhibits without reference to a specific document are references to articles or sections of or exhibits to this Agreement.

(E) Headings. The captions and headings and table of contents herein are solely for convenience of reference and shall not constitute part of this Agreement, nor shall they affect its meaning, construction or effect.

(F) Terms. The terms “hereby”, “hereof”, “hereto”, “herein”, “hereunder” and any similar terms, as used in this Agreement, refer to this Agreement in its entirety and not the particular article or section of this Agreement in which they appear, and the term “hereafter” means after, and the term “theretofore” means before, the date set forth on the cover page of this Agreement.

ARTICLE II

REPRESENTATIONS AND WARRANTIES

Section 2.1 Representations and Warranties of the County. The County represents and warrants as follows:

(A) Existence and Authority; Legal Power. The County is a municipal corporation, duly created and existing under the laws of the State and has full legal right, power and authority to (i) conduct its business and own its properties, (ii) enter into this Agreement and various related documents to which the County is a party, (iii) adopt the County Resolution, and (iv) carry out and consummate all other transactions contemplated by each of the aforesaid documents.

(B) Compliance. The County has complied and will comply with the County Resolution and with all applicable laws of the State.

(C) Authorization. The County has duly approved the execution and delivery of this Agreement and has authorized the taking of any and all action as may be required on the part of the County to carry out, give effect to and consummate the transactions contemplated by each of the foregoing.

(D) Binding Obligation. This Agreement has been duly authorized, executed and delivered by the County and, assuming due authorization and execution by the Authority, constitutes a legal, valid and binding obligation of the County enforceable in accordance with its terms. The County acknowledges and agrees that the defense of sovereign immunity is not available to the County in any proceedings by the Authority to enforce any of the obligations of the County under this Agreement, and, to the fullest extent permitted by law, the County consents to the initiation of any such proceedings in any court of competent jurisdiction and agrees not to assert the defense of sovereign immunity in any such proceedings. The enforceability (but not the validity) of rights or remedies with respect to this Agreement may be limited by bankruptcy, insolvency or other laws affecting creditors' rights or remedies heretofore or hereafter enacted.

(E) Consents and Approvals. All consents, approvals, authorizations or orders of, or filings, registrations or declarations with any court, governmental authority, legislative body, board, agency or commission, including but not limited to those required by the State Environmental Quality Review Act, which are required for the due authorization of, which would constitute a condition precedent to or the absence of which would materially adversely affect the due performance by the County of its obligations hereunder or the consummation of the transactions contemplated hereby have been duly obtained and are in full force and effect.

(F) No Litigation. There is no action, suit, proceeding, inquiry or investigation, at law or in equity, before or by any court, public board or body, pending or, to the knowledge of the County, threatened against the County, nor is there any basis therefor, (i) affecting the creation, organization or existence of the County or the title of its officers to their respective offices, (ii) seeking to prohibit, restrain or enjoin the execution of this Agreement, or (iii) in any way contesting or affecting the validity or enforceability of the County Resolution, this Agreement, or any agreement or instrument relating to any of the foregoing or used or contemplated for use in the consummation of the transactions contemplated by any of the foregoing.

(G) No Violation. The County is not in any material respect in breach of or in default under any applicable law or administrative regulation of the State or the United States of America or any applicable judgment or decree or any agreement or other instrument to which the County is a party or by which it or any of its properties is bound, and no event has occurred which with the passage of time, the giving of notice or both would constitute such a breach or default; and the execution and delivery of this

Agreement and the adoption of the County Resolution and compliance with the respective provisions thereof will not conflict with or constitute a breach of or default under any applicable law or administrative regulation of the State or the United States of America or any applicable judgment or decree or any agreement or other instrument to which the County is a party or by which it or any of its property is bound.

(H) County Resolution. The County Resolution has been duly adopted by the County and remains in full force and effect as of the date of execution hereof.

(I) No Default. The County is not in default under any loan agreement, note, bond, mortgage or other instrument evidencing or securing indebtedness.

Section 2.2 Representations and Warranties of the Authority. The Authority represents and warrants as follows:

(A) Existence and Authority; Legal Power. The Authority is duly organized and validly existing as a public benefit corporation of the State and has full legal right, power and authority to (i) conduct its business and own its properties, (ii) enter into this Agreement, and various related documents to which the Authority is a party, (iii) adopt the Authority Resolution, and (iv) carry out and consummate all other transactions contemplated by each of the aforesaid documents.

(B) Compliance. The Authority has complied and will comply with the Authority Resolution and with all applicable laws of the State.

(C) Authorization. The Authority has duly approved the execution and delivery of this Agreement, the Authority Lease, and various related documents and has authorized the taking of any and all action as may be required on the part of the Authority to carry out, give effect to and consummate the transactions contemplated by each of the foregoing.

(D) Binding Obligation. This Agreement has been duly authorized, executed and delivered by the Authority and, assuming due authorization and execution by the County, constitutes a legal, valid and binding obligation of the Authority enforceable in accordance with its terms. The enforceability (but not the validity) of rights or remedies with respect to this Agreement may be limited by bankruptcy, insolvency or other laws affecting creditors' rights or remedies heretofore or hereafter enacted.

(E) Consents and Approvals. All consents, approvals, authorizations or orders of, or filings, registrations or declarations with any court, governmental authority, legislative body, board, agency or commission, including but not limited to those required by the State Environmental Quality Review Act, which are required for the due authorization of, which would constitute a condition precedent to or the absence of which would materially adversely affect the due performance by the Authority of its obligations hereunder or the consummation of the transactions contemplated hereby have been duly obtained and are in full force and effect.

(F) No Litigation. There is no action, suit, proceeding, inquiry or investigation, at law or in equity, before or by any court, public board or body, pending or, to the knowledge of the Authority, threatened against the Authority, nor is there any basis therefor, (i) affecting the creation, organization or existence of the Authority or the title of its officers to their respective offices, (ii) seeking to prohibit, restrain or enjoin the execution of this Agreement, or (iii) in any way contesting or affecting the validity or enforceability of the Authority Resolution, this Agreement, or any agreement or instrument relating to any of the foregoing or used or contemplated for use in the consummation of the transactions contemplated by any of the foregoing.

(G) No Violation. The Authority is not in any material respect in breach of or in default under any applicable law or administrative regulation of the State or the United States of America or any applicable judgment or decree or any agreement or other instrument to which the Authority is a party or by which it or any of its properties is bound, and no event has occurred which with the passage of time, the giving of notice or both would constitute such a breach or default; and the execution and delivery of this Agreement, and the adoption of the Authority Resolution and compliance with the respective provisions thereof will not conflict with or constitute a breach of or default under any applicable law or administrative regulation of the State or the United States of America or any applicable judgment or decree or any agreement or other instrument to which the Authority is a party or by which it or any of its property is bound.

(H) Authority Resolution. The Authority Resolution has been duly adopted by the Authority and remains in full force and effect as of the date of execution hereof.

(I) Authority to Act. The Authority has full legal right and authority and all necessary licenses and permits required as of the date hereof to lease the Project Facility, to carry on its activities relating thereto, and to carry out and consummate all transactions contemplated by this Agreement.

(J) Initial Project. The description of the Initial Project as set forth in recitals is an accurate description of the Initial Project.

(K) No Default. The Authority is not in default under any loan agreement, note, bond, mortgage or other instrument evidencing or securing indebtedness.

(L) Approvals. All consents, authorizations and approvals, if any, of any third party with respect to the lease by the Authority of the Project Facility, have been duly obtained.

ARTICLE III

PAYMENTS

Section 3.1 Administrative Services Fees and other Amounts Payable.

- (A) The Authority hereby expressly agrees to pay:
- (i) When due, costs payable to consultants and attorneys utilized by the Authority and the County in connection with the execution and delivery of the Reserve Fund Replenishment Agreement, including, but not limited to, costs incurred by the County through CMA Consulting Services (“CMA”) in connection with the execution and delivery of the Reserve Fund Replenishment Agreement and any future reviews completed by CMA in connection with the Project, the Initial Bond, and/or the ratings thereof;
 - (ii) As such expenses are incurred, the amount of any expenses (including but not limited to the reasonable fees and expenses of the Authority, the Trustee, the owners of Initial Bond, and attorneys representing any of the foregoing) incurred as a result of the Authority’s failure to make any payment under the Reserve Fund Replenishment Agreement when due or failure to otherwise comply with the terms of the Reserve Fund Replenishment Agreement;
 - (iii) Commencing on January 1, 2026, and thereafter annually on each January 1, an amount equal to \$150,000 to the County, until a total aggregate amount of \$4,500,000 is paid, to be used exclusively for the operation and maintenance of a walkway connecting the Project Facility to an adjacent parking facility; and
 - (iv) Beginning on April 30, 2027, and thereafter annually on each April 30 until the Initial Bond is paid in full, an administrative fee (the “Administrative Fee”) to the County for use in attracting new businesses and residents to the County and enhancing the local area as a tourism destination. Such Administrative Fee shall be calculated as follows:
 - (a) Provided Hotel Occupancy Tax Receipts are more than \$5,500,000 for the current fiscal year and subject to the Administrative Fee Cap described in subdivision (b) below:
 - i.) If the Hotel Occupancy Tax Receipts for the current fiscal year are equal to or less than the Hotel Occupancy Tax Receipts for the prior fiscal year plus two percent (2%), then the Administrative Fee shall be equal to \$0;
 - ii.) If the Hotel Occupancy Tax Receipts for the current fiscal year exceed the Hotel Occupancy Tax Receipts for the prior fiscal year by at least two percent (2%) but not more than five percent (5%), then the Administrative Fee shall be equal to five percent (5%) of the current Hotel Occupancy Tax Receipts;
 - iii.) If the Hotel Occupancy Tax Receipts for the current fiscal year exceed the Hotel Occupancy Tax Receipts for the prior fiscal year

by more than five percent (5%) but not more than ten percent (10%), then the Administrative Fee shall be equal to seven percent (7%) of the current Hotel Occupancy Tax Receipts;

iv.) If the Hotel Occupancy Tax Receipts for the current fiscal year exceed the Hotel Occupancy Tax Receipts for the prior fiscal year by more than ten percent (10%), then the Administrative Fee shall be equal to ten percent (10%) of the current Hotel Occupancy Tax Receipts;

(b) Administrative Fee Cap. In the event the Authority's net Hotel Occupancy Tax Receipts after taking into consideration the Administrative Fee would be less than \$5,500,000, the Administrative Fee would be reduced or eliminated as necessary in order to produce net Hotel Occupancy Tax Receipts of at least \$5,500,000.

(B) Public Benefit Funding Committee. In the event Hotel Occupancy Tax Receipts are at least \$5,500,000 and increase by at least four percent (4%) from the prior fiscal year for two consecutive fiscal years post Project Facility opening, the Authority and County hereby agree to convene a committee comprised of representatives appointed by the Authority, County Executive and County Legislature (the "Committee") to discuss and mutually agree upon additional funding from the Authority (the "Additional Funding"), to be used for identified public benefit uses within "Downtown" as defined within the Authority's enabling statute as "boundaries of the City of Albany located within one mile of the State Capitol or Empire State Plaza." Any Additional Funding must take into consideration the fiscal needs of the Authority and be reasonably prudent in light of such fiscal needs to ensure the preservation of a first-rate convention facility. The Additional Funding is separate and distinct from the payment of any Administrative Fee pursuant to paragraph (iv) of subdivision (A) of this section 3.1.

ARTICLE IV

DEFAULTS

Section 4.1 Defaults. An “event of default” or a “default” shall mean, whenever they are used herein, any one or more of the following events:

(A) Failure by the Authority to pay or cause to be paid when due the administrative services fees and other amounts payable to be paid under this Agreement;

(B) Cross-Default. County’s default under the Reserve Fund Replenishment Agreement shall constitute a default by the County of this Agreement.

(C) Failure by either party to observe and perform any covenant, condition or agreement on its part to be observed or performed, which failure shall continue for a period of thirty (30) days after written notice, specifying such failure and requesting that it be remedied, is given to the defaulting party by the non-defaulting party or such longer period, as is required to cure such default, if by reason of the nature of such failure the same cannot be remedied within such thirty (30) day period and the defaulting party has within such thirty (30) day period commenced to take appropriate actions to remedy such failure and is diligently pursuing such actions;

(D) Any representation or warranty of the parties contained herein shall have been at the time it was made untrue in any material respect; or

ARTICLE V

REMEDIES

Section 5.1 Remedies. Whenever any event of default referred to in Article IV hereof shall have happened and be continuing, the non-defaulting party may take whatever action at law or in equity may appear necessary or desirable to enforce performance and observance of any obligation, agreement or covenant of the defaulting party hereunder.

Section 5.2 No Remedy Exclusive. No remedy herein conferred upon or reserved hereunder is intended to be exclusive of any other available remedy or remedies, but each and every such remedy shall be cumulative and shall be in addition to every other remedy given hereunder or now or hereafter existing at law or in equity or by statute. No delay or omission to exercise any right or power accruing upon any default shall impair any such right or power or shall be construed to be a waiver thereof, but any such right and power may be exercised from time to time and as often as may be deemed expedient

Section 5.3 Waiver and Non-Waiver. In the event any agreement contained herein should be breached by either party and thereafter waived by the other party, such waiver shall be limited to the particular breach so waived and shall not be deemed to waive any other breach hereunder.

ARTICLE VI

MISCELLANEOUS

Section 6.1 Notices. All notices, certificates or other communications hereunder shall be sufficiently given, and shall be deemed given, when delivered in writing to the address or fax number (if expressly permitted in the provision requiring such communication) of the identified party or parties set forth below:

IF TO THE AUTHORITY:

Albany Convention Center Authority
55 Eagle Street
Albany, New York 12207
Attention: Monica Kurzejeski, Executive Director

WITH A COPY TO:

Harris Beach Murtha Cullina PLLC
677 Broadway – Suite 1101
Albany, New York 12207
Attention: Robert J. Ryan, Esq.

IF TO THE COUNTY:

County of Albany
112 State Street, Room 1210
Albany, New York 12207
Attention: M. David Reilly, Jr., Commissioner of Management and Budget

WITH A COPY TO:

Office of the County Attorney
County of Albany
112 State Street, Room 600
Albany, New York 12207
Attention: Jeffery Jamison, County Attorney

Any of the foregoing parties may designate any further or different addresses or fax numbers to which subsequent notices, certificates or other communications shall be sent by notice in writing given to the others.

Section 6.2 Binding Effect. Upon execution and delivery by the County and the Authority, this Agreement shall inure to the benefit of and shall be binding upon the Authority and the County and their respective successors and assigns.

Section 6.3 Severability. In the event that any provision of this Agreement shall be held illegal, invalid or unenforceable by any court of competent jurisdiction, such holding shall not invalidate, render unenforceable or otherwise affect any other provision hereof.

Section 6.4 Amendments, Supplements and Modifications. This Agreement shall not be amended, supplemented or modified except by a written instrument executed by the Authority and the County.

Section 6.5 Execution in Counterparts. This Agreement may be executed in several counterparts, each of which shall be an original and all of which shall constitute but one and the same instrument.

Section 6.6 Effective Date and Term. The date of this Agreement is for reference purposes only and this Agreement shall become effective upon the date of execution and delivery hereof by the parties hereto, shall remain in full force and effect from such date and shall expire on such date as the Initial Bond shall be discharged and satisfied in accordance with the provisions thereof and all obligations of the County to the Authority hereunder are satisfied.

Section 6.7 Applicable Law. This Agreement shall be governed by and construed in accordance with the laws of the State, including the Act.

Section 6.8 Captions. The captions or headings in this Agreement are for convenience only and shall not in any way define, limit or describe the scope or intent of any provisions or sections of this Agreement.

Section 6.9 Benefit of Agreement. This Agreement is executed, among other reasons, to induce the issuance and sale of the Initial Bond by the Albany County Capital Resource Corporation, to induce the provision of the Reserve Fund Replenishment Payments by the County, to induce the lease, occupation, and operation of the Project Facility by the Authority and, to the extent necessary from time to time, to secure the Initial Bond.

Section 6.10 Agreement Supersedes Prior Agreements. This Agreement supersedes any other prior or contemporaneous agreements or understandings, written or oral, between the parties relating to the financing of the Initial Project and the funding of the operation of a walkway connecting the Project Facility to an adjacent parking facility.

IN WITNESS WHEREOF, the Authority and the County have each caused this Agreement to be executed and delivered as of the date first above written.

ALBANY CONVENTION
CENTER AUTHORITY

BY: _____
Monica Kurzejeski
Executive Director

ALBANY COUNTY, NEW YORK

BY: _____
Hon. Daniel P. McCoy
County Executive

RESOLUTION NO. 250

RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, AUTHORIZING THE EXECUTION OF AN ADMINISTRATIVE SERVICES FUNDING AGREEMENT BY AND BETWEEN THE COUNTY AND THE ALBANY CONVENTION CENTER AUTHORITY

Introduced: 6/9/25

By Cunningham, Feeney, Willingham, Domalewicz, Lane and Miller:

WHEREAS, The Albany [County] Convention Center Authority (the “Authority”) is a body corporate and politic constituting a public benefit corporation of the State of New York (the “State”), created and existing under and by virtue of Title 28-BB of Article 8 of the Public Authorities Law of the State (the “PAL”), Chapter 468 of the Laws of 2004 of the State, as amended from time to time (the “Act”), organized for the purpose of, among other things, constructing, transforming and improving new and existing facilities for a convention center in the City of Albany, Albany County, New York. Such a convention center is to include a trade exhibition facility, hotel accommodations, transportation infrastructure, tourism facilities, theatre facilities, retail business, and commercial office space facilities; and

WHEREAS, To accomplish its stated purposes, the Authority is authorized and empowered under the Act to: (A) enter into agreements with local entities; (B) to acquire, construct, and reconstruct convention facilities; (C) to borrow money, make contracts and leases, and execute all instruments necessary or convenient for its corporate purposes; and (D) to issue its negotiable bonds to finance the cost of such project(s) or for any other corporate purpose; and

WHEREAS, The Authority is considering participating in a project (the “[Initial] Project”) consisting of (A) (1) the reconstruction and renovation of an existing building containing approximately 40,840 square feet [and located on an approximately .84 acre parcel of land located] the (“Existing Facility”) comprised of six stories in the front position of the Existing Facility and two stories in the rear portion of the Existing Facility, respectively, located on two parcels of land containing approximately 0.84 acres at 120 and 126 State Street (Tax Map Nos.: 76.33-1-23 and 76.33-1-22) in the City of Albany, Albany County, New York (the “Land”), (2) the construction [on the Land of an] of an additional two stories to the rear portion of the Existing Facility containing approximately 59,810 square [foot building] feet (the “[New Facility]” Addition,” and collectively with the Existing Facility, the “Facility”)[.] and (3) the acquisition and installation thereon and therein of machinery and equipment (the “Equipment”) (the Land, the Facility, and the Equipment being hereinafter collectively referred to as the “Project Facility”), all of the foregoing to be owned by CIDC Albany Center, LLC (the “Borrower”) and leased to the Authority, pursuant to a lease agreement (the “Authority Lease”)

by and between the Borrower and the Authority for use as a convention facility and any other directly and indirectly related activities; (B) the financing of all or a portion of the costs of the foregoing, together with necessary incidental costs in connection therewith, by the issuance by the Albany County Capital Resource Corporation (the “Issuer”) of its Tax-Exempt Lease Revenue Bonds (CIDC Albany Center, LLC Project), Series [2025A] 2025 in the aggregate principal amount of not to exceed \$40,000,000.00 [(\$_____)] (the “[Initial Bond] Bonds”); and (C) the [payment] paying of a portion of the costs incidental to the issuance of the [Initial Bond] Bonds, including issuance costs of the [Initial Bond] Bonds, capitalized interest, and any reserve funds as may be necessary to secure the [Initial Bond] Bonds; and

WHEREAS, The Authority has requested the County of Albany (the “County”) to provide security for the [Authority’s lease of the Project Facility from the Borrower] Authority Lease in the form of a source of replenishment for the [debt service] reserve fund to be established with respect to the [Initial Bond] Bonds, within which the Issuer will be required to maintain an amount (the “Reserve Fund Requirement”) to provide a source of payment for the [Initial Bond] Bonds in the event the assets and revenues of the Authority are insufficient to make [lease] certain rental payments in amounts sufficient to pay when due the debt service payments on the [Initial Bond] Bonds; and

WHEREAS, Pursuant to the terms of the Act, the Authority’s purposes are deemed to be public purposes and involve the performance of an essential governmental function for which public funds may be expended and the Authority in carrying out its respective powers and duties under the Act is deemed to be acting in a governmental capacity; and

WHEREAS, Pursuant to Local Law No. [] D of 2025 of the County (the “County Law”), the County may, from time to time, appropriate sums of money toward project costs or other costs and expenses related to Convention Facility Projects (as defined in the County Law) and make advances, loans, subsidies or contributions of such funds to the Authority; and

WHEREAS, The terms of the [payment] payments to be made by the County are to be set forth in a reserve fund replenishment agreement by and between the County and the Authority (the “Replenishment Agreement”) pursuant to which: (i) the County will agree to make payments in sufficient amounts to replenish, if necessary, the Reserve Fund Requirement and (ii) the Authority will agree to reimburse the County for such payments; and

WHEREAS, Pursuant to Resolution 249 of 2025, duly adopted by the members of this Honorable Body on _____ 2025, this Honorable Body

authorized, among other things, the County to enter into the Replenishment Agreement; and

WHEREAS, In consideration for entering into the Replenishment Agreement, the County has requested that the Authority enter into an agreement (the “Administrative Services Funding Agreement”) [that shall provide] pursuant to which the Authority shall make certain administrative services payments to the County consisting of: (i) an amount of funding to be used for the operation and maintenance of a walkway connecting the Project Facility to an adjacent parking facility (the “Walkway Operations [Funding] Fee”), and (ii) [an administrative fee based upon increased] a portion of certain hotel occupancy tax receipts (the “Administrative Fee”)] and collectively with [(the Walkway Operations [Funding] Fee [and the Administrative Fee being hereinafter collectively referred to as]the “Administrative Services Fees”) receivable by the Authority pursuant to the then effective local law of the County with respect to the hotel occupancy tax, which such Administrative Fee may be allocated by the County to such public entity as the County may determine, including, but not limited to, the Advance Albany County Alliance local development corporation; and

WHEREAS, The County and the Authority desire to enter into the Administrative Services Funding Agreement and various other documents and agreements in connection therewith [herewith] (hereinafter, collectively [referred to as] the “County Documents”)[, and to be responsible for the provision of the Administrative Services upon the terms and conditions set forth in the Administrative Services Funding Agreement]; and

WHEREAS, Pursuant to Article 8 of the Environmental Conservation Law, Chapter 43-B of the Consolidated Laws of New York, as amended~~[,]~~ (the “SEQR Act”) and the regulations [(the “Regulations”)] adopted pursuant thereto by the Department of Environmental Conservation of the State of New York, being 6 NYCRR Part 617, as amended (the “Regulations” and [(collectively, the “SEQR [Act] Resolution”), [neither] the Issuer [nor the County has yet made a determination as to the potential environmental significance of the Initial Project and,] (A) concurred in the determination that the City of Albany Planning Board (the “Planning Board”) is the “lead agency” with respect to SEQRA and (B) acknowledged receipt of a negative declaration from the Planning Board dated April 8, 2025 (the “Negative Declaration”), in which the Planning Board determined that the Project is a “Type I action” and that the Project will result in no adverse impacts on the environment, and therefore, [has not yet determined whether] an environmental impact statement [is required to] need not be prepared [with respect to the Initial Project]; and

WHEREAS, The [providing for the establishment of an] provision of the Administrative Services [Funding Agreement] Fees and the execution and delivery of the County Documents (collectively, the “Action”) appears to constitute a “Type II action” (as said quoted term is defined in the Regulations), and, therefore, it appears that no further determination or procedure under [the SEQR Act] SEQRA is required with respect to the Action; now, therefore, be it

RESOLVED, By the Albany County Legislature as follows:

Section 1. All action taken by this Honorable Body or the County Executive with respect to the [Initial] Project and the County Documents is hereby ratified and confirmed.

Section 2. This Honorable Body hereby finds and determines that:

- (A) (1) Pursuant to Section 617.5(c)(1), (24) and (26) of the Regulations, the Action is a “Type II action” (as said quoted term is defined in the Regulations); and
(2) Therefore, the County hereby determines that no environmental impact statement or any other determination or procedure is required under the Regulations with respect to the Action;
- (B) By virtue of the laws of the State, and the Charter of the County (the “Charter”), the County has been vested with all powers necessary and convenient to carry out and effectuate the purpose and provisions of the Charter and to exercise all powers granted to it under the Charter.
- (C) The [Initial] Project constitutes a “project” as defined in the Act.
- (D) The undertaking of the [Initial] Project, and the entering into [an Administrative Services Funding Agreement] of the County Documents by the County, will promote and encourage a Convention Facility Project (as such capitalized term is defined in the County Law).
- (E) Pursuant to the Act and the County Law, the County’s participation in the [Initial] Project constitutes an essential governmental function of the County [for which the County is authorized to receive the funds provided by the Authority for the Administrative Services].
- (F) It is desirable and in the public interest for the County [and the Authority] to enter into the County Documents and [to provide] accept and/or allocate for the Administrative Services Fees as necessary

pursuant to the terms set forth in the Administrative Services Funding Agreement.

Section 3. The form and substance of the Administrative Services Funding Agreement is hereby approved.

Section 4. The [Initial Bond is to be issued by the Issuer in an aggregate principal amount not to exceed \$____ and the provision of funding by the Authority for the operation and maintenance of a walkway connecting the Project Facility, as well as the administrative fee structure based upon increased hotel occupancy tax revenues, all] **Administrative Services Fees structure** pursuant to the laws of the State and in accordance with the terms of the Administrative Services Funding Agreement, is hereby authorized and approved.

Section 5. The County Executive, or the Deputy County Executive, is hereby authorized, on behalf of the County, to execute and deliver the County Documents and, where appropriate, the Clerk of the County and/or the County Attorney of the County is hereby authorized to affix the seal of the County thereto and to attest the same, all in substantially the form thereof as the County Executive, or the Deputy County Executive, with advice from the County Attorney, shall approve, with such changes, variations, omissions and insertions as the County Executive, or the Deputy County Executive, shall approve, the execution and delivery thereof by the County Executive, or the Deputy County Executive, to constitute conclusive evidence of such approval.

Section 6. The officers, employees and agents of the County are hereby authorized and directed for and in the name and on behalf of the County to do all lawful acts and things required or provided for by the provisions of the County Documents, and to execute and deliver all such additional certificates, instruments, and documents, to pay all such fees, charges and expenses and to do all such further lawful acts and things as may be necessary or, in the opinion of the officer, employee or agent acting, desirable and proper to effect the purposes of the foregoing resolution and to cause compliance by the County with all of the terms, covenants and provisions of the County Documents binding upon the County.

Section 7. The receipt of [funds for] Administrative Services **Fees** by the County [and the provision of Authority funds as provided for in] **pursuant to the terms of the** the Administrative Services Funding Agreement and as authorized pursuant to the Act and the County Law, is hereby approved.

Section 8. The County is hereby authorized and directed to prepare information concerning the County and to furnish such information for use in an offering document to be distributed by the Issuer in connection with the sale of the [Initial Bond] **Bonds** (hereinafter referred to as the "Official Statement"), and the

County Executive, or Deputy County Executive, is hereby authorized and directed to deliver letters or certificates to the Issuer and the underwriter(s) of the [Initial Bond] **Bonds** signed on behalf of the County, stating in substance that the information contained in the Official Statement relating to the County is approved and does not contain any untrue statement of material fact and does not omit any material facts necessary to make the statements made, in light of the circumstances under which they were made, not misleading and the County hereby authorizes the distribution of the Official Statement in connection with any public offering of the [Initial Bond] **Bonds**.

Section 9. The County Executive, or Deputy County Executive is authorized and directed to distribute copies of this resolution, or cause such copies to be distributed, to the Authority and the Issuer and to do such further things or perform such lawful acts as may be necessary or convenient to implement the provisions of this resolution.

Section 10. The County Attorney is authorized to approve the County Documents, together with any documents referenced in Sections 6 and 8 hereof, as to form and content.

Section 11. The Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.

Section 12. This resolution shall take effect immediately.

RESOLUTION NO. 250

RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, AUTHORIZING THE EXECUTION OF AN ADMINISTRATIVE SERVICES FUNDING AGREEMENT BY AND BETWEEN THE COUNTY AND THE ALBANY CONVENTION CENTER AUTHORITY

Introduced: 6/9/25

By Cunningham, Feeney, Willingham, Domalewicz, Lane and Miller:

WHEREAS, The Albany Convention Center Authority (the “Authority”) is a body corporate and politic constituting a public benefit corporation of the State of New York (the “State”), created and existing under and by virtue of Title 28-BB of Article 8 of the Public Authorities Law of the State (the “PAL”), Chapter 468 of the Laws of 2004 of the State, as amended from time to time (the “Act”), organized for the purpose of, among other things, constructing, transforming and improving new and existing facilities for a convention center in the City of Albany, Albany County, New York. Such a convention center is to include a trade exhibition facility, hotel accommodations, transportation infrastructure, tourism facilities, theatre facilities, retail business, and commercial office space facilities; and

WHEREAS, To accomplish its stated purposes, the Authority is authorized and empowered under the Act to: (A) enter into agreements with local entities; (B) to acquire, construct, and reconstruct convention facilities; (C) to borrow money, make contracts and leases, and execute all instruments necessary or convenient for its corporate purposes; and (D) to issue its negotiable bonds to finance the cost of such project(s) or for any other corporate purpose; and

WHEREAS, The Authority is considering participating in a project (the “Project”) consisting of (A) (1) the reconstruction and renovation of an existing building containing approximately 40,840 square feet the (“Existing Facility”) comprised of six stories in the front position of the Existing Facility and two stories in the rear portion of the Existing Facility, respectively, located on two parcels of land containing approximately 0.84 acres at 120 and 126 State Street (Tax Map Nos.: 76.33-1-23 and 76.33-1-22) in the City of Albany, Albany County, New York (the “Land”), (2) the construction of an additional two stories to the rear portion of the Existing Building containing approximately 59,810 square feet (the “Addition,” and collectively with the Existing Facility, the “Facility”) and (3) the acquisition and installation thereon and therein of machinery and equipment (the “Equipment”) (the Land, the Facility, and the Equipment being hereinafter collectively referred to as the “Project Facility”), all of the foregoing to be owned by CIDC Albany Center, LLC (the “Borrower”) and leased to the Authority, pursuant to a lease agreement (the “Authority Lease”) by and between the Borrower and the Authority for use as a convention facility and any other directly and indirectly related activities; (B) the

financing of all or a portion of the costs of the foregoing, together with necessary incidental costs in connection therewith, by the issuance by the Albany County Capital Resource Corporation (the “Issuer”) of its Tax-Exempt Lease Revenue Bonds (Albany Convention Center Authority Project), Series 2025 in the aggregate principal amount of not to exceed \$40,000,000.00 (the “Bonds”); and (C) the paying of a portion of the costs incidental to the issuance of the Bonds, including issuance costs of the Bonds, capitalized interest, and any reserve funds as may be necessary to secure the Bonds; and

WHEREAS, The Authority has requested the County of Albany (the “County”) to provide security for the Authority Lease in the form of a source of replenishment for the reserve fund to be established with respect to the Bonds, within which the Issuer will be required to maintain an amount (the “Reserve Fund Requirement”) to provide a source of payment for the Bonds in the event the assets and revenues of the Authority are insufficient to make certain rental payments in amounts sufficient to pay when due the debt service payments on the Bonds; and

WHEREAS, Pursuant to the terms of the Act, the Authority’s purposes are deemed to be public purposes and involve the performance of an essential governmental function for which public funds may be expended and the Authority in carrying out its respective powers and duties under the Act is deemed to be acting in a governmental capacity; and

WHEREAS, Pursuant to Local Law No. D of 2025 of the County (the “County Law”), the County may, from time to time, appropriate sums of money toward project costs or other costs and expenses related to Convention Facility Projects (as defined in the County Law) and make advances, loans, subsidies or contributions of such funds to the Authority; and

WHEREAS, The terms of the payments to be made by the County are to be set forth in a reserve fund replenishment agreement by and between the County and the Authority (the “Replenishment Agreement”) pursuant to which: (i) the County will agree to make payments in sufficient amounts to replenish, if necessary, the Reserve Fund Requirement and (ii) the Authority will agree to reimburse the County for such payments; and

WHEREAS, Pursuant to Resolution 249 of 2025, duly adopted by the members of this Honorable Body on ____ 2025, this Honorable Body authorized, among other things, the County to enter into the Replenishment Agreement; and

WHEREAS, In consideration for entering into the Replenishment Agreement, the County has requested that the Authority enter into an agreement (the “Administrative Services Funding Agreement”) pursuant to which the Authority shall make certain administrative services payments to the County consisting of: (i)

an amount of funding to be used for the operation and maintenance of a walkway connecting the Project Facility to an adjacent parking facility (the “Walkway Operations Fee”), and (ii) a portion of certain hotel occupancy tax receipts (the “Administrative Fee” and collectively with the Walkway Operations Fee the “Administrative Services Fees”) receivable by the Authority pursuant to the then effective local law of the County with respect to the hotel occupancy tax, which such Administrative Fee may be allocated by the County to such public entity as the County may determine, including, but not limited to, the Advance Albany County Alliance local development corporation; and

WHEREAS, The County and the Authority desire to enter into the Administrative Services Funding Agreement and various other documents and agreements in connection therewith (hereinafter, collectively the “County Documents”); and

WHEREAS, Pursuant to Article 8 of the Environmental Conservation Law, Chapter 43-B of the Consolidated Laws of New York, as amended (the “SEQR Act”) and the regulations adopted pursuant thereto by the Department of Environmental Conservation of the State of New York, being 6 NYCRR Part 617, as amended (the “Regulations” and collectively, the “SEQR [Act] Resolution”), the Issuer (A) concurred in the determination that the City of Albany Planning Board (the “Planning Board”) is the “lead agency” with respect to SEQRA and (B) acknowledged receipt of a negative declaration from the Planning Board dated April 8, 2025 (the “Negative Declaration”), in which the Planning Board determined that the Project is a “Type I action” and that the Project will result in no adverse impacts on the environment, and therefore, an environmental impact statement need not be prepared; and

WHEREAS, The provision of the Administrative Services Fees and the execution and delivery of the County Documents (collectively, the “Action”) appears to constitute a “Type II action” (as said quoted term is defined in the Regulations), and, therefore, it appears that no further determination or procedure under SEQRA is required with respect to the Action; now, therefore, be it

RESOLVED, By the Albany County Legislature as follows:

Section 1. All action taken by this Honorable Body or the County Executive with respect to the Project and the County Documents is hereby ratified and confirmed.

Section 2. This Honorable Body hereby finds and determines that:

- (A) (1) Pursuant to Section 617.5(c)(1), (24) and (26) of the Regulations, the Action is a “Type II action” (as said quoted term is defined in the Regulations); and

(2) Therefore, the County hereby determines that no environmental impact statement or any other determination or procedure is required under the Regulations with respect to the Action;

(B) By virtue of the laws of the State, and the Charter of the County (the "Charter"), the County has been vested with all powers necessary and convenient to carry out and effectuate the purpose and provisions of the Charter and to exercise all powers granted to it under the Charter.

(C) The Project constitutes a "project" as defined in the Act.

(D) The undertaking of the Project, and the entering into of the County Documents by the County, will promote and encourage a Convention Facility Project (as such capitalized term is defined in the County Law).

(E) Pursuant to the Act and the County Law, the County's participation in the Project constitutes an essential governmental function of the County.

(F) It is desirable and in the public interest for the County to enter into the County Documents and accept and/or allocate for the Administrative Services Fees as necessary pursuant to the terms set forth in the Administrative Services Funding Agreement.

Section 3. The form and substance of the Administrative Services Funding Agreement is hereby approved.

Section 4. The Administrative Services Fees structure pursuant to the laws of the State and in accordance with the terms of the Administrative Services Funding Agreement, is hereby authorized and approved.

Section 5. The County Executive, or the Deputy County Executive, is hereby authorized, on behalf of the County, to execute and deliver the County Documents and, where appropriate, the Clerk of the County and/or the County Attorney of the County is hereby authorized to affix the seal of the County thereto and to attest the same, all in substantially the form thereof as the County Executive, or the Deputy County Executive, with advice from the County Attorney, shall approve, with such changes, variations, omissions and insertions as the County Executive, or the Deputy County Executive, shall approve, the execution and delivery thereof by the County Executive, or the Deputy County Executive, to constitute conclusive evidence of such approval.

Section 6. The officers, employees and agents of the County are hereby authorized and directed for and in the name and on behalf of the County to do all lawful acts and things required or provided for by the provisions of the County Documents, and to execute and deliver all such additional certificates, instruments, and documents, to pay all such fees, charges and expenses and to do all such further lawful acts and things as may be necessary or, in the opinion of the officer, employee or agent acting, desirable and proper to effect the purposes of the foregoing resolution and to cause compliance by the County with all of the terms, covenants and provisions of the County Documents binding upon the County.

Section 7. The receipt of Administrative Services Fees by the County pursuant to the terms of the Administrative Services Funding Agreement and as authorized pursuant to the Act and the County Law, is hereby approved.

Section 8. The County is hereby authorized and directed to prepare information concerning the County and to furnish such information for use in an offering document to be distributed by the Issuer in connection with the sale of the Bonds (hereinafter referred to as the "Official Statement"), and the County Executive, or Deputy County Executive, is hereby authorized and directed to deliver letters or certificates to the Issuer and the underwriter(s) of the Bonds signed on behalf of the County, stating in substance that the information contained in the Official Statement relating to the County is approved and does not contain any untrue statement of material fact and does not omit any material facts necessary to make the statements made, in light of the circumstances under which they were made, not misleading and the County hereby authorizes the distribution of the Official Statement in connection with any public offering of the Bonds.

Section 9. The County Executive, or Deputy County Executive is authorized and directed to distribute copies of this resolution, or cause such copies to be distributed, to the Authority and the Issuer and to do such further things or perform such lawful acts as may be necessary or convenient to implement the provisions of this resolution.

Section 10. The County Attorney is authorized to approve the County Documents, together with any documents referenced in Sections 6 and 8 hereof, as to form and content.

Section 11. The Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.

Section 12. This resolution shall take effect immediately.



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF PUBLIC WORKS
449 NEW SALEM ROAD
VOORHEESVILLE, NEW YORK 12186-4826
(518) 765-2055 - FAX (518) 447-7047
www.albanycountyny.gov

LISA M. RAMUNDO
COMMISSIONER

SCOTT D. DUNCAN
DEPUTY COMMISSIONER

May 21, 2025

Hon. Joanne Cunningham, Chairwoman
Albany County Legislature
112 State Street, Rm. 710
Albany, NY 12207

Dear Chairwoman Cunningham:

The Department of Public Works is requesting the Legislature's approval to accept SFY 2025/2026 Consolidated Local Street and Highway Improvement Program (CHIPS) funds. The award totals are as follows:

CHIPS	\$5,788,137.85
PAVE NY	\$ 813,823.45
EWR (Extreme Winter Recovery)	\$ 926,073.76
POP (Pave our Potholes)	<u>\$ 560,179.78</u>

TOTAL **\$8,088,214.84 (includes rollover)**

The accompanying letter from New York State Department of Transportation (NYSDOT) shows a table with the 2025/2026 apportionment, cumulative rollover balance and total balance of the CHIPS, Pave NY EWR and POP monies. Each year the NYSDOT informs us of the yearly apportionment and rollover funds remaining in our previous years CHIPS, Pave NY, EWR and POP accounts. We then amend our budget so that these funds are available for funding the current years CHIPS expenditures which include our annual hauling and placing contract and the purchase of equipment.

Upon acceptance of these funds, we request the Legislature's approval to amend our 2025 budget by increasing Bridge and Road Repair Line, D95112.4.4075 by \$3,088,214.84, which is the difference between our 2025 Budget Revenue, \$5,000,000.00, and the total award amount of \$8,088,214.84.

If there are any questions or further information is needed, please feel free to contact my office.

Sincerely,

Lisa M. Ramundo, Commissioner

cc: Hon. Dennis Feeney, Majority Leader
Hon. Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6559, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Approval to accept Consolidated Local Street and Highway Improvement Program (CHIPS) Funding from NYSDOT.

Date: 5/21/2025
Department: Public Works
Attending Meeting: Lisa M. Ramundo, Commissioner
Submitted By: Rosa Maria Tirino
Title: Director of Operations
Phone: 518-655-7919

Purpose of Request: Budget Amendment Enter text.

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:

New York State Department of Transportation, 50 Wolf Rd. Suite 150, Albany, NY 12232

Term: (Start/end date or duration) 04/04/2025-03/31/2026
Amount/Raise Schedule/Fee: \$3,088,214.84

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Budget: Yes ☒ No ☐
Spreadsheet attached: Yes ☒ No ☐

Source of Funding - (Percentages)

Federal: Enter text. County: Enter text.
State: 100% Local: Enter text.

County Budget Accounts:

Revenue Account and Line: D35112.03591 Highway Capital Project
Revenue Amount: \$3,088,214.84
Appropriation Account and Line: D95112.4.4075 Bridge & Road Repair
Appropriation Amount: \$3,088,214.84

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒
If Mandated, Cite Authority: Enter text.

Request for Bids / Proposals:

Competitive Bidding Exempt: Yes ☒ No ☐

of Response(s): Enter text.

of MWBE: Enter text.

of Veteran Business: Enter text.

Bond Resolution No.: Enter text.

Apprenticeship Program Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: Enter text.

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

The Department of Public Works is requesting the Legislature's approval to accept SFY 2025/2026 Consolidated Local Street and Highway Improvement Program (CHIPS) funds. The totals are as follows:

CHIPS	\$5,788,137.85
PAVE NY	\$ 813,823.45
EWR (Extreme Winter Recovery)	\$ 926,073.76
POP (Pave our Potholes)	\$ 560,179.78
TOTAL	\$8,088,214.84 (includes rollover)



May 15, 2025

LISA RAMUNDO
COMMISSIONER OF PUBLIC WORKS
COUNTY OF ALBANY
449 NEW SALEM RD
VOORHEESVILLE NY 12186

Dear Ms. RAMUNDO:

The 2025-26 State Budget provides funding to support the repair, rehabilitation, and modernization of local roads and bridges. The Budget includes \$648.1 million in Consolidated Local Street and Highway Improvement Program (CHIPS) funding, \$150 million in PAVE-NY funding, and \$100 million in Extreme Winter Recovery (EWR) funding, \$140 million in State Touring Route (STR) funding and \$100 million in Pave Our Potholes (POP). Also included are reappropriations of rollover funds remaining from previous State fiscal year CHIPS, PAVE-NY, EWR, STR and POP appropriations. Please provide a copy of this letter to the chief financial officer for your municipality.

The next quarterly SFY 2025-26 CHIPS, PAVE-NY, EWR and POP reimbursements are scheduled to be made on July 03, 2025. Requests for the July payments must be for expenditures made on or after January 3, 2024 through May 29, 2025. Refer to the Program Guidelines on the CHIPS website (www.dot.ny.gov/programs/chips) regarding eligible project activities and program requirements. The County of Albany has the following funding amounts available for the July payments.

Program	Cumulative Rollover Balance	25-26 Apportionment Balance	Total Balance
CHIPS	\$2,528,383.93	\$3,259,753.92	\$5,788,137.85
PAVE NY	\$1,859.48	\$811,963.97	\$813,823.45
EWR	\$503,076.59	\$422,997.17	\$926,073.76
POP	\$18,870.46	\$541,309.32	\$560,179.78

The instructions for applying for the July 03, 2025 reimbursements are located on the back of this letter and on the CHIPS website. The New York State Department of Transportation (NYSDOT) Regional Office must receive all program payment submission items no later than **June 06, 2025**. Please sign the certification on each page of the reimbursement request forms and keep a copy of the completed forms for your files. Your NYSDOT municipal code for entry on the forms is 110000.

Municipalities may mail or e-mail their Documentation Checklists, reimbursement request forms, and supporting documentation to their NYSDOT Region. Guidance for e-mail submissions may be obtained on the CHIPS website. Contact information:

Valerie Deane
NYSDOT Regional CHIPS Representative
New York State Department of Transportation
50 Wolf Road, Suite 150
Albany, NY 12232
dot.sm.r01.CHIPS@dot.ny.gov

If you have any questions, please contact Valerie Deane at 518-417-6589.

Respectfully yours,

Matthew T. Haas
Director, Office of Integrated Modal Services

*Please note: The balance columns now read, from left to right: Cumulative Rollover Balance, Current SFY Apportionment Balance, and Total Balance.

INSTRUCTIONS FOR APPLYING FOR REIMBURSEMENT

Each program payment submission should include a Documentation Checklist (found on the CHIPS website, under Forms and Instructions), summary reports of Checklist information, ADA compliant curb ramp photos (if applicable), invoices, and proof of payment. **Failure to submit the required supporting documentation for each program payment submission may delay the processing of your reimbursement requests.**

APPLYING FOR CHIPS/PAVE-NY/EWR/POP CAPITAL PAYMENT FUNDS REMAINING FROM PREVIOUS STATE FISCAL YEARS (ROLLOVER FUNDS) AND/OR CURRENT STATE FISCAL YEAR CAPITAL FUNDS

WHAT ARE ROLLOVER FUNDS? “Rollover” funds are a municipality’s unreimbursed CHIPS/PAVE-NY/EWR/POP Capital funds from one or more previous State Fiscal Year (SFY) apportionments.

HOW DO YOU KNOW IF YOU HAVE ROLLOVER FUNDS AVAILABLE? For municipalities with rollover funds remaining, the total cumulative rollover amount available is stated in the letter on the reverse of these instructions.

RULES FOR REIMBURSEMENT OF ROLLOVER FUNDS:

- A. There is an 18-month look back cut-off date for this payment. This means that **expenditures incurred prior to the date indicated in the letter would not be eligible for reimbursement**, even if a municipality has rollover balances from an earlier CHIPS/PAVE-NY/EWR/POP apportionment.
- B. Eligible expenditures made for CHIPS/PAVE-NY/EWR/POP Capital projects between the dates noted in the letter will be eligible for reimbursement from the CHIPS/PAVE-NY/EWR/POP Capital rollover fund balances before any payment can be made from the current CHIPS/PAVE-NY/EWR/POP Capital apportionment.

SHOWING THE USE OF ROLLOVER FUNDS AND CURRENT STATE FISCAL YEAR FUNDS ON THE REIMBURSEMENT REQUEST FORMS FOR THE CURRENT CHIPS (CP73) /PAVE-NY (CP75) /EWR (CP74) /POP (CP75) CAPITAL PAYMENT

Requestors can enter expenditure dates that cross state fiscal years on the CHIPS/PAVE-NY/EWR/POP form(s).

- 1. The beginning expenditure date entered for this payment should be the 18-month look back cut-off date referenced in the letter; expenditures incurred prior to this date would **not** be eligible for reimbursement.
- 2. The ending expenditure date entered for this payment should be the ending expenditure date referenced in the letter.

NOTE: THE CERTIFICATION SIGNATURE DATE ENTERED ON THE CP73/CP74/CP75(s) MUST FALL WITHIN OR AFTER THE EXPENDITURE DATES WHICH WERE ENTERED ON SUCH FORMS **BUT SHOULD NOT OCCUR AFTER THE SCHEDULED PAYMENT DATE FOR THIS PAYMENT CYCLE.**

					APPROPRIATIONS								
					ACCOUNT NO.	RESOLUTION DESCRIPTION	INCREASE	DECREASE	UNIT COST	DEPARTMENT NAME			
D9	5112	4	4075	10000		Bridge and Road Repair	3,088,214.84			Public Works			
						TOTAL APPROPRIATIONS	3,088,214.84	0.00					
						ESTIMATED REVENUES							
					ACCOUNT NO.	RESOLUTION DESCRIPTION	DECREASE	INCREASE	UNIT COST	DEPARTMENT NAME			
D3	5112	0	3591			Highway Capital Project		3,088,214.84		Public Works			
						TOTAL ESTIMATED REVENUES	0.00	3,088,214.84					
						GRAND TOTALS	3,088,214.84	3,088,214.84					

RESOLUTION NO. 251

AUTHORIZING THE ACCEPTANCE OF NEW YORK STATE CONSOLIDATED HIGHWAY IMPROVEMENT PROGRAM FUNDING FOR COUNTY ROAD MAINTENANCE AND REPAIR AND AMENDING THE 2025 DEPARTMENT OF PUBLIC WORKS BUDGET

Introduced: 7/14/25

By Public Works Committee:

WHEREAS, The Commissioner of the Department of Public Works has requested authorization to accept New York State Department of Transportation Consolidated Highway Improvement Program (CHIPS) funding for County road maintenance and repair in the amount of \$5,036,025 for New York State Fiscal Year (SFY) 2025-2026, and

WHEREAS, The County has been informed by the New York State Department of Transportation that the County has rollover CHIPS funds remaining from SFY 2024-2025 of \$3,052,191, and

WHEREAS, \$5,000,000 of the total CHIPS funding was anticipated in the 2025 Albany County Budget, and

WHEREAS, The Commissioner has also requested authorization to amend the 2025 Department of Public Works Budget in the amount of \$3,088,215, now, therefore, be it

RESOLVED, By the Albany County Legislature that the Department of Public Works is authorized to accept New York State Department of Transportation CHIPS funding for SFY 2025-2026 in the amount of \$5,036,025, and, be it further

RESOLVED, That the 2025 Department of Public Works Budget is amended as follows:

Increase Revenue Account D5112 0 3591 Highway Capital Project by \$3,088,215

Increase Appropriation Account D5112.4 by \$3,088,215 by increasing Line Item D5112 4 4075 Bridge and Road Repair by \$3,088,215

and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.



DANIEL P. MCCOY
COUNTY EXECUTIVE

MICHAEL MCLAUGHLIN
DEPUTY COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF PUBLIC WORKS
449 NEW SALEM ROAD
VOORHEESVILLE, NEW YORK 12186-4826
(518) 765-2055 - FAX (518) 447-7047
www.albanycountyny.gov

LISA M. RAMUNDO
COMMISSIONER

SCOTT D. DUNCAN
DEPUTY COMMISSIONER

May 22, 2025

Hon. Joanne Cunningham, Chairwoman
Albany County Legislature
112 State Street, Rm. 710
Albany, NY 12207

Dear Chairwoman Cunningham,

The Department of Public Works is requesting the Legislature's approval to purchase of one (1) Leeboy 8608 Paver from Stephenson Equipment, Inc. for \$275,343.00. The Albany County Purchasing Agent received three (3) bids for the Paver. Stephenson Equipment, Inc. is the lowest bidder.

This paver will replace the current paver DPW received from the Town of Bethlehem in 2004, which is scheduled to go to auction. A new paver will enhance Public Work's ability to lay asphalt on roads, highways, parking lots, and other surfaces such as the Rail Trail that require a smooth, durable surface.

If there are any questions or further information is needed, please feel free to contact my office.

Sincerely,

Lisa M. Ramundo
Commissioner

cc: Hon. Dennis Feeney, Majority Leader
Hon. Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6614, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Approval to purchase a Paver

Date: 05/22/2025
Department: Public Works
Attending Meeting: Lisa Ramundo, Commissioner
Submitted By: Rosa Maria Tirino
Title: Director of Operations
Phone: 518-655-7919

Purpose of Request: Other (State if not Listed) Purchase

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:

Stephenson Equipment, Inc. 3 Industry Dr., Waterford, NY 12188

Term: (Start/end date or duration) 5/5/2025-12/31/2025
Amount/Raise Schedule/Fee: \$275,343.00

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Budget: Yes ☒ No ☐
Spreadsheet attached: Yes ☐ No ☒

Source of Funding - (Percentages)

Federal: Enter text. County: 100%
State: Enter text. Local: Enter text.

County Budget Accounts:

Revenue Account and Line: Enter text.
Revenue Amount: Enter text.
Appropriation Account and Line: CAPIT - HHTH
Appropriation Amount: \$275,343.00

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒
If Mandated, Cite Authority: Enter text.
Request for Bids / Proposals:

Competitive Bidding Exempt:	Yes ☐ No ☒
# of Response(s):	3
# of MWBE:	0
# of Veteran Business:	0
Bond Resolution No.:	Enter text.
Apprenticeship Program	Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: Enter text.

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

The Department of Public Works is requesting the Legislature's approval to purchase of one (1) Leeboy 8608 Paver from Stephenson Equipment, Inc. for \$275,343.00. The Albany County Purchasing Agent received three (3) bids for the Paver. Stephenson Equipment, Inc. is the lowest bidder. This paver will replace the current paver DPW received from the Town of Bethlehem in 2004, which is scheduled to go to auction. A new paver will enhance Public Work's ability to lay asphalt on roads, highways, parking lots, and other surfaces such as the Rail Trail that require a smooth, durable surface.



DANIEL P. McCOY
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COUNTY OF ALBANY
DEPARTMENT OF PUBLIC WORKS
449 NEW SALEM ROAD
VOORHEESVILLE, NEW YORK 12186-4826
(518) 765-2055 - FAX (518) 447-7047
WWW.ALBANYCOUNTYNY.GOV

LISA M. RAMUNDO,
COMMISSIONER

RECOMMENDATION NOTICE

TO: Pamela O'Neil Purchasing Agent

FROM: Scott Duncan, Deputy Commissioner

DATE: May 22, 2025

RE: Purchase of a Paver Recommendation
RFB#2025-063

Public Works would like to recommend the purchase of one (1) Leeboy 8608 Paver from Stephenson Equipment, Inc. for \$275,343.00.

The Albany County Purchasing Agent received three (3) bids for the Paver. Stephenson Equipment, Inc. is the lowest bidder.

This paver will replace the current paver DPW received from the Town of Bethlehem in 2004, which is scheduled to go to auction. A new paver will enhance Public Work's ability to lay asphalt on roads, highways, parking lots, and other surfaces such as the Rail Trail that require a smooth, durable surface.

If you have any questions, please feel free to contact my office.



DANIEL P. McCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF GENERAL SERVICES
PURCHASING DIVISION
112 STATE STREET, ROOM 1000
ALBANY, NEW YORK 12207-2021
(518) 447-7140 - FAX (518) 447-5588

DAVID M. LATINA
COMMISSIONER OF GENERAL SERVICES

PAMELA O NEILL
PURCHASING AGENT

MEMORANDUM

TO: Scott Duncan
Deputy Commissioner

FROM: Pamela O Neill *Pamela*
Purchasing Agent

DATE: May 22, 2025

RE: RFB# 2025-063
Purchase of a Commercial Paver

I am in receipt of your recommendation to award the aforementioned to Stephenson Equipment, Inc in the amount of \$275,343.00.

As Stephenson Equipment, Inc is the lowest responsible and responsive bidder, I concur with your recommendation.

Please obtain the necessary contract approval of the County Legislature, so that we may issue a Notice of Award.

BID FORM**BID IDENTIFICATION:**

Purchase of Commercial Paver
Bid Number: 2025-063

COMPANY: STEPHENSON EQUIPMENT, INC

ADDRESS: 3 INDUSTRY DRIVE

CITY, STATE, ZIP: WATERFORD, NY 12188

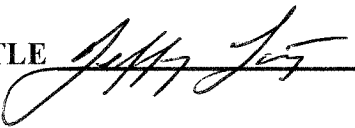
TEL. NO.: 518-357-2200

FAX NO.: 518-357-2201

FEDERAL TAX ID NO.: 25-1511922

REPRESENTATIVE: JEFFREY LANTZ

E-MAIL: JLANTZ@STEPHENSONEQUIPMENT.COM

SIGNATURE AND TITLE  TERRITORY MANAGER

DATE 5/5/2025

BF3

BID FORM**Title: Purchase of Commercial Paver****Bid Number: 2025-063**

<u>Description</u>	<u>Item Number</u>	<u>Qty</u>	<u>Unit Price</u>	<u>Extended Price</u>
P385C-T4/STV Paver	P385C-4835	1	\$252,598.70	\$252,598.70
816 Screen Group	P385C-250	1	INCLUDED	
US Decal Group	P385C-601	1	INCLUDED	
Hand Held Remote Group	P385C-118	2	N/A	
Integrated Berm HD Prep Group	P385C-230	1	INCLUDED	
Screed Mounted Control Panels Group	P385C-150	1	INCLUDED	
Automation - TOPCON DUAL GRADE + SLOPE			\$16,751.78	\$16,751.78
Extended Warranty 5yr/5,000 hr			\$5,992.52	\$5,992.52
Total Price			\$275,343.00	\$275,343.00

****PLEASE NOTE THAT ANY SUBSTITUTE ITEMS MUST BE CLEARLY IDENTIFIED ON THE BID FORM AND BIDDER MUST INCLUDE DESCRIPTIVE PRODUCT LITERATURE FOR THE COUNTY'S REVIEW WITH THE BID SUBMISSION**

LeeBoy 8608 Paver

The following specifications are based on the 8608 Asphalt Paver manufactured by LeeBoy. Because of continuous equipment research and development, we reserve the right to change these specifications. For more information, questions or assistance preparing specifications, please contact your local LeeBoy Dealer.

**Published Weights are approximate weight and will vary contingent on options.*

1. Dimensions

- a. Length: 15' 3"
14' 3" transport
- b. Width: 8' 6" transport
10' hopper wings down
- c. Height: 6' 9" low deck
6' 10" high deck
- d. Total Weight (with HD screed): 21,920 lbs.

2. Engine:

- a. Kubota turbocharged diesel
- b. Tier 4 Final - 125 HP (93 kW) @ 2,200 rpm
- c. Remote mounted DOC + DPF + SCR

3. Capacities:

- a. Fuel: 32 gallons
- b. Hydraulic: 25 gallons
- c. Washdown tank: 7 gallons
- d. DEF tank: 5 gallons

4. Hopper:

- a. Hopper size: 9 ton
- b. Hopper height: 31.75"
- c. Hopper wings: Heavy-duty .5" with large radius corners
- d. Rear hopper wing wipers

5. Paving Widths:

- a. Machine has variable paving widths up to 15' (HD)
- b. Machine has variable paving widths up to 15' 6" (with HD Pro Screed)

6. Paving Depths:

- a. Electronically operated hydraulic tow point cylinders with toggle switches allow operation of grade from the high and low deck positions
- b. Includes manual flight screws positioned on the screed
- c. Depth: 0 to 6"

7. Available Screed:

- a. Legend HD Screed: Variable up to 15', weight 3,450 lbs
See separate attached page

8. Under Auger Material Cutoff:

- a. Two independent hydraulically operated 4' wide gates located under the augers and constructed of at least .25" A-36 plate steel
- b. Operational from the main control panel or screed controls.

9. Material Augers:

- a. Two independent, hydraulically driven, segmented augers. Operated automatically or manually
- b. Proportionally adjustable from 0-173 rpm
- c. Augers are 12" diameter and are cast from .625" SAE 4140 heat-treated steel
- d. System has manual override functions and automatic functions are controlled by sonic sensors (non-contacting)
- e. Quick change auger assemblies
- f. Single point lube system

10. Conveyors

- a. Two independent, hydraulically driven conveyors with automatic shut-off
- b. Dual 20.5" feeding conveyors
- c. Highway class HD conveyor chains and flight bars
- d. Quad bearings, front and rear conveyor
- e. System has manual override functions and automatic functions are controlled by a paddle and proximity sensor.

11. Operators Platform

- a. Includes both right and left hand seats 4' 6" above ground with a lockable cover for the instrument panel

12. Operators Controls:

- a. High deck/low deck configuration
- b. Includes dual electronic steer
- c. All control switches are located in one box that can be slid to each side. Dash panel includes augers, screed extension, cut-offs, hopper wings, conveyors, grade controls, and lights
- d. Color graphic control screen with digital readouts. Review and monitor system vitals including: oil pressure, hour meter, fuel gauge, voltmeter, water temp, tachometer, hydraulic oil temp, engine diagnostics

13. Screed Controls:

- a. Screed control boxes are on each side and contain extension, cutoff, auger, tow, horn, e-stop, slope, and berm

14. Steering:

- a. Dual electronic steering controls
- b. Machine can be controlled from a high deck position or lowered to control at the screed
- c. Electronically controlled pumps operate the forward/reverse motion from dual joysticks

15. Washdown System:

- a. Electric HD pump with two 25' x .375" diameter hose reels and spray nozzles
- b. Built in pressure sensor and shutoff
- c. Citrus tank sight gauge

16. Hydraulic System:

- a. Variable volume hydraulic pumps for each drive
- b. Independent constant volume pumps for augers, conveyors, cylinders, and charge
- c. Hydraulic oil: all weather, all temperature
- d. Dual 77,000 BTU oil coolers

17. Push Rollers:

- a. Two 3.5" diameter rollers with sealed bearings mounted on a swivel frame
- b. Vertically adjustable push rollers, 7" of height adjustment
- c. Adjustable height push rollers with self-cleaning scrapers

18. Track Drive System:

- a. Hydrostatically powered, self-cleaning crawler type tracks consisting of drive sprocket, seven rollers (five oscillating) and hydraulically adjustable front idler
- b. Drive mechanism is torque hub driven which consist of two speeds and obtained by means of a toggle switch
- c. Fail safe brakes
- d. Track size: 14" wide x 94" overall, Poly pad tracks 72" ground contact
- e. Paving speed: 0 to 164 fpm (1.84 mph)
- f. Travel speed: 0 to 316 fpm (3.59 mph)

19. Additional Standard Equipment:

- a. Back-up alarm
- b. LED beacon light
- c. LED work light package
- d. Automatic screed temperature control
- e. Heated end gates
- f. Vandalism protection cover
- g. Horn
- h. Two seats

- i. ISO 9001 Certified
- j. Manufactured in USA

LeeBoy Legend HD Screed Specifications

The following specifications are based on the Legend HD Screed manufactured by LeeBoy. Because of continuous equipment research and development, we reserve the right to change these specifications. For more information, questions or assistance preparing specifications, please contact your local LeeBoy Dealer.

1. Screed:

- a. Legend HD Screed
- b. Full free floating 96" x 16.75" main screed
- c. Constructed of .375" Hardox® 450 bolt-on wear plate for easy replacement
- d. Wear plate has leading edge bullnose

2. Screed End Gates:

- a. Equipped with vertical height adjustments and tilt with replaceable wear shoes

3. Screed Extensions:

- a. Two 44" x 7.38" hydraulically operated screed extensions
- b. The heated extensions are adjustable and mounted flush with the main screed
- c. Includes replaceable .375" Hardox® 450 wear plates

4. Screed Heat

- a. Electric heat source
- b. 8520: 14.4kW generator
- c. Electric timer control system
- d. Automatic RPM control system
- e. Environmentally safe, sealed S-curve elements in main screed and sealed blade elements in extensions

5. Screed Vibration:

- a. Equipped with two electric vibrators producing 70lbs of force each

6. Screed Crown/Invert:

- a. Equipped with a crown/invert adjustment allowing at least 2.5" of crown and 1.5" of valley
- b. Includes a leveling indicator for the operator to know when the screed is level

7. Operator's Platform:

- a. Two piece, grip strut, anti-slip walkboard platform
- b. Walkboard extensions slide out, left and right
- c. Walkboards folds up for working requirements
- d. Walkway width: 12"

8. Optional Equipment:

- a. Remote screed control
- b. Screed extension vibration
- c. Automatic screed temperature control
- d. Heated endgates
- e. Slope meter
- f. flight screw adjusters
- g. 12" x 4.5" berm extension, left and right

Power Comparison			
Brand/Model	Bobcat 8520	Leg-Away 8543	Weller 4850
Engine	125 HP Tier 4 Final	125 HP Tier 4 Final	120 HP Tier 4 Final
Length	14' 10" (13' 10" Transport)	15' 3" (14' 3" Transport)	14' 8"
Height	6' 8"	6' 9"	6' 4"
Weight	21,400 lbs	21,920 lbs	22,000 lbs
Weight Per Horsepower	171 lbs	175 lbs	183 lbs
Screed Weight	Legend HD 3,450 lbs Legend HD Pro 4,150 lbs	Legend HD 3,450 lbs	3,700 lbs
Electric Screed	HD Standard Variable up to 15' or Optional HD Pro Variable up to 15' 6"	HD Standard Variable up to 15'	Electric Standard Variable up to 15' 8"
PolyPad Track	Standard	Standard	Standard
Rubber Track	Optional	Optional	Not Available
Ground Pressure	17.1 PSI	10.9 PSI	11.6 PSI
Oscillating Bogies	Not Available	Standard	Not Available
Generator	Belt Driven	Belt Driven	Hydraulically Driven
Generator Size	14.4KW	14.4KW	12KW
Hopper Wing Thickness	1/2"	1/2"	3/8"
Steering	Electronic Plus+1	Electronic Plus+1	Electronic
Asphalt Delivery	Two 36" Conveyors	Two 20.5" Conveyors	Two 20" Conveyors
Auger RPM	173	164	150
Auger Speed Adjustment	Proportionally Adjustable	Proportionally Adjustable	Variable
Angle of Attack Adjustments	1:1 ; 3:1 ; Horizontal	1:1 ; 3:1 ; Horizontal	1:1
Adjustable Sonic Sensor Holder	Standard	Standard	Not Available
Paving Speed	0-150 FPM	0-164 FPM	0-150 FPM
Standard Warranty	2 Year	2 Year	1 Year
Seats and Steering Indicators	2	2	1 (Optional 2)

8608 and 8520C Asphalt Pavers

LeeBoy®
THE HEAVY CONSTRUCTION PAVING SPECIALISTS

LeeBoy Heavy Commercial pavers deliver features and benefits needed to compete and win against other brands.

- LeeBoy's designs in this class represent the highest production pavers minimizing power losses with more efficient hydraulic systems.

Features & Benefits

- Pound for pound, LeeBoy produces the most powerful Heavy Commercial Pavers.
- The standard Legend HD screed provides the same 116 psi compaction effort of the Weiler screed without the additional weight. The Pro screed is an upgrade over both at 17 psi.
- S-curve heating elements also provide more even heating of the screed plate.
- Belt driven generator provides less power loss, lower hydraulic heat load since it is a more efficient design.
- Higher auger speed, full size end auger flight, and rounded auger back provides better material feed to extensions.
- The 8608 boasts longest in class track length with the most ground contact lowering psi for less base disturbance.

- 8608 Oscillating Bogies improve ability to drive out of a cut or over piles without disturbing screed.

- The 8520C provides more clearance when paving around obstacles or curbs due to tracks being inside of the frame.

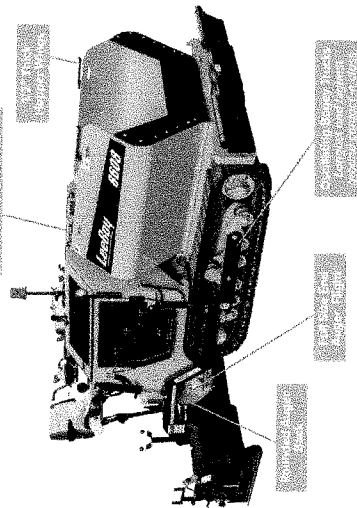
- Both LeeBoy pavers are capable of counter-rotation for positioning in tight spots.

- Both the 8608 and 8520C have increased throat and auger tunnel volume allowing for increased paving distance on a single dump of material.

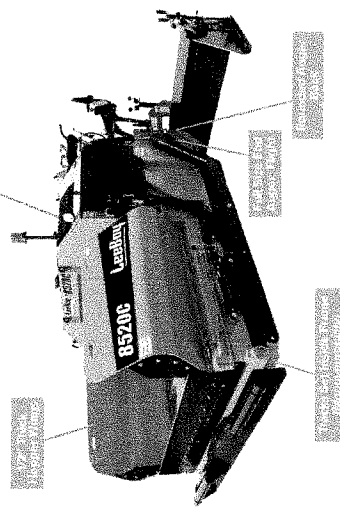
- Telematics standard allowing LeeBoy dealers to monitor service intervals and provide real-time diagnostic assistance remotely.

- Full-width tilting hood provides superior service access to all systems aiding in radiator cleanout.

LeeBoy 8608



LeeBoy 8520C



Product Spec Comparison

OEM Model	LeeBoy 8520C	LeeBoy 8608	Weiler R385C
Horsepower	125	125	120
Hopper wing thickness	1/2"	1/2"	3/8"
Screed weight	3450 HD 4150 PRO	3450 HD 4150 PRO	3700
Screed heat	14.4 kw	14.4 kw	12kw
Generator Drive	Belt	Belt	Hydraulic driven, more components and complexity
Auger Speed	173 rpm, variable	173 rpm, variable	150 rpm, variable
Auger Back	Rounded	Rounded	Flat
End Auger Flight Size	Full size	Full size	1/4 size
Track overall length / ground contact	87'68"	94'72"	90'68"
Track type	Rigid frame	Oscillating Bogies	Rigid frame
Track width (outside)	74 7/16"	90 7/16"	88"
Counter rotating tracks	Counter rotation	Counter rotation	Doesn't counter rotate
Telematics	Trackunit 2 years standard	Trackunit 2 years standard	none
Standard Full Warranty	2 Year	2 Year	1 Year
Servicing and Access	Full width powered tilting hood	Full width powered tilting hood	Tilting hood over engine only
Rear access doors	Removable lift off hinges	Removable lift off hinges	none

4. BIDDER will deliver the goods for the following prices(s): (Attach Bid Proposal)
5. BIDDER agrees to deliver the Goods within the number of calendar days or by the specific date indicated in the Bid.
6. The following documents are attached to and made a condition of this Bid:
 - (a) Non-Collusive Bidding Certificate (Attachment "A")
 - (b) Acknowledgment by Bidder (Attachment "B")
 - (c) Vendor Responsibility Questionnaire (Attachment "C")
 - (d) Iranian Energy Divestment Certification (Attachment "D")
7. Communication concerning this Bid shall be addressed to:

STEPHENSON EQUIPMENT - JEFFREY LANTZ

3 INDUSTRY DRIVE

WARREN, NY 12188

Phone: 518-357-2200 Fax: 518-357-2201

E-mail: JLANTZ@STEPHENSONEQUIPMENT.COM

8. Terms used in this Bid have the meanings assigned to them in the Contract and General Provisions.

ATTACHMENT "A"
NON-COLLUSIVE BIDDING CERTIFICATE PURSUANT TO
SECTION 103-D OF THE NEW YORK STATE GENERAL MUNICIPAL LAW

A. By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid, each party thereto certifies as to its own organizations, under penalty of perjury, that to the best of knowledge and belief:

(1) The prices in this bid have been arrived at independently without collusion, consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor.

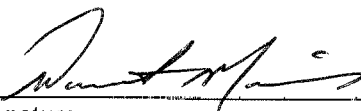
(2) Unless otherwise required by law, the prices which have been quoted in this bid have not knowingly been disclosed by the bidder and will not knowingly be disclosed by the bidder, directly or indirectly, prior to opening, to any bidder or to any competitor.

(3) No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.

A bid shall not be considered for award nor shall any award be made where (1), (2), and (3) above have not been complied with; provided, however, that in any case the bidder cannot make the foregoing certification, the bidder shall so state and shall furnish with the bid a signed statement which sets forth in detail the reasons thereof. Where (1), (2), and (3) above have not been complied with, the bid shall not be considered for any award nor shall any award be made unless the head of the Purchasing Unit to the political subdivision, public department, agency or official thereof to which the bid is made, or his designee, determines that such disclosure was not made for the purpose of restricting competition.

The fact that a bidder (a) has published price lists, rates, or tariffs covering items being procured, (b) has informed prospective customer of proposed or pending publication of new or revised price lists for such items, or (c) has sold the same items to other customers at the same prices being bid, does not constitute, without more, a disclosure within the meaning of paragraph "A" above.

B. Any bid hereafter made to any political subdivision of the state or any public department, agency or official thereof by a corporate bidder for work or services performed or to be performed or goods sold or to be sold, where competitive bidding is required by statute, rule, regulation, local law, and where such bid contains the certification referred to in paragraph "A" of this section, shall be deemed to have been authorized by the Board of Directors of the bidder, and such authorization shall be deemed to include the submission of the bid and the inclusion therein of the certificate as to non-collusion as the act and deed of the corporation


 Signature

Vice President
 Title

Stephenson Equipment Inc
 Company Name

5-1-2025
 Date

ATTACHMENT "B" **ACKNOWLEDGMENT BY BIDDER**

If Individual or Individuals:

STATE OF New York)
COUNTY OF Oswego) SS.:

On this _____ day of _____, 200____, before me personally appeared _____ to me known and known to me to be the same person(s) described in and who executed the within instrument, and he (or they severally) acknowledged to me that he (or they) executed the same.

Notary Public, State of _____

Qualified in _____

Commission Expires _____

If Corporation:

STATE OF New York)
COUNTY OF Oswego) SS.:

On this 1st day of May, 20025, before me personally appeared Derrick Morris of me known, who, being by me sworn, did say that he resides at (give address) 3834 Charles Rd. Cassin NY 13035 that he is the (give title) Vice President of the (name of corporation) Stephenson Equipment, Inc., the corporation described in and which executed the above instrument; that he knows the seal of the corporation, and that the seal affixed to the instrument is such corporate seal; that it was so affixed by order of the board of directors of the corporation, and that he signed his name thereto by like order.

KIMMARIE SHAVALIER
NOTARY PUBLIC, STATE OF NEW YORK
Registration No. 01SH6258256
Qualified in Oswego County
Commission Expires March 26, 2028

Notary Public, State of New York

Qualified in Oswego County

Commission Expires March 26, 2028

If Partnership:

STATE OF _____)
COUNTY OF _____) SS.:

On the _____ day of _____, 200____, before me personally came _____ to me known to be the individual who executed the foregoing, and who, being duly sworn, did depose and say that he / she is a partner of the firm of _____ and that he / she has the authority to sign the same, and acknowledged that he / she executed the same as the act and deed of said partnership.

Notary Public, State of _____

Qualified in _____

Commission Expires _____

ATTACHMENT "C"
ALBANY COUNTY
VENDOR RESPONSIBILITY QUESTIONNAIRE

1. VENDOR IS: ☐ PRIME CONTRACTOR			
2. VENDOR'S LEGAL BUSINESS NAME <i>Stephenson Equipment, Inc.</i>		3. IDENTIFICATION NUMBERS a) FEIN # <i>25-1511922</i> b) DUNS #	
4. D/B/A - Doing Business As (if applicable) & COUNTY FEIN #:		5. WEBSITE ADDRESS (if applicable)	
6. ADDRESS OF PRIMARY PLACE OF BUSINESS/EXECUTIVE OFFICE <i>7201 Paxton St. Harrisburg PA 17111</i>		7. TELEPHONE NUMBER <i>717-564-3434</i>	8. FAX NUMBER
9. ADDRESS OF PRIMARY PLACE OF BUSINESS/EXECUTIVE OFFICE <i>IN NEW YORK STATE, if different from above</i>		10. TELEPHONE NUMBER	11. FAX NUMBER
12. AUTHORIZED CONTACT FOR THIS QUESTIONNAIRE Name <i>Darrick Marris</i> Title <i>Vice President</i> Telephone Number <i>315-432-0779</i> Fax Number e-mail <i>dmarris@stephensorequipment</i>			
13. LIST ALL OF THE VENDOR'S PRINCIPAL OWNERS. <i>ESOP</i>			
a) NAME	TITLE	b) NAME	TITLE
c) NAME	TITLE	d) NAME	TITLE
A DETAILED EXPLANATION IS REQUIRED FOR EACH QUESTION ANSWERED WITH A "YES," AND MUST BE PROVIDED AS AN ATTACHMENT TO THE COMPLETED QUESTIONNAIRE. YOU MUST PROVIDE ADEQUATE DETAILS OR DOCUMENTS TO AID THE COUNTY IN MAKING A DETERMINATION OF VENDOR RESPONSIBILITY. PLEASE NUMBER EACH RESPONSE TO MATCH THE QUESTION NUMBER.			
14. DOES THE VENDOR USE, OR HAS IT USED IN THE PAST FIVE (5) YEARS, ANY OTHER BUSINESS NAME, FEIN, or D/B/A OTHER THAN THOSE LISTED IN ITEMS 2-4 ABOVE? List all other business name(s), Federal Employer Identification Number(s) or any D/B/A names and the dates that these names or numbers were/are in use. Explain the relationship to the vendor.		☐ Yes ☒ No	
15. ARE THERE ANY INDIVIDUALS NOW SERVING IN A MANAGERIAL OR CONSULTING CAPACITY TO THE VENDOR, INCLUDING PRINCIPAL OWNERS AND OFFICERS, WHO NOW SERVE OR IN THE PAST ONE (1) YEARS HAVE SERVED AS:		☐ Yes ☒ No	
a) An elected or appointed public official or officer? <i>List each individual's name, business title, the name of the organization and position elected or appointed to, and dates of service</i>		☐ Yes ☒ No	
b) An officer of any political party organization in Albany County, whether paid or unpaid? <i>List each individual's name, business title or consulting capacity and the official political position held with applicable service dates.</i>		☐ Yes ☒ No	

16.	WITHIN THE PAST (5) YEARS, HAS THE VENDOR, ANY INDIVIDUALS SERVING IN MANAGERIAL OR CONSULTING CAPACITY, PRINCIPAL OWNERS, OFFICERS, MAJOR STOCKHOLDER(S) (10% OR MORE OF THE VOTING SHARES FOR PUBLICLY TRADED COMPANIES, 25% OR MORE OF THE SHARES FOR ALL OTHER COMPANIES), AFFILIATE OR ANY PERSON INVOLVED IN THE BIDDING OR CONTRACTING PROCESS:	☐ Yes ☒ No
a)	1. been suspended, debarred or terminated by a local, state or federal authority in connection with a contract or contracting process; 2. been disqualified for cause as a bidder on any permit, license, concession franchise or lease; 3. entered into an agreement to a voluntary exclusion from bidding/contracting; 4. had a bid rejected on an Albany County contract for failure to comply with the MacBride Fair Employment Principles; 5. had a low bid rejected on a local, state or federal contract for failure to meet statutory affirmative action or M/WBE requirements on a previously held contract; 6. had status as a Women's Business Enterprise, Minority Business Enterprise or Disadvantaged Business Enterprise, de-certified, revoked or forfeited; 7. been subject to an administrative proceeding or civil action seeking specific performance or restitution in connection with any local, state or federal government contract; 8. been denied an award of a local, state or federal government contract, had a contract suspended or had a contract terminated for non-responsibility; or 9. had a local, state or federal government contract suspended or terminated for cause prior to the completion of the term of the contract.	☐ Yes ☒ No
b)	been indicted, convicted, received a judgment against them or a grant of immunity for any business-related conduct constituting a crime under local, state or federal law including but not limited to, fraud, extortion, bribery, racketeering, price-fixing, bid collusion or any crime related to truthfulness and/or business conduct?	☐ Yes ☒ No
c)	been issued a citation, notice, violation order, or are pending an administrative hearing or proceeding or determination of violations of: 1. federal, state or local health laws, rules or regulations.	☐ Yes ☒ No
17.	IN THE PAST THREE (3) YEARS, HAS THE VENDOR OR ITS AFFILIATES HAD ANY CLAIMS, JUDGMENTS, INJUNCTIONS, LIENS, FINES OR PENALTIES SECURED BY ANY GOVERNMENTAL AGENCY? Indicate if this is applicable to the submitting vendor or affiliate. State whether the situation(s) was a claim, judgment, injunction, lien or other with an explanation. Provide the name(s) and address(es) of the agency, the amount of the original obligation and outstanding balance. If any of these items are open, unsatisfied, indicate the status of each item as "open" or "unsatisfied."	☐ Yes ☒ No
18.	DURING THE PAST THREE (3) YEARS, HAS THE VENDOR FAILED TO: a) file returns or pay any applicable federal, state or city taxes? <i>Identify the taxing jurisdiction, type of tax, liability year(s), and tax liability amount the vendor failed to file/pay and the current status of the liability.</i> b) file returns or pay New York State unemployment insurance? <i>Indicate the years the vendor failed to file/pay the insurance and the current status of the liability.</i> c) Property Tax <i>Indicate the years the vendor failed to file.</i>	☐ Yes ☒ No
19.	HAVE ANY BANKRUPTCY PROCEEDINGS BEEN INITIATED BY OR AGAINST THE VENDOR OR ITS AFFILIATES WITHIN THE PAST SEVEN (7) YEARS (WHETHER OR NOT CLOSED) OR IS ANY BANKRUPTCY PROCEEDING PENDING BY OR AGAINST THE VENDOR OR ITS AFFILIATES REGARDLESS OF THE DATE OF FILING? Indicate if this is applicable to the submitting vendor or affiliate. If it is an affiliate, include the affiliate's name and FEIN. Provide the court name, address and docket number. Indicate if the proceedings have been initiated, remain pending or have been closed. If closed, provide the date closed.	☐ Yes ☒ No
20.	IS THIS VENDOR CURRENTLY INSOLVENT, OR DOES VENDOR CURRENTLY HAVE REASON TO BELIEVE THAT AN INVOLUNTARY BANKRUPTCY PROCEEDING MAY BE BROUGHT AGAINST IT? Provide financial information to support the vendor's current position, for example, Current Ratio, Debt Ratio, Age of Accounts Payable, Cash Flow and any documents that will provide the agency with an understanding of the vendor's situation.	☐ Yes ☒ No

21. IN THE PAST FIVE (5) YEARS, HAS THE VENDOR OR ANY AFFILIATES: a) defaulted or been terminated on, or had its surety called upon to complete, any contract (public or private) awarded; Indicate if this is applicable to the submitting vendor or affiliate. Detail the situation(s) that gave rise to the negative action, any corrective action taken by the vendor and the name of the contracting agency.	☐ Yes ☒ No
---	---

1 "Affiliate" meaning: (a) any entity in which the vendor owns more than 50% of the voting stock; (b) any individual, entity or group of principal owners or officers who own more than 50% of the voting stock of the vendor; or (c) any entity whose voting stock is more than 50% owned by the same individual, entity or group described in clause (b). In addition, if a vendor owns less than 50% of the voting stock of another entity, but directs or has the right to direct such entity's daily operations, that entity will be an "affiliate" for purposes of this questionnaire.

ALBANY COUNTY VENDOR RESPONSIBILITY QUESTIONNAIRE

FEIN #

State of: New York)
County of: Oneida) ss:

CERTIFICATION:

The undersigned recognizes that this questionnaire is submitted for the express purpose of assisting the County of Albany in making a determination regarding an award of contract or approval of a subcontract; acknowledges that the County may in its discretion, by means which it may choose, verify the truth and accuracy of all statements made herein; acknowledges that intentional submission of false or misleading information may constitute a felony under Penal Law Section 210.40 or a misdemeanor under Penal Law Section 210.35 or Section 210.45, and may also be punishable by a fine and/or imprisonment of up to five years under 18 USC Section 1001 and may result in contract termination; and states that the information submitted in this questionnaire and any attached pages is true, accurate and complete.

The undersigned certifies that he/she:

- Has not altered the content of the questions in the questionnaire in any manner;
- Has read and understands all of the items contained in the questionnaire and any pages attached by the submitting vendor;
- Has supplied full and complete responses to each item therein to the best of his/her knowledge, information and belief;
- Is knowledgeable about the submitting vendor's business and operations;
- Understands that Albany County will rely on the information supplied in the questionnaire when entering into a contract with the vendor;
- Is under duty to notify the Albany County Purchasing Division of any material changes to the vendor's responses.

Name of Business Stephenson Equipment Inc.
Address 3 Industry Dr.
City, State, Zip Watertown NY 12188

Signature of Owner [Signature]
Printed Name of Signatory Darrick Martin
Title Vice President

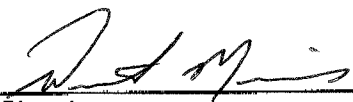
Sworn before me this 1 day of May, 2025
Notary Public

KIMMARIE SHAVALIER
NOTARY PUBLIC, STATE OF NEW YORK
Registration No. 01SH6258256
Qualified in Oswego County
Commission Expires March 26, 2028

KIMMARIE SHAVALIER
Printed Name
[Signature]
Signature
5/1/25
Date

Attachment "D"
Certification Pursuant to Section 103-g
Of the New York State
General Municipal Law

- A. By submission of this bid/proposal, each bidder/proposer and each person signing on behalf of any bidder/proposer certifies, and in the case of a joint bid, each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each bidder is not on the list created pursuant to paragraph (b) of subdivision 3 of Section 165-a of the New York State Finance Law.
- B. A Bid/Proposal shall not be considered for award, nor shall any award be made where the condition set forth in Paragraph A above has not been complied with; provided, however, that in any case the bidder/proposer cannot make the foregoing certification set forth in Paragraph A above, the bidder/proposer shall so state and shall furnish with the bid a signed statement which sets forth in detail the reasons therefor. Where Paragraph A above cannot be complied with, the Purchasing Unit to the political subdivision, public department, agency or official thereof to which the bid/proposal is made, or his designee, may award a bid/proposal, on a case by case business under the following circumstances:
1. The investment activities in Iran were made before April 12, 2012, the investment activities in Iran have not been expanded or renewed after April 12, 2012, and the Bidder/Proposer has adopted, publicized and is implementing a formal plan to cease the investment activities in Iran and to refrain from engaging in any new investments in Iran; or
 2. The political subdivision makes a determination that the goods or services are necessary for the political subdivision to perform its functions and that, absent such an exemption, the political subdivision would be unable to obtain the goods or services for which the contract is offered. Such determination shall be made in writing and shall be a public document.



Signature

Vice President

Title

Stephenson Equipment, Inc.

Company Name

5-1-2025

Date

COUNTY OF ALBANY
DEPARTMENT OF GENERAL SERVICES PURCHASING DIVISION
112 STATE STREET, ROOM 1000, ALBANY, NY 12207
TELEPHONE: 518-447-7140/ FAX: 518-447-5588
Pamela.oneill@albanycountyny.gov

TITLE: Purchase of Commercial Paver RFB NUMBER: 2025-063

Receipt Confirmation Form

Please complete and return this confirmation form as soon as possible:

Pamela O Neill
Purchasing Agent
County of Albany
112 State Street, Room 1000
Albany, NY 12207

**IF YOU PLAN TO SUBMIT A BID, YOU MUST RETURN
THIS FORM TO ENSURE THAT YOU WILL RECEIVE ALL
FURTHER COMMUNICATION REGARDING THIS RFB.**

Company Name: STEPHENSON EQUIPMENT, INC

Address: 3 INDUSTRY DRIVE

City: WATERFORD State: NY Zip Code: 12188

Contact Person: JEFFREY LANTZ

Title: TERRITORY MANAGER

Phone Number: 518-491-8598 Fax Number: 518-357-2201 E-Mail: JLANTZ@STEPHENSONEQUIPMENT.COM

If a Bidders/Proposers meeting has been arranged for this Bid/RFP, please indicate if you plan to attend:

☒ Yes / ☐ No

I authorize the County of Albany to send further correspondence that the County deems to be of an urgent nature by the following method (check):

Fax Number: _____ E-Mail: ☒ JLANTZ@STEPHENSONEQUIPMENT.COM

COUNTY OF ALBANY

BID FORM

BID IDENTIFICATION:

Title: Purchase of Commercial Paver
 Bid Number: 2025-063

THIS BID IS SUBMITTED TO:

Pamela O Neill, Purchasing Agent
 Albany County Department of General Services
 Purchasing Division
 112 State Street, Room 1000
 Albany, NY 12207-2021

1. The undersigned BIDDER proposes and agrees, if this bid is accepted, to accept a Purchase Order for the goods described in the bid form and deliver said goods within the time indicated in this bid.
2. BIDDER accepts all of the terms and conditions of the Instructions to Bidders, including without limitation those dealing with the Disposition of Bid Security. This Bid may remain open for ninety (90) days after the day of Bid opening. BIDDER will submit the Contract Security and other documents required by the General Instructions to Bidder within fifteen days after the date of OWNER'S Notice of Award.
3. In submitting this Bid, BIDDER represents, as more fully set forth in this Bid, that:

- (a) BIDDER has examined copies of all the Bid Documents and of the following addenda: (If none, so state)

Date

Number

NONE

(receipt of all of which is hereby acknowledged) and also copies of the Notice to Bidders and the Instructions to Bidders;

- (b) This Bid is genuine and not made in the interest of or on behalf of any undisclosed person, firm or corporation and is not submitted in conformity with any agreement or rules of any group, association, organization or corporation; BIDDER has not directly or indirectly induced or solicited any other BIDDER to submit a false or sham Bid; BIDDER has not solicited or induced any person, firm or a corporation to refrain from bidding; and BIDDER has not sought by collusion to obtain for himself any advantage over any other Bidder or over the owner.

BF1

RFB # 2025-063**Tracey Road****Stephenson
Equipment****Monroe Tractor**

<u>Item Description</u>	<u>Price</u>	<u>Price</u>	<u>Price</u>	<u>Price</u>
P385C-T4/STV Paver	364,505.00	252,598.70	255,584.00	
816 Screen Group	Included	Included		
US Decal Group	Included	Included		
Hand Held Remote Group	Included	NA		
Integrated Berm HD Prep Group	Included	Included		
Screed Mounted Control Panels Group	Included	Included		
Automation - Topcon	Included	16,751.78		
Extended Warranty 5yr/5,000 hr	31,080.00	5,992.52	23,000.00	
Total Price	395,585.00	275,343.00	278,584.00	

Astec CP130 Paver

LeeBoy 8608 Paver

DYNAPAC DC17 Paver

|

RESOLUTION NO. 252

**AUTHORIZING AN AGREEMENT WITH STEPHENSON EQUIPMENT, INC.
REGARDING THE PURCHASE OF A LEEBOY 8608 PAVER**

Introduced: 7/14/25

By Public Works Committee:

WHEREAS, The Commissioner of the Department of Public Works has requested authorization to enter into an agreement with Stephenson Equipment, Inc. regarding the purchase of a LeeBoy 8608 Paver in an amount not to exceed \$275,343 for a term commencing May 5, 2025 and ending December 3, 2025, and

WHEREAS, The Department, through the Purchasing Agent, received three bids and Stephenson Equipment, Inc. was determined to be the lowest qualified bidder, now, therefore, be it

RESOLVED, By the Albany County Legislature that the County Executive is authorized to enter into an agreement with Stephenson Equipment, Inc., Waterford, NY 12188 regarding the purchase of a LeeBoy 8608 Paver in an amount not to exceed \$275,343 for a term commencing May 5, 2025 and ending December 31, 2025, and, be it further

RESOLVED, That the County Attorney is authorized to approve said agreement as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
WATER PURIFICATION DISTRICT
1 CANAL ROAD, SOUTH
ALBANY, NEW YORK 12204
REMITTANCE: 112 STATE ST., BASEMENT, ALBANY, NY 12207
PHONE: (518) 447-1611 FAX: (518) 433-0369
www.albanycountyny.gov

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CHAIRMAN

NICHOLAS W. FOGLIA
MAGGIE ALIX
CHARLES G. CARLUCCIO
GARRY NATHAN

ANGELO GAUDIO, P.E.
EXECUTIVE DIRECTOR

May 27, 2025

Hon. Joanne Cunningham
Chairwoman
Albany County Legislature
112 State Street – Suite 710
Albany, New York 12207

**Re: RFB 2025-019 Primary Clarifier Chain & Components
Contract Authorization with Brentwood Industries Inc.**

Dear Chairwoman Cunningham,

The Albany County Water Purification District (District), is requesting approval to increase the contract value with Brentwood Industries Inc., by an additional \$210,000 for a total contract value of \$420,000 for the purchase of primary clarifier components from Brentwood Industries Inc. In addition to this RLA request a subsequent budget amendment request is being submitted under RLA 6634 for the transfer of funds from the District's fund balance to the equipment repair line for the purchase of the needed equipment.

Brentwood Industries was considered the lowest responsible bidder under the above referenced RFB and was awarded the contract via Resolution 61 of 2025. The initial contract amount of \$210,000 was secured to purchase primary clarifier chain and components for two primary clarifiers. In May of this year damage to two additional primary clarifiers was sustained due to high flows associated with the continued wet weather. The requested additional contract amount will be used to secure additional components for a damaged tanks.

If you have any questions or concerns you may reach me at (518) 447-1617.

Sincerely,

A handwritten signature in blue ink, appearing to read "Angelo Gaudio".

Angelo Gaudio, P.E.
Executive Director

Enc: Resolution 61 of 2025

cc: Hon. Dennis A. Feeney, Majority Leader
Hon. Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6621, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Contract amendment with Brentwood Industries for the supply of primary clarifier components.

Date: 5/27/25
Department: ACWPD
Attending Meeting: Angelo Gaudio
Submitted By: Angelo Gaudio
Title: Executive Director
Phone: 518-447-1624

Purpose of Request: Amending prior Legislation 3/10/25 - 3/9/2026

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses: Brentwood Industries Inc.
500 Spring Ridge Drive, Reading, PA 194610

Term: (Start/end date or duration) 3/10/2025 - 3/9/2026
Amount/Raise Schedule/Fee: Enter text.

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☐ No ☒
Anticipated in Budget: Yes ☐ No ☒
Spreadsheet attached: Yes ☐ No ☒

Source of Funding - (Percentages)

Federal: Enter text. County: 100%
State: Enter text. Local: Enter text.

County Budget Accounts:

Revenue Account and Line: NA
Revenue Amount: NA
Appropriation Account and Line: G98130.44070
Appropriation Amount: Enter text.

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒
If Mandated, Cite Authority: NA
Request for Bids / Proposals:

Competitive Bidding Exempt:	Yes ☐ No ☒
# of Response(s):	3
# of MWBE:	Enter text.
# of Veteran Business:	0
Bond Resolution No.:	0
Apprenticeship Program	Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: Resolution 61 of 2025

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

Amended contract value is for the purchase of additional primary clarifier components that are needed to repair clarifiers that were damaged due to high flow associated with continue wet weather in May.

RESOLUTION NO. 61

AUTHORIZING AN AGREEMENT WITH BRENTWOOD INDUSTRIES, INC. REGARDING THE PURCHASE OF PRIMARY CLARIFIER COMPONENTS FOR THE WATER PURIFICATION DISTRICT

Introduced: 3/10/25

By Public Works Committee:

WHEREAS, The Executive Director of the Water Purification District has requested authorization to enter into an agreement with Brentwood Industries, Inc. regarding the purchase of primary clarifier components for the clarifier settling tanks in an amount not to exceed \$210,000 for a term commencing March 10, 2025 and ending March 9, 2026 with a one-year option to renew, and

WHEREAS, The proposed purchase is necessary to replace existing components that have exceeded their useful life, and

WHEREAS, The Water Purification District, through the County Purchasing Agent, received three bids to supply primary clarifier components and Brentwood Industries, Inc. was deemed the lowest responsible bidder, now, therefore, be it

RESOLVED, By the Albany County Legislature that the County Executive is authorized to enter into an agreement with Brentwood Industries, Inc., Reading, PA 19610 regarding the purchase of primary clarifier components for the clarifier settling tanks in an amount not to exceed \$210,000 for a term commencing March 10, 2025 and ending March 9, 2026 with a one-year option to renew, and, be it further

RESOLVED, That the County Attorney is authorized to approve said agreement as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.

Adopted by unanimous vote – 3/10/25

RESOLUTION NO. 253

AMENDING RESOLUTION NO. 61 FOR 2025 REGARDING THE PURCHASE OF PRIMARY CLARIFIER COMPONENTS

Introduced: 7/14/25

By Public Works Committee:

WHEREAS, By Resolution No. 61 for 2025, this Honorable Body authorized the purchase of clarifier components for the Water Purification District in an amount not to exceed \$210,000 for a term commencing March 10, 2025 and ending March 9, 2026, with a one-year option to renew, and

WHEREAS, The Executive Director of the Water Purification District has requested authorization an additional \$210,000 to purchase additional clarifier components to address subsequent failures at the Plant, and

WHEREAS, Unit pricing and specifications outlined in the agreement reserves the right to purchase additional component quantities as necessary during the terms of the authorization, now, therefore, be it

RESOLVED, By the Albany County Legislature that Resolution No. 61 for 2025 is hereby amended to provide for the purchase of an additional \$210,000 in clarifier components for a new total amount not to exceed \$420,000, and, be it further

RESOLVED, That the County Attorney is authorized to approve said amendment as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
WATER PURIFICATION DISTRICT
1 CANAL ROAD, SOUTH
ALBANY, NEW YORK 12204

REMITTANCE: 112 STATE ST., BASEMENT, ALBANY, NY 12207
PHONE: (518) 447-1611 FAX: (518) 433-0369
www.albanycountyny.gov

COMMISSION

DENNIS RIGOSU
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NICHOLAS W. FOGLIA
MAGGIE ALIX
CHARLES G. CARLUCCIO
GARRY NATHAN

ANGELO GAUDIO, P.E.
EXECUTIVE DIRECTOR

May 27, 2025

Hon. Joanne Cunningham
Chairwoman
Albany County Legislature
112 State Street – Suite 710
Albany, New York 12207

Re: Budget Amendment – Emergency Repair of North Plant Primary Clarifiers

Dear Chairwoman Cunningham,

The Albany County Water Purification District (District), is requesting approval of a budget amendment for the transfer of \$210,000 from District's Fund Balance to the Equipment Repair Line to pay for the purchase of additional primary clarifier components that were previously approved under Legislative Resolution No. 61 of 2025. A separate request under RLA 6621 has been submitted to amend the contract with Brentwood Industries Inc., for the purchase of the needed clarifier equipment.

In May 2025, two of the North Plant's primary clarifiers experienced a catastrophic failure of its sludge collection system as a result of high flows associated with continued wet weather. The District recently purchased clarifier replacement components from Brentwood Industries Inc., for two clarifier tanks however the original order was not adequate to repair the recent tank failures.

A summary of the budget amendment to facilitate the purchase of needed primary clarifier components is as follows:

Budget Transfer:

Decrease Fund Balance:	\$ 210,000
Increase Equipment Repair Line (G8130.4.4070)	\$ 210,000

If you have any questions or concerns you may reach me at (518) 447-1617.

Sincerely,



Angelo Gaudio, P.E.
Executive Director

Enc: Resolution 61 of 2025

cc: Hon. Dennis A. Feeney, Majority Leader
Hon. Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6634, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Budget amendment for the transfer of \$210,000 from the Water Purification District's fund balance to the equipment repair line to facilitate an emergency purchase of primary clarifier components at the North Plant.

Date: 5/28/25
Department: WPD
Attending Meeting: Angelo Gaudio
Submitted By: Angelo Gaudio
Title: Executive Director
Phone: 518-447-1624

Purpose of Request: Budget Amendment 2025 Budget

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:
NA

Term: (Start/end date or duration) NA
Amount/Raise Schedule/Fee: NA

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Budget: Yes ☐ No ☒
Spreadsheet attached: Yes ☒ No ☐

Source of Funding - (Percentages)

Federal: Enter text. County: 100%
State: Enter text. Local: Enter text.

County Budget Accounts:

Revenue Account and Line: Enter text.
Revenue Amount: Enter text.
Appropriation Account and Line: See Budget Amendment
Appropriation Amount: \$210,000

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒

If Mandated, Cite Authority: NA
Request for Bids / Proposals:
 Competitive Bidding Exempt: Yes ☐ No ☒
 # of Response(s): NA
 # of MWBE: NA
 # of Veteran Business: NA
Bond Resolution No.: NA
Apprenticeship Program Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: Resolution 61 of 2025, Adopted 3/10/25

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

Budget transfer of \$210,000 from District's fund balance to the equipment repair line to allow for the emergency purchase of additional primary clarifier components from Brentwood Industries. Brentwood Industries was previously approved via Resolution 61 2025 as the supplier of clarifier components under the County's RFB process.

RESOLUTION NO. 61

AUTHORIZING AN AGREEMENT WITH BRENTWOOD INDUSTRIES, INC. REGARDING THE PURCHASE OF PRIMARY CLARIFIER COMPONENTS FOR THE WATER PURIFICATION DISTRICT

Introduced: 3/10/25

By Public Works Committee:

WHEREAS, The Executive Director of the Water Purification District has requested authorization to enter into an agreement with Brentwood Industries, Inc. regarding the purchase of primary clarifier components for the clarifier settling tanks in an amount not to exceed \$210,000 for a term commencing March 10, 2025 and ending March 9, 2026 with a one-year option to renew, and

WHEREAS, The proposed purchase is necessary to replace existing components that have exceeded their useful life, and

WHEREAS, The Water Purification District, through the County Purchasing Agent, received three bids to supply primary clarifier components and Brentwood Industries, Inc. was deemed the lowest responsible bidder, now, therefore, be it

RESOLVED, By the Albany County Legislature that the County Executive is authorized to enter into an agreement with Brentwood Industries, Inc., Reading, PA 19610 regarding the purchase of primary clarifier components for the clarifier settling tanks in an amount not to exceed \$210,000 for a term commencing March 10, 2025 and ending March 9, 2026 with a one-year option to renew, and, be it further

RESOLVED, That the County Attorney is authorized to approve said agreement as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.

Adopted by unanimous vote – 3/10/25

APPROPRIATIONS									
BUDGET LINE					DESCRIPTION	INCREASE	DECREASE	UNIT COST	DEPARTMENT NAME
FUND	ORG	OBJ	PRO	FOR POSITIONS ONLY					
				STATE POS. CODE	POSITION CONTROL				
G	8130	44070			Equipment Repair	\$210,000			ACWPD
G		0599			Appropriated Fund Balance (Transfer from District Fund Balance		\$210,000		ACWPD
TOTAL APPROPRIATIONS						\$210,000	\$210,000		

ESTIMATED REVENUES									
BUDGET LINE					DESCRIPTION	DECREASE	INCREASE	UNIT COST	DEPARTMENT NAME
FUND	ORG	OBJ	PRO	FOR POSITIONS ONLY					
				STATE POS. CODE	POSITION CONTROL				
TOTAL REVENUES						\$0	\$0		
GRAND TOTAL						\$210,000	\$210,000		

AMENDING THE 2025 WATER PURIFICATION DISTRICT BUDGET: EQUIPMENT REPAIR

By Public Works Committee:

RESOLVED, By the Albany County Legislature that the 2025 Water Purification District Budget is hereby amended as follows:

and, be it further

203



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
OFFICE OF THE EXECUTIVE
112 STATE STREET
ALBANY, NEW YORK 12207-2021
(518) 447-7040 - FAX (518) 447-5589
WWW.ALBANYCOUNTYNY.GOV

MICHAEL P. McLAUGHLIN, JR.
DEPUTY COUNTY EXECUTIVE

June 10, 2025

Honorable Joanne Cunningham, Chairwoman
Albany County Legislature
112 State Street, Room 710
Albany, New York 12207

Dear Chairwoman Joanne,

I respectfully request the County Legislature's authorization of an intergovernmental agreement between Albany County, the Town of Berne, MVP Health Care and the National Fitness Campaign (NFC) that will allow for the installation of a fitness court in Berne Town Park in the Town of Berne. The fitness court is a recreational facility that comes fully equipped with various exercise equipment that allows for a myriad of workouts. This fitness court would be free and open to the public to individuals, while also allowing for organized group sessions.

This agreement would further improve the health and wellness of Albany County and it is truly a partnership between all of the various parties involved. As part of the county's ongoing collaboration with MVP Health Care, the cost of the fitness court would be funded through the Innovation Fund. Building the concrete foundation of the facility within the Town of Berne owned Berne Town Park would be the responsibility of the Albany County Department of Public Works, while the National Fitness Campaign (NFC) would utilize their technical skillset to install the exercise equipment.

Thank you for your time and consideration. If you should have any questions or concerns, please do not hesitate to reach out to me.

Sincerely,

Albany County Executive

cc: Hon. Dennis Feeney, Majority Leader
Hon. Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6656, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Authorizing an intergovernmental agreement between Albany County and the Town of Berne to build a fitness court on town property

Date: 06/10/2025

Department: CEO

Attending Meeting: Michael Lalli

Submitted By: Stefanie Thomas

Title: Policy Analyst

Phone: 4475567

Purpose of Request: Contract Authorization Enter text.

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:

Town of Berne: 1656 Helderberg Trail, Berne, NY 12023 and MVP Healthcare: 625 State St, Schenectady NY 12305

Term: (Start/end date or duration) Enter text.

Amount/Raise Schedule/Fee: Enter text.

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☐ No ☒

Anticipated in Budget: Yes ☒ No ☐

Spreadsheet attached: Yes ☐ No ☐

Source of Funding - (Percentages)

Federal: Enter text. County: Enter text.

State: Enter text. Local: Enter text.

County Budget Accounts:

Revenue Account and Line: Enter text.

Revenue Amount: Enter text.

Appropriation Account and Line: Enter text.

Appropriation Amount: Enter text.

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒

If Mandated, Cite Authority: Enter text.

Request for Bids / Proposals:

Competitive Bidding Exempt: Yes ☐ No ☒

of Response(s): Enter text.

of MWBE: Enter text.

of Veteran Business: Enter text.

Bond Resolution No.: Enter text.

Apprenticeship Program Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: 22-517

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

Requesting authorization for Albany County to build a “fitness court” recreational facility in Berne Town Park, owned by the Town of Berne. Albany Count will carry out the work of installing the concrete foundation, and the project as a whole is supported by county partners MVP Health Care and the National Fitness Campaign.

DANIEL P. MCCOY
COUNTY EXECUTIVE



EUGENIA KOUTELIS CONDON
COUNTY ATTORNEY

JOHN W. LIGUORI
DEPUTY COUNTY ATTORNEY

COUNTY OF ALBANY
OFFICE OF THE COUNTY ATTORNEY
COUNTY OFFICE BUILDING
112 STATE STREET, ROOM 600
ALBANY, NEW YORK 12207-2021
PHONE (518) 447-7110 | FAX (518) 447-5564
WWW.ALBANYCOUNTY.COM

MEMORANDUM

TO: Hon. Daniel P. McCoy
County Executive

Daniel C. Lynch
Deputy County Executive

FROM: Eugenia Koutelis Condon
County Attorney

DATE: September 1, 2022

RE: MOU with National Fitness Campaign for
Delivery of Fitness Court to DPW

RECEIVED
SEP 01 REC'D
ALBANY COUNTY
EXECUTIVE OFFICE

Attached is the MOU with National Fitness Campaign for the delivery of a Fitness Court to DPW to be installed at a later date at a site to be determined, as part of MVP's Health Care 2022 Grant Award. Please sign both copies and return to my attention.

Thank you for your attention to this matter.

EKC:e
Enclosures



National Fitness Campaign Memorandum of Understanding

This Memorandum of Understanding (MOU), hereinafter referred to as the Memorandum, entered into on 5/10/22 by and between National Fitness Campaign LP residing at PO Box 2367, San Francisco, California 94126, hereinafter referred to as the "First Party," and Albany County, 112 State Street Albany, NY 12207, hereinafter referred to as the "Second Party," and collectively known as the "Parties" for the purpose of establishing and achieving various goals and objectives relating to the 2022 Albany County Fitness Court Partnership (project).

WHEREAS, the aforementioned Parties desire to enter into the herein described agreement in which they shall work together to accomplish the goals and objectives set forth.

Goal

The aforementioned project has been established with the following intended mission in mind:

To meet the minimum eligibility requirements of the National Fitness Campaign - MVP Health Care 2022 Grant Award by aligning with availability of local funding sources, and support Albany County to participate as a leading site partner in the 2022 New York State Campaign by opening and maintaining an MVP Health Care Fitness Court.

OBJECTIVES

1. The Parties shall endeavor to work together to develop and establish procedures that will promote and sustain a market for National Fitness Campaign to produce and ship the Fitness Courts® to the Town of Union on or before September 9th, 2022.
2. In accordance with 2022 Grant Program Requirements, Albany County will receive and store their Fitness Court®, until weather and local site conditions permit installation in 2022 or 2023.
3. Following required site approvals and confirmation of local community support Albany County shall build a concrete pad for the Fitness Court studio to meet NFC provided specifications.
4. Albany County shall cooperate with NFC to schedule a time for an approved NFC Nationwide Installer, to install the Fitness Court following 30 days of cure time for the concrete slab. EIS shall contract directly with the County and confirm any appropriate licenses and requirements to perform on site installation. All work shall be performed in accordance with NYS Department of Labor Prevailing Wage Rates.
5. Albany County shall coordinate with NFC and MVP Health Care to select a launch day for their Fitness Court, and participate in relevant promotions of the launch of world-class free fitness for their community members.

PAYMENT BY SPONSOR

In accordance with special eligibility and authorization, MVP Health Care has agreed to support the program funding required to fund the Fitness Court Equipment, services and wellness programs, and installation. NFC and EIS (Engineered Installation Solutions) shall invoice MVP Health Care appropriately for the agreed up on program funding.

NFC STANDARD WARRANTY AND TERMS

Standard terms provided to all campaign partners and recipients of a Fitness Court shall apply in accordance with Albany County's receipt of the Fitness Court and opening of the Fitness Court on their property. Terms are available on the NFC website and/or upon request.

GENERAL PROVISIONS

The Parties acknowledge and understand that they must be able to fulfill their responsibilities under this Memorandum of Understanding in accordance with the provisions of the law and regulations that govern their activities. Nothing in the Memorandum is intended to negate or otherwise render ineffective any such provisions or operating procedures. The parties assume full responsibility for their performance under the terms of this Memorandum.

If at any time either party is unable to perform their duties or responsibilities under this Memorandum of Understanding consistent with such party's statutory and regulatory mandates, the affected party shall immediately provide written notice to the other party to establish a date for resolution of the matter.

LIMITATION OF LIABILITY

No rights or limitation of rights shall arise or be assumed between the Parties as a result of the terms of this Memorandum of Understanding.

GOVERNING LAW

This Memorandum of Understanding shall be governed by and construed in accordance with the laws of the State of New York .

APPENDIX TO AGREEMENT

Any appendix to this Agreement shall be integral to this Agreement and shall have the same force and effect with this Agreement.

(Signature Page Follows)

AUTHORIZATION AND EXECUTION

The signing of this Memorandum of Understanding constitutes a formal undertaking, and as such intends that the signatories shall reach, to the best of their abilities, the goals and objectives stated in this MOU.

This Agreement shall be signed by National Fitness Campaign LP and Albany County and shall be effective as of the date first written above.

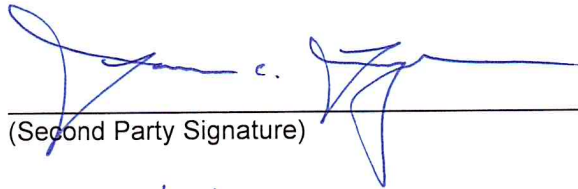


(First Party Signature)

9.2.21

(Date)

National Fitness Campaign LP



(Second Party Signature)

9/2/2022

(Date)

Albany County, NY

APPENDIX A

INDEMNIFICATION

The parties hereto shall defend, indemnify, and save harmless each other, their agents, representatives, and employees, from and against any and all claims, damages, losses, and expenses (including, but not limited to, reasonable attorney's fees) arising from the services rendered by each Party in relation to this Memorandum of Understanding as a result of the negligence of each Party, its employees and/or agents.

INSURANCE REQUIREMENTS

The First Party shall procure and maintain for the entire term of this Agreement, without additional expense to the Second Party, insurance policies of the kinds and the amounts provided below. The First Party shall provide thirty (30) days' written notice to the Second Party of any insurance policy cancellation or change.

1. Workers' Compensation and Employers Liability Insurance: A policy or policies providing protection for employees in the event of job related injuries.
2. General Liability Insurance: A policy or policies including comprehensive form, personal injury, contractual, products/completed operations, premises operations and broad form property insurance shall be furnished with limits of not less than:

<u>Liability for:</u>	<u>Combined Single Limit:</u>
Bodily Injury	\$1,000,000.00
Property Damage	\$1,000,000.00
Personal Injury	\$1,000,000.00

All Required Insurance shall require a waiver of subrogation clause in favor of the County. All insurances provided shall be written with carriers that are admitted in the State of New York and acceptable to the County.



ALBANY COUNTY, NY PROPOSAL 2025

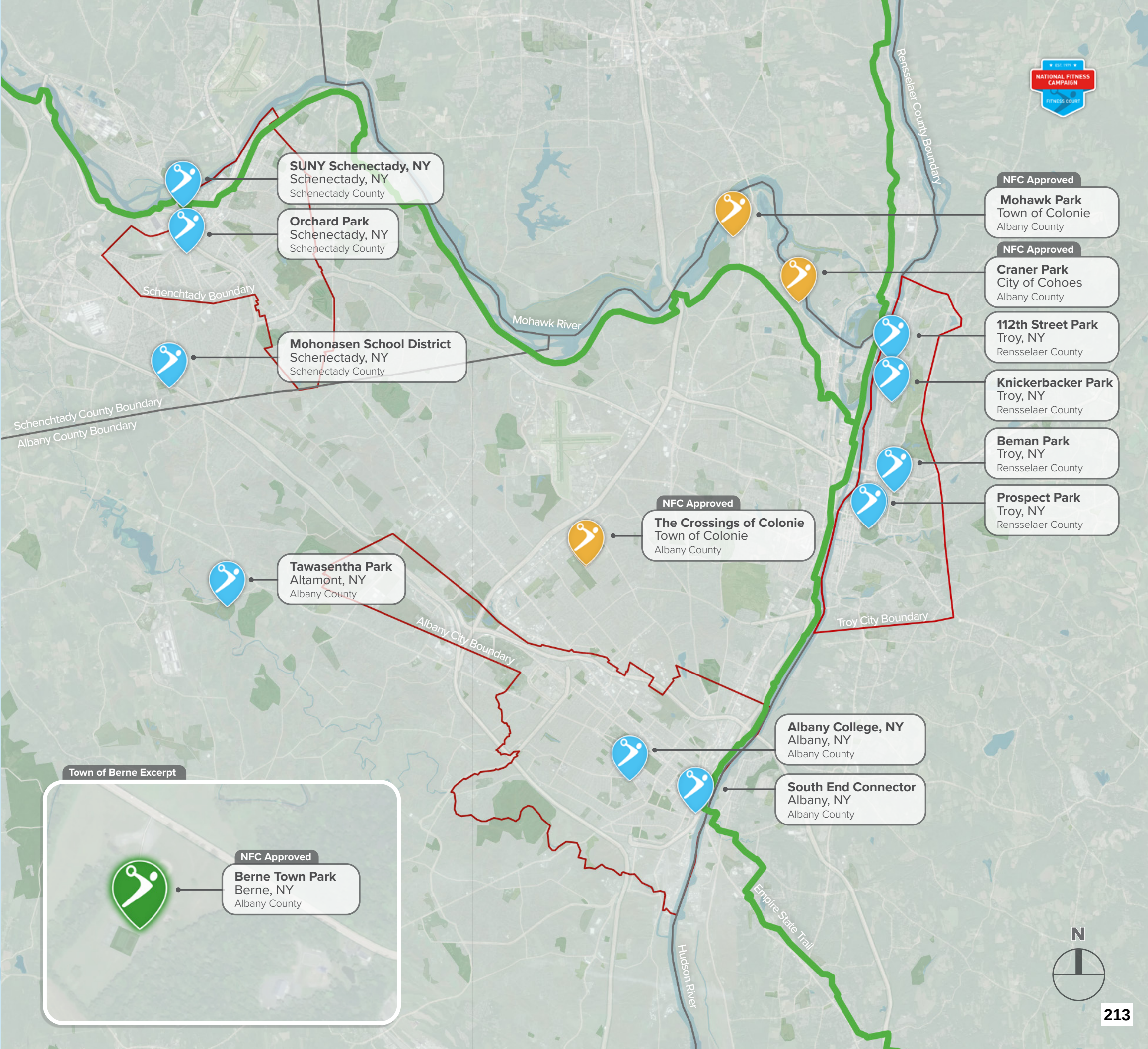


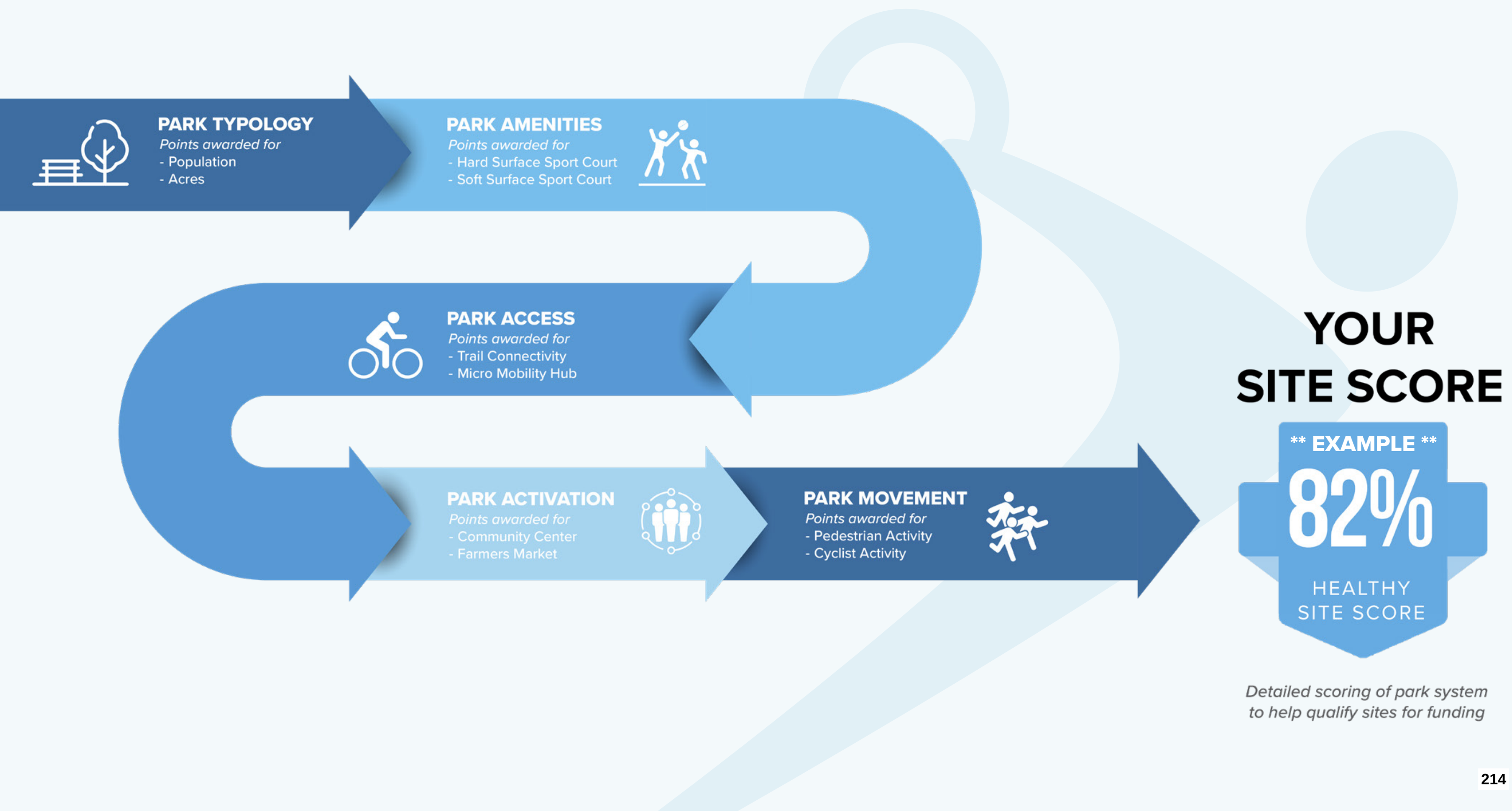
FITNESS COURT® NETWORK
NEW YORK CAPITAL REGION

MVP Health Care and Albany County support a growing Fitness Court® network Across the County

-  Open Fitness Court Network
-  NFC Awarded Site
-  NFC Approved Site Locations
-  Empire State Trail

The Capital Region has become a hub for free fitness to residents in NY!





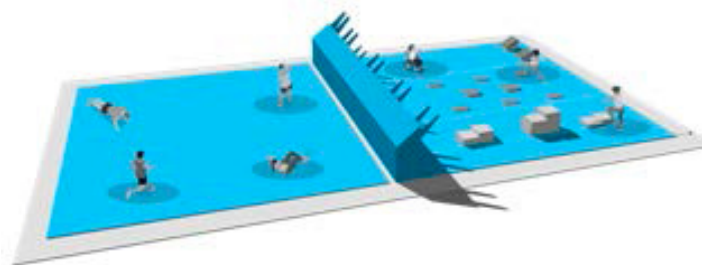
HEALTHY CITY MAPPING
BERNE TOWN PARK

NFC HSS Berne Town Park



QUOTE & FEES

Funding Breakdown for 2025:



FITNESS COURT | STUDIO

2025 NFC Fitness Court Studio and Campaign Services	\$ 205,000
MVP/ NFC Grant Award (Per Grant Application)	(- \$30,000)
Fitness Court Art Studio Options (Featured Artist, Local Artist, Studio Art)	\$0 - \$25,000
Concrete Slab (38ft x 73ft)	est. \$ 45,000
NFC Approved Installer Network Installation Team	\$ 38,500

FITNESS COURT STUDIO & INSTALLATION TOTAL ESTIMATE

AFTER \$30,000 GRANT FUNDING

*Assumes concrete pad costs of \$45,000 (national average) and includes Standard Art

\$ 258,500*



FITNESS COURT

2025 NFC Fitness Court and Campaign Services	\$ 170,000
MVP/ NFC Grant Award (Per Grant Application)	(- \$30,000)
Fitness Court Art Studio Options (Featured Artist, Local Artist, Studio Art)	\$0 - \$25,000
Concrete Slab (38ft x 38ft)	est. \$ 25,000
NFC Approved Installer Network Installation Team	\$ 30,500

FITNESS COURT & INSTALLATION TOTAL ESTIMATE

AFTER \$30,000 GRANT FUNDING

*Assumes concrete pad costs of \$25,000 (national average) and includes Standard Art

\$ 195,500*

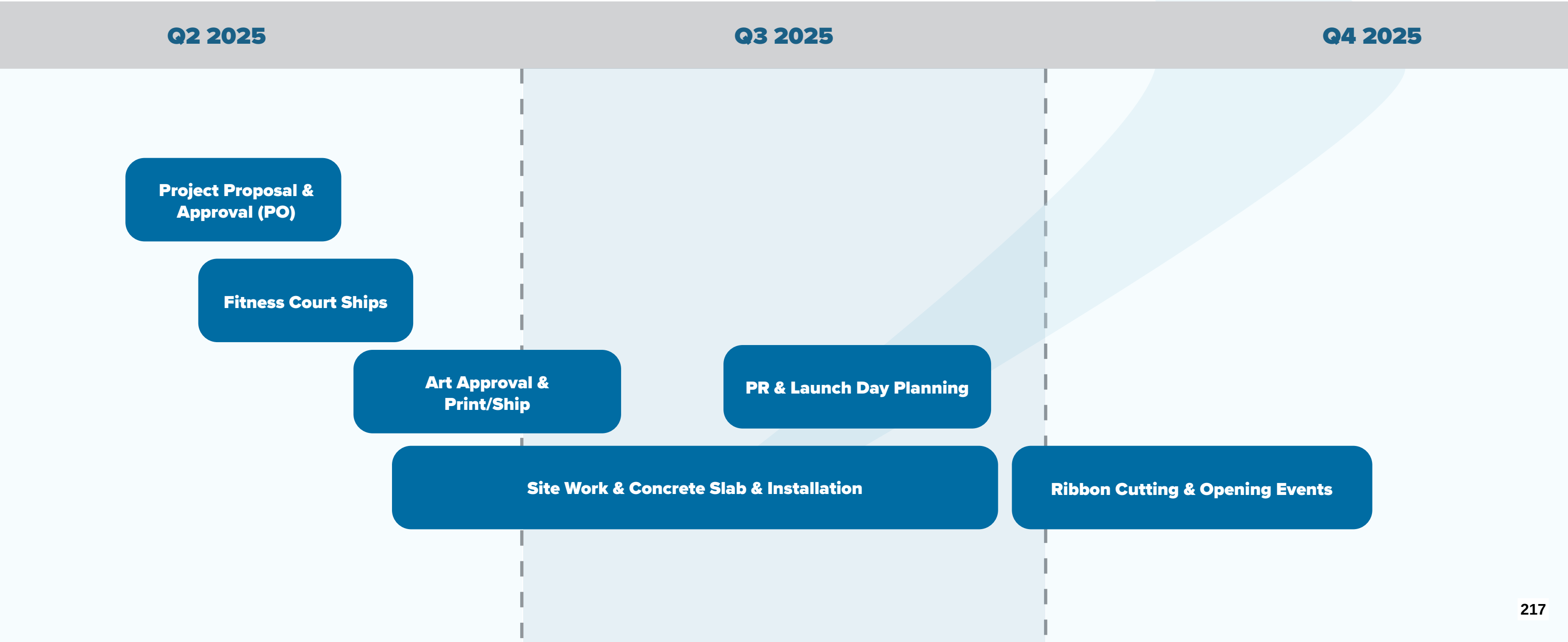


TIMELINE 2025

- Project Milestone Dates**
- Project Proposal & Approval : PO Initiates Process
 - Fitness Court Ships: 2-3 weeks
 - Excavation & Concrete Begins : 4-8 weeks
 - Fitness Court Installation : 1-2 weeks
 - Launch Day: Summer/Fall 2025



Project Estimated Timeline





ALBANY COUNTY, NY PROPOSAL

Presented by: National Fitness Campaign

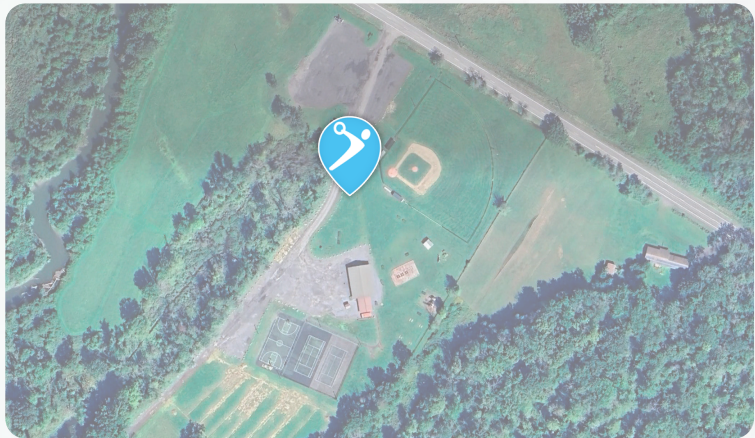
www.nationalfitnesscampaign.com

Jessica Smith | Jessica@nfchq.com

BERNE TOWN PARK
SITE PLAN - OPTION 2



Site Location Map



RESOLUTION NO. 517

AUTHORIZING AN INTERGOVERNMENTAL AGREEMENT WITH THE TOWN OF GUILDERLAND REGARDING THE INSTALLATION OF A FITNESS COURT IN TAWASENTHA PARK

Introduced: 12/5/22

By Public Works Committee, Messrs. Feeney, Cleary, Domalewicz, Reidy and Comisso:

WHEREAS, The County Executive has requested authorization to enter into an intergovernmental agreement with the Town of Guilderland regarding the installation of a Fitness Court in Tawasentha Park, and

WHEREAS, The County Executive has indicated that Albany County, the Town of Guilderland, MVP Health Care, and the National Fitness Campaign will partner to build and install a 38-foot by 76-foot outdoor recreational facility that will be open to the public as individuals or organized group sessions, and

WHEREAS, The County Executive has also indicated that the County Department of Publics Works will be responsible for building the concrete foundation of the facility, while the National Fitness Campaign will utilize their skillset to install the exercise equipment which will be funded through the MVP Health Care Innovation Fund, now, therefore, be it

RESOLVED, By the Albany County Legislature, that the County Executive is authorized to enter into an intergovernmental agreement with the Town of Guilderland regarding the installation of a Fitness Court in Tawasentha Park, and, be it further

RESOLVED, That the County Attorney is authorized to approve said agreement as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of the resolution the appropriate County Officials.

Adopted by unanimous vote – 12/5/22

RESOLUTION NO. 255

AUTHORIZING AN INTERGOVERNMENTAL AGREEMENT WITH THE TOWN OF BERNE REGARDING THE INSTALLATION OF A FITNESS COURT

Introduced: 7/14/25

By Public Works Committee:

WHEREAS, The County Executive has requested authorization to enter into an intergovernmental agreement with the Town of Berne regarding the installation of a Fitness Court, and

WHEREAS, The County Executive has indicated that Albany County, the Town of Berne, MVP Health Care, and the National Fitness Campaign will partner to build and install an outdoor recreational facility that will be open to the public for individuals and organized group sessions, and

WHEREAS, The County Executive has also indicated that the County Department of Public Works will be responsible for building the concrete foundation of the facility, while the National Fitness Campaign will utilize their skillset to install the exercise equipment which will be funded through the MVP Health Care Innovation Fund, now, therefore, be it

RESOLVED, By the Albany County Legislature, that the County Executive is authorized to enter into an intergovernmental agreement with the Town of Berne regarding the installation of a Fitness Court, and, be it further

RESOLVED, That the County Attorney is authorized to approve said agreement as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of the resolution the appropriate County Officials.



DANIEL P. MCCOY
COUNTY EXECUTIVE

MICHAEL P. McLAUGHLIN, JR.
DEPUTY COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT FOR CHILDREN, YOUTH AND FAMILIES
112 STATE STREET SUITE 300
ALBANY, NEW YORK 12207
(518) 447-7324 - FAX (518) 447-7578
www.albanycountyny.gov

MOIRA E. MANNING
COMMISSIONER

NICOLE WARD
Deputy Commissioner

May 28, 2025

Hon. Joanne Cunningham, Chairwoman
Albany County Legislature
112 State St., Rm. 710
Albany, NY 12207

Dear Chairwoman Cunningham:

Enclosed is our Request for Legislative Action for authorization to enter into Agreements with various Albany County Municipalities for the provision of Youth Bureau services.

The Department respectfully requests consideration in this matter. If you have any questions or need additional information, please do not hesitate to contact me directly at 447-2014.

Sincerely,

Maira Manning, LCSW-R
Commissioner

cc: Dennis Feeney, Majority Leader
Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6611, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Contract Authorization for Youth Bureau Municipality Contracts

Date: May 28, 2025

Department: Children, Youth and Families

Attending Meeting: Moira Manning, Commissioner

Submitted By: Scott McNelis

Title: Contract Administrator

Phone: 7306

Purpose of Request: Contract Authorization

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:

Various Municipalities - Please See Attached

Term: (Start/end date or duration)

October 1, 2024 - September 30, 2025

Amount/Raise Schedule/Fee:

\$95,087

BUDGET INFORMATION:

Is there a Fiscal Impact:

Yes ☒ No ☐

Anticipated in Budget:

Yes ☒ No ☐

Spreadsheet attached:

Yes ☐ No ☒

Source of Funding - (Percentages)

Federal: 0 County: 0

State: 100 Local: 0

County Budget Accounts:

Revenue Account and Line: AA6119 03820

Revenue Amount: \$95,087

Appropriation Account and Line: AA6119 44406

Appropriation Amount: \$95,087

ADDITIONAL INFORMATION:

Mandated Program/Service:

Yes ☐ No ☒

If Mandated, Cite Authority:

Enter text.

Request for Bids / Proposals:

Competitive Bidding Exempt:	Yes ☒ No ☐
# of Response(s):	Enter text.
# of MWBE:	Enter text.
# of Veteran Business:	Enter text.
Bond Resolution No.:	Enter text.
Apprenticeship Program	Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: 19-481, 21-105, 22-18, 22-83, 23-610, 24-819
11/11/19, 4/12/21, 2/14/22, 3/13/22, 12/4/23, 12/2/24

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

Please See Attached

**Department for Children, Youth and Families
Backup Material for Contract Authorization with
Various Municipalities for Youth Bureau Programing**

The Department respectfully requests legislative authorization to enter into contractual arrangements with Eleven (11) Albany County Municipalities, as listed below.

The total amount of State Aid from NYS OCFS to Albany County DCYF Youth Bureau for the Resource Allocation Plan (RAP) for 2024 – 2025 is \$654,819. These funds are intended to support youth development programs and runaway and homeless youth services. Funds are intended to be distributed to community-based organizations and Municipalities within Albany County for the purpose of reimbursement of expenses directly related to program operation and service delivery.

In 2014, The Governor's Executive budget proposed changes to Executive Law 19-A YDDP (Youth Development and Delinquency Prevention) Sections 412, 420, 422 and 19-H RHYA (Runaway and Homeless Youth Services Act) Sections 532A, 532B.

As of January 1, 2014 the local youth bureaus process reimbursement claims from Municipalities, not NYS OCFS. The County has full responsibility for paying Municipal claims out of the annual State Aid Allocation to the County.

Municipality	Allocation
City of Albany	\$25,000
City of Cohoes	\$15,200
City of Watervliet	\$ 4,000
Town of Coeymans	\$ 2,000
Town of Colonie	\$28,000
Town of Guilderland	\$ 7,000
Town of Knox	\$ 2,887
Village of Altamont	\$ 2,000
Village of Colonie	\$ 3,000
Village of Green Island	\$ 4,000
Village of Voorheesville	\$ 2,000
Total	\$95,087



Office of Children and Family Services

KATHY HOCHUL
Governor

DAMIA HARRIS-MADDEN, Ed.D., MBA, M.S.
Commissioner

March 31, 2025

Daniel McCoy
County Executive
112 State St. Rm1200
Albany NY 12207

Dear Daniel McCoy:

The Office of Children and Family Services (OCFS) has received Albany County's Resource Allocation Plan (RAP) for 2024 - 2025. The RAP, submitted through the Quality Youth Development System (QYDS), includes applications requesting state aid in the amount of \$654,819.00.

While your RAP has been approved, internal reviews, fiscal audits and adjustments to state aid may ultimately result in a change in the approved State aid amount. Should that occur, the County will be notified in writing of the specific adjustment, the reason therefore, and the revised state aid amount.

Please be aware relevant appropriation language requires that OCFS must receive **Youth Sports Education Opportunity Funding (YSEF)** and **Youth Team Sports Allocation (YTS)** claims no later than March 31, 2026.

Youth Development Program (YDP) claims must be submitted within nine months of the calendar quarter in which the expenditure was made.

Runaway and Homeless Youth (RHYA) claims for program year are due within 9 months of the calendar quarter in which services were delivered, and no later than 3/31/2026.

As always, if you have any questions, please contact YouthBureau@ocfs.ny.gov.

Sincerely,

Sonia Tate
Director, Bureau of Youth Development and Well-Being

CC: Valerie Johnson, Youth Bureau Executive Director

RESOLUTION NO. 819

AUTHORIZING AGREEMENTS WITH VARIOUS ALBANY COUNTY MUNICIPALITIES FOR THE PROVISION OF YOUTH BUREAU SERVICES

Introduced: 12/2/24

By Social Services Committee:

WHEREAS, Due to New York State regulatory changes, local youth bureaus have full responsibility regarding the payment of municipal claims out of the annual State Aid allocation to the County from the New York State Office of Children and Family Services, and

WHEREAS, The Commissioner of the Department for Children, Youth and Families has requested authorization to enter into agreements with the following Albany County municipalities in the amounts listed below in an amount not to exceed \$94,015 regarding the provision of youth bureau services for the term commencing January 1, 2024 and ending December 31, 2024:

City of Albany	MBSK Mentoring Program	\$16,000
City of Albany	Youth Opportunity/LIGHT SYEP	\$9,000
City of Cohoes	Career Connections	\$5,700
City of Cohoes	Youth Service	\$2,000
City of Cohoes	Summer Recreation	\$7,500
City of Watervliet	Watervliet Recreation	\$4,000
Town of Coeymans	Youth Employment	\$2,000
Town of Colonie	Youth Advisory Board	\$23,000
Town of Colonie	Youth Services - ADMIN	\$6,000
Town of Guilderland	Tawasentha Day Camp	\$7,000
Village of Altamont	Bonzenkill Summer Camp	\$2,000
Village of Colonie	Youth & Recreation Program	\$3,000
Village of Green Island	Recreation & Youth Services	\$4,815
Village of Voorheesville	Summer Recreation	\$2000

now, therefore, be it

RESOLVED, By the Albany County Legislature that the County Executive is authorized to enter into agreements with the aforementioned Albany County municipalities in the amounts listed above in total not to exceed \$94,015, regarding the provision of youth bureau services for the term commencing January 1, 2024 and ending December 31, 2024, and, be it further

RESOLUTION NO. 256

AUTHORIZING AGREEMENTS WITH VARIOUS ALBANY COUNTY MUNICIPALITIES FOR THE PROVISION OF YOUTH BUREAU SERVICES

Introduced: 7/14/25

By Social Services Committee:

WHEREAS, Due to New York State regulatory changes, local youth bureaus have full responsibility regarding the payment of municipal claims out of the annual State Aid allocation to the County from the New York State Office of Children and Family Services, and

WHEREAS, The Commissioner of the Department for Children, Youth and Families has requested authorization to enter into agreements with the following Albany County municipalities in the amounts listed below in an amount not to exceed \$95,087 regarding the provision of youth bureau services for a term commencing October 1, 2024 and ending September 30, 2025:

City of Albany	\$25,000
City of Cohoes	\$15,200
City of Watervliet	\$4,000
Town of Coeymans	\$2,000
Town of Colonie	\$28,000
Town of Guilderland	\$7,000
Town of Knox	\$2,887
Village of Altamont	\$2,000
Village of Colonie	\$3,000
Village of Green Island	\$4,000
Village of Voorheesville	\$2,000
Total	\$95,087

now, therefore, be it

RESOLVED, By the Albany County Legislature that the County Executive is authorized to enter into agreements with the aforementioned Albany County municipalities in the amounts listed above in total not to exceed \$95,087, regarding the provision of youth bureau services for a term commencing October 1, 2024 and ending September 30, 2025, and, be it further

RESOLVED, That the County Attorney is authorized to approve said agreements as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.



DANIEL P. MCCOY
COUNTY EXECUTIVE

MICHAEL P. McLAUGHLIN, JR.
DEPUTY COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT FOR CHILDREN, YOUTH AND FAMILIES
112 STATE STREET SUITE 300
ALBANY, NEW YORK 12207
(518) 447-7324 - FAX (518) 447-7578
www.albanycountyny.gov

MOIRA E. MANNING
COMMISSIONER

NICOLE WARD
Deputy Commissioner

May 28, 2025

Hon. Joanne Cunningham, Chairwoman
Albany County Legislature
112 State St., Rm. 710
Albany, NY 12207

Dear Chairwoman Cunningham:

Enclosed is our Request for Legislative Action from the Department for Children, Youth and Families for permission to enter a contractual agreement for Transportation Providers for Children with Special Needs who travel to Special Education and Therapy Programs.

The requested agreement is for six distinct transportation zones for a maximum total cost, not to exceed \$4,814,522.00, for the term of September 1, 2025 – August 31, 2026. The six zones have been awarded to Rejha Group L.L.C. and the cost of these contracts are reimbursed by NYS Ed at a rate of 59.5%

The Department respectfully requests consideration in this matter. If you have any questions or need additional information, please do not hesitate to contact me directly at 447-2014.

Sincerely,

Moira Manning, LCSW-R
Commissioner

cc: Dennis Feeney, Majority Leader
Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6612, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Contract Authorization with Rejha Group LLC for Transportation of Children with Special Needs

Date: August 3, 2023
Department: Children, Youth and Families
Attending Meeting: Moira Manning, Commissioner
Submitted By: Scott McNelis
Title: Contract Administrator
Phone: 7306
Purpose of Request: Contract Authorization

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:
Rejha Group L.L.C.
23 Railroad Avenue
Albany NY 12205

Term: (Start/end date or duration) 9/1/2025 - 8/31/2026
Amount/Raise Schedule/Fee: \$4,814,522

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Budget: Yes ☒ No ☐
Spreadsheet attached: Yes ☐ No ☒

Source of Funding - (Percentages)

Federal: 0 County: 40.5
State: 59.5 Local: 0

County Budget Accounts:

Revenue Account and Line: AA 2960 03277
Revenue Amount: 2,864,641
Appropriation Account and Line: AA 2960 44038
Appropriation Amount: \$4,814,522

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☒ No ☐
If Mandated, Cite Authority: NYS Education Law 4410/ NYS Public Health Law Title 11-A

Request for Bids / Proposals:

Competitive Bidding Exempt: Yes ☐ No ☒

of Response(s): 1

of MWBE: Enter text.

of Veteran Business: Enter text.

Bond Resolution No.: Enter text.

Apprenticeship Program Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: 24-400; 23-361; 22-239; 21-202; 20-248; 19-330
7/8/24; 9/11/23; 7/11/22; 7/12/21; 8/10/20; 8/12/19

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

Please See Attached

Department for Children, Youth and Families
Transportation Renewal Contract Authorization with Rejha Group L.L.C. for
Children with Special Needs Who Travel to Special Education and Therapy Programs

The Department for Children, Youth and Families is requesting that the Albany County Legislature authorize the County to enter into an agreement with Rejha Group L.L.C., for transportation services for children with special needs. Contracts for transportation services are divided into zones based on program locations. There are six (6) unique zones. The total funding for all zones is not to exceed \$4,814,522.00. The contract term will run from September 1, 2025 to August 1, 2026 with an option to renew for one (1) more year.

The Department issued a Request for Proposals for Transportation Services for pre-School Aged Handicapped Children in Need of special Education—*RFP-2024-049--* on March 28, 2024 with responses due April 19, 2024. It should be noted that Rejha Group L.L.C was the only company that submitted a proposal.

Transportation of children with special needs to special education and therapy services, as recommended by the individual education/family service plan approved by the child's school district or by the Early Intervention Official, is mandated by New York State law.

Given the varying fluctuations in the fuel market, proposing vendors need to anticipate any potential increases in fuel costs so that they are not inadvertently penalized for swings in the fuel market. This puts the County in a vulnerable situation; requiring it to pay for costs that may not actually be incurred assuming a stabilized fuel market. In order to better safeguard the County financially, the Department, with assistance from the County's Purchasing Department, have structured the bids with a fuel escalation/de-escalation clause. This language provides a safety valve for both the County and the transportation provider so that the effect on either entity's bottom line is minimized in the event(s) of changes in the fuel commodity market.

The costs associated with transportation are reimbursed by the State at a rate of 59.5% after subtracting out any reimbursement received from Medicaid. All contracts will be reviewed and approved by the County Attorney's Office as to form and content prior to execution.

Contracts for transportation services are divided into zones based on program locations. There are six unique zones.

Zone #1—not to exceed \$244,220

Rejha Group L.L.C
23 Railroad Avenue
Albany, NY 12205

Zone #4 – not to exceed \$1,402,597

Rejha Group L.L.C
23 Railroad Avenue
Albany, NY 12205

Zone #2 - not to exceed \$438,173

Rejha Group L.L.C
23 Railroad Avenue
Albany, NY 12205

Zone #5 – not to exceed \$463,747

Rejha Group L.L.C
23 Railroad Avenue
Albany, NY 12205

Zone #3 – not to exceed \$955,204

Rejha Group L.L.C
23 Railroad Avenue
Albany, NY 12205

Zone #6--not to exceed \$1,310,581

Rejha Group L.L.C
23 Railroad Avenue
Albany, NY 12205

Albany County DCYF
2022 Transportation Payments By Zone

2024 Transportation Payments

Month	Zone 1	Zone 2	Zone 3	Zone 4	Zone 5	Zone 6	Total
January	\$ 20,423.74	\$ 37,180.18	\$ 87,388.40	\$ 117,652.69	\$ 43,808.80	\$ 102,227.92	\$ 408,681.73
February	\$ 15,940.48	\$ 29,631.68	\$ 66,805.75	\$ 90,019.68	\$ 28,775.98	\$ 79,404.10	\$ 310,577.67
March	\$ 27,626.90	\$ 37,895.30	\$ 81,979.15	\$ 111,598.61	\$ 43,955.49	\$ 98,472.00	\$ 401,527.45
April	\$ 23,284.22	\$ 31,483.66	\$ 69,800.70	\$ 93,653.35	\$ 38,757.11	\$ 82,874.67	\$ 339,853.71
May	\$ 26,988.18	\$ 38,323.15	\$ 86,749.68	\$ 120,073.10	\$ 43,674.33	\$ 116,290.17	\$ 432,098.61
June	\$ 19,937.82	\$ 24,931.44	\$ 58,829.40	\$ 77,202.51	\$ 31,489.77	\$ 82,473.72	\$ 294,864.66
July	\$ 8,966.52	\$ 34,600.86	\$ 96,479.55	\$ 111,351.08	\$ 53,707.42	\$ 126,355.81	\$ 431,461.24
August	\$ 5,977.68	\$ 23,067.24	\$ 53,565.38	\$ 63,517.42	\$ 30,844.94	\$ 61,729.16	\$ 238,701.82
September	\$ 22,020.24	\$ 29,744.53	\$ 74,937.64	\$ 135,427.58	\$ 35,651.34	\$ 125,189.37	\$ 422,970.70
October	\$ 24,338.16	\$ 47,352.95	\$ 90,070.06	\$ 165,356.39	\$ 35,368.15	\$ 148,015.66	\$ 510,501.37
November	\$ 19,702.32	\$ 43,843.10	\$ 75,629.13	\$ 128,954.39	\$ 29,553.48	\$ 118,521.32	\$ 416,203.74
December	\$ 17,384.40	\$ 39,253.33	\$ 67,483.44	\$ 120,999.75	\$ 26,076.60	\$ 106,618.71	\$ 377,816.23
Total	\$ 232,590.66	\$ 417,307.42	\$ 909,718.28	\$ 1,335,806.55	\$ 441,663.41	\$ 1,248,172.61	\$ 4,585,258.93
Total w 5% Adj	\$ 244,220.19	\$ 438,172.79	\$ 955,204.19	\$ 1,402,596.88	\$ 463,746.58	\$ 1,310,581.24	\$ 4,814,521.88

RESOLUTION NO. 400

AUTHORIZING AN AGREEMENT WITH REJHA GROUP, LLC REGARDING TRANSPORTATION SERVICES FOR CHILDREN WITH SPECIAL NEEDS TO EDUCATION AND THERAPY PROGRAMS

Introduced: 7/8/24

By Social Services Committee:

WHEREAS, The Department for Children, Youth and Families (DCYF) provides transportation services for children who have been evaluated and found to have special needs related to special education and therapy programs assigned by school district-based committees, as mandated by New York State, and

WHEREAS, The Commissioner of DCYF has indicated that a request for proposals was issued for Transportation and Routing Services for Pre-School Aged Handicapped Children in Need of Special Education, and Rejha Group, LLC was the sole proposer, and

WHEREAS, The Commissioner has requested authorization to enter into an agreement with Rejha Group, LLC regarding transportation services for children with special needs to special education and therapy programs in all six transportation zones for a term commencing September 1, 2024 and ending August 31, 2025, with two consecutive one-year options to renew, and

WHEREAS, The costs associated with these transportation services are reimbursed by the New York State Education Department at a rate of 59.5% after subtracting out any reimbursement received from Medicaid, now, therefore, be it

RESOLVED, By the Albany County Legislature that the County Executive is authorized to enter into an agreement with Rejha Group, LLC, Albany, NY 12205 regarding transportation services for children with special needs to special education and therapy programs in a total amount not to exceed \$4,733,545 for a term commencing September 1, 2024 and ending August 31, 2025, as follows:

Zone #1 not to exceed \$249,258	Zone #4 not to exceed \$1,225,501
Zone #2 not to exceed \$501,894	Zone #5 not to exceed \$621,729
Zone #3 not to exceed \$934,772	Zone #6 not to exceed \$1,200,391

and, be it further

RESOLVED, That the County Attorney is authorized to approve said agreement as to form and content, and, be it further

RESOLUTION NO. 257

AUTHORIZING AN AGREEMENT WITH REJHA GROUP, LLC REGARDING TRANSPORTATION SERVICES FOR CHILDREN WITH SPECIAL NEEDS TO EDUCATION AND THERAPY PROGRAMS

Introduced: 7/14/25

By Social Services Committee:

WHEREAS, The Department for Children, Youth and Families (DCYF) provides transportation services for children who have been evaluated and found to have special needs related to special education and therapy programs assigned by school district-based committees, as mandated by New York State, and

WHEREAS, By Resolution No. 400 for 2025, this Honorable Body authorized an agreement with Rejha Group, LLC transportation services for children with special needs to special education and therapy programs in all six transportation zones for a term commencing September 1, 2024 and ending August 31, 2025, with two consecutive one-year options to renew, and

WHEREAS, The Commissioner has requested authorization to enter into an agreement with Rejha Group, LLC regarding the first of two one-year options to renew, for transportation services for children with special needs to special education and therapy programs in all six transportation zones for a term commencing September 1, 2025 and ending August 31, 2026, and

WHEREAS, The costs associated with these transportation services are reimbursed by the New York State Education Department at a rate of 59.5% after subtracting out any reimbursement received from Medicaid, now, therefore, be it

RESOLVED, By the Albany County Legislature that the County Executive is authorized to enter into an agreement with Rejha Group, LLC, Albany, NY 12205 regarding the first of two one-year options to renew, for transportation services for children with special needs to special education and therapy programs in a total amount not to exceed \$4,814,522 for a term commencing September 1, 2025 and ending August 31, 2026, as follows:

Zone #1 not to exceed \$244,220

Zone #4 not to exceed \$1,402,597

Zone #2 not to exceed \$438,173

Zone #5 not to exceed \$463,747

Zone #3 not to exceed \$955,204

Zone #6 not to exceed \$1,310,581

and, be it further

RESOLVED, That the County Attorney is authorized to approve said agreement as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.



DANIEL P. MCCOY
COUNTY EXECUTIVE

MICHAEL P. McLAUGHLIN, JR.
DEPUTY COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT FOR CHILDREN, YOUTH AND FAMILIES
112 STATE STREET SUITE 300
ALBANY, NEW YORK 12207
(518) 447-7324 - FAX (518) 447-7578
www.albanycountyny.gov

MOIRA E. MANNING
COMMISSIONER

NICOLE WARD
Deputy Commissioner

May 28, 2025

Hon. Joanne Cunningham, Chairwoman
Albany County Legislature
112 State St., Rm. 710
Albany, NY 12207

Dear Chairwoman Cunningham:

Enclosed is our Request for Legislative Action from the Department for Children, Youth and Families for permission to contract with Millbrook Behavioral Health for the provision of foster care services.

The requested contract is for the term of May 1, 2025 – April 30, 2026 for rates at no more than the Maximum State Aid Rate (MSAR) as mandated by New York State.

The Department respectfully requests consideration in this matter. If you have any questions or need additional information, please do not hesitate to contact me directly at 447-2014.

Sincerely,

Maira Manning, LCSW-R
Commissioner

cc: Dennis Feeney, Majority Leader
Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6613, **Version:** 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Contract Authorization with Millbrook Behavioral Health for the Provision of Residential Foster Care

Date: May 28, 2025
Department: Children, Youth and Families
Attending Meeting: Moira Manning, Commissioner
Submitted By: Scott McNelis
Title: Contract Administrator
Phone: 7306

Purpose of Request: Contract Authorization

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:
Millbrook Behavioral Health
1828 Industrial Drive
Fordyce, AR 71742

Term: (Start/end date or duration) May 1, 2025 - April 30, 2026
Amount/Raise Schedule/Fee: Maximum State Aid Rate

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Budget: Yes ☒ No ☐
Spreadsheet attached: Yes ☐ No ☒

Source of Funding - (Percentages)

Federal:	40	County:	10
State:	50	Local:	0

County Budget Accounts:

Revenue Account and Line: AA 6119 3619/3661
Revenue Amount: Enter text.

Appropriation Account and Line: AA 6119 44405
Appropriation Amount: Maximum State Aid Rate

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☒ No ☐
If Mandated, Cite Authority: NYS Social Services Law 3714 and 383 Family Court Act
1051, 352.2 and 756

Request for Bids / Proposals:

Competitive Bidding Exempt: Yes ☒ No ☐
of Response(s): Enter text.
of MWBE: Enter text.
of Veteran Business: Enter text.
Bond Resolution No.: Enter text.
Apprenticeship Program Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: 18-504, 20-387, 22-433, 24-598, 25-20
11/13/18, 11/9/20, 11/14/22, 10/15/24, 2/10/25

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

See Attached Justification

The Department for Children, Youth and Families
Justification for a Contract Authorization with Millbrook Behavioral Health
for the Provision of Residential Foster Care

In accordance with the mandates articulated in Social Services Law 371 and 383, local districts are required to provide and pay for foster care services for youth in need of such services. The Department for Children, Youth and Families respectfully requests legislative authorization to enter into a contractual agreement with Millbrook Behavioral Health for the provision of residential foster care services. The requested contract term is for May 1, 2025 – April 30, 2026. When children are placed in foster care with a particular provider, Albany County will pay no more than the Maximum State Aid Rate (MSAR) as mandated by New York State. If children are placed out of state, Albany County will pay no more than the Approved agency rate by the corresponding state.

The primary objective of Foster care is to reconcile children with the biological parents. However, if the parents are unable or unwilling to care for the child, or if the child is an orphan, then the first choice of adoptive parents is a relative known as kinship care. Most kinship care is done informally, without the involvement of a court or public organization. If no related family member is willing or able to adopt, the next preference is for the child to be adopted by foster parents or by someone else involved in the child's life.

If these options are not viable, the plan for the minor may be to enter other planned permanent living arrangements. This option allows the child to stay in custody of the state and the child can stay placed in a foster home, with a relative or a long-term care facility, such as a residential child care community or, for children with development disabilities, physical disabilities or mental disabilities, a treatment center.

Children's Behavioral Solutions

Millcreek of Arkansas Reimbursement Rate Certification

Name of Resident: Noah Bejarano Ratner DOB: 4/28/2009 SS# :

Treatment Facility

Name: Millcreek of Arkansas
Address PO Box 727, Fordyce, AR 71742 (1810 Industrial Dr.)
Phone: (870)352-8203 (MOA)

I, as an authorized representative of, Albany County Dept. for Children and Youth, will be responsible for payment of Room/Board and Education for the above resident for the duration of treatment. I certify that the rate of \$738.00 per day has been negotiated for reimbursement for services rendered for Noah Bejarano Ratner. This rate is inclusive of room and board, therapeutic and psychiatric services and general ancillaries to include general dental cleanings, yearly eye exams and well visits. Any 1:1 needs will need to be authorized at a rate of \$30.00 per hour.

☒ I certify that Albany County Dept. for Children and Youth will be responsible for payment of all Medical Services required of the resident.

☐ I certify that this youth has a primary commercial medical plan and that all medical care for this resident should be processed through _____ member ID# _____
Group# _____ Primary Policy Holder: _____

Invoices will be submitted in a monthly cycle ending the last day of the month. The following person at Albany County DCYS will be responsible for receiving invoices from Millcreek of Arkansas.
Name: Sonoma Pelton Email: sonoma.pelton@albanycountyny.gov Phone: _____

Additional Terms:

Any questions regarding this agreement can be addressed to:

Name: Ericka Burrini Email: Ericka.burrini@acadiahealthcare.com Phone: 973-600-9841 (Ericka)

Albany County Dept. for Children and Youth

Millcreek of Arkansas

Authorized Signature: _____

Authorized Signature: _____

Print Name: Moira Manning

Print Name: _____

Title: Commissioner

Title: _____

Date: 5/23/25

Date: _____

RESOLUTION NO. 20

AUTHORIZING AN AGREEMENT WITH LIAHONA ACADEMY FOR YOUTH, LLC FOR THE PROVISION OF FOSTER CARE SERVICES

Introduced: 2/10/25

By Social Services Committee:

WHEREAS, The Commissioner of the Department for Children, Youth and Families has requested authorization to enter into an agreement with Liahona Academy, LLC as an approved foster care agency for children whose care has become the responsibility of Albany County, and

WHEREAS, The Commissioner has indicated that consideration for the services rendered by the agency is in the form of a rate established by the New York State Office of Children and Family Services as a maximum per diem reimbursement per child, now, therefore, be it

RESOLVED, By the Albany County Legislature that the County Executive is authorized to enter into an agreement with Liahona Academy, LLC, Hurricane, UT 84737 at rates not to exceed the maximum state aid rate as mandated by New York State for a term commencing December 27, 2024 and ending December 27, 2025, and, be it further

RESOLVED, That the County Attorney is authorized to approve said agreement as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.

RESOLUTION NO. 258

AUTHORIZING AN AGREEMENT WITH MILLBROOK BEHAVIORAL HEALTH FOR THE PROVISION OF FOSTER CARE SERVICES

Introduced: 7/14/25

By Social Services Committee:

WHEREAS, The Commissioner of the Department for Children, Youth and Families has requested authorization to enter into an agreement with Millbrook Behavioral Health as an approved foster care agency for children whose care has become the responsibility of Albany County, and

WHEREAS, The Commissioner has indicated that consideration for the services rendered by the agency is in the form of a rate established by the New York State Office of Children and Family Services as a maximum per diem reimbursement per child, now, therefore, be it

RESOLVED, By the Albany County Legislature that the County Executive is authorized to enter into an agreement with Millbrook Behavioral Health, Fordyce, AR 71742 at rates not to exceed the maximum state aid rate as mandated by New York State for a term commencing May 1, 2025 and ending April 30, 2026, and, be it further

RESOLVED, That the County Attorney is authorized to approve said agreement as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.



DANIEL P. MCCOY
COUNTY EXECUTIVE

MICHAEL P. McLAUGHLIN, JR.
DEPUTY COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT FOR CHILDREN, YOUTH AND FAMILIES
112 STATE STREET SUITE 300
ALBANY, NEW YORK 12207
(518) 447-7324 - FAX (518) 447-7578
www.albanycountyny.gov.

MOIRA E. MANNING
COMMISSIONER

NICOLE WARD
Deputy Commissioner

May 28, 2025

Hon. Joanne Cunningham, Chairwoman
Albany County Legislature
112 State St., Rm. 710
Albany, NY 12207

Dear Chairwoman Cunningham:

Enclosed is our Request for Legislative Action for permission to amend the 2025 Adopted Budget to increase funding for Youth Bureau Services.

The original budget of \$325,000 needs an increase to \$654,819, the total amount of State Aid requested and approved for the program.

The Department respectfully requests consideration in this matter. If you have any questions or need additional information, please do not hesitate to contact me directly at 447-2014.

Sincerely,

Maira Manning, LCSW-R
Commissioner

cc: Dennis Feeney, Majority Leader
Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6616, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Budget Amendment to Increase Funding for Youth Bureau Services

Date: May 28, 2025
Department: Children, Youth and Families
Attending Meeting: Moira Manning, Commissioner
Submitted By: Scott McNelis
Title: Contract Administrator
Phone: 7306

Purpose of Request: Budget Amendment

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:
Enter text.

Term: (Start/end date or duration) Enter text.
Amount/Raise Schedule/Fee: Enter text.

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Budget: Yes ☐ No ☒
Spreadsheet attached: Yes ☒ No ☐

Source of Funding - (Percentages)

Federal:	0	County:	0
State:	100	Local:	0

County Budget Accounts:

Revenue Account and Line:	AA6119 03820
Revenue Amount:	\$329,819
Appropriation Account and Line:	AA6119 44406
Appropriation Amount:	\$329,819

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒
If Mandated, Cite Authority: Enter text.

Request for Bids / Proposals:

Competitive Bidding Exempt: Yes ☒ No ☐

of Response(s): Enter text.

of MWBE: Enter text.

of Veteran Business: Enter text.

Bond Resolution No.: Enter text.

Apprenticeship Program Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: 24-339, 21-104
6/10/24, 4/12/21

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

Please See Justification

Department for Children, Youth and Families

Justification for a Budget Amendment for Youth Bureau Services

The Department for Children, Youth and Families respectfully requests legislative authorization to amend the 2025 Adopted Budget for funds associated with Youth Bureau Services.

The Department has been approved funding from The Office of Children and Family Services. The original budget of \$325,000 needs an increase to \$654,819, the total amount of State Aid requested and approved for the program, based on the County's Resource Allocation Plan (RAP) submitted through the Quality Youth Development System (QYDS).



Office of Children and Family Services

KATHY HOCHUL
Governor

DAMIA HARRIS-MADDEN, Ed.D., MBA, M.S.
Commissioner

March 31, 2025

Daniel McCoy
County Executive
112 State St. Rm1200
Albany NY 12207

Dear Daniel McCoy:

The Office of Children and Family Services (OCFS) has received Albany County's Resource Allocation Plan (RAP) for 2024 - 2025. The RAP, submitted through the Quality Youth Development System (QYDS), includes applications requesting state aid in the amount of \$654,819.00.

While your RAP has been approved, internal reviews, fiscal audits and adjustments to state aid may ultimately result in a change in the approved State aid amount. Should that occur, the County will be notified in writing of the specific adjustment, the reason therefore, and the revised state aid amount.

Please be aware relevant appropriation language requires that OCFS must receive **Youth Sports Education Opportunity Funding (YSEF)** and **Youth Team Sports Allocation (YTS)** claims no later than March 31, 2026.

Youth Development Program (YDP) claims must be submitted within nine months of the calendar quarter in which the expenditure was made.

Runaway and Homeless Youth (RHYA) claims for program year are due within 9 months of the calendar quarter in which services were delivered, and no later than 3/31/2026.

As always, if you have any questions, please contact YouthBureau@ocfs.ny.gov.

Sincerely,

Sonia Tate
Director, Bureau of Youth Development and Well-Being

CC: Valerie Johnson, Youth Bureau Executive Director

BUDGET LINE					DESCRIPTION	INCREASE	DECREASE	UNIT COST
FUND	ORG	OBJ	FOR POSITIONS ONLY					
			STATE POS. CODE	POSITION CONTROL				
A	6119	44406			Division for Youth	\$329,819		
TOTAL APPROPRIATIONS						<u>\$329,819</u>	<u>\$0</u>	

BUDGET LINE					DESCRIPTION	DECREASE	INCREASE	UNIT COST
FUND	ORG	OBJ	FOR POSITIONS ONLY					
			STATE POS. CODE	POSITION CONTROL				
A	6119	03820			Division for Youth		\$329,819	
TOTAL REVENUES						<u>\$0</u>	<u>\$329,819</u>	
GRAND TOTAL						<u>\$329,819</u>	<u>\$329,819</u>	

DEPARTMENT NAME

Children, Youth and Families

DEPARTMENT NAME

Children, Youth and Families

RESOLUTION NO. 104

AUTHORIZING THE ACCEPTANCE OF GRANT FUNDING AND AN AGREEMENT REGARDING THE CHILD RIGHT: SAFE HARBOR INITIATIVE AND AMENDING THE 2021 DEPARTMENT FOR CHILDREN, YOUTH AND FAMILIES BUDGET

Introduced: 4/12/21

By Social Services Committee and Mr. A. Joyce:

WHEREAS, The Commissioner of the Department for Children, Youth and Families has requested authorization to enter into an agreement with the New York State Office of Children and Family Services in order to accept funding regarding the Child Right: Safe Harbor Initiative in the amount of \$33,000 for a term commencing January 1, 2021 and ending December 31, 2021, and

WHEREAS, The Child Right: Safe Harbor Initiative focuses on establishing a framework to increase the identification of potential trafficking victims, creating capacity for services in local infrastructures, and to support direct services to those victims, and

WHEREAS, The Commissioner has also requested a budget amendment in order to incorporate the aforementioned funding, now, therefore be it

RESOLVED, By the Albany County Legislature that the County Executive is authorized to enter into an agreement with the New York State Office of Children and Family Services in order to accept funding in the amount of \$33,000 for the term commencing January 1, 2021 and ending December 31, 2021, and, be it further

RESOLVED, That the 2021 Department for Children, Youth and Families Budget is amended as follows:

Increase Revenue Account A3407 Child Advocacy Center by \$33,000

Increase Appropriation Account A6119.1 by \$28,080 by increasing Line Item A6119 1 8580 Per Diem Therapies PT by \$28,080

Increase Appropriation Account A6119.4 by \$2,534 by increasing the following line items:

Increase Line Item A6119 4 4038 Travel/Mileage/Freight by \$500

Increase Line Item A6119 4 4039 Conferences, Training, Tuition by \$800

Increase Line Item A6119 4 4046 Fees for Services by \$1,234

RESOLUTION NO. 259

AUTHORIZING AN AGREEMENT WITH THE NEW YORK STATE OFFICE OF CHILDREN, YOUTH AND FAMILIES REGARDING YOUTH BUREAU SERVICES AND AMENDING THE 2025 DEPARTMENT FOR CHILDREN, YOUTH AND FAMILIES BUDGET

Introduced: 7/14/25

By Social Services Committee:

WHEREAS, The Commissioner of the Department for Children, Youth and Families has requested authorization to enter into an agreement with the New York State Office of Children and Family Services to accept funding regarding Youth Bureau Services in the amount of \$329,819 for a term commencing October 1, 2024 and ending September 30, 2025, and

WHEREAS, Youth Bureau services include planning, developing, and funding a variety of programs and initiatives including youth development, recreation and leisure, and delinquency and runaway prevention, and

WHEREAS, The Commissioner has also requested a budget amendment in order to incorporate the aforementioned funding, now, therefore, be it

RESOLVED, By the Albany County Legislature that the County Executive is authorized to enter into an agreement with the New York State Office of Children and Family Services to accept funding in the amount of \$329,819 for a term commencing October 1, 2024 and ending September 30, 2025, and, be it further

RESOLVED, That the 2025 Department for Children, Youth and Families Budget is amended as follows:

BUDGET LINE					DESCRIPTION	INCREASE	DECREASE	UNIT COST	DEPARTMENT NAME
FUND	ORG	OBJ	FOR POSITIONS ONLY						
			STATE POS. CODE	POSITION CONTROL					
A	6119	44406			Division for Youth	\$329,819			Children, Youth and Families
					TOTAL APPROPRIATIONS	\$329,819	\$0		
BUDGET LINE					DESCRIPTION	DECREASE	INCREASE	UNIT COST	DEPARTMENT NAME
FUND	ORG	OBJ	FOR POSITIONS ONLY						
			STATE POS. CODE	POSITION CONTROL					
A	6119	03820			Division for Youth		\$329,819		Children, Youth and Families
					TOTAL REVENUES	\$0	\$329,819		
					GRAND TOTAL	\$329,819	\$329,819		

and, be it further

RESOLVED, That the County Attorney is authorized to approve said agreement as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.



DANIEL P. MCCOY
COUNTY EXECUTIVE

MICHAEL P. McLAUGHLIN, JR.
DEPUTY COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT FOR CHILDREN, YOUTH AND FAMILIES
112 STATE STREET SUITE 300
ALBANY, NEW YORK 12207
(518) 447-7324 - FAX (518) 447-7578
www.albanycountyny.gov

MOIRA E. MANNING
COMMISSIONER

NICOLE WARD
Deputy Commissioner

May 28, 2025

Hon. Joanne Cunningham, Chairwoman
Albany County Legislature
112 State St., Rm. 710
Albany, NY 12207

Dear Chairwoman Cunningham:

Enclosed is our Request for Legislative Action for authorization to enter into a Memorandum of Agreement with the Albany County Department of Social Services for the administration of the 2024 Summer Youth Employment Program (SYEP) and with Cornell Cooperative Extension of Albany for their involvement in the 2025 SYEP for the period May 1, 2025-October 31, 2025. Additionally, approval is being sought to amend the 2025 Department for Children, Youth & Families adopted budget concerning these contractual agreements.

Under these agreements, the Department will receive \$55,000.00 in administrative funding for the SYEP of which \$25,000 will be used to support a contract with Cornell Cooperative Extension of Albany County.

The Department respectfully requests consideration in this matter. If you have any questions or need additional information, please do not hesitate to contact me directly at 447-2014.

Sincerely,

Moiria Manning, LCSW-R
Commissioner

cc: Dennis Feeney, Majority Leader
Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6623, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Contract Authorization and Budget Amendment for the Summer Youth Employment Program (SYEP)

Date: 5/27/2025
Department: Children, Youth and Families
Attending Meeting: Moira Manning, Commissioner
Submitted By: Scott McNelis
Title: Contract Administrator
Phone: 7306
Purpose of Request: Budget Amendment Contract Authorization

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:
Albany County Dept. of Social Services
162 Washington Avenue
Albany NY 12210

Additional Parties
Cornell Cooperative Extension of Albany
24 Martin Road PO Box 497
Voorheesville NY 12186

Term: (Start/end date or duration) May 1, 2025 - October 31, 2025
Amount/Raise Schedule/Fee: \$55,000

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Budget: Yes ☐ No ☒
Spreadsheet attached: Yes ☒ No ☐

Source of Funding - (Percentages)

Federal: 0 County: 0
State: 100 Local: 0

County Budget Accounts:

Revenue Account and Line: AA6119 03820
Revenue Amount: \$55,000
Appropriation Account and Line: AA6119 44406 44046
Appropriation Amount: \$25,000 \$30,000

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒
If Mandated, Cite Authority: Enter text.
Request for Bids / Proposals:
 Competitive Bidding Exempt: Yes ☒ No ☐
 # of Response(s): Enter text.
 # of MWBE: Enter text.
 # of Veteran Business: Enter text.
Bond Resolution No.: Enter text.
Apprenticeship Program Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number: 307-18, 267-19, 244-21, 310-22, 321-23, 401-24
Date of Adoption: 7/9/18, 7/8/19, 8/9/21, 9/12/22, 8/14/23, 7/8/24

Justification: (state briefly why legislative action is requested)
Please See Attached

The Department for Children, Youth and Families

Justification for a Memorandum of Agreement between the Department for Children, Youth, and Families and the Albany County Department for Social Services and an agreement between the Department for Children, Youth, and Families and Cornell Cooperative Extension of Albany County for the 2025 Summer Youth Employment Program

Since 2005, the Office of Temporary Disability and Assistance (OTDA) has administered the New York State Summer Youth Employment Program (SYEP) and has allocated funds to each district to provide summer employment opportunities for youth throughout the State. The total amount allocated to Albany County for SYEP 2025 is \$774,578.

Districts may opt to retain their allocation and use district mechanisms to operate the program, or may assign the funds to their local Workforce Development Board (WDB) to operate the program. Albany County has opted to assign the SYEP allocation to the WDB. Of the \$724,578 that has been allocated to Albany County, \$719,578 will be assigned to WDB. Over the years, the collaboration between the County and WDB in the facilitation of the SYEP has been a successful partnership.

The allocation for SYEP goes to the Department of Social Services (DSS). The coordination of the SYEP program is facilitated through the DCYF. The Department respectfully requests Legislative authorization to enter into a Memorandum of Agreement (MOA) with DSS in the amount of \$55,000, \$30,000 of which is to cover administrative costs associated with the facilitation of SYEP (which includes coordination of the application process, providing public relations, and preparing reports and other documents). The remaining \$25,000 is to establish an Agreement with Cornell Cooperative Extension to cover costs related to preparing program plans, monitoring programs and projects, preparing reports and other documents, as well as providing financial literacy workshops for youth participants.

The New York State SYEP is an important platform to introduce youth into the workforce, helping them to acquire skills that can be used to improve school performance and become responsible adults. Since many low-income youth face the prospect of a difficult transition into work or college, constructive workforce experiences can provide great benefits. In addition to the income it produces, experience in the workforce and interaction with working adults can help youth recognize the importance of educational achievement, and help expand their education and career goals.

The New York State SYEP provides youth from low income households (under the 200% of federal poverty guidelines) with employment opportunities during the summer months. To augment the work component of the SYEP, providers may include educational and/or career exploration activities which will better prepare youth as they continue their education and transition to the world of work. Allowable activities and services for the New York State SYEP include: work subsidies for youth (payment to employer or third party); education and training; and supportive services such as transportation, counseling, and incentive payments.

**2025 New York State Summer Youth Employment Program
District Designation Form**

On behalf of the Albany County Department of Social Services, I, Erin M. Stachewicz, as Commissioner of the Albany County Department of Social Services, hereby instruct the Office of Temporary and Disability Assistance (OTDA) to disburse our 2025 New York State Summer Youth Employment Program (SYEP) allocation as detailed below. I certify that I have the legal authority to authorize the assignment of these funds. The funds dedicated to the operation of the 2025 New York State SYEP will be used in accordance with program and fiscal guidelines established by OTDA. For districts opting to assign all or a portion of their 2025 allocation to their Local Workforce Development Board (LWDB), districts will be held liable for funds not used in a manner consistent with the requirements of the New York State SYEP allocation or where funds are due from the LWDB.

Note: Please use whole dollar amounts when completing this form.

- A. 2025 SYEP Allocation \$ 774,578
- B. Amount of Transfer to FFFS \$ 0
(optional) (must not exceed 9% of allocation, round down)
- C. Amount Dedicated to SYEP \$ 724,578*
(must be at least 9% of allocation)
- D. Amount Assigned to LWDB \$ 719,578*
(optional) (district must coordinate SYEP services with LWDB)

Completed by: 
Commissioner's Signature

Date: 05/27/2025

***Please Note:** Albany County will retain \$55,000 and reduce the amount assigned to WDB by this amount.

APPROPRIATIONS

ACCOUNT 	RESOLUTION DESCRIPTION	INCREASE	DECREASE	UNIT COST	DEPARTMENT NAME
AA 6119 4 4406 000	Division for Youth	20,000.00	0		DCYF
AA 6119 4 4046 000	Fees for Services	30,000.00	0		DCYF
TOTAL APPROPRIATIONS		50,000.00	0		

ESTIMATED REVENUES

ACCOUNT 	RESOLUTION DESCRIPTION	DECREASE	INCREASE	UNIT COST	DEPARTMENT NAME
AA 6119 0 3820 000	Division for Youth	0	50,000.00		DCYF
TOTAL ESTIMATED REVENUES		0	50,000.00		
GRAND TOTALS		50,000.00	50,000.00		

RESOLUTION NO. 321

AUTHORIZING AGREEMENTS REGARDING THE 2023 SUMMER YOUTH EMPLOYMENT PROGRAM AND AMENDING THE 2023 DEPARTMENT FOR CHILDREN, YOUTH AND FAMILIES BUDGET

Introduced: 8/14/23

By Social Services Committee:

WHEREAS, The Commissioner of the Department for Children, Youth and Families (DCYF) has indicated that the New York State Office of Temporary Disability Assistance (OTDA) has allocated funding to the Department for the operation of the 2023 Summer Youth Employment Program (SYEP) in cooperation with Cornell Cooperative Extension, and

WHEREAS, The Commissioner has requested authorization to enter into an agreement with Albany County Department of Social Services (DSS) regarding the 2023 SYEP in the amount of \$50,000 for a term commencing April 1, 2023 and ending October 31, 2023, and also requested an amendment to the 2023 DCYF Budget to accept the aforementioned OTDA funding, and

WHEREAS, The Commissioner has also requested authorization to enter into an agreement with Cornell Cooperative Extension to cover costs related to preparing program plans, monitoring programs and projects, preparing reports and other documents, as well as providing financial literacy workshops for youth participants in the 2023 SYEP in the amount of \$20,000 for the term commencing April 1, 2023 and ending October 31, 2023, and

WHEREAS, The SYEP is intended to introduce youth into the workforce and help them acquire skills that can be used to improve school performance while becoming responsible adults and recognizing the importance of establishing education and career goals, now, therefore, be it

RESOLVED, By the Albany County Legislature that the County Executive is authorized to enter into an agreement with DSS regarding the 2023 SYEP in the amount of \$50,000 for a term commencing April 1, 2023 and ending October 31, 2023, and, be it further

RESOLVED, By the Albany County Legislature that the County Executive is authorized to enter into an agreement with Cornell Cooperative Extension, Voorheesville, NY 12186, in the amount of \$20,000 regarding the administration of the 2023 SYEP for the term commencing April 1, 2023 and ending October 31, 2023, and, be it further

RESOLVED, That the 2023 Department for Children, Youth and Families Budget is amended as follows:

Increase Revenue Account A6119 0 3820 Division for Youth by \$50,000

Increase Appropriation Account A6119.4 by \$50,000 by increasing the following line items:

Increase line item A6119 4 4406 Division for Youth by \$20,000

Increase line item A6119 4 4046 Fees for Services by \$30,000

and, be it further

RESOLVED, That the County Attorney is authorized to approve said agreements as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.

Adopted by unanimous vote – 8/14/23

RESOLUTION NO. 260

AUTHORIZING AGREEMENTS REGARDING THE 2025 SUMMER YOUTH EMPLOYMENT PROGRAM AND AMENDING THE 2025 DEPARTMENT FOR CHILDREN, YOUTH AND FAMILIES BUDGET

Introduced: 7/14/2025

By Social Services Committee:

WHEREAS, The Commissioner of the Department for Children, Youth and Families (DCYF) has indicated that the New York State Office of Temporary Disability Assistance (OTDA) has allocated funding to the Department for the operation of the 2025 Summer Youth Employment Program (SYEP) in cooperation with Cornell Cooperative Extension, and

WHEREAS, The Commissioner has requested authorization to enter into an agreement with the Department of Social Services (DSS) regarding the 2025 SYEP in the amount of \$55,000 for a term commencing May 1, 2025 and ending October 31, 2025, and also requested an amendment to the 2025 DCYF Budget to accept the aforementioned OTDA funding, and

WHEREAS, The Commissioner has also requested authorization to enter into an agreement with Cornell Cooperative Extension to cover costs related to preparing program plans, monitoring programs and projects, preparing reports and other documents, as well as providing financial literacy workshops for youth participants in the 2025 SYEP in the amount of \$25,000 for the term commencing May 1, 2025 and ending October 31, 2025, and

WHEREAS, The SYEP is intended to introduce youth into the workforce and help them acquire skills that can be used to improve school performance while becoming responsible adults and recognizing the importance of establishing education and career goals, now, therefore, be it

RESOLVED, By the Albany County Legislature that the County Executive is authorized to enter into an agreement with DSS regarding the 2025 SYEP in the amount of \$55,000 for the term commencing May 1, 2025 and ending October 31, 2025, and, be it further

RESOLVED, By the Albany County Legislature that the County Executive is authorized to enter into an agreement with Cornell Cooperative Extension, Voorheesville, NY 12816 in the amount of \$25,000 regarding the administration of the 2025 SYEP for the term commencing May 1, 2025 and ending October 31, 2025, and, be it further

RESOLVED, That the 2025 Department for Children, Youth and Families Budget is amended as follows:

APPROPRIATIONS						
	ACCOUNT	RESOLUTION DESCRIPTION	INCREASE	DECREASE	UNIT COST	DEPARTMENT NAME
AA 6119	4 4406 000	Division for Youth	25,000.00	0		DCYF
AA 6119	4 4046 000	Fees for Services	30,000.00	0		DCYF
		TOTAL APPROPRIATIONS	55,000.00	0		
ESTIMATED REVENUES						
	ACCOUNT	RESOLUTION DESCRIPTION	DECREASE	INCREASE	UNIT COST	DEPARTMENT NAME
AA 6119	0 3820 000	Division for Youth	0	55,000.00		DCYF
		TOTAL ESTIMATED REVENUES	0	55,000.00		
		GRAND TOTALS	55,000.00	55,000.00		

and, be it further

RESOLVED, That the County Attorney is authorized to approve said agreement as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.



DANIEL P. MCCOY
COUNTY EXECUTIVE

STEPHEN W. HERRICK
PUBLIC DEFENDER

COUNTY OF ALBANY
PUBLIC DEFENDER
112 STATE STREET, 2ND FLOOR
ALBANY, NEW YORK 12207
PHONE: (518) 447-7150 FAX: (518) 447-5533
www.albanycounty.com

April 30, 2025

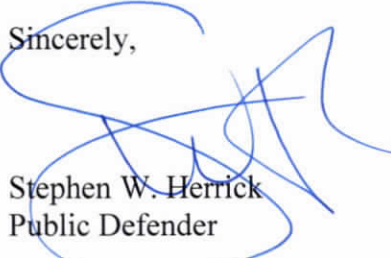
Honorable Joanne Cunningham, Chairwoman
Albany County Legislature
112 State Street, Room 710
Albany, New York 12207

Dear Chairwoman Cunningham:

The Public Defender's Office requests authorization to enter into a \$150,000 two-year contract with Building Bridges Supervision LLC for supervised visitation services for Public Defender's Office family court clients, as well as authorization for related amendments to the 2025 County Budget. These services will be 100% State-funded, utilizing Opioid Settlement Funds.

If you require any supplemental information, please feel free to contact me.

Sincerely,


Stephen W. Herrick
Public Defender

cc: Hon. Dennis A. Feeney, Majority Leader
Hon. Frank A. Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6581, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Authorizing a two-year contract with Building Bridges Supervision LLC and related amendments to the 2025 Budget

Date: 4/30/25
Department: Public Defender's Office
Attending Meeting: Stephen W. Herrick
Submitted By: Keith Bulatao
Title: Director of Accounts
Phone: 518-447-7771

Purpose of Request: Contract Authorization Enter text.

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:

Building Bridges Supervision LLC, 96 Madison Avenue, Albany, NY 12202

Term: (Start/end date or duration) 7/1/25-6/30/27
Amount/Raise Schedule/Fee: \$150,000

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Budget: Yes ☐ No ☒
Spreadsheet attached: Yes ☒ No ☐

Source of Funding - (Percentages)

Federal: Enter text. County: Enter text.
State: 100% Local: Enter text.

County Budget Accounts:

Revenue Account and Line: See Budget Amendment
Revenue Amount: \$150,000
Appropriation Account and Line: See Budget Amendment
Appropriation Amount: \$150,000

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒
If Mandated, Cite Authority: Enter text.

Request for Bids / Proposals:

Competitive Bidding Exempt: Yes ☐ No ☒

of Response(s): 1

of MWBE: Enter text.

of Veteran Business: Enter text.

Bond Resolution No.: Enter text.

Apprenticeship Program Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: Enter text.

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

The Public Defender's Office requests authorization to enter into a \$150,000 two-year contract with Building Bridges Supervision LLC for supervised visitation services for Public Defender's Office family court clients, as well as authorization for related amendments to the 2025 County Budget. These services will be 100% State-funded, utilizing Opioid Settlement Funds.

USE WHOLE NUMBERS ONLY

BUDGET LINE				DESCRIPTION		INCREASE	DECREASE	UNIT COST	DEPARTMENT NAME
FUND	ORG	OBJ	PROJECT	FOR POSITIONS ONLY					
				STATE POS. CODE	POSITION CONTROL				
A9	4320	44031	OSF19			Client Services	\$150,000		\$150,000 Opioid Settlements

TOTAL APPROPRIATIONS\$150,000\$0

ESTIMATED REVENUES									
USE WHOLE NUMBERS ONLY									
BUDGET LINE				DESCRIPTION		DECREASE	INCREASE	UNIT COST	DEPARTMENT NAME
FUND	ORG	OBJ	PROJECT	FOR POSITIONS ONLY					
				STATE POS. CODE	POSITION CONTROL				
A	4320	023750				Opioid Settlement Funds (Direct)		\$150,000	Opioid Settlements
TOTAL REVENUES							\$0	\$150,000	
GRAND TOTAL							\$150,000	\$150,000	



DANIEL P. McCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF GENERAL SERVICES
PURCHASING DIVISION
112 STATE STREET, ROOM 1000
ALBANY, NEW YORK 12207-2021
(518) 447-7140 - FAX (518) 447-5588

DAVID M. LATINA
COMMISSIONER OF GENERAL SERVICES

PAMELA O NEILL
PURCHASING AGENT

MEMORANDUM

TO: Stephen W. Herrick
Public Defender's Office

FROM: Pamela O Neill *Pamela*
Purchasing Agent

DATE: June 3, 2025

RE: RFP#2025-083 Supervised Visitation Services

I am in receipt of your recommendation to award the aforementioned to Building Bridges Supervision, LLC in the amount of \$150,000.00

As Building Bridges Supervision LLC was the single submission and your department did a thorough evaluation to confirm their proposal met the scope of services, I have no objection to the selection of Building Bridges Supervision LLC.

Please obtain the necessary contract approval of the Albany County Legislature so that we may issue a Notice of Award.



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
PUBLIC DEFENDER
112 STATE STREET, 2ND FLOOR
ALBANY, NEW YORK 12207
PHONE: (518) 447-7150 FAX: (518) 447-5533
www.albanycounty.com

STEPHEN W. HERRICK
PUBLIC DEFENDER

Date: June 3, 2025
From: Stephen W. Herrick
To: Pam O'Neill

Subject: RFP 2025-083

Based on the proposal submitted and the understanding that the contract resulting from the proposal will be drafted with invoicing to the Public Defender's Office based on a per use of services basis with no fixed/upfront costs, the Public Defender's Office recommends that we proceed with Building Bridges Supervision, LLC as our contractor for RFP 2025-083. Please let me know if you have any questions or need any additional information.

COUNTY OF ALBANY

REQUEST FOR PROPOSALS

ALBANY COUNTY PUBLIC DEFENDER



RFP#2025-083

SUPERVISED VISITATION SERVICES

**ALBANY COUNTY DEPARTMENT OF GENERAL SERVICES
PURCHASING DIVISION
PAMELA O NEILL, PURCHASING AGENT
112 STATE STREET, ROOM 1000
ALBANY, NY 12207**

**COUNTY OF ALBANY
DEPARTMENT OF GENERAL SERVICES PURCHASING DIVISION
112 STATE STREET, ROOM 1000, ALBANY, NY 12207
TELEPHONE: 518-447-7140/ FAX: 518-447-5588
Pamela.oneill@albanycountyny.gov**

TITLE: Supervised Visitation Services RFP NUMBER: 2025-083

Receipt Confirmation Form

Please complete and return this confirmation form as soon as possible:

Pamela O Neill
Purchasing Agent
County of Albany
112 State Street, Room 1000
Albany, NY 12207

**IF YOU PLAN TO SUBMIT A PROPOSAL, YOU MUST RETURN
THIS FORM TO ENSURE THAT YOU WILL RECEIVE ALL
FURTHER COMMUNICATION REGARDING THIS RFP.**

Company Name: _____

Address: _____

City: _____ State: _____ Zip Code: _____

Contact Person: _____

Title: _____

Phone Number: _____ Fax Number: _____ E-Mail: _____

If a Bidders/Proposers meeting has been arranged for this Bid/RFP, please indicate if you plan to attend:

☐ **Yes** / ☐ **No**

I authorize the County of Albany to send further correspondence that the County deems to be of an urgent nature by the following method (check):

Fax Number: _____ E-Mail: _____

COUNTY OF ALBANY
DEPARTMENT OF GENERAL SERVICES
PURCHASING DIVISION
112 STATE STREET, ROOM 1000
ALBANY, NY 12207

NON-PROPOSER RESPONSE

RFP #2025-083

Supervised Visitation Services

The Albany County Department of General Services, Purchasing Division, is interested in the reasons why bidders/proposers fail to submit bids/proposals. Please indicate your reason(s) by checking all appropriate item(s) below and returning this form to the above address.

- ☐ Could not meet Scope of Services.
- ☐ Items or materials requested not manufactured by us or not available to our company.
- ☐ Insurance requirements too restricting.
- ☐ Bond requirements too restricting.
- ☐ Scope of Services not clearly understood or applicable (too vague, too rigid, etc.).
- ☐ Project not suited to firm.
- ☐ Quantities too small.
- ☐ Insufficient time allowed for preparation of bid/proposal.
- ☐ Other reasons; please state and define: _____

Vendor Name: _____
Contact Person: _____
Vendor Address: _____
Vendor Telephone: _____

**NOTICE TO PROPOSERS -- ALBANY COUNTY
REQUEST FOR PROPOSALS #2025-083**

Sealed Proposals for **Supervised Visitation Services** as requested by the Albany County Public Defender will be received by the Albany County Purchasing Agent, Room 1000, 112 State Street, Albany, New York 12207 until 4:30 PM, local time on Friday, May 30, 2025 and or electronic submission Bid Net, Empire State Purchasing Group.

Request for Proposal (RFP) documents may be obtained at the office of the Albany County Purchasing Agent, as noted above. RFP documents may be available for download from the Empire State Bid System website at <http://www.empirestatebidsystem.com>, starting by close of business (4:30 p.m.) on May 15, 2025.

Pamela O Neill
Purchasing Agent

Dated: **May 12, 2025**
Albany, New York

PUBLISH ONE DAY – **MAY 15, 2025**-- THE EVANGELIST
PUBLISH ONE DAY – **MAY 15, 2025** -- THE TIMES UNION

COUNTY OF ALBANY
REQUEST FOR PROPOSALS
SUPERVISED VISITATION SERVICES
ALBANY COUNTY PUBLIC DEFENDER
RFP #2025-083

RFP DISTRIBUTION- *IMPORTANT NOTICE*

The County of Albany officially distributes RFP documents through the Purchasing Division Office or through the Empire State Bid System website at <http://www.empirestatebidsystem.com>. Copies of RFP documents obtained from any other source are not considered official documents. Only those vendors who obtain proposal documents from either the Purchasing Division Office or the Empire State Bid System are guaranteed to receive addendum information, if such information is issued.

If you have obtained this document from a source other than the Albany County Purchasing Division or the Empire State Bid System, it is strongly recommended that you obtain an official copy.

SECTION 1: PURPOSE

- 1.1 The County of Albany is seeking proposals for supervised visitation services to non-custodial parents and their children as requested by the Public Defender's Office.
- 1.2 The Public Defender's Office is requesting a qualified organization to provide its clients with supervised visitation, as directed by an Albany Family Court Judge. There is a great need in Albany County for supervised visitation services to non-custodial parents and their children, and cost is a factor in how often, if at all, our clients can partake with supervised visits.
- 1.3 When requested by the Public Defender's Office, the organization is responsible to arrange for, and provide, supervised visitation for Public Defender clients. The organization must arrange for or provide transportation for the children to and from the visit.

SECTION 2: RECEIPT OF PROPOSALS

- 2.1 Five (5) copies, and (1) electronic copy on CD or flash drive, of the Proposal and other required documents must be submitted, sealed in an opaque envelope clearly marked with the name and number of the Proposal and the name and address of the Proposer. Proposals must be received no later than **4:30 P.M. on FRIDAY MAY 30, 2025**, at the following address:

Pamela O Neill
Albany County Purchasing Agent
112 State Street, **Room 1000**
Albany, New York 12207

Or electronic submission on BidNet Empire State Purchasing Group
<http://www.empirestatebidsystem.com>

- 2.2 The Proposal submitted by the individual Proposer(s) is the document upon which Albany County will make its initial judgment regarding the Proposer's qualifications, understanding

of the County's scope and objectives, methodology, and ability to complete services under the contract.

- 2.3 Those submitting Proposals do so entirely at their expense. There is no express or implied obligation by Albany County to reimburse any firm or individual for any costs incurred in preparing or submitting Proposals, preparing or submitting additional information requested by the County, or for participating in any selection interviews.
- 2.4 Submission of any Proposal indicates acceptance of the conditions contained in the RFP, unless clearly and specifically noted otherwise in the Proposal.
- 2.5 Albany County reserves the right to reject any and all Proposals, in whole or in part, submitted in response to its RFP. Albany county also reserves the right to accept portions of proposals to package with other proposals submitted in response to this RFP.
- 2.6 Albany County reserves the right to waive any and all informalities and to disregard all non-conforming, non-responsive or conditional Proposals.
- 2.7 Albany County may, at any time by written notification to all Proposers, change any portion of the RFP described and detailed herein.
- 2.8 Proposals will be examined and evaluated by the **Public Defender's Office**.
- 2.9 During the evaluation of Proposals, the County may require clarification of information or may invite Proposers to an oral presentation to amplify and or validate Proposal contents.

SECTION 3: QUALIFICATION OF PROPOSER

Provide a statement of Proposer qualifications including:

- 3.1 Provide the name, a brief history and description of your firm.
- 3.2 Identify your firm's professional staff members who will be involved in the County engagement and the experience each possesses and the location of the office from which each work.
- 3.3 Name and title of person(s) authorized to bind the Proposer, together with the main office address, and telephone number (including area code).
- 3.4 Detail your firm's experience with **providing visitation services to non-custodial parents and their children**.
- 3.5 Provide at least two (2) references from similar projects including name, addresses and telephone numbers.

- 3.6 Provide any additional information that would distinguish your firm in its service to Albany County.
- 3.7 Proposer shall include a completed “Vendor Responsibility Questionnaire” (Attachment “C”) with the Proposal.
- 3.8 In addition, Albany County may make such investigations it deems necessary to determine the ability of the Proposer to perform the work. The Proposer shall furnish to the County , within five (5) days of a request, all such information and data for this purpose as may be requested. The County reserves the right to reject any Proposal if the information submitted by, or investigation of, such Proposer fails to satisfy the County that such Proposer is properly qualified to carry out the obligations of the contract and to complete the work contemplated therein. Conditional Proposals will not be accepted.

SECTION 4: SCOPE OF SERVICES

- 4.1 Public Defender clients and their children will participate with this service. Clients will be referred as necessary to comply with all court-orders for supervised visitation.

There shall be a collaboration between the organization and the Public Defender’s office.

When requested by the Public Defender, the organization is responsible to arrange for, and provide, supervised visitation for Public Defender clients. The organization must arrange for or provide transportation for the children to and from the visit.

The organization must provide a qualified visitation program coordinator and visitation supervisors for the program.

Visits will occur at a public place (such as a mall, park, restaurant, etc.). Visits may occur during non-school hours, after-business hours, or on the weekend or a holiday.

All supervised visits shall be conducted by trained individuals.

The organization will supervise, observe, and record all visits, interactions, and conversations during the supervised visit.

The supervisor’s notes must be available to the Public Defender’s Office upon request.

Visitation reports shall be provided by the organization to the Public Defender’s office electronically within two (2) business days of each supervised visit/cancelled visit.

The organization’s employees may be required to provide testimony regarding visitation at a hearing or other proceeding in court.

The organization must provide a cancellation policy in the event a scheduled visit must be cancelled.

The organization must submit a monthly invoice for each client who had a supervised visit that month.

The organization will be expected to provide culturally competent services to a diverse client population. Proposals that demonstrate the ability to deliver services in both English and Spanish/another language are strongly preferred.

SECTION 5: TERM OF CONTRACT:

5.1 The contract period shall be July 1, 2025 through December 31, 2025. (Dates are subject to revision at the discretion of Albany County)

5.2 At the end of the partial year contract term, upon mutual agreement of the County and the Contractor, the agreement may be renewed for two (2) additional years, in two (2) consecutive one-year intervals. Renewal of multiple item bid awards shall be contingent upon renewal of all items; partial renewals shall not be accepted by the County.

5.2 The successful Proposer shall execute a contract with the County of Albany in substantial conformance with this RFP as prepared and approved by the County Attorney.

SECTION 6: COST PROPOSAL:

6.1 Submit a cost proposal for the services described above in Section 4, Scope of Services.

6.2 Detail the fee structure for the Proposal. Provide hourly rates and reimbursable costs if not included in the lump sum.

6.3 Provide any other relevant information that will assist the County in evaluating your Proposal.

SECTION 7: PROPOSAL SUBMISSIONS

7.1 In order for the County to conduct a uniform review process of all proposals, proposals must be submitted in the format set forth below. Failure to follow this format may be cause for rejection of a proposal because adherence to this format is critical for the County's evaluation process:

SECTION I:

Title Page - The title page should reflect the Request for Proposal subject, name of the proposer, address, telephone number and contact person.

Table of Contents - The Table of Contents must indicate the material included in the proposal by section and page number.

SECTION II:

Qualification / Experience - The Qualification / Experience section must address proposer's qualifications and experience to carry out the requested service, inclusive of, but not limited to: qualification to do business in NYS, number of years in business and length of experience.

Resumes - Resumes of professional staff members who will be involved in the County engagement must be included in this section.

SECTION III:

References - The References section must include references from similar type projects.

SECTION IV:

Plan Implementation - The Plan Implementation Section must address the Scope of Services in terms of the proposer's plan to carry out the requested service.

SECTION V:

Cost Proposal Section - The Cost Proposal Section must include all costs associated with the proposer's plan to carry out the requested service. Any cost proposal forms furnished by the County must be included in this section.

SECTION VI:

Mandatory Documentation - The Mandatory Documentation Section must include: The Non-Collusive Bidding Certificate (Attachment "A"), Acknowledgment by Proposer (Attachment "B"), and Vendor Responsibility Questionnaire (Attachment "C"); Iranian Energy Divestment Certification (Attachment "D").

SECTION 8: PROPOSAL EVALUATION

8.1 Proposals will remain valid until the execution of a contract by Albany County, unless otherwise rejected consistent with this RFP.

8.2 Proposals received will be evaluated by a committee with representation from the Albany County ***Public Defender's Office***. Proposals shall be evaluated based upon the following:

<i>CRITERIA</i>	<i>WEIGHT</i>
Ability to provide supervised visitation	_30_%
Ability to provide written, detailed reports	_20_%
Qualifications and experience of site visit supervisors	_20_%

References from prior supervised visitation involvements	_10_%
Cost – rates/fees for supervised visitation	_20_%
	100%

8.3 Proposals will be examined and evaluated by the ***Public Defender's Office*** with the advice of the Albany County Purchasing Agent to determine whether the requirements of this RFP are met and to make a recommendation to the Albany County Executive, the Albany County Contracts Administration Board or the County Legislature for a contract award.

8.4 A notice of contract award shall not be binding upon the County until the contract has been fully executed by both parties

SECTION 9: Section not in use

SECTION 10: ALTERNATIVES

10.1 Proposer may include in its Proposal items not specified in this RFP, which it would consider pertinent. All such alternatives must be listed separately from the Proposal and the cost thereof must be separate and itemized.

SECTION 11: INDEMNIFICATION

11.1 The successful Proposer shall defend, indemnify and save harmless the County, its employees and agents, from and against all claims, damages, losses and expenses (including without limitations, reasonable attorneys' fees) arising out of, or in consequence of, any negligent or intentional act or omission of the successful Proposer, its employees or agents, to the extent of its or their responsibility for such claims, damages, losses and expenses.

SECTION 12: SPECIFICATION CLARIFICATION

12.1 All inquiries with respect to this Request for Proposals must be directed to the Albany County Purchasing Agent as follows:

Pamela O Neill
Albany County Purchasing Agent
112 State Street, **Room 1000**
Albany, NY 12207
Telephone: (518) 447-7140
Facsimile: (518) 447-5588
Email: pamela.oneill@albanycountyny.gov

- 12.2 All questions about the meaning or intent of the specifications must be submitted to the aforementioned designated person in writing. Replies will be issued by Addenda mailed or delivered to all parties recorded as having received the proposal documents. Questions received less than four (4) days prior to the date of submission of Proposals will not be answered. The County will be bound only by responses given by formal written Addenda.
- 12.3 Other than the contact person identified in the Proposal, or their designee, prospective Proposers shall not approach County employees during the period of this RFP process about any matters related to this RFP or any proposals submitted pursuant thereto.

SECTION 13: MODIFICATION AND WITHDRAWAL OF PROPOSALS

- 13.1 Proposals may be modified or withdrawn at any time prior to the opening of Proposals by an appropriate document duly executed (in the manner that a Proposal must be executed) and delivered to the place where Proposals are to be submitted.
- 13.2 If within twenty-four (24) hours after the Proposals are opened, any Proposer files a duly signed written notice with the County and promptly thereafter demonstrates to the reasonable satisfaction of the County that there was a material and substantial mistake in the preparation of its Proposal, that Proposer may withdraw its Proposal and the Proposal Security will be returned. Thereafter, that Proposer will be disqualified from making a further or additional proposal on the work contemplated by this RFP.
- 13.3 Each proposal shall state that it is an irrevocable offer for a period of ninety (90) days from the Proposal opening date. After expiration of the irrevocable offer period, if no contract award has been made, a Proposal may be withdrawn if the Proposer does so in writing directed to the County Purchasing Agent; otherwise, Proposals remain in effect consistent with the terms of this RFP.

SECTION 14: PROPOSAL SECURITY

- 14.1 No proposal security is requested for this Proposal.

SECTION 15: INSURANCE AND SECURITY REQUIREMENTS

- 15.1 The successful Proposer will be required to procure and maintain at its own expense, the following insurance coverage:
- (a) **Worker's Compensation and Employer's Liability Insurance:** A policy or policies providing protection for Employees in the event of job related injuries.
 - (b) **Automobile Liability Insurance:** A policy or policies of insurance with the limits of not less than \$500,000 combined for each accident because of bodily injury sickness or disease, sustained by any person, caused by accident, and arising out of the ownership, maintenance or use of any automobile for damage because of injury to or destruction of

property, including the loss of use thereof, caused by accident and arising out of the ownership, maintenance or use of any automobile.

- (c) **General Liability Insurance:** A policy or policies or comprehensive all-risk insurance with limits of not less than:

Liability For:	Combined Single Limit
Property Damage	\$1,000,000
Bodily Injury	\$1,000,000
Personal Injury	\$1,000,000

- (d) **Professional Liability Insurance:** A policy or policies with limits not less than \$1,000,000.

15.2 Each policy of insurance required shall be of form and content satisfactory to the Albany County Attorney:

- (a) The insurance policies shall name the County of Albany as certificate holder and primary/non-contributory additional insured on all liability policies. **Proposal number must appear on insurance certificate.**
- (b) The policy shall not be changed or canceled until the expiration of thirty (30) days after written notice to Albany County. It shall be automatically renewed upon expiration and continued in force unless Albany County is given at least thirty (30) days written notice to the contrary.

15.3 No work shall be commenced under the contract until the successful Proposer has delivered to the County Purchasing Agent or his designee proof of issuance of all policies of insurance required by the Contract to be procured by the successful Proposer. If at any time, any of said policies shall expire or become unsatisfactory to the County, the successful Proposer shall promptly obtain a new policy and submit proof of insurance of the same to the County for approval. Upon failure of the successful Proposer to furnish, deliver and maintain such insurance as above provided, the contract may, at the election of the County, be forthwith declared suspended, discontinued or terminated. Failure of the successful Proposer to procure and maintain any required insurance, shall not relieve the successful Proposer from any liability under the contract, nor shall the insurance requirements be construed to conflict with the obligations of the successful Proposer concerning indemnification.

SECTION 16: REMEDY FOR BREACH

16.1 In the event of a breach by CONTRACTOR, CONTRACTOR shall pay to the COUNTY all direct and consequential damages caused by such breach, including, but not limited to, all sums expended by the COUNTY to procure a substitute contractor to satisfactorily complete

the contract work, together with the COUNTY's own costs incurred in procuring a substitute contractor.

SECTION 17: CASH DISCOUNT

- 17.1 Cash discounts may be offered by a Proposer for prompt payment of bills, but such cash discounts will not be taken into consideration in determining the low Proposer.
- 17.2 For purposes of any applicable cash discount, the payment date shall be calculated from the receipt of invoice or final acceptance of the goods, whichever is later.

SECTION 18: FREEDOM OF INFORMATION LAW

- 18.1 Confidential, trade secret or proprietary materials as defined by the laws of the State of New York must be clearly marked and identified as such upon submission. Proposers intending to seek an exemption from disclosure of these materials under the Freedom of Information Law (New York State Public Officers Law, Sections 84-90) must request the exemption in writing, at the time of the submission of the materials, setting forth the reason for the claimed exemption. In addition, the proposer must mark each page of its submission on which there appears any material claimed to be protected as confidential or proprietary with the following legend, in bold face, capital letters at the top of each page: "THE PROPOSER BELIEVES THAT THIS INFORMATION IS PROTECTED FROM DISCLOSURE UNDER THE NEW YORK STATE FREEDOM OF INFORMATION LAW". Acceptance of the claimed materials does not constitute a determination on the exemption request, which determination will be made in accordance with statutory procedures.

SECTION 19: MACBRIDE PRINCIPLES

- 19.1 Contractor/Proposer hereby represents that said contractor/proposer is in compliance with the MacBride Principles of Fair Employment as set forth in Albany County Local Law No. [3] for 1993, in that said contractor/proposer either (a) has no business operations in Northern Ireland or (b) shall take lawful steps in good faith to conduct any business operations in Northern Ireland in accordance with the MacBride Principles, and shall permit independent monitoring of their compliance with such principles. In the event of a violation of this stipulation, the County reserves all rights to take remedial measures as authorized under section 4 of Local Law No. [3] in 1993, including, but not limited to, imposing sanctions, seeking compliance, recovering damages, declaring the contract/proposer in default and/or seeking debarment or suspension of the contractor/proposer.
- 19.2 In the case of a contract which must be let by competitive sealed bidding, whenever the lowest bidder has not agreed to stipulate to the conditions set forth in this section, and another bidder who has agreed to stipulate to such conditions has submitted a bid within five percent of the lowest bid for a contract to supply goods, services or construction of comparable quality, the contracting entity shall refer the contract to the County Legislature, which shall determine whether the lowest bidder is responsible. In making such determination, the County

Legislature may consider, as a factor bearing on responsibility, whether the lowest bidder discriminates in employment in Northern Ireland.

19.3 As used in this section, the term “contract” shall not include contracts with government and non-profit organizations, contracts awarded pursuant to an emergency procurement procedure or contracts, resolutions, indentures, declarations of trust or other instruments of authorizing or relating to the authorization, issuance, award, sale or purchase of bonds, certificates of indebtedness, notes or other fiscal obligations of the County, provided that the policies of this section shall be considered when selecting managing underwriters in connection with such activities.

19.4 The provisions of this section shall not apply to contracts for which the County receive funds administered by the United States Department of Transportation, except to the extent Congress has directed that the Department of Transportation not withhold funds from states and localities that choose to implement selective purchasing policies based on agreement to comply with the MacBride Principles, or to the extent that such funds are not otherwise withheld by the Department of Transportation.

SECTION 20: PRIVACY OF PERSONAL HEALTH INFORMATION

20.1 In order to comply with the federal Health Insurance Portability and Accountability Act of 1996 (HIPAA), the CONTRACTOR, (deemed a BUSINESS ASSOCIATE as defined at 45 CFR § 164.501), its employees, administrators and agents shall not use or disclose Protected Health Information (PHI), (as defined in 45 CFR § 164.501) other than as permitted or required by this AGREEMENT with the COUNTY (deemed a HYBID ENTITY as defined at 45 CFR § 164.504) or as Required By Law (as defined in 45 CFR § 164.501). The CONTRACTOR shall maintain compliance with all U.S. Department of Health and Human Services, Office for Civil Rights, policies, procedures, rules and regulations applicable in the context of this AGREEMENT.

20.2 OBLIGATIONS, ACTIVITIES AND PERMITTED USES AND DISCLOSURES

- a. Except as otherwise limited in this AGREEMENT, the CONTRACTOR may use PHI for the proper management and administration of the CONTRACTOR, to perform functions, activities or services for, or on behalf of COUNTY as specified in the Scope of Services contained in this AGREEMENT or to carry out the legal responsibilities of the CONTRACTOR as required by the Scope of Services, provided that such use or disclosure would not violate the Privacy Rule (as defined in 45 CFR Part 160 and Part 164, subparts A and E) if done by the COUNTY or the minimum necessary policies and procedures of the COUNTY. Except as otherwise limited in this AGREEMENT, the CONTRACTOR may disclose PHI for the proper management and administration of the CONTRACTOR and to perform functions, activities or services for, or on behalf of COUNTY as specified in the Scope of Services of this AGREEMENT, provided such disclosures are Required By Law or reasonable assurances are obtained that the information will remain

confidential, be used or disclosed solely for the purpose it was disclosed or as Required By Law, and that any violation of such confidentiality will be reported to CONTRACTOR

- b. The CONTRACTOR agrees to use appropriate safeguards to prevent use or disclosure of the PHI other than as provided by this AGREEMENT, and, upon knowledge of a violation, to mitigate any known harmful effects of such a disclosure. The CONTRACTOR shall immediately report to the COUNTY any use or disclosure of PHI not provided by this AGREEMENT of which it becomes aware. The CONTRACTOR shall ensure any agents and subcontractors of the CONTRACTOR to the extent allowed by this AGREEMENT, to whom PHI is supplied, created, used or maintained on behalf of the COUNTY, shall be bound by the requirements of this Article.
- c. The CONTRACTOR shall provide access to PHI in a designated record set in accordance with 45 CFR § 164.524. The CONTRACTOR shall make any amendments to PHI in a designated record set that the COUNTY directs or agrees to in accordance with 45 CFR § 164.526. The CONTRACTOR shall make available the information required to provide an accounting of disclosures in accordance with 45 CFR § 164.528.
- d. The CONTRACTOR shall make internal practices, books, records, including policies and procedures on PHI received from, or created or received by the CONTRACTOR on behalf of the COUNTY available to the Secretary of the Department of Health and Human Services or his designee for the purposes of determining the CONTRACTOR's compliance with this Article.

20.3 TERMINATION

- a. Upon the COUNTY'S knowledge of a breach or violation of this Article by the CONTRACTOR, the COUNTY, pursuant to 45 CFR § 164.504(e)(2)(iii), may terminate the AGREEMENT if it determines that such a breach violated a material term of this Article. Notwithstanding that, the COUNTY may provide an opportunity for the CONTRACTOR to cure the breach or end the violation within a time set by the COUNTY and, if cure is not possible or does not occur within the time limit, immediately terminate the AGREEMENT without penalty. If neither termination nor cure is feasible, the COUNTY shall report the violation to the Secretary.
- b. Upon termination of this AGREEMENT, if feasible, the CONTRACTOR, shall return or destroy all PHI received from, or created or received by the CONTRACTOR on behalf of the COUNTY that the CONTRACTOR still maintains in any form and retain no copies of such information, or, if such return or destruction is not feasible, extend the protections of this AGREEMENT to the information and limit further uses and disclosures to those purposes that make the return or destruction of the information not feasible.

SECTION 21: AFFIRMATIVE ACTION REQUIREMENTS

- 21.1 It is the policy of the County of Albany that Minority Business Enterprises (MBE) and Woman Business Enterprises (WBE) are afforded the maximum opportunity to participate in the performance of contracts, in excess of \$100,000, let by the County and its several agencies

and authorities. The County commits itself to a goal oriented Contract Compliance Program which assures that Minority Business Enterprises and Woman Business Enterprises are considered in awarding contracts for goods, services and construction. Furthermore, it is the policy of the County of Albany that contractors/proposers and subcontractors utilize minority and women labor to the greatest extent feasible.

21.2 In proposing on this contract, the Proposer acknowledges an understanding of this policy. The contractor/proposer shall carry out the policy by making every reasonable effort to award contracts and subcontracts to MBEs and WBEs and utilizing minority and women labor in the performance of this contract.

21.3 In an effort to assist Proposers with compliance attached you will find the following:
Article SC19-Affirmative Action Plan and Department of Affirmative Action Compliance Forms.

SECTION 22: ANTIDISCRIMINATION CLAUSE

22.1 In accordance with Article 15 of N.Y. EXECUTIVE LAW (also known as the Human Rights Law) and all other State and Federal statutory and constitutional non-discrimination provisions, the Contractor agrees that neither it nor any of its County-approved subcontractors shall, by reason of age, race, creed, color, national origin, sexual orientation, gender identity or expression, military status, sex, disability, predisposing genetic characteristics, familial status, marital status, or status as a victim of domestic violence, refuse to hire or employ or to bar or to discharge from employment such individual or to discriminate against such individual in compensation or in terms, conditions or privileges of employment

SECTION 23: EXTENSION OF CONTRACTS TO ALL POLITICAL SUBDIVISIONS AND AUTHORIZED DISTRICTS LOCATED IN THE STATE OF NEW YORK

23.1 It is the intent of this Request For Proposals that all political subdivisions, and districts located in the State of New York, be entitled to make purchases of services from the resulting contract award.

23.2 No officer, board or agency of a county, town, village, or school district shall make any purchase through the County when bids have been received for such purchase by such officer, board or agency, unless such purchase may be made upon the same terms, conditions and specifications at a lower price through the County.

23.3 All purchases shall be subject to audit by the other political subdivisions for which the purchase was made.

23.4 All orders will be placed by the participating entities. Each participating entity shall be billed by and make payment directly to the successful Bidder.

23.5 Upon request, participating entities must furnish the Contractor with the proper tax exemption certificates or documentation of tax exempt status.

23.6 The sole responsibility in regard to performance of the contract, or any obligation, covenant, condition or term thereunder by the successful Proposer and the participating entities will be borne and is expressly assumed by the successful Proposer and the participating entities and not by Albany County. In the event of a failure or breach in performance of any such contract by a participating entity or the successful Proposer, Albany County, specifically and expressly disclaims any and all liability for such defective performance or breach, or failure of either party to perform in accordance with its obligations, covenants and the terms and conditions of this Albany County centralized contract.

SECTION 24: INTERPRETATION

24.1 In the event of any discrepancy, disagreement or ambiguity among the documents which comprise this RFP, and/or, the Agreement (between the County and the successful Proposer) and its incorporated documents, the documents shall be given preference in the following order to interpret and to resolve such discrepancy, disagreement or ambiguity: 1) the Agreement; 2) the RFP; 3) the Contractor's proposal.

SECTION 25: NON APPROPRIATIONS CLAUSE

25.1 Notwithstanding anything contained herein to the contrary, no default shall be deemed to occur in the event no funds or insufficient funds are appropriated and budgeted by or are otherwise unavailable to the County for payment under this Agreement. The County will immediately notify the Contractor of such occurrence and this Agreement shall terminate on the last day of the fiscal period for which appropriations were received without penalty or expense to the County of any kind whatsoever, except as to those portions herein agreed upon for which funds shall have been appropriated and budgeted.

SECTION 26: IRANIAN ENERGY SECTOR DIVESTMENT

26.1 Contractor/Proposer hereby represents that said Contractor/Proposer is in compliance with New York State General Municipal Law Section 103-g entitled "Iranian Energy Sector Divestment", in that said Contractor/Proposer has not:

- (a) Provided goods or services of \$20 Million or more in the energy sector of Iran including but not limited to the provision of oil or liquefied natural gas tankers or products used to construct or maintain pipelines used to transport oil or liquefied natural gas for the energy sector of Iran; or
- (b) Acted as a financial institution and extended \$20 Million or more in credit to another person for forty-five days or more, if that person's intent was to use the credit to provide goods or services in the energy sector in Iran.

26.2 Any Contractor/Proposer who has undertaken any of the above and is identified on a list created pursuant to Section 165-a (3)(b) of the New York State Finance Law as a person engaging in investment activities in Iran, shall not be deemed a responsible bidder pursuant to Section 103 of the New York State General Municipal Law.

26.3 Except as otherwise specifically provided herein, every Contractor/Proposer submitting a bid/proposal in response to this Request for Bids/Request for Proposals must certify and affirm the following under penalties of perjury:

- (a) “By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid, each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief, that each bidder is not on the list created pursuant to NYS Finance Law Section 165-a (3)(b).

Albany County will accept this statement electronically in accordance with the provisions of Section 103 of the General Municipal Law.

26.4 Except as otherwise specifically provided herein, any Bid/Proposal that is submitted without having complied with subdivision (a) above, shall not be considered for award. In any case where the Bidder/Proposer cannot make the certification as set forth in subdivision (a) above, the Bidder/Proposer shall so state and shall furnish with the bid a signed statement setting forth in detail the reasons therefor. The County reserves its rights, in accordance with General Municipal Law Section 103-g to award the Bid/Proposal to any Bidder/Proposer who cannot make the certification, on a case-by-case basis under the following circumstances:

- (1) The investment activities in Iran were made before April 12, 2012, the investment activities in Iran have not been expanded or renewed after April 12, 2012, and the Bidder/Proposer has adopted, publicized and is implementing a formal plan to cease the investment activities in Iran and to refrain from engaging in any new investments in Iran; or
- (2) The County of Albany has made a determination that the goods or services are necessary for the County to perform its functions and that, absent such an exemption, the County of Albany would be unable to obtain the goods or services for which the Bid/Proposal is offered. Such determination shall be made by the County in writing and shall be a public document.

SECTION 27: Section not in use

SECTION 27: Section not in use

SECTION 28: Section not in use

COUNTY OF ALBANY

PROPOSAL FORM

PROPOSAL IDENTIFICATION:

Title: Supervised Visitation Services
RFP Number: 2025-083

THIS PROPOSAL IS SUBMITTED TO:

Pamela O Neill, Purchasing Agent
Albany County Department of General Services
Purchasing Division
112 State Street, Room 1000
Albany, NY 12207

1. The undersigned Proposer proposes and agrees, if this Proposal is accepted, to enter into a Contract with the owner in the form included in the Contract Documents to complete all Work as specified or indicated in the Contract Documents for the Contract Price and within the Contract Time indicated in this Proposal and in accordance with the Contract Documents.
2. Proposer accepts all of the terms and conditions of the Instructions to Proposers, including without limitation those dealing with the Disposition of Proposal Security. This Proposal may remain open for ninety (90) days after the day of Proposal opening. Proposer will sign the Contract and submit the Contract Security and other documents required by the Contract Documents within fifteen days after the date of County's Notice of Award.
3. In submitting this Proposal, Proposer represents, as more fully set forth in this Contract, that:

- (a) Proposer has examined copies of all the Contract Documents and of the following addenda: (If none, so state)

Date

Number

(receipt of all of which is hereby acknowledges) and also copies of the Notice to Proposers and the Instructions to Proposers;

- (b) Proposer has examined the site and locality where the Work is to be performed, the legal requirements (federal, state and local laws, ordinances, rules and regulations) and the conditions affecting cost, progress or performance of the Work and has made such independent investigations as Proposer deems necessary;

- (c) This Proposal is genuine and not made in the interest of or on behalf of any undisclosed person, firm or corporation and is not submitted in conformity with any agreement or rules of any group, association, organization or corporation; Proposer has not directly or indirectly induced or solicited any other Proposer to submit a false or sham Proposal; PROPOSER has not solicited or induced any person, firm or a corporation to refrain from Proposing; and Proposer has not sought by collusion to obtain for himself any advantage over any other Proposer or over the owner.

- 4. Proposer will complete the Work for the following prices(s): (Attach Proposal)
- 5. Proposer agrees to commence the Work within the number of calendar days or by the specific date indicated in the Contract. Proposer agrees that the Work will be completed within the number of Calendar days or by the specific date indicated in the contract.
- 6. The following documents are attached to and made a condition of this Proposal:
 - (a) Non-Collusive Bidding Certificate (Attachment “A”)
 - (b) Acknowledgment by Bidder (Attachment “B”)
 - (c) Vendor Responsibility Questionnaire (Attachment “C”)
 - (d) Iranian Energy Divestment Certification (Attachment “D”)

- 7. Communication concerning this Proposal shall be addressed to:

Phone: _____

- 8. Terms used in this Proposal have the meanings assigned to them in the Contract and General Provisions.

COUNTY OF ALBANY

COST PROPOSAL FORM

PROPOSAL IDENTIFICATION:

Title: Supervised Visitation Services
RFP Number: 2025-083

Submit a cost proposal as per the Scope of Services, Section 4

COMPANY: _____

ADDRESS: _____

CITY, STATE, ZIP: _____

TEL. NO.: _____

FAX NO.: _____

FEDERAL TAX ID NO.: _____

REPRESENTATIVE: _____

E-MAIL: _____

SIGNATURE AND TITLE _____

DATE _____

ATTACHMENT "A"
NON-COLLUSIVE BIDDING CERTIFICATE PURSUANT TO
SECTION 103-D OF THE NEW YORK STATE GENERAL MUNICIPAL LAW

A. By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid, each party thereto certifies as to its own organizations, under penalty of perjury, that to the best of knowledge and belief:

(1) The prices in this bid have been arrived at independently without collusion, consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor.

(2) Unless otherwise required by law, the prices which have been quoted in this bid have not knowingly been disclosed by the bidder and will not knowingly be disclosed by the bidder, directly or indirectly, prior to opening, to any bidder or to any competitor.

(3) No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.

A bid shall not be considered for award nor shall any award be made where (1), (2), and (3) above have not been complied with; provided, however, that in any case the bidder cannot make the foregoing certification, the bidder shall so state and shall furnish with the bid a signed statement which sets forth in detail the reasons thereof. Where (1), (2), and (3) above have not been complied with, the bid shall not be considered for any award nor shall any award be made unless the head of the Purchasing Unit to the political subdivision, public department, agency or official thereof to which the bid is made, or his designee, determines that such disclosure was not made for the purpose of restricting competition.

The fact that a bidder (a) has published price lists, rates, or tariffs covering items being procured, (b) has informed prospective customer of proposed or pending publication of new or revised price lists for such items, or (c) has sold the same items to other customers at the same prices being bid, does not constitute, without more, a disclosure within the meaning of paragraph "A" above.

B. Any bid hereafter made to any political subdivision of the state or any public department, agency or official thereof by a corporate bidder for work or services performed or to be performed or goods sold or to be sold, where competitive bidding is required by statute, rule, regulation, local law, and where such bid contains the certification referred to in paragraph "A" of this section, shall be deemed to have been authorized by the Board of Directors of the bidder, and such authorization shall be deemed to include the submission of the bid and the inclusion therein of the certificate as to non-collusion as the act and deed of the corporation

Signature

Title

Date

Company Name

ATTACHMENT "B"
ACKNOWLEDGMENT BY PROPOSER

If Individual or Individuals:

STATE OF _____)
COUNTY OF _____) SS.:

On this _____ day of _____, 20____, before me personally appeared _____ to me known and known to me to be the same person(s) described in and who executed the within instrument, and he (or they severally) acknowledged to me that he (or they) executed the same.

Notary Public, State of _____

Qualified in _____

Commission Expires _____

If Corporation:

STATE OF _____)
COUNTY OF _____) SS.:

On this _____ day of _____, 20____, before me personally appeared _____ to me known, who, being by me sworn, did say that he resides at (give address) _____; that he is the (give title) _____ of the (name of corporation) _____, the corporation described in and which executed the above instrument; that he knows the seal of the corporation, and that the seal affixed to the instrument is such corporate seal; that it was so affixed by order of the board of directors of the corporation, and that he signed his name thereto by like order.

Notary Public, State of _____

Qualified in _____

Commission Expires _____

If Partnership:

STATE OF _____)
COUNTY OF _____) SS.:

On the _____ day of _____, 20____, before me personally came _____, to me known to be the individual who executed the foregoing, and who, being duly sworn, did depose and say that he / she is a partner of the firm of _____ and that he / she has the authority to sign the same, and acknowledged that he / she executed the same as the act and deed of said partnership.

Notary Public, State of _____

Qualified in _____

Commission Expires _____

**ATTACHMENT “C”
ALBANY COUNTY
VENDOR RESPONSIBILITY QUESTIONNAIRE**

1. VENDOR IS: ☐ PRIME CONTRACTOR			
2. VENDOR’S LEGAL BUSINESS NAME		3. IDENTIFICATION NUMBERS a) FEIN # b) DUNS #	
4. D/B/A – Doing Business As (if applicable) & COUNTY FIELD:		5. WEBSITE ADDRESS (if applicable)	
6. ADDRESS OF PRIMARY PLACE OF BUSINESS/EXECUTIVE OFFICE		7. TELEPHONE NUMBER	8. FAX NUMBER
9. ADDRESS OF PRIMARY PLACE OF BUSINESS/EXECUTIVE OFFICE <i>IN NEW YORK STATE, if different from above</i>		10. TELEPHONE NUMBER	11. FAX NUMBER
12. AUTHORIZED CONTACT FOR THE QUESTIONNAIRE Name Title Telephone Number Fax Number e-mail			
13. LIST ALL OF THE VENDOR’S PRINCIPAL OWNERS.			
a) NAME	TITLE	b) NAME	TITLE
c) NAME	TITLE	d) NAME	TITLE
A DETAILED EXPLANATION IS REQUIRED FOR EACH QUESTION ANSWERED WITH A “YES,” AND MUST BE PROVIDED AS AN ATTACHMENT TO THE COMPLETED QUESTIONNAIRE. YOU MUST PROVIDE ADEQUATE DETAILS OR DOCUMENTS TO AID THE COUNTY IN MAKING A DETERMINATION OF VENDOR RESPONSIBILITY. PLEASE NUMBER EACH RESPONSE TO MATCH THE QUESTION NUMBER.			
14. DOES THE VENDOR USE, OR HAS IT USED IN THE PAST FIVE (5) YEARS, ANY OTHER BUSINESS NAME, FEIN, or D/B/A OTHER THAN THOSE LISTED IN ITEMS 2-4 ABOVE? List all other business name(s), Federal Employer Identification Number(s) or any D/B/A names and the dates that these names or numbers were/are in use. Explain the relationship to the vendor. ☐ Yes ☐ No 			
15. ARE THERE ANY INDIVIDUALS NOW SERVING IN A MANAGERIAL OR CONSULTING CAPACITY TO THE VENDOR, INCLUDING PRINCIPAL OWNERS AND OFFICERS, WHO NOW SERVE OR IN THE PAST ONE (1) YEARS HAVE SERVED AS: a) An elected or appointed public official or officer? <i>List each individual’s name, business title, the name of the organization and position elected or appointed to, and dates of service</i> ☐ Yes ☐ No b) An officer of any political party organization in Albany County, whether paid or unpaid? <i>List each individuals name, business title or consulting capacity and the official political position held with applicable service dates.</i> ☐ Yes ☐ No			

21.	IN THE PAST FIVE (5) YEARS, HAS THE VENDOR OR ANY AFFILIATES ¹ :	☐ Yes	☐ No
	a) defaulted or been terminated on, or had its surety called upon to complete, any contract (public or private) awarded;		
Indicate if this is applicable to the submitting vendor or affiliate. Detail the situation(s) that gave rise to the negative action, any corrective action taken by the vendor and the name of the contracting agency.			

1 “Affiliate” meaning: (a) any entity in which the vendor owns more than 50% of the voting stock; (b) any individual, entity or group of principal owners or officers who own more than 50% of the voting stock of the vendor; or (c) any entity whose voting stock is more than 50% owned by the same individual, entity or group described in clause (b). In addition, if a vendor owns less than 50% of the voting stock of another entity, but directs or has the right to direct such entity’s daily operations, that entity will be an “affiliate” for purposes of this questionnaire.

**ALBANY COUNTY
VENDOR RESPONSIBILITY QUESTIONNAIRE**

FEIN #

State of:)
) ss:
County of:)

CERTIFICATION:

The undersigned: recognizes that this questionnaire is submitted for the express purpose of assisting the County of Albany in making a determination regarding an award of contract or approval of a subcontract; acknowledges that the County may in its discretion, by means which it may choose, verify the truth and accuracy of all statements made herein; acknowledges that intentional submission of false or misleading information may constitute a felony under Penal Law Section 210.40 or a misdemeanor under Penal Law Section 210.35 or Section 210.45, and may also be punishable by a fine and/or imprisonment of up to five years under 18 USC Section 1001 and may result in contract termination; and states that the information submitted in this questionnaire and any attached pages is true, accurate and complete.

The undersigned certifies that he/she:

- Has not altered the content of the questions in the questionnaire in any manner;
- Has read and understands all of the items contained in the questionnaire and any pages attached by the submitting vendor;
- Has supplied full and complete responses to each item therein to the best of his/her knowledge, information ad belief;
- Is knowledgeable about the submitting vendor's business and operations;
- Understands that Albany County will rely on the information supplied in the questionnaire when entering into a contract with the vendor;
- Is under duty to notify the Albany County Purchasing Division of any material changes to the vendor's responses.

Name of Business

Signature of Owner _____

Address

Printed Name of Signatory _____

City, State, Zip

Title

Sworn before me this ____ day of _____, 20__;

Notary Public

Printed Name

Signature

Date

Attachment "D"
Certification Pursuant to Section 103-g
Of the New York State
General Municipal Law

- A. By submission of this bid/proposal, each bidder/proposer and each person signing on behalf of any bidder/proposer certifies, and in the case of a joint bid, each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each bidder is not on the list created pursuant to paragraph (b) of subdivision 3 of Section 165-a of the New York State Finance Law.
- B. A Bid/Proposal shall not be considered for award, nor shall any award be made where the condition set forth in Paragraph A above has not been complied with; provided, however, that in any case the bidder/proposer cannot make the foregoing certification set forth in Paragraph A above, the bidder/proposer shall so state and shall furnish with the bid a signed statement which sets forth in detail the reasons therefor. Where Paragraph A above cannot be complied with, the Purchasing Unit to the political subdivision, public department, agency or official thereof to which the bid/proposal is made, or his designee, may award a bid/proposal, on a case by case business under the following circumstances:
1. The investment activities in Iran were made before April 12, 2012, the investment activities in Iran have not been expanded or renewed after April 12, 2012, and the Bidder/Proposer has adopted, publicized and is implementing a formal plan to cease the investment activities in Iran and to refrain from engaging in any new investments in Iran; or
 2. The political subdivision makes a determination that the goods or services are necessary for the political subdivision to perform its functions and that, absent such an exemption, the political subdivision would be unable to obtain the goods or services for which the contract is offered. Such determination shall be made in writing and shall be a public document.

Signature

Title

Date

Company Name

County of Albany
Article SC19- Affirmative Action Plan

STATEMENT OF POLICY

The following is taken from Resolution No. 495 adopted by the Albany County Legislature on October 9, 2018.

Resolved, That the Albany County Legislature hereby approves and adopts the updates to the Affirmative Action Policy as reflected in the document annexed hereto, and be it further that the updated Affirmative Action Plan shall take effect immediately, and that the Commissioner of Human Resources and Director of the Division of Affirmative Action are directed to implement the policies reflected in the updated language of the Affirmative Action policy immediately and on a County-wide basis.

The following is taken from Resolution No. 26 adopted by the Albany County Legislature on June 10, 1996.

Resolved, By the Albany County Legislature that the Affirmative Action Plan so endorsed by the Albany County Executive and which is currently on file with the Clerk of the County Legislature, shall be the official plan of the County of Albany including the objectives, procedures and goals so stipulated.

It is the policy of the County of Albany that Minority Business Enterprises (MBE) and Woman Business Enterprises (WBE) are afforded the maximum opportunity to participate in the performance of contracts, in excess of \$100,000, let by the County and its several agencies and authorities. The County commits itself to a goal oriented Contract Compliance Program which assures that Minority Business Enterprises and Woman Business Enterprises are considered in awarding contracts for goods, services and construction. Furthermore, it is the policy of the County of Albany that contractors and subcontractors utilize minority and women labor to the greatest extent feasible.

In bidding on this contract, the contractor acknowledges an understanding of this policy. The contractor shall carry out the policy by making every reasonable effort to award contracts and subcontracts to MBEs and WBEs and utilizing minority and women labor in the performance of this contract.

ANTI-DISCRIMINATION CLAUSE 220-E - NYS Labor Law. Provisions in contracts prohibiting discrimination on account of race, creed, color or national origin in employment of citizens upon public works. Every contract for or on behalf of the state or a municipality for the construction, alteration or repair of any public building or public work or for the manufacture, sale or distribution of materials, equipment or supplies shall contain provisions by which the contractor with the state or municipality agrees: (a) That in the hiring of employees for the performance of work under this contract or any subcontract hereunder, no contractor, subcontractor, nor any person acting on behalf of such contractor or subcontractor, shall by reason of race, creed, color, disability, sex or national origin discriminate against any citizen of the state of New York who is qualified and available to perform the work to which the employment relates; (b) That no contractor, subcontractor, nor any person on his behalf shall, in any manner, discriminate against or intimidate any employee hired for the performance of work under this contract on account of race, creed, color, disability, sex or national origin; (c) That there may be deducted from the amount payable to the contractor by the state or municipality under this contract a penalty of fifty dollars for each person for each calendar day during which such person was discriminated against or intimidated in violation of the provisions of the contract; (d) That this contract may be cancelled or terminated by the state or municipality, and all moneys due or to become due hereunder may be forfeited, for a second or any subsequent violation of the terms or conditions of this section of the contract; and (e) The aforesaid provisions of this section covering every contract for or on behalf of the state or a municipality for the manufacture, sale or distribution of materials, equipment or supplies shall be limited to operations performed within the territorial limits of the state of New York.

ADMINISTRATION

The County's Division of Affirmative Action is charged with the responsibility of monitoring Affirmative Action in all contracts. All County procurements will be made with an understanding that the complete participation of bona fide MBE and WBE shall be assured by balanced and equitable contract involvement.

The **subcontracting participation goals** for County public procurements are:

- to award 7% of the total dollar value of the contract to a certified MBE.
- to award 5% of the total dollar value of the contract to a certified WBE.

The **workforce goals** for County public procurements are as follows:

- 7% of the total workforce should be minorities.
- 5% of the total workforce should be women.

• CONTRACTOR'S RESPONSIBILITIES

The Contractor's responsibilities include, but are not limited to, the following. The Contractor **must**:

- 1) Submit to the Division of Affirmative Action a completed Schedule of MBE/WBE and Labor Performance or Request for Waiver within **fifteen (15) days** of receiving the Notice of Award.
- 2) Prior to being issued a Notice to Proceed, submit evidence of MBE/WBE contracts proposed to the Division of Affirmative Action.
- 3) Submit monthly utilization reports to the Division of Affirmative Action for review.
- 4) Immediately notify the Division of Affirmative Action of any changes during the project, especially if the change affects the Schedule of MBE/WBE and Labor Performance submitted for the project.
- 5) Make good faith efforts to replace an MBE/WBE subcontractor that is unable to perform successfully with another MBE/WBE.
- 6) Notify the Division of Affirmative Action of any suspected instances of companies fraudulently claiming MBE/WBE status.
- 7) If possible, provide any needed technical assistance to MBE/WBE firms under subcontract.
- 8) If possible, design payment schedules to minimize cash flow problems faced by MBEs/WBEs.
- 9) Maintain for three years such records as are necessary to determine compliance with MBE/WBE obligations and to submit regular reports to enable the Albany County MBE Officer to monitor this compliance.

• DEVELOPING A SCHEDULE OF MBE/WBE AND LABOR PERFORMANCE

The Schedule of MBE/WBE and Labor Performance must detail:

1. The contractor's name, address, phone number, federal identification number and the total dollar value of the contract.
2. Whether the contract is a joint venture.
3. The MBE and WBE goal for the contract.
4. A brief description of each proposed subcontractor, including the name, address, phone number, federal identification number and the total dollar amount of each subcontractor.
5. An estimate of the total number of hours to be worked on the project.

• COMPLIANCE

Each contractor must furnish monthly utilization reports while working on the project. The reports must detail the total number of hours worked, total minority /female labor hours and payments made to MBE and WBE firms.

- **WAIVER REQUEST FOR SUBCONTRACTING AND/OR LABOR PERFORMANCE**

Contractors which determine that the subcontracting and/or labor participation goals must cannot be achieved **must** request a waiver within **fifteen (15) days** of receiving the Notice of Award. The request must justify why the firm cannot accomplish the subcontracting and/or labor participation goals established for the project. The justification must detail actions taken to solicit MBE/WBE subcontractors, minority or female labor participation and the impediments encountered. Each waiver request will be evaluated individually. Submission of the request for waiver does **not** guarantee the requirements will be waived. Additional information or supporting documentation may be required to determine a contractor's good faith effort.

- **MBE/WBE RESPONSIBILITIES**

Each Minority Business Enterprise/Woman Business Enterprise shall:

1. Establish through certification that the company is a bona fide MBE/WBE. The Division of Affirmative Action reviews MBE/WBE eligibility status for contractors and subcontractors.
2. Exhibit an interest in bidding a particular project by attending pre-bid conferences and/or by responding timely to contract solicitations for bid quotations prior to bid date.
3. Be responsible for entering into all necessary contractual agreements.
4. Arrange for and supervise contract performance.
5. Secure equipment, materials and crew sufficient to complete their contract or subcontract.
6. Provide bonding, insurance and collateral as required for surety in contract performance.
7. Authorize payrolls, payments and reports as required for routine compliance.

The County will accept MBE/WBE Certifications made by other governmental agencies which are in compliance with our DBE policy.

SANCTIONS

SC-19.5.1

If **CONTRACTOR** cannot meet the WBE/MBE participation goals, he must document to the Albany County MBE Officer, that he has made all positive efforts to achieve it. Failure to meet the goals or to document that all positive efforts have been made to achieve it may result in the County invoking any legal or equitable remedy available to the County for breach of contract including withholding future payments under the **CONTRACT** involved; disqualification of the **CONTRACTOR** from future contracting opportunities for a period not to exceed two years; and cancellation of the contract and declaration of forfeiture of the **PERFORMANCE BOND**.

A decision by the Albany County MBE Officer to invoke the above sanctions shall be issued in writing by registered mail. The **CONTRACTOR** shall have ten (10) days from receipt of the decision to appeal the MBE Officer's decision to the Grievance committee of the Albany County Legislature. Both sides of the dispute shall have the opportunity to be heard at a meeting of the Grievance Committee to be held within ten (10) days of the receipt of an appeal, and the Committee shall send a final decision to both sides within ten (10) days by registered mail (or hand delivery in the case of the MBE Officer's copy).

STANDARDS

A **Minority Business Enterprise (MBE)** shall be any business enterprise which is at least fifty-one percent (51%) owned or in that case of a publicly-owned business, at least fifty-one percent (51%) of the common stock of which is owned, by a minority person(s), and such ownership interest is real, substantial and continuing. The minority ownership must have and exercise the authority to independently control the business decisions of the entity.

A **Woman Business Enterprise (WBE)** shall be any business enterprise which is at least fifty-one percent (51%) owned or in the case of a publicly-owned business, at least fifty-one percent (51%) of the common stock of which is owned, by a woman (women), and such ownership interest is real, substantial and continuing. The woman ownership must have and exercise the authority to independently control the business decisions of the entity. WBEs shall not be considered as MBEs unless 51% of the assets of the company is held by a minority person(s).

A **Disadvantaged Business Enterprise (DBE)** mean a business enterprise controlled by one or more socially or economically disadvantaged individuals and whose management and daily business operations are controlled by one or more socially and economically disadvantaged individuals who own it. Such disadvantaged may arise from cultural, racial, chronic economic circumstances or background or other similar cause. Such persons include, but are not limited to citizens of the United States (or lawfully admitted permanent residents) and who are African Americans, Puerto Ricans, Hispanic Americans, Asian-Pacific Americans, American Indians, Eskimos, Aleuts, Asian Indians and Women.

Minority: A person who is a member of one or more of the following groups:

- A) Black (not of Hispanic origin) – a person having origins in any of the Black racial group of Africa.
- B) Hispanic -- a person of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish culture or origin, regardless of race.
- C) Asian or Pacific Islander – a person having origins in any of the original peoples of the Far East, Southeast Asia, the Indian subcontinent, or the Pacific Islands. This area includes, for example, China, Japan, Korea, the Philippine Islands and Samoa.
- D) Native American or Alaskan Natives – a person having origins in any of the original peoples of North America, and who maintains cultural identification through tribal affiliation or community recognition.

Woman: A person who is of the feminine gender who are not otherwise classified as a minority. For

assistance or additional information, contact

County of Albany

Division of Affirmative Action

112 State Street, Room 900, Albany, NY 12207

Phone: (518) 447-7010

Fax: (518) 447-5586

County of Albany
Division of Affirmative Action
MBE/WBE Compliance Forms



Daniel P. McCoy
Albany County Executive

County of Albany
Schedule of MBE/WBE and Labor Performance

The Division of Affirmative Action monitors subcontracting and labor participation for contracts let by agencies and authorities of Albany County. The Schedule of MBE/WBE and Labor Performance must be completed and submitted within 15 days of receiving the Notice of Award. The figures represent the contractor's best estimate of workforce needs and minority/female representation of that workforce.

Questions regarding completion of this form can be directed to the County of Albany, Division of Affirmative Action at (518) 447-7010.

Contractor: _____ Address: _____

City: _____ State: _____ Zip: _____

Telephone: _____ Fax Number: _____ Federal ID No.: _____

Project Name: _____ Project Cost: _____ Completion Date: _____

Contract Description: _____

Bidder is an approved ☐ MBE ☐ WBE

If yes, specify agency: _____

Joint Venture

MBE/WBE participation is broken down into Joint Ventures with Bidder, Subcontracting Construction, Trucking or Services, and Materials or Supplies. Joint ventures between the Prime Bidder and MBE/WBE firms are shown below. Joint Ventures with Bidder (check one):

No MBE/WBE joint ventures
with Bidder on this Contract.

Bidder is joint venturing with the following firm(s)
(Attach a copy of joint venture agreements to this form)

Name: _____ Address: _____

City: _____ State: _____ Zip: _____

Telephone: _____ Federal ID No.: _____

MBE Share of Joint Venture: _____ % x Total Bid Amount = \$ _____

WBE Share of Joint Venture: _____ % x Total Bid Amount = \$ _____

Sub-contractor Performance

MBE Goal: 7% x Total Bid Amount = \$ _____

WBE Goal: 5% x Total Bid Amount = \$ _____

Please provide the information requested for **all** subcontractors participating on this project (include MBE/WBE firms).

Sub-contractor Name, Address, Phone	MBE, WBE or N/A	Amount of Sub- contract & Award Date	Description of Work (Trade)	Start Date Completion Date	Contracted Payment Schedule
	Select				
	Select				
	Select				
	Select				
	Select				
	Select				
	Select				
	Select				
	Select				
	Select				

I, _____, representative of _____
declare that the information provided is true and represents accurately my firm’s efforts to comply with the Affirmative Action Policy. We shall continue to make every effort to ensure that MBE/WBE firms have the maximum opportunity to compete for, and perform contracts let by the County of Albany.

SUBMIT MONTHLY**County of Albany MBE/WBE and
Labor Performance
Monthly Utilization Report**

This report must be completed by each firm working on the site and submitted to the General Contractor on a monthly basis. The General Contractor forwards the reports to the County of Albany, Division of Affirmative Action, 112 State Street, Room 900, Albany, NY 12207. Fax (518) 447-5560. For assistance, call (518) 447-7010.

Contractor:_____ Address:_____

City:_____ State:_____ Zip:_____

Telephone:_____ Fax Number:_____ Federal ID No.:_____

Project Name:_____ Project Cost:_____ Completion Date:_____

Reporting Period: _____ Month _____ Year

Trade	Number of Hours Worked by Minorities	Number of Hours Worked by Women	Number of Hours Worked by Non-Minorities	Total Hours Worked
				0.00
				0.00
				0.00
				0.00
				0.00
				0.00
				0.00
Total(s):	0.00	0.00	0.00	0.00

Information Provided By _____

Date _____

MBE/WBE Payments

MBE/WBE Firm(s) Participating on the Project	Payments Made this Month	Payments Made to Date

INSTRUCTIONS

This form must be completed and submitted by the Contractor/Vendor by the **10th** of each month for the duration of the contract. The form must be accompanied by copies of checks (front and back) made payable to MBE/WBE subcontractor and suppliers.

This form is required pursuant to the contract specifications. Failure to submit will result in non-compliance.

County of Albany
Department of Human Resources Division of
Affirmative Action
112 State Street, Room 900, Albany, NY 12207
Phone:(518) 447-7010

NOTE: IF THERE IS NO ACTIVITY FOR THE REPORTING PERIOD, PLEASE NOTE ACCORDINGLY.

County of Albany
Waiver Request for MBE/WBE Subcontracting and/or Labor Performance

If your firm has determined that it is not feasible to meet the subcontracting and/or labor performance goals specified in the contract, complete and return this form within fifteen days of the Intent to Award. The request must identify reasons why the firm cannot reach the labor and subcontractor goals applicable. The Division of Affirmative Action will evaluate each waiver individually. Please be advised that sub- mission of this request does not guarantee waiver of the requirements. Attach additional sheets if necessary. Please refer to the County of Albany Criteria for Establishing Good Faith Effort.

Contractor: _____ Address: _____

City: _____ State: _____ Zip: _____

Telephone: _____ Fax Number: _____ Federal ID No.: _____

Contract Type/Number: _____ Project Cost: _____

(☐) **Request Waiver of Minority/Women Labor Participation Goal. Please explain:**

Actions taken to include minority/women labor _____

(☐) **Request Waiver of Minority Subcontractor Participation Goal. Please explain:**

Actions taken to include MBE and/or WBE Subcontractor(s) _____

Signature

Name (Printed)

Title

County Of Albany
Criteria for Establishing Good Faith Effort

The following list of the good faith efforts criteria complies with NYS Executive Law, Article 15-A which should be considered for determining whether a contractor has documented good faith efforts:

1. Was a completed, acceptable utilization plan submitted in accordance with applicable requirements to meet goals for participation of certified minority and women-owned business enterprises established in the same contract?
2. Were advertisements placed in appropriate trade, general circulation and minority and women- oriented publications in a timely fashion?
3. Were written solicitations made in a timely fashion of certified minority and women-owned business enterprises listed in the directory of certified business?
4. Were timely responses to any such advertisements and solicitations provided by certified minority and women-owned business enterprises?
5. Did the contractor attend pre-bid, pre-award, or other meetings, if any, scheduled by the agency awarding the contract, with certified minority or women-owned business enterprises which the State or County agency determined were capable of performing the contract scope of work, for purposes of complying with goal requirements?
6. What efforts were undertaken by the contractor to reasonably structure the contract scope of work for purposes of subcontracting with certified minority and women-owned business enterprises?
7. How many minority and women-owned business enterprises in the directories of certified businesses could perform work required by the contract scope of work in your region?
8. What actions were taken to contact and assess the financial ability of certified minority and women- owned businesses enterprises to participation on the contract, and which enterprises are located out- side of the region in which the contract scope of work was or will be performed?
9. Were relevant plans, specification or terms and conditions of the contract, necessary to prepare an informed response to a contractor solicitation, provided in a timely fashion to certified minority or women-owned business enterprises?
10. What subcontract terms and conditions were offered to certified minority and women- owned business enterprises, and how do those subcontract terms and conditions compare to those offered in the ordinary course of the contractor's business and to other subcontractors of the contractor?
11. Has the contractor made payments for work performed by certified minority and women- owned business enterprises in a timely fashion so as to facilitate continued performance by certified minority or women-owned business enterprises?
12. Has the contractor offered to make up any inability to comply with the minority and women-owned business enterprise goals established in a contract, in other contracts being performed or to be awarded to the contractor?

RESOLUTION NO. 261

AUTHORIZING AN AGREEMENT WITH BUILDING BRIDGES SUPERVISION, LLC REGARDING SUPERVISED VISITATION SERVICES AND AMENDING THE 2025 PUBLIC DEFENDER'S OFFICE BUDGET

Introduced: 7/14/25
By Law Committee:

WHEREAS, The Public Defender has requested authorization to enter into an agreement with Building Bridges Supervision, LLC regarding supervised visitation services for family court clients in the amount of \$150,000 for the term commencing July 1, 2025 and ending June 30, 2027, and

WHEREAS, The Public Defender's Office, through the Purchasing Agent, issued a request for proposals regarding supervised visitations services and Building Bridges Supervision, LLC was recommended as the sole bidder, and

WHEREAS, The Public Defender has also requested authorization to amend the 2025 Public Defender's Office Budget in relation to this agreement, now, therefore, be it

RESOLVED, By the Albany County Legislature that the County Executive is authorized to enter into an agreement with Building Bridges Supervision, LLC, Albany, NY 12202 regarding supervised visitation services for family court clients in the amount of \$150,000 for the term commencing July 1, 2025 and ending June 30, 2027, and, be it further

RESOLVED, That the 2025 Public Defender's Office Budget is hereby amended as follows:

APPROPRIATIONS							
BUDGET LINE				DESCRIPTION	INCREASE	DECREASE	UNIT COST
FUND	ORG	OBJ	PROJECT				DEPARTMENT NAME
A9	4320	44031	OSF19	Client Services	\$150,000		\$150,000
				TOTAL APPROPRIATIONS	\$150,000	\$0	
ESTIMATED REVENUES							
BUDGET LINE				DESCRIPTION	DECREASE	INCREASE	UNIT COST
FUND	ORG	OBJ	PROJECT				DEPARTMENT NAME
A	4320	023750		Opioid Settlement Funds (Direct)		\$150,000	Opioid Settlements
				TOTAL REVENUES	\$0	\$150,000	
				GRAND TOTAL	\$150,000	\$150,000	

and, be it further

RESOLVED, That the County Attorney is authorized to approve said agreement as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.



DANIEL P. MCCOY
COUNTY EXECUTIVE

MICHAEL P. McLAUGHLIN JR
DEPUTY COUNTY EXECUTIVE

COUNTY of ALBANY
CRIME VICTIM and SEXUAL VIOLENCE CENTER
112 State Street, Room 1010
Albany, New York 12207-2077
Office: (518) 447-7100 Fax: (518) 447-7102
24-Hour Sexual Assault Hotline: (518) 447-7716
cvsvc@albanycountyny.gov
www.albanycounty.com/cvsvc
e-mail: cvsvc@albanycounty.com

AMANDA WINGLE
DIRECTOR

KENDRA WALL
DEPUTY DIRECTOR

Hon. Joanne Cunningham, Chairwoman
Albany County Legislature
112 State St. Room 710
Albany, NY 12207

May 28, 2025

Dear Chairwoman Cunningham:

The Crime Victim and Sexual Violence Center (CVSVC) was recently made aware of the availability of \$49,757 in additional funding for our Department of Health (DOH) community mobilization grant. This funding is to be applied to Year 2 of the grant (2/1/25-1/31/26). We are requesting approval to amend the current contract to reflect the new total amount of \$219,757 for Year 2.

We are also requesting to modify the 2025 budget to reflect the additional funding. This funding will be allocated to the Fees for Services line.

If any further information is required or if you have any questions regarding this request, please contact me. I appreciate your consideration in this matter.

Respectfully submitted,

Amanda Wingle
Director

Cc:
Dennis Feeney, Majority Leader
Frank Mauriello, Minority Leader
Rebekah Kennedy, Esq. Majority Counsel
James Curran, Esq. Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6608, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Contract amendment to allow the Crime Victim and Sexual Violence Center (CVSVC) to accept additional grant funds from New York State Department of Health and amendment to 2025 budget

Date: 5/28/2025
Department: Crime Victim & Sexual Violence Center
Attending Meeting: Amanda Wingle
Submitted By: Amanda Wingle
Title: Director
Phone: 518-447-4911

Purpose of Request: Other (State if not Listed) Contract amendment

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:
New York State Department of Health
Bureau of Perinatal, Reproductive, and Sexual Health
Corning Tower Rm. 821
Albany, NY 12237

Term: (Start/end date or duration) 02/01/2025-01/31/2026
Amount/Raise Schedule/Fee: \$49,757

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Budget: Yes ☐ No ☒
Spreadsheet attached: Yes ☒ No ☐

Source of Funding - (Percentages)

Federal:	100	County:	0
State:	0	Local:	0

County Budget Accounts:

Revenue Account and Line:	04495
Revenue Amount:	\$49,757
Appropriation Account and Line:	44046 Fees for Services
Appropriation Amount:	\$49,757

ADDITIONAL INFORMATION:

Mandated Program/Service:	Yes ☐ No ☒
If Mandated, Cite Authority:	Enter text.
Request for Bids / Proposals:	
Competitive Bidding Exempt:	Yes ☐ No ☒
# of Response(s):	Enter text.
# of MWBE:	Enter text.
# of Veteran Business:	Enter text.
Bond Resolution No.:	Enter text.
Apprenticeship Program	Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date:	Res. 612 of 2024
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DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

The Crime Victim and Sexual Violence Center (CVSVC) was recently made aware of the availability of \$49,757 in additional funding for our Department of Health (DOH) community mobilization grant. This funding is to be applied to Year 2 of the grant (2/1/25-1/31/26). We are requesting approval to amend the current contract to reflect the new total amount of \$219,757 for Year 2.

We are also requesting to modify the 2025 budget to reflect the additional funding. This funding will be allocated to the Fees for Services line.

APPROPRIATIONS					
BUDGET LINE				DESCRIPTION	INCREASE
FUND	ORG	OBJ	PROJECT	FOR POSITIONS ONLY	
				STATE POS. CODE	POSITION CONTROL
A	4610	44046			
				Fees for Services	\$ 49,757

TOTAL APPROPRIATIONS	\$ 49,757.00
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ESTIMATED REVENUES					
BUDGET LINE				DESCRIPTION	DECREASE
FUND	ORG	OBJ	PROJECT		
A	4610	04495		Sexual Assault Prevention Educ	
				TOTAL REVENUES	\$ -
				GRAND TOTAL	\$ 49,757.00

DECREASE	UNIT COST	DEPARTMENT NAME
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\$226,499 Crime Victim & Sexual Violence Center

\$ -	Crime Victim & Sexual Violence Center
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INCREASE	UNIT COST	DEPARTMENT NAME
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\$49,757 \$474,107 Crime Victim & Sexual Violence Center

\$49,757.00

\$49,757.00

Wingle, Amanda

From: Wilson, Rachel (HEALTH) <Rachel.Wilson@health.ny.gov>
Sent: Friday, May 2, 2025 8:14 AM
To: Wingle, Amanda
Cc: Podber, Sarah (HEALTH); Mallett, Jacquelyn C (HEALTH)
Subject: EXTERNAL CMS Funding Opportunity
Attachments: Guidance to Request Carryforward Funds.pdf

Caution: This email originated from outside of Albany County. Do not click links or open attachments unless you recognize the sender and know the content is safe. If this email appears suspicious, please submit it using the Phish Alert Button in Outlook.

Warning: Unusual link

This message contains an unusual link, which may lead to a malicious site. Confirm the message is safe before clicking any links.

Hi Amanda,

I am writing regarding the following fiscal updates for the Communities Mobilizing for Safety Program. **If accepting funding detailed below, please submit a new budget by Friday, May 30.** Please reach out with any questions or concerns.

Increase to Base Funding:

Due to a surplus in available funds, we are offering an increase to your annual base budget from \$170,000/year to \$190,000/year through the remainder of the grant cycle (1/31/2029). These additional funds are intended to support you in completing the current scope of work.

Carryforward Funding:

As mentioned at our April check-in meeting, we have developed a process for your organization to request carryforward funds. Carryforward funds are any unspent funds from a previous contract that can be added to a current contract. See the attached "Guidance to Request Carryforward Funds" for information on process. Please note: this year's timeline is different than the general timeline listed in the guidance document. **Please submit new budgets by Friday, May 30.**

The following table outlines your new updated budget for year 2, should you choose to accept all of the funding described above.

Year 2 Base Budget (Current Budget)	Base Budget Increase	Carryforward (11/1/24-1/31/25)	Updated Year 2 Budget
\$170,000	+\$20,000	+ \$29,757	= \$219,757

Warmly,

Rachel Wilson, LMSW, MPH

Pronouns: she/her ([What's This?](#))

Sexual Violence Prevention Manager

Sexual Violence Prevention Unit

Bureau of Perinatal, Reproductive, and Sexual Health

New York State Department of Health

Empire State Plaza, Corning Tower Rm. 821, Albany, NY 12237
(518) 474-8780

Rachel.Wilson@health.ny.gov

www.health.ny.gov



HEALTH RESEARCH INCORPORATED

August 7, 2024

Karen Ziegler
Director
Albany County Crime Victim and Sexual Violence Center
112 State Street, Room 1010
Albany, NY 12207

Dear Karen Ziegler,

Thank you for your proposal submitted in response to the New York State Department of Health (the Department) and Health Research Inc. (HRI) 'Communities Mobilizing for Safety: A Public Health Approach to Sexual Violence Prevention' Request for Proposals #CCH-2024-01.

Congratulations! I am writing to inform you that Albany County Crime Victim and Sexual Violence Center has been selected for an award. Selection was based on the competitive review and evaluation of your organization's application.

The annual award amount is \$170,000. The following table outlines the anticipated dates, length, and award amounts for this grant. Final grant awards are contingent on the review and approval of HRI.

RPE Grant Year	Contract Length	Contract Dates	Maximum Funds Available
Year 1	3 months	11/1/24 to 1/31/25	\$42,500
Year 2	1 year	2/1/25 to 1/31/26	\$170,000
Year 3	1 year	2/1/26 to 1/31/27	\$170,000
Year 4	1 year	2/1/27 to 1/31/28	\$170,000
Year 5	1 year	2/1/28 to 1/31/29	\$170,000
Total: 4 years & 3 months			Total: \$722,500

You may request a debriefing of your application. It will be limited only to your application and will not include any discussion of other applications. Requests for debriefing must be received no later than August 20, 2024. To request a debriefing, please send an email to Rachel Wilson at SVPrevention@health.ny.gov. In the subject line, please include "Debriefing Request - Communities Mobilizing for Safety RFA."

The proposed timeline to execute this contract on or around November 1st is outlined below. Contract execution dates are dependent on negotiations and HRI approvals.

- Every organization is required to successfully register with SAM.gov **before** HRI will execute contracts. If your organization is not already registered, go to SAM.gov to obtain a unique entity

ID (UEI) number **as soon as possible**. Once you receive the number, you may complete your registration with SAM.gov.

- On or around August 8 – Your assigned Program Manager will be contacting you to negotiate final budgets for contract execution, including any unallowable expenses or activities and to answer any questions that you may have regarding this communication.
- **August 23 – updated budget forms due to Program Manager for review and approval.**
- Between August 23 & November 1 – Program Manager will submit updated budget to the Department & HRI leadership for review and approval. The fiscal manager will outreach for any additional edits.
- November 1 – anticipated date for HRI to send contract for signature.

If you have any questions, or concerns, please contact Rachel Wilson at (518) 474-8780 or Rachel.Wilson@health.ny.gov.

Sincerely,

A handwritten signature in cursive script that reads "Bernadette Dolen".

Bernadette Dolen
Director
Sexual Violence Prevention Unit
Bureau of Perinatal, Reproductive, and Sexual Health
New York State Department of Health

cc: Rachel Wilson

RESOLUTION NO. 612

AUTHORIZING AN AGREEMENT WITH THE NEW YORK STATE DEPARTMENT OF HEALTH, BUREAU OF PERINATAL, REPRODUCTIVE, AND SEXUAL HEALTH REGARDING PREVENTION EDUCATION AND AMENDING THE 2024 CRIME VICTIM AND SEXUAL VIOLENCE CENTER BUDGET

Introduced: 10/15/24

By Law Committee:

WHEREAS, The Director of the Crime Victim and Sexual Violence Center has requested authorization to enter into an agreement with the New York State Department of Health, Bureau of Perinatal, Reproductive, and Sexual Health regarding prevention education in the amount of \$722,500 for the term commencing November 1, 2024 and ending January 31, 2029, and

WHEREAS, The Director has also requested a budget amendment in order to incorporate a portion of the funding into the 2024 Crime Victim and Sexual Violence Center Budget, now, therefore, be it

RESOLVED, By the Albany County Legislature that the County Executive is authorized to enter into an agreement with the New York State Department of Health, Bureau of Perinatal, Reproductive, and Sexual Health regarding prevention education in the amount of \$722,500 for the term commencing November 1, 2024 and ending January 31, 2029, and, be it further

RESOLVED, That the 2024 Crime Victim and Sexual Violence Center Budget is amended as follows:

APPROPRIATIONS										
BUDGET LINE					DESCRIPTION		INCREASE	DECREASE	UNIT COST	DEPARTMENT NAME
FUND	ORG	OBJ	PROJECT	FOR POSITIONS ONLY						
				STATE	POSITION					
				POS. CODE	CONTROL					
A	4610	12239		001		Prevention Educator	\$7,956		\$47,735	CVSVC
A	4610	11028			450001	Director	\$2,117		\$105,060	CVSVC
A	4610	12243			450029	Coordinator of Community Education	\$2,722		\$54,444	CVSVC
A	4610	22050				Computer Equipment	\$1,667		\$2,500	CVSVC
A	4610	44020				Office Supplies	\$233		\$350	CVSVC
A	4610	44038				Travel/Mileage	\$592		\$888	CVSVC
A	4610	44042				Printing & Advertising	\$667		\$1,000	CVSVC
A	4610	44046				Fees for Services	\$6,000		\$9,000	CVSVC
A	4610	44049				Special Programs	\$1,150		\$1,725	CVSVC
A	4610	89010				State Retirement	\$1,743		\$2,615	CVSVC
A	4610	89030				Social Security	\$1,743		\$2,615	CVSVC
A	4610	89060				Hospital and Medical	\$1,743		\$2,615	CVSVC
TOTAL APPROPRIATIONS							\$28,333	\$0		
ESTIMATED REVENUES										
BUDGET LINE					DESCRIPTION		DECREASE	INCREASE	UNIT COST	DEPARTMENT NAME
FUND	ORG	OBJ	PROJECT							
A	4610	04495				Sexual Assault Prevention Educ		\$28,333		CVSVC
TOTAL REVENUES							\$0	\$28,333		
GRAND TOTAL							\$28,333	\$28,333		

and, be it further

RESOLVED, That the County Attorney is authorized to approve said agreement as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.

RESOLUTION NO. 262

AMENDING RESOLUTION NO. 612 FOR 2024 REGARDING PREVENTION EDUCATION AND AMENDING THE 2025 CRIME VICTIM AND SEXUAL VIOLENCE CENTER BUDGET

Introduced: 7/14/25
By Law Committee:

WHEREAS, By Resolution No. 612 for 2024, this Honorable Body authorized an agreement with the New York State Department of Health, Bureau of Perinatal, Reproductive, and Sexual Health regarding prevention education in the amount of \$722,500 for the term commencing November 1, 2024 and ending January 31, 2029, and

WHEREAS, The Director of the Crime Victim and Sexual Violence Center has requested authorization to amend the agreement to include an additional \$49,757, and

WHEREAS, The Director has also requested authorization to amend the 2025 Crime Victim and Sexual Violence Center Budget to incorporate the additional funding, now, therefore, be it

RESOLVED, By the Albany County Legislature that Resolution No. 612 for 2024 is hereby amended to reflect a new total amount of \$772,257, and, be it further

RESOLVED, That the 2025 Crime Victim and Sexual Violence Center Budget is amended as follows:

APPROPRIATIONS							
BUDGET LINE				DESCRIPTION	INCREASE	DECREASE	DEPARTMENT NAME
FUND	ORG	OBJ	PROJECT				
A	4610	44046		Fees for Services	\$ 49,757		Crime Victim & Sexual Violence Center
				TOTAL APPROPRIATIONS	\$ 49,757	\$ -	Crime Victim & Sexual Violence Center
ESTIMATED REVENUES							
BUDGET LINE				DESCRIPTION	DECREASE	INCREASE	DEPARTMENT NAME
FUND	ORG	OBJ	PROJECT				
A	4610	04495		Sexual Assault Prevention Educ		\$49,757	Crime Victim & Sexual Violence Center
				TOTAL REVENUES	\$ -	\$ 49,757	
				GRAND TOTAL	\$ 49,757	\$ 49,757	

and, be it further

RESOLVED, That the County Attorney is authorized to approve said amendment as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
CRIME VICTIM AND SEXUAL VIOLENCE CENTER
112 STATE STREET, ROOM 1010
ALBANY, NEW YORK 12207-2077
OFFICE: (518) 447-7100 | FAX (518) 447-7102
24-HOUR SEXUAL ASSAULT HOTLINE: (518) 447-7716
CVSVC@ALBANYCOUNTYNY.GOV
WWW.ALBANYCOUNTYNY.GOV/CVSVC

AMANDA WINGLE
DIRECTOR

MICHAEL P. McLAUGHLIN, JR.
DEPUTY COUNTY EXECUTIVE

KENDRA WALL
DEPUTY DIRECTOR

Hon. Joanne Cunningham, Chairwoman
Albany County Legislature
112 State St. Room 710
Albany, NY 12207

May 28, 2025

Dear Chairwoman Cunningham:

The Crime Victim and Sexual Violence Center (CVSVC) is requesting authorization to enter into a contract with and accept grant funding from the NYS Division of Criminal Justice Services (DCJS) for their Sexual Assault Crisis and Prevention Program in the amount of \$102,720. The duration of the contract is 10/1/24-9/30/25. This funding will be used to provide direct services to sexual assault victims, criminal justice advocacy in local courts, 24-hour sexual assault hotline services, and sexual assault awareness and prevention activities.

Thank you for your consideration of this matter.

Respectfully submitted,

Amanda Wingle
Director

Cc:
Dennis Feeney, Majority Leader
Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Esq. Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6635, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Authorization for Albany County Crime Victim and Sexual Violence Center (CVSVC) to enter into a contract with New York State Division of Criminal Justice Services

Date: 5/28/2025

Department: CVSVC

Attending Meeting: Amanda Wingle

Submitted By: Amanda Wingle

Title: Director

Phone: 518-447-4911

Purpose of Request: Contract Authorization Enter text.

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses: New York State Division of Criminal Justice Services (DCJS)
80 S Swan St.
Albany, NY 12210

Term: (Start/end date or duration) 10/1/2024-9/30/2025

Amount/Raise Schedule/Fee: \$102,720

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐

Anticipated in Budget: Yes ☒ No ☐

Spreadsheet attached: Yes ☐ No ☒

Source of Funding - (Percentages)

Federal: 0 County: 0

State: 100 Local: 0

County Budget Accounts:

Revenue Account and Line:

Revenue Amount:

Appropriation Account and Line: Enter text.

Appropriation Amount: Enter text.

ADDITIONAL INFORMATION:

File #: TMP-6635, **Version:** 1

Mandated Program/Service: Yes ☐ No ☒
If Mandated, Cite Authority: Enter text.
Request for Bids / Proposals:
 Competitive Bidding Exempt: Yes ☐ No ☒
 # of Response(s): Enter text.
 # of MWBE: Enter text.
 # of Veteran Business: Enter text.
Bond Resolution No.: Enter text.
Apprenticeship Program Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: Res. 622, 12/4/2023

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

The Crime Victim and Sexual Violence Center (CVSVC) is requesting authorization to enter into a contract with and accept grant funding from the NYS Division of Criminal Justice Services (DCJS) for their Sexual Assault Crisis and Prevention Program in the amount of \$102,720. The duration of the contract is 10/1/24-9/30/25. This funding will be used to provide direct services to sexual assault victims, criminal justice advocacy in local courts, 24-hour sexual assault hotline services, and sexual assault awareness and prevention activities.



Division of Criminal Justice Services

KATHY HOCHUL
Governor

ROSSANA ROSADO
Commissioner

CILLIAN FLAVIN
Deputy Commissioner

Grant Award Notice

Grantee/Contractor: County of Albany	Date: 05/27/2025
Program Name: Sexual Assault Crisis and Prevention Program	Award Amount: \$102,720
Signatory Name and Title: Michael McLaughlin, Deputy County Executive	Period Dates: 10/1/24 to 9/30/25
Email: grantcontracts@albanycountyny.gov	Contract Number: C652349
SFS Vendor ID No.: 1000002428	
Program Description: The New York State Division of Criminal Justice Services (DCJS) is providing funding to support New York State Department of Health (DOH) approved rape crisis providers and other affiliated eligible organizations through its Sexual Assault Crisis and Prevention Program funding.	
Additional Information: This notice represents a fixed term award for your SFY 2024-25 Sexual Assault Crisis and Prevention Program contract with DCJS. Your award information will be available to access in Grants Management System (GMS) on or after May 27, 2025. A standard workplan will be added to the project.	
Grantees are required to complete the following in GMS by June 30, 2025: • Enter Budget for expenses totaling your award amount. • Upload completed Minority and Women's Owned Business Enterprise (M/WBE) Forms. • Attach current Workers' Compensation and NYS Disability Benefits Certificates with DCJS as the certificate holder.	
Grant Questions	
PRIMARY CONTACT Kyesha Allen Public Safety Grants Representative NYS Division of Criminal Justice Services Office of Program Development and Funding Phone: 518-457-8934 Email: kyesha.allen@dcjs.ny.gov	SECONDARY CONTACT Elizabeth Berry Public Safety Grants Representative NYS Division of Criminal Justice Services Office of Program Development and Funding Phone: 518-457-8463 Email: Elizabeth.Berry@dcjs.ny.gov

RESOLUTION NO. 622

AUTHORIZING AN AGREEMENT WITH THE NEW YORK STATE DIVISION
OF CRIMINAL JUSTICE SERVICES REGARDING SEXUAL ASSAULT
CRISIS AND PREVENTION SERVICES

Introduced: 12/4/23
By Law Committee:

WHEREAS, The Director of the Crime Victim and Sexual Violence Center has requested authorization to enter into an agreement with the New York State Division of Criminal Justice Services regarding sexual assault crisis and prevention services in the amount of \$94,240 for the term commencing October 1, 2023 and ending September 30, 2024, and

WHEREAS, The Director indicated that the funding will be used to provide direct services to sexual assault victims, criminal justice advocacy in local courts, 24-hour sexual assault hotline services, and sexual assault prevention activities in local schools, now, therefore, be it

RESOLVED, By the Albany County Legislature that the County Executive is authorized to enter into an agreement with the New York State Division of Criminal Justice Services regarding sexual assault crisis and prevention services in the amount of \$94,240 for the term commencing October 1, 2023 and ending September 30, 2024, and, be it further

RESOLVED, That the County Attorney is authorized to approve said agreement as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.

Adopted by unanimous vote – 12/4/23

RESOLUTION NO. 263

AUTHORIZING AN AGREEMENT WITH THE NEW YORK STATE DIVISION OF CRIMINAL JUSTICE SERVICES REGARDING SEXUAL ASSAULT CRISIS AND PREVENTION SERVICES

Introduced: 7/14/25

By Law Committee:

WHEREAS, The Director of the Crime Victim and Sexual Violence Center has requested authorization to enter into an agreement with the New York State Division of Criminal Justice Services regarding sexual assault crisis and prevention services in the amount of \$102,720 for the term commencing October 1, 2024 and ending September 30, 2025, and

WHEREAS, The Director has indicated that this funding will be used to provide direct services to sexual assault victims, criminal justice advocacy in local courts, 24-hour sexual assault hotline services, and sexual assault awareness and prevention activities, now, therefore, be it

RESOLVED, By the Albany County Legislature that the County Executive is authorized to enter into an agreement with the New York State Division of Criminal Justice Services regarding sexual assault crisis and prevention services in the amount of \$102,720 for the term commencing October 1, 2024 and ending September 30, 2025, and, be it further

RESOLVED, That the County Attorney is authorized to approve said agreement as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.



DANIEL P. McCOY
COUNTY EXECUTIVE

MICHAEL P. McLAUGHLIN JR
DEPUTY COUNTY EXECUTIVE

COUNTY of ALBANY
CRIME VICTIM and SEXUAL VIOLENCE CENTER
112 State Street, Room 1010
Albany, New York 12207-2077
Office: (518) 447-7100 Fax: (518) 447-7102
24-Hour Sexual Assault Hotline: (518) 447-7716
cvsvc@albanycountyny.gov
www.albanycounty.com/cvsvc
e-mail: cvsvc@albanycounty.com

AMANDA WINGLE
DIRECTOR

KENDRA WALL
DEPUTY DIRECTOR

Hon. Joanne Cunningham, Chairwoman
Albany County Legislature
112 State St. Room 710
Albany, NY 12207

May 28, 2025

Dear Chairwoman Cunningham:

The Crime Victim & Sexual Violence Center is requesting to allocate \$139,050 of New York State Department of Health (DOH) grant funding to the Fees for Services line. These funds will be applied to the DOH Communities Mobilizing against Violence project.

If any further information is required or if you have any questions regarding this request, please contact me. I appreciate your consideration in this matter.

Respectfully submitted,

Amanda Wingle

Amanda Wingle
Director

Cc:
Dennis Feeney, Majority Leader
Frank Mauriello, Minority Leader
Rebekah Kennedy, Esq. Majority Counsel
James Curran, Esq. Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6637, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Albany County Crime Victim & Sexual Violence Center (CVSVC) request to amend the 2025 budget

Date: 05/28/2025
Department: CVSVC
Attending Meeting: Amanda Wingle
Submitted By: Amanda Wingle
Title: Director
Phone: 518-447-4911

Purpose of Request: Budget Amendment Enter text.

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses: New York State Department of Health
Bureau of Perinatal, Reproductive, and Sexual Health
Corning Tower Rm. 821
Albany, NY 12237

Term: (Start/end date or duration) 02/01/2025
Amount/Raise Schedule/Fee: 01/31/2026

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Budget: Yes ☐ No ☒
Spreadsheet attached: Yes ☒ No ☐

Source of Funding - (Percentages)

Federal: 100 County: Enter text.
State: Enter text. Local: Enter text.

County Budget Accounts:

Revenue Account and Line: 04495
Revenue Amount: \$139,050
Appropriation Account and Line: 44046 Fees for Services
Appropriation Amount: \$139,050

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒

If Mandated, Cite Authority: Enter text.
Request for Bids / Proposals:
 Competitive Bidding Exempt: Yes ☐ No ☒
 # of Response(s): Enter text.
 # of MWBE: Enter text.
 # of Veteran Business: Enter text.
Bond Resolution No.: Enter text.
Apprenticeship Program Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: Res. 120, 2025

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

The Crime Victim & Sexual Violence Center is requesting to allocate \$139,050 of New York State Department of Health (DOH) grant funding to the Fees for Services line. These funds will be applied to the DOH Communities Mobilizing against Violence project.

APPROPRIATIONS					
BUDGET LINE				DESCRIPTION	INCREASE
FUND	ORG	OBJ	PROJECT	FOR POSITIONS ONLY	
				STATE POS. CODE	POSITION CONTROL

A	4610	44046	XTR25			Fees for Services	\$139,050.00
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TOTAL APPROPRIATIONS	\$139,050.00
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ESTIMATED REVENUES					
BUDGET LINE				DESCRIPTION	DECREASE
FUND	ORG	OBJ	PROJECT		

A	4610	04495				Sexual Assault Prevention Educ
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TOTAL REVENUES	\$ -
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GRAND TOTAL	\$139,050.00
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DECREASE	UNIT COST	DEPARTMENT NAME
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\$226,499 Crime Victim & Sexual Violence Center

\$ -

INCREASE	UNIT COST	DEPARTMENT NAME
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\$139,050.00 \$474,107 Crime Victim & Sexual Violence Center

\$139,050.00

\$139,050.00

RESOLUTION NO. 120

AMENDING THE 2025 CRIME VICTIM AND SEXUAL VIOLENCE CENTER
BUDGET: PREVENTION EDUCATION

Introduced: 4/14/25

By Law Committee and Willingham:

WHEREAS, By Resolution No. 612 for 2024, this Honorable Body authorized an agreement with the New York State Department of Health, Bureau of Perinatal, Reproductive, and Sexual Health regarding prevention education in the amount of \$722,500 for the term commencing November 1, 2024 and ending January 31, 2029, and

WHEREAS, The Director of the Crime Victim and Sexual Violence Center has requested to amend the agreement in order to allocate a portion of funding that was not allocated in the 2025 Crime Victim and Sexual Violence Center Budget, now, therefore, be it

RESOLVED, By the Albany County Legislature that the 2025 Crime Victim and Sexual Violence Center Budget is hereby amended as follows:

Increase Revenue Account A4610 0 4495 Sexual Assault Prevention Education by \$30,950

Increase Appropriation Account A4610.4 by \$30,950 by increasing Line Item A4610 4 4046 Fees for Services by \$30,950

and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.

Adopted by unanimous vote – 4/14/25

State of New York
County of Albany

This is to certify that I, the undersigned, Clerk of the Albany County Legislature, have compared the foregoing copy of the resolution and/or local law with the original resolution and/or local law now on file in the office, and which was passed by the Legislature of said County on the 14th day of April, 2025, a majority of all members elected to the Legislature voting in favor thereof, and that the same is a correct and true transcript of such original resolution and/or local law and the whole thereof.



IN WITNESS THEREOF, I have hereunto set my hand and the official seal of the County Legislature this 22nd day of April, 2025.



Clerk, Albany County Legislature

RESOLUTION NO. 264

AMENDING THE 2025 CRIME VICTIM AND SEXUAL VIOLENCE CENTER BUDGET: PREVENTION EDUCATION

Introduced: 7/14/25

By Law Committee:

WHEREAS, The Director of the Crime Victim and Sexual Violence Center has requested authorization to amend the 2025 Crime Victim and Sexual Violence Center Budget in order to allocate funding to be used for the Communities Mobilizing for Safety Project, now, therefore, be it

RESOLVED, By the Albany County Legislature that the 2025 Crime Victim and Sexual Violence Center Budget is hereby amended as follows:

APPROPRIATIONS								
BUDGET LINE				DESCRIPTION	INCREASE	DECREASE	UNIT COST	DEPARTMENT NAME
FUND	ORG	OBJ	PROJECT					
A	4610	44046	XTR25	Fees for Services	\$ 139,050		\$ 226,499	Crime Victim & Sexual Violence Center
				TOTAL APPROPRIATIONS	\$ 139,050	\$ -		
ESTIMATED REVENUES								
BUDGET LINE				DESCRIPTION	DECREASE	INCREASE	UNIT COST	DEPARTMENT NAME
FUND	ORG	OBJ	PROJECT					
A	4610	04495		Sexual Assault Prevention Educ		\$139,050	\$474,107	Crime Victim & Sexual Violence Center
				TOTAL REVENUES	\$0	\$139,050		
				GRAND TOTAL	\$139,050	\$139,050		

and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.



LEE C. KINDLON
DISTRICT ATTORNEY

COUNTY OF ALBANY
OFFICE OF THE DISTRICT ATTORNEY
ALBANY COUNTY JUDICIAL CENTER
6 LODGE STREET
ALBANY, NEW YORK 12207
518-487-5460
518-487-5093 - FAX

JOSEPH J. MEANY
CHIEF ASSISTANT DISTRICT ATTORNEY

JESSICA BLAIN-LEWIS
DEPUTY CHIEF ASSISTANT DISTRICT ATTORNEY

June 12, 2025

The Honorable Joanne Cunningham
Chairwoman, Albany County Legislature
112 State Street, Suite 710
Albany, New York 12208

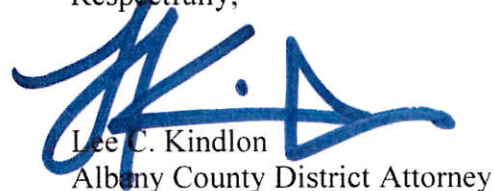
Dear Chairwoman Cunningham:

The District Attorney's Office respectfully requests permission to accept funding from the New York State Division of Criminal Justice for a new grant. The Statewide Targeted Reductions in Intimate Violence (STRIVE) grant seeks to improve the public safety response to intimate partner abuse and domestic violence through the use of evidence-based strategies. The STRIVE grant creates a partnership between the District Attorney's Office, Albany County Probation, Albany Police Department and Equinox Domestic Violence Services.

The District Attorney's Office has been awarded \$377,739, which will provide us with two additional Assistant District Attorneys, a Crime Victim Caseworker and funding for training.

Thank you very much for your assistance in this matter. If any additional information is needed, please do not hesitate to contact me or my office.

Respectfully,


Lee C. Kindlon
Albany County District Attorney

CC: Dennis Feeney, Majority Leader
Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James P. Curran, Minority Counsel

REQUEST FOR LEGISLATIVE ACTION

Date: 6/9/2025
Submitted By: Patrick Collins
Department: Office of the Albany County District Attorney
Title: Chief Compliance and Ethics Counsel
Phone: 518-275-4781
Department Rep. Attending Meeting: Lee Kindlon, District Attorney

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:
Click or tap here to enter text.

Term: (Start/end date or duration) March 1, 2025 – March 31, 2026

Costs:

Amount/Raise Schedule/Fee: \$237,739

Source of Funding – (Percentages)

Federal: Click or tap here to enter text.
State: 100%
County: Click or tap here to enter text.
Local: Click or tap here to enter text.

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒
If Mandated Cite Authority: Click or tap here to enter text.
Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Current Budget: Yes ☐ No ☒
Budget Spreadsheet attached: Yes ☒ No ☐
Bond Res. No.: Click or tap here to enter text.
Apprenticeship Program Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: Click or tap here to enter text.

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

The District Attorney's Office was recently awarded the Statewide Targeted Reductions in Intimate Partner Violence (STRIVE) grant, to improve the public safety response to intimate partner abuse and domestic violence. This funding will provide the office with two attorney positions, a crime victim advocate, fringe benefits and money for training.

ALBANY

ALBANY POLICE DEPARTMENT BUDGET

PERSONNEL		Requested Budget	Awarded Budget
Job Title / Position			
	STRIVE Analyst	\$46,025	\$46,025
Job Title/ Position Total		\$46,025	\$46,025
Fringe Benefits for Positions			
	STRIVE Analyst	\$26,696	\$26,696
Fringe Benefits Total		\$26,696	\$26,696
TOTAL PERSONNEL		\$72,721	\$72,721
DOMESTIC VIOLENCE HIGH-RISK TEAM (DVHRT)			
	Overtime	\$85,000	\$85,000
	Equipment - one (1) Crime Lite AUTO Kit full spectrum illumination, capture and enhancement too	\$50,600	\$50,600
	Cellular Telephone Plans	\$23,040	\$23,040
	Child Safety Seats for Transportation	\$1,000	\$1,000
	STRIVE Related Domestic Violence Awareness campaign materials	\$2,500	\$2,500
TOTAL DVHRT		\$162,140	\$162,140
LETHALITY ASSESSMENT PROGRAM (LAP)			
TOTAL LAP		\$0	\$0
INTIMATE PARTNER VIOLENCE INTERVENTION (IPVI)			
TOTAL IPVI		\$0	\$0
TRAVEL & TRAINING			
	Training: LAP Implementation Training	\$21,500	\$21,500
	Training: STRIVE/IPV Related Training Conferences	\$8,000	\$8,000
	Travel: Travel related to Conferences, Symposiums, or STRIVE Related Training	\$6,000	\$6,000
TOTAL TRAVEL & TRAINING		\$35,500	\$35,500
POLICE DEPARTMENT TOTAL		\$270,361	\$270,361

5/1/2025

PERSONNEL		Requested Budget	Awarded Budget
Job Title / Position			
	Strive Coordinator	\$55,000	\$55,000
	Police Advocate 1 FTE	\$45,760	\$45,760
	Police Advocate 1 FTE	\$45,760	\$45,760
	Chief Program Officer	\$10,400	\$10,400
	Director of DV Services	\$8,102	\$8,102
	Outreach Services Program Manager (Advocate Supervisor)	\$3,021	\$3,021
	Counselor	\$0	\$3,021
	Job Title/ Position Total	\$168,043	\$171,064
Fringe Benefits for Positions			
	Strive Coordinator	\$10,208	\$10,208
	Police Advocate 1 FTE	\$8,494	\$8,494
	Police Advocate 1 FTE	\$8,494	\$8,494
	Chief Program Officer	\$1,930	\$1,930
	Director of DV Services	\$1,506	\$1,506
	Outreach Services Program Manager (Advocate Supervisor)	\$561	\$561
	Counselor	\$0	\$561
	Fringe Benefits Total	\$31,193	\$31,754
	TOTAL PERSONNEL	\$199,236	\$202,818
DOMESTIC VIOLENCE HIGH-RISK TEAM (DVHRT)			
	Overtime and On Call	\$50,000	\$40,000
	Administrative costs	\$92,337	\$92,337
	Program/Office & CCR Coordination Supplies:	\$30,000	\$25,000
	Communication / Cell Phone	\$3,008	\$3,008
	Printing & Outreach	\$10,000	\$6,000
	Office & Building expenses	\$6,000	\$6,000
	Client Emergency Funds & Emergency Relocation Funds	\$90,000	\$75,418
	Equipment and Software	\$10,000	\$10,000
	Interpretation and translation services	\$15,000	\$10,000
	Client Transportation	\$20,000	\$15,000
	Client Civil Legal Services	\$0	\$50,000
	TOTAL DVHRT	\$326,345	\$332,763
LETHALITY ASSESSMENT PROGRAM (LAP)			
	TOTAL LAP	\$0	\$0
INTIMATE PARTNER VIOLENCE INTERVENTION (IPVI)			
	TOTAL IPVI	\$0	\$0
TRAVEL & TRAINING			
	Staff Mileage	\$4,000	\$4,000
	Travel	\$33,000	\$28,000
	Training	\$53,000	\$48,000
	TOTAL TRAVEL & TRAINING	\$90,000	\$80,000
	SERVICE PROVIDER TOTAL	\$615,581	\$615,581

5/1/2025

SHERIFF'S OFFICE BUDGET

PERSONNEL		Requested Budget	Awarded Budget
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Division of Criminal Justice Services

KATHY HOCHUL

Governor

ROSSANA ROSADO

Commissioner

CILLIAN FLAVIN

Deputy Commissioner

Grant Award Notice

Grantee/Contractor: Albany County District Attorney	Date: 5/19/2025
Program Name: STRIVE (Year I)	Award Amount: \$377,739
Signatory Name and Title: Lee Kindlon District Attorney	Term Dates: 3/1/2025 – 3/31/2026
Email: lee.kindlon@albanycountyny.gov	Contract Number: C485120
Program Description: Statewide Targeted Reductions in Intimate Partner Violence (STRIVE) Initiative	
I am pleased to inform you that your organization will receive funding under the Statewide Targeted Reductions in Intimate Partner Violence (STRIVE) grant program from State Fiscal Year 2025. This grant program was secured by Governor Kathy Hochul and the New York State Legislature to improve the public safety response to intimate partner abuse and domestic violence. Please note that this funding is made available to your organization pursuant to the county plan submitted to, and approved by, the Division of Criminal Justice Services (DCJS). Funding shall be used for the prevention of – and intervention (e.g., enforcement, prosecution) in – incidences of intimate partner violence through evidence-based, survivor-centered, trauma-informed, and culturally responsive strategies. If you have any programmatic questions, please contact the DCJS Office of Public Safety (OPS) at STRIVE@dcjs.ny.gov. Your DCJS Office of Program Development and Funding (OPDF) Public Safety Grants Representative will be reaching out shortly with the next steps.	

We look forward to working with you in our shared efforts to help keep New Yorkers safe and ensure a justice system that works for all. Thank you for your continued partnership.

APPROPRIATIONS						
BUDGET LINE				DESCRIPTION	INCREASE	
FUND	ORG	OBJ	PROJECT	FOR POSITIONS ONLY		
				STATE POSITION		
				POS. CODE CONTROL		
A	1165	12016	STV25	006	Assistant DA I	\$40,000
A	1165	12015	STV25	010	Assistant DA II	\$45,000
A	1165	12237	STV25	004	Crime Victim Caseworker	\$31,262
A	1165	44037	STV25		Conferences/Training/Tuition	\$15,000
					State Retirement	\$16,591
					Social Security	\$8,894
					Hospital and Medical Insurance	\$39,622
TOTAL APPROPRIATIONS						\$196,369

				ESTIMATED REVENUES		
BUDGET LINE				DESCRIPTION	INCREASE	
FUND	ORG	OBJ	PROJECT			
A	1165	03516	STV25	STRIVE Grant		\$196,369
				TOTAL REVENUES		\$196,369
				GRAND TOTAL	\$196,369	\$196,369

UNIT COST	DEPARTMENT NAME
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\$80,000	District Attorney's Office
\$90,000	District Attorney's Office
\$62,525	District Attorney's Office
\$45,000	District Attorney's Office
	District Attorney's Office
	District Attorney's Office
	District Attorney's Office

UNIT COST	DEPARTMENT NAME
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	District Attorney's Office
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ALBANY

ALBANY POLICE DEPARTMENT BUDGET

PERSONNEL		Requested Budget	Awarded Budget
Job Title / Position			
STRIVE Analyst		\$46,025	\$46,025
Job Title/ Position Total		\$46,025	\$46,025
Fringe Benefits for Positions			
STRIVE Analyst		\$26,696	\$26,696
Fringe Benefits Total		\$26,696	\$26,696
TOTAL PERSONNEL		\$72,721	\$72,721
DOMESTIC VIOLENCE HIGH-RISK TEAM (DVHRT)			
Overtime		\$85,000	\$85,000
Equipment - one (1) Crime Lite AUTO Kit full spectrum illumination, capture and enhancement too		\$50,600	\$50,600
Cellular Telephone Plans		\$23,040	\$23,040
Child Safety Seats for Transportation		\$1,000	\$1,000
STRIVE Related Domestic Violence Awareness campaign materials		\$2,500	\$2,500
TOTAL DVHRT		\$162,140	\$162,140
LETHALITY ASSESSMENT PROGRAM (LAP)			
TOTAL LAP		\$0	\$0
INTIMATE PARTNER VIOLENCE INTERVENTION (IPVI)			
TOTAL IPVI		\$0	\$0
TRAVEL & TRAINING			
Training: LAP Implementation Training		\$21,500	\$21,500
Training: STRIVE/IPV Related Training Conferences		\$8,000	\$8,000
Travel: Travel related to Conferences, Symposiums, or STRIVE Related Training		\$6,000	\$6,000
TOTAL TRAVEL & TRAINING		\$35,500	\$35,500
POLICE DEPARTMENT TOTAL		\$270,361	\$270,361

5/1/2025

DISTRICT ATTORNEY'S OFFICE BUDGET			
PERSONNEL		Requested Budget	Awarded Budget
Job Title / Position			
	Assistant District Attorney I	\$80,000	\$80,000
	Assistant District Attorney II	\$90,000	\$90,000
	Crime Victim Caseworker	\$62,525	\$62,525
Job Title/ Position Total		\$232,525	\$232,525
Fringe Benefits for Positions			
	Assistant District Attorney I	\$44,800	\$44,800
	Assistant District Attorney II	\$50,400	\$50,400
	Crime Victim Caseworker	\$35,014	\$35,014
Fringe Benefits Total		\$130,214	\$130,214
TOTAL PERSONNEL		\$362,739	\$362,739
DOMESTIC VIOLENCE HIGH-RISK TEAM (DVHRT)			
TOTAL DVHRT		\$0	\$0
LETHALITY ASSESSMENT PROGRAM (LAP)			
TOTAL LAP		\$0	\$0
INTIMATE PARTNER VIOLENCE INTERVENTION (IPVI)			
TOTAL IPVI		\$0	\$0
TRAVEL& TRAINING			
	Training	\$15,000	\$15,000
TOTAL TRAVEL & TRAINING		\$15,000	\$15,000
DISTRICT ATTORNEY'S OFFICE TOTAL		\$377,739	\$377,739

5/1/2025

SERVICE PROVIDER BUDGET			
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PERSONNEL		Requested Budget	Awarded Budget
Job Title / Position			
	Strive Coordinator	\$55,000	\$55,000
	Police Advocate 1 FTE	\$45,760	\$45,760
	Police Advocate 1 FTE	\$45,760	\$45,760
	Chief Program Officer	\$10,400	\$10,400
	Director of DV Services	\$8,102	\$8,102
	Outreach Services Program Manager (Advocate Supervisor)	\$3,021	\$3,021
	Counselor	\$0	\$3,021
	Job Title/ Position Total	\$168,043	\$171,064
Fringe Benefits for Positions			
	Strive Coordinator	\$10,208	\$10,208
	Police Advocate 1 FTE	\$8,494	\$8,494
	Police Advocate 1 FTE	\$8,494	\$8,494
	Chief Program Officer	\$1,930	\$1,930
	Director of DV Services	\$1,506	\$1,506
	Outreach Services Program Manager (Advocate Supervisor)	\$561	\$561
	Counselor	\$0	\$561
	Fringe Benefits Total	\$31,193	\$31,754
	TOTAL PERSONNEL	\$199,236	\$202,818
DOMESTIC VIOLENCE HIGH-RISK TEAM (DVHRT)			
	Overtime and On Call	\$50,000	\$40,000
	Administrative costs	\$92,337	\$92,337
	Program/Office & CCR Coordination Supplies:	\$30,000	\$25,000
	Communication / Cell Phone	\$3,008	\$3,008
	Printing & Outreach	\$10,000	\$6,000
	Office & Building expenses	\$6,000	\$6,000
	Client Emergency Funds & Emergency Relocation Funds	\$90,000	\$75,418
	Equipment and Software	\$10,000	\$10,000
	Interpretation and translation services	\$15,000	\$10,000
	Client Transportation	\$20,000	\$15,000
	Client Civil Legal Services	\$0	\$50,000
	TOTAL DVHRT	\$326,345	\$332,763
LETHALITY ASSESSMENT PROGRAM (LAP)			
	TOTAL LAP	\$0	\$0
INTIMATE PARTNER VIOLENCE INTERVENTION (IPVI)			
	TOTAL IPVI	\$0	\$0
TRAVEL & TRAINING			
	Staff Mileage	\$4,000	\$4,000
	Travel	\$33,000	\$28,000
	Training	\$53,000	\$48,000
	TOTAL TRAVEL & TRAINING	\$90,000	\$80,000
	SERVICE PROVIDER TOTAL	\$615,581	\$615,581

5/1/2025

SHERIFF'S OFFICE BUDGET

PERSONNEL		Requested Budget	Awarded Budget
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5/1/2025

5/1/2025

RESOLUTION NO. 265

AUTHORIZING AN AGREEMENT WITH THE NEW YORK STATE DIVISION OF CRIMINAL JUSTICE SERVICES REGARDING THE STATEWIDE TARGETED REDUCTIONS IN INTIMATE VIOLENCE GRANT AND AMENDING THE 2025 DISTRICT ATTORNEY'S OFFICE BUDGET

Introduced: 7/14/25
By Law Committee:

WHEREAS, The District Attorney has requested authorization to enter into an agreement with the New York State Division of Criminal Justice Services regarding the Statewide Targeted Reductions in Intimate Violence (STRIVE) grant in the amount of \$377,739 for the term commencing March 1, 2025 and ending March 31, 2026, and

WHEREAS, The District Attorney has also requested authorization to amend the 2025 District Attorney's Office Budget in relation to the STRIVE grant, now, therefore, be it

RESOLVED, By the Albany County Legislature that the County Executive is authorized to enter into an agreement with the New York State Division of Criminal Justice Services regarding the STRIVE grant in the amount of \$377,739 for the term commencing March 1, 2025 and ending March 31, 2026, and, be it further

RESOLVED, That the 2025 District Attorney's Office Budget is amended as follows:

APPROPRIATIONS										
BUDGET LINE						DESCRIPTION	INCREASE	DECREASE	UNIT COST	DEPARTMENT NAME
FUND	ORG	OBJ	PROJECT	FOR POSITIONS ONLY						
				STATE POS. CODE	POSITION CONTROL					
A	1165	12016	STV25	006		Assistant DA I	\$40,000		\$80,000	District Attorney's Office
A	1165	12015	STV25	010		Assistant DA II	\$45,000		\$90,000	District Attorney's Office
A	1165	12237	STV25	004		Crime Victim Caseworker	\$31,262		\$62,525	District Attorney's Office
A	1165	44037	STV25			Conferences/Training/Tuition	\$15,000		\$45,000	District Attorney's Office
						State Retirement	\$16,591			District Attorney's Office
						Social Security	\$8,894			District Attorney's Office
						Hospital and Medical Insurance	\$39,622			District Attorney's Office
						TOTAL APPROPRIATIONS	\$196,369			
ESTIMATED REVENUES										
BUDGET LINE						DESCRIPTION	DECREASE	INCREASE	UNIT COST	DEPARTMENT NAME
FUND	ORG	OBJ	PROJECT							
A	1165	03516	STV25			STRIVE Grant		\$196,369		District Attorney's Office
						TOTAL REVENUES		\$196,369		
						GRAND TOTAL	\$196,369	\$196,369		

and, be it further

RESOLVED, That the County Attorney is authorized to approve said agreement as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.



COUNTY OF ALBANY
DEPARTMENT OF MENTAL HEALTH
ADMINISTRATION
175 GREEN STREET
ALBANY, NEW YORK 12202
518-447-4537 FAX 518-447-4577
WWW.ALBANYCOUNTY.COM

Daniel P. McCoy
County Executive

Michael P. McLaughlin, Jr.
Deputy County Executive

Stephen J. Giordano, Ph.D.
Director of Mental Health

Cindy G. Hoffman, LCSW-R
Deputy Director

May 23, 2025

Honorable Joanne Cunningham
Chairwoman
Albany County Legislature
112 State Street
Room 710
Albany, NY 12207

Dear Chairwoman Cunningham,

The Department of Mental Health respectfully requests permission to provide a one-year, no-cost extension to Catholic Charities Care Coordination Services (CCCCS) for their Opioid Settlement Funded (OSF) Community Grant contract (Contract No. 2024-1999). These grant funds are being used to expand and support the continued operation of the Law Enforcement Assisted Diversion (LEAD) program through the hiring of a Certified Peer Recovery Advocate (CRPA) and by supporting administrative positions whose previous grant funding is ending.

It took CCCC'S some time to hire the CRPA, but since then, the agency has been steadily spending down its grant. Catholic Charities also has a subcontract with Trinity Health to support LEAD's administrative positions; however, to date, those staffing funds have not been expended. It is expected that Trinity Health will begin drawing on these funds in the near future, so a one-year, no-cost extension is requested.

With the County's approval, CCCC'S' contract will be extended to June 30, 2026. No additional funding will be provided, but Catholic Charities will retain access to the remaining \$105,000 in their OSF Community Grant award.

Feel free to contact me or Adam Kirkman if you have any questions concerning this request.

Sincerely,


Stephen Giordano, Ph.D.
Director

cc: Hon. Dennis A. Feeney, Majority Leader
Hon. Frank A. Mauriello, Minority Leader

Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6609, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Requesting approval to amend Catholic Charities Care Coordination Services' OSF Community Grant Contract (#2024-1999) by providing a one-year no-cost extension.

Date: May 28, 2025
Department: Albany County Department of Mental Health
Attending Meeting: Dr. Stephen Giordano and Adam Kirkman
Submitted By: Adam Kirkman
Title: Special Projects Coordinator for Opioids
Phone: 518-447-2057

Purpose of Request: Contract Authorization Contract Amendment

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:

Catholic Charities Care Coordination Services 100 Slingerland Street, Albany, NY 12202

Term: (Start/end date or duration) 07/01/2025-06/30/2026
Amount/Raise Schedule/Fee: \$105,000

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Budget: Yes ☒ No ☐
Spreadsheet attached: Yes ☐ No ☒

Source of Funding - (Percentages)

Federal: Enter text. County: Enter text.
State: 100% Local: Enter text.

County Budget Accounts:

Revenue Account and Line: Enter text.
Revenue Amount: Enter text.
Appropriation Account and Line: A94320.44489.OSF09
Appropriation Amount: \$105,000

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒
If Mandated, Cite Authority: Enter text.

Request for Bids / Proposals:

Competitive Bidding Exempt: Yes ☐ No ☒

of Response(s): Enter text.

of MWBE: Enter text.

of Veteran Business: Enter text.

Bond Resolution No.: Enter text.

Apprenticeship Program Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: Resolution No. 286 5/13/2024

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

The Department of Mental Health requests permission to provide a one-year no-cost extension to Catholic Charities Care Coordination Services (CCCCS) for their Opioid Settlement Funded Community Grant. This grant is being used to expand and support the continued operation of the Law Enforcement Assisted Diversion (LEAD) program, though the hiring of a Certified Peer Recovery Advocate (CRPA) and by supporting administrative positions whose grant funding is ending. With the County's approval, CCCCCS' contract will now end on June 30, 2026. No additional funds will be provided, but Catholic Charities will retain access to their remaining \$105,000 in OSF Community Grant Funds.

RESOLUTION NO. 286

AUTHORIZING AN AGREEMENT WITH CATHOLIC CHARITIES CARE COORDINATION SERVICES REGARDING OPIOID SETTLEMENT FUNDING AND AMENDING THE 2024 DEPARTMENT OF MENTAL HEALTH BUDGET

Introduced: 5/13/24

By Health Committee:

WHEREAS, The Director of the Albany County Department of Mental Health has requested authorization to enter into an agreement with Catholic Charities Care Coordination Services regarding Opioid Settlement Funding in the amount of \$175,000 for the term commencing June 1, 2024 and ending May 31, 2025, and

WHEREAS, The Director has indicated that this funding will be used to establish an alternative pain management program at the hospital, and

WHEREAS, The Director has also requested a budget amendment to incorporate this funding into the 2024 Department of Mental Health Budget, now, therefore, be it

RESOLVED, By the Albany County Legislature that the County Executive is authorized to enter into an agreement with Catholic Charities Care Coordination Services, Albany, NY 12202 regarding Opioid Settlement Funding in the amount of \$175,000 for the term commencing June 1, 2024 and ending May 31, 2025, and, be it further

RESOLVED, That the 2024 Department of Mental Health Budget is hereby amended as follows:

Increase Revenue Account A4320 0 3735 NYS Opioid Settlement Funds by \$175,000

Increase Appropriation Account A4320.4 by \$175,000 by increasing Line Item A4320 4 4489 Catholic Charities Care Coordination Services by \$175,000

and, be it further

RESOLVED, That the County Attorney is authorized to approve said agreement as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.

Adopted by unanimous vote - 5/13/24

State of New York
County of Albany

This is to certify that I, the undersigned, Clerk of the Albany County Legislature, have compared the foregoing copy of the resolution and/or local law with the original resolution and/or local law now on file in the office, and which was passed by the Legislature of said County on the 13th day of May, 2024, a majority of all members elected to the Legislature voting in favor thereof, and that the same is a correct and true transcript of such original resolution and/or local law and the whole thereof.



IN WITNESS THEREOF, I have hereunto set my hand and the official seal of the County Legislature this 15th day of May, 2024.

A handwritten signature in dark ink, reading "Nicole Chambers". The signature is written in a cursive style with a horizontal line underneath the name.

Clerk, Albany County Legislature



SISTER BETSY VAN DEUSEN, CSJ
CHIEF EXECUTIVE OFFICER

CANDACE ELLIS
EXECUTIVE DIRECTOR

May 15, 2025

To Whom It May Concern:

Catholic Charities Care Coordination Services (CCCCS) is requesting an extension to fully expend the \$175,000 awarded in Opiate Settlement Funds. As part of this contract, a CRPA was hired to support LEAD clients. There was a delay from the start of the contract until the date that the CRPA was hired during which funds were not spent. Additionally, CCCCCS has sub-contracted with Trinity Alliance to perform project management support of the LEAD initiative. Trinity Alliance has indicated to CCCCCS that they have been able to use other funds to support the project management work and have not yet spent funds under this contract. It is expected that the other funding currently being used by Trinity will be expended in the near future, and Trinity will then need to access funds under this sub-contract. We anticipate being able to fully expend funds with a one year extension. Please let me know if any additional information would be helpful in consideration of this request for extension. Thank you.

Sincerely,

A handwritten signature in black ink that reads 'Candace Ellis'.

Candace Ellis
Executive Director

AGREEMENT BETWEEN THE COUNTY OF ALBANY
AND CATHOLIC CHARITIES DIOCESAN AIDS SERVICES D/B/A
CATHOLIC CHARITIES CARE COORDINATION SERVICES
RE OPIOID SETTLEMENT FUND COMMUNITY GRANT
TO EXPAND AND SUPPORT THE LAW ENFORCEMENT ASSISTED DIVERSION
(LEAD) PROGRAM

CONTRACT NO. 2024-1999

This Agreement is made by and between the County of Albany, a municipal corporation duly organized under the laws of the State of New York, acting by and through its County Executive, with a principal place of business located at the Albany County Office Building, 112 State Street, Albany, New York 12207 (hereinafter, the "County") and Catholic Charities Diocesan Aids Services D/B/A Catholic Charities Care Coordination Services, with a principal place of business located at 100 Slingerland Street, Albany 12202 hereinafter, the "Grantee," and with the County, may be referred to herein individually as a "[P]arty" or together as the "[P]arties").

WITNESSETH:

WHEREAS, the County has received Opioid Settlement Regional Abatements funding, and the Albany County Mental Health Department (hereinafter, the "ACMH") has developed priorities for the allowable program uses of said funding for the first round of community grants (hereinafter, the "OSF program funding"); and

WHEREAS, the Albany County Purchasing Division (hereinafter, the "Purchasing Division") issued a request for proposals regarding the OSF program funding, said request having been designated RFP #2024-010, issued on January 29, 2024, and published on February 1, 2024 (hereinafter, the "RFP"); and

WHEREAS, the Purchasing Division has issued seven addenda to the RFP, the first on February 2, 2024 (hereinafter, the "Addendum #1"), the second on February 9, 2024 (hereinafter, the "Addendum #2"), the third on February 13, 2024 (hereinafter, the "Addendum #3"), the fourth on February 14, 2024 (hereinafter, the "Addendum #4"), the fifth on February 16, 2024 (hereinafter, the "Addendum #5"), the sixth on February 27, 2024 (hereinafter, the "Addendum #6"), and the seventh on February 29, 2024 (hereinafter, the "Addendum #7," and together with Addendum #1 through Addendum #6, may be referred to herein as the "Addenda"); and

WHEREAS, the Grantee submitted a proposal on March 6, 2024 (hereinafter, the "Proposal") seeking a portion of the funding to purchase supplies, add a certified recovery peer advocate to the Law Enforcement Assisted Diversion (LEAD) program and to sustain administrative positions whose funding has ended; and

WHEREAS, the County has accepted the Proposal of the Grantee as an appropriate expenditure of a portion of the OSF program funding, and ACMH has provided a Notice of Award to the Grantee dated June 7, 2024, describing the approved project; and

WHEREAS, this Agreement sets forth the understanding reached by the parties herein;

NOW, THEREFORE, THE PARTIES HERETO DO MUTUALLY COVENANT AND AGREE AS FOLLOWS:

ARTICLE 1. USAGE OF PROGRAM FUNDING

1.1 Using the funding the County will provide pursuant to Article 4 below, the Grantee shall implement the approved project, in accordance with the provisions, terms and conditions described in the RFP, and consistent with the Addenda.

1.2 While implementing the approved project, the Grantee shall comply with all of the agreed-upon data collection and reporting requirements described in Schedule A, attached hereto and made a part hereof.

1.3 The Grantee shall maintain regular email/phone contact with the ACMH to ensure barriers to implementation are quickly identified and resolved, and so that project achievements are recognized.

ARTICLE 2. TERM OF AGREEMENT

This Agreement shall commence upon execution of the Agreement by the parties and shall continue in effect through June 30, 2025. There is no renewal term available.

ARTICLE 3. PAYMENT OF PROGRAM FUNDING

3.1 In consideration of the terms and obligations of this Agreement, the County agrees to pay, and the Grantee agrees to accept, an amount not to exceed ONE HUNDRED SEVENTY-FIVE THOUSAND AND 00/100 (\$175,000.00) DOLLARS, as full compensation for under this Agreement.

3.2 Payment shall be made to the Grantee by the County upon the submission of a properly executed Albany County Claim Form, plus all supporting documentation, including any receipts and invoices, to the ACMH, and after review and approval by the County of the claim form.

ARTICLE 4. INDEMNIFICATION

The Grantee shall defend, indemnify, and save harmless the County, its agents, representatives, and employees, from and against any and all claims, damages, losses, and expenses (including, but not limited to, reasonable attorney's fees) arising from the program funding rendered by the County in relation to this Agreement, as a result of any negligence of the Grantee, its employees and/or agents.

ARTICLE 5. INSURANCE

The Grantee shall procure and maintain for the entire term of this Agreement, without additional expense to the County, insurance policies of the kinds and in the amounts provided in the Schedule B attached hereto and made a part hereof. The insurance policies shall name the "County of Albany" as certificate holder and primary/non-contributory additional insured. The Grantee shall provide thirty (30) days written notice to the County of any insurance policy cancellation or change.

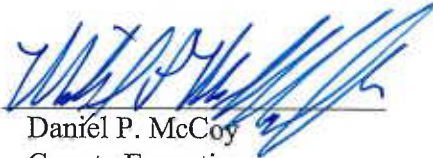
ARTICLE 6. ENTIRE AGREEMENT

This Agreement constitutes the entire Agreement between the parties and no representations or promises have been made except as herein expressly set forth.

IN WITNESS WHEREOF, the parties hereto have caused this Agreement to be signed the day and year first indicated below.

COUNTY OF ALBANY

DATED: 7/1/24

BY: 

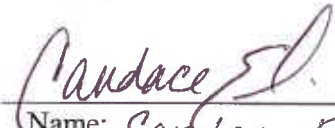
Daniel P. McCoy
County Executive

or

Michael P. McLaughlin
Deputy County Executive

CATHOLIC CHARITIES DIOCESAN
AIDS SERVICES D/B/A CATHOLIC
CHARITIES CARE COORDINATION
SERVICES

DATED: 6/26/2024

BY: 

Name: Candace Ellis

Executive Director
Title:

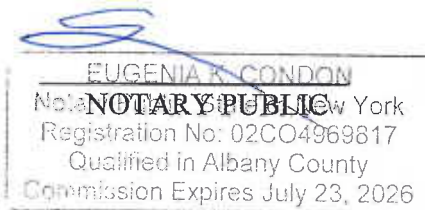
STATE OF NEW YORK)
COUNTY OF ALBANY) SS.:

On the ____ day of _____, 2024, before me, the undersigned, personally appeared Daniel P. McCoy, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his capacity, and that by his signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.

NOTARY PUBLIC

STATE OF NEW YORK)
COUNTY OF ALBANY) SS.:

On the 1st day of July, 2024, before me, the undersigned, personally appeared Michael P. McLaughlin, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his capacity, and that by his signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.



STATE OF New York)
COUNTY OF Albany) SS.:

On the 26th day of June, 2024, before me, the undersigned, personally appeared Candace Ellis, personally known to me or proved to me on the basis of satisfactory evidence to be the individual(s) whose name(s) is (are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their capacity(ies), and that by his/her/their signature(s) on the instrument, the individual(s), or the person upon behalf of which the individual(s) acted, executed the instrument.


NOTARY PUBLIC

SUSAN E. COFER
Notary Public, State of New York
Registration #01CO6380185
Qualified in Albany County
Commission Expires 09/04/2026

SCHEDULE A
REPORTING REQUIREMENTS

Required Data Collection Elements

Start-up

- Provide updates on the hiring process
- Trainings received
- Supplies purchased
- Development of the community education strategies

Ongoing Data Collection

- Number of clients served (Care Manager and Peer caseloads weekly average)
- Percentage of clients who engage in services after being referred or diverted
- Monthly average length of stay of clients receiving Peer Support and Care Management
- Community education events (date, topic, location, attendance, evaluations)
- Publications and harm reduction materials distributed

Reporting Requirements

- During Start-up, provide monthly status reports by email. These can be brief updates on the progress towards implantation that has been made in the last month.
- Once the project is up and running, please report monthly data summaries on a quarterly basis.

SCHEDULE B
INSURANCE

1. Workers' Compensation and Employers' Liability Insurance: A policy or policies providing protection for employees in the event of job-related injuries.
2. Automobile Liability Insurance: A policy or policies with the limits of not less than \$500,000 combined for each accident because of bodily injury, sickness, or disease, sustained by any person, caused by accident, and arising out of the ownership, maintenance or use of any automobile for damage because of injury to or destruction of property, including the loss of use thereof, caused by accident and arising out of the ownership, maintenance, or use of any automobile.
3. General Liability Insurance: A policy or policies of comprehensive all-risk insurance, including coverage for demolition of structures, with limits of not less than:

Liability For:	Combined Single Limit
Property Damage	\$1,000,000
Bodily Injury	\$1,000,000
Personal Injury	\$1,000,000.

4. Professional Liability Insurance: A policy or policies of professional liability insurance with limits of not less than \$1,000,000.

RESOLUTION NO. 266

AMENDING RESOLUTION NO. 286 FOR 2024 REGARDING OPIOID SETTLEMENT FUNDING

Introduced: 7/14/25

By Health Committee:

WHEREAS, By Resolution No. 286 for 2024, this Honorable Body authorized an agreement with Catholic Charities Care Coordination Services regarding Opioid Settlement Funding in the amount of \$175,000 for a term commencing June 1, 2024 and ending May 31, 2025, and

WHEREAS, The Director of the Department of Mental Health has requested authorization to amend the agreement to reflect a term ending June 30, 2026 rather than May 31, 2025, now, therefore, be it

RESOLVED, That Resolution No. 286 for 2024 is amended to reflect a term ending June 30, 2026 rather than May 31, 2025, and, be it further

RESOLVED, That the County Attorney is authorized to approve said amendment as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.



COUNTY OF ALBANY
DEPARTMENT OF MENTAL HEALTH
ADMINISTRATION
175 GREEN STREET
ALBANY, NEW YORK 12202
518-447-4537 FAX 518-447-4577
WWW.ALBANYCOUNTY.COM

Daniel P. McCoy
County Executive

Michael P. McLaughlin, Jr.
Deputy County Executive

Stephen J. Giordano, Ph.D.
Director of Mental Health

Cindy G. Hoffman, LCSW-R
Deputy Director

May 23, 2025

Honorable Joanne Cunningham
Chairwoman
Albany County Legislature
112 State Street
Room 710
Albany, NY 12207

Dear Chairwoman Cunningham,

The Department of Mental Health respectfully requests permission to provide a one-year, no-cost extension to the Addiction Care Center of Albany (ACCA) for their Opioid Settlement Funded (OSF) Community Grant contract (Contract No. 2024-1990). These grant funds are being used to provide short-term rental assistance and peer support to individuals leaving inpatient treatment for opioid use disorders.

The project was originally proposed to be implemented over one year, ending on June 30, 2025. However, ACCA spent several months developing the program's structure and training the staff member who would lead it. Additionally, ACCA has found that reviewing client applications and securing apartments has taken more time than anticipated, limiting the number of clients served in the first year.

To date, ACCA's short-term housing project has served 18 clients. However, the agency still has \$140,000 remaining in their award, which they intend to spend over the next twelve months. With the County's approval, ACCA's OSF Community Grant will be extended to June 30, 2026. No additional funding will be provided, but ACCA will retain access to the remaining \$140,000 in their award.

Feel free to contact me or Adam Kirkman if you have any questions concerning this request.

Sincerely,


Stephen J. Giordano, Ph.D.
Director

cc: Hon. Dennis A. Feeney, Majority Leader
Hon. Frank A. Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6610, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Requesting approval to amend the Addiction Care Center of Albany's OSF Community Grant contract (Contract No. 2024-1990) by providing a one-year no-cost extension.

Date: May 28, 2025
Department: Albany County Department of Mental Health
Attending Meeting: Dr. Stephen Giordano and Adam Kirkman
Submitted By: Adam Kirkman
Title: Special Projects Coordinator for Opioids
Phone: 518-447-2057

Purpose of Request: Contract Authorization Contract Amendment

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:

Addictions Care Center of Albany, 90 McCarty Avenue, Albany NY 12202

Term: (Start/end date or duration) 07/01/2024-06/30/2025
Amount/Raise Schedule/Fee: Enter text.

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Budget: Yes ☒ No ☐
Spreadsheet attached: Yes ☐ No ☒

Source of Funding - (Percentages)

Federal: Enter text. County: Enter text.
State: 100% Local: Enter text.

County Budget Accounts:

Revenue Account and Line: Enter text.
Revenue Amount: Enter text.
Appropriation Account and Line: A94320.44489.OSF08
Appropriation Amount: \$140,000

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒
If Mandated, Cite Authority: Enter text.

Request for Bids / Proposals:

Competitive Bidding Exempt: Yes ☐ No ☒

of Response(s): Enter text.

of MWBE: Enter text.

of Veteran Business: Enter text.

Bond Resolution No.: Enter text.

Apprenticeship Program Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: Resolution No. 281 05/13/2024

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

The Department of Mental Health requests permission to provide a one-year, no-cost extension for the Addiction Care Center of Albany's Opioid Settlement Funded Community Grant contract (Contract No. 2024-1990), which is being used to provide short term rental assistance and peer support to individuals leaving inpatient treatment of opioid use disorders. With the County's approval, ACCA's OSF Community Grant will be extended to June 30, 2026; no additional funding will be provided, but ACCA will retain access to the \$140,000 remaining in their award.

RESOLUTION NO. 281

AUTHORIZING AN AGREEMENT WITH ADDICTION CARE CENTERS OF ALBANY REGARDING OPIOID SETTLEMENT FUNDING AND AMENDING THE 2024 DEPARTMENT OF MENTAL HEALTH BUDGET

Introduced: 5/13/24
By Health Committee:

WHEREAS, The Director of the Albany County Department of Mental Health has requested authorization to enter into an agreement with Addiction Care Centers of Albany regarding Opioid Settlement Funding in the amount of \$175,000 for the term commencing June 1, 2024 and ending May 31, 2025, and

WHEREAS, The Director has indicated that this funding will be used to provide housing support to individuals leaving inpatient treatment for opioid use disorders, and

WHEREAS, The Director has also requested a budget amendment to incorporate this funding into the 2024 Department of Mental Health Budget, now, therefore, be it

RESOLVED, By the Albany County Legislature that the County Executive is authorized to enter into an agreement with Addiction Care Centers of Albany, Albany, NY 12202 regarding Opioid Settlement Funding in the amount of \$175,000 for the term commencing June 1, 2024 and ending May 31, 2025, and, be it further

RESOLVED, That the 2024 Department of Mental Health Budget is hereby amended as follows:

Increase Revenue Account A4320 0 3735 NYS Opioid Settlement Funds by \$175,000

Increase Appropriation Account A4320.4 by \$175,000 by increasing Line Item A4320 4 4489 Addiction Care Centers of Albany by \$175,000

and, be it further

RESOLVED, That the County Attorney is authorized to approve said agreement as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.

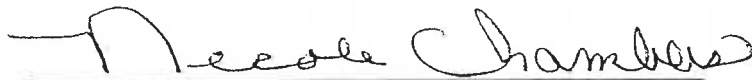
Adopted by unanimous vote – 5/13/24

State of New York
County of Albany

This is to certify that I, the undersigned, Clerk of the Albany County Legislature, have compared the foregoing copy of the resolution and/or local law with the original resolution and/or local law now on file in the office, and which was passed by the Legislature of said County on the 13th day of May, 2024, a majority of all members elected to the Legislature voting in favor thereof, and that the same is a correct and true transcript of such original resolution and/or local law and the whole thereof.



IN WITNESS THEREOF, I have hereunto set my hand and the official seal of the County Legislature this 15th day of May, 2024.



Clerk, Albany County Legislature



May 21, 2025

Adam Kirkman
Special Projects Coordinator (Opioids)
Albany County Department of Mental Health
175 Green Street
Albany, NY 12202

Adam,

Thank you for the opportunity to discuss the successful Albany County Rental Subsidy program and why it is important to continue funding the Program.

To date we have provided subsidies to 18 Albany County residential treatment individuals: 11 males and 7 females. The majority continue to maintain their employment and housing with subsidy assistance. Some of these individuals in recovery from opioid addiction have been able to progress and sustain their housing without further subsidies beyond the initial 3-month subsidy commitment. Others have been able to maintain their recovery and level of employment, however, have been provided rental subsidy extensions. The goal in recovery is always self-sufficiency and long-term recovery. The fact is that every individual is different and progresses in their own unique way. This is one reason why it is important to continue funding this new, and creative program.

Most available apartments in Albany are in excess of \$1000 per month. Add to that the typical security deposit and a month's rent in advance is very difficult, in some cases impossible, for individuals in early recovery and just returning to the workforce to meet this expectation. The Rental Subsidy Program successfully helps individuals to overcome this obstacle. The Program has made the housing search easier. Landlords and property owners are confident renting to individuals because a degree of risk has been removed.

PH. 518.465.5470 / FAX 518.427.0854 / WWW.THEACCA.NET

MAIN CAMPUS 90 MCCARTY AVENUE / ALBANY NY 12202

There are two main reasons why the expenditure of funds has not occurred within the first year. First, it took several months to create the program's structure and orient the staff person coordinating the Program. Then the staff person engaged in community outreach explaining the programs' purpose and application process.

Secondly, we have found that because individuals' complete treatment at different times the requests for a subsidies from Albany County treatment providers on behalf of their successful clients occur over an extended period of time, well before the subsidy is actually needed. Discharge planning and readiness for treatment recipients occurs over time. The initial application for a rental subsidy often occurs months before the client is expected to be discharged. The treatment program Case Manager works with the client to secure a variety of services months before discharge. These include a connection with primary health care and perhaps specialty physical health and behavioral needs. There are frequently legal engagements that must be resolved prior to discharge. Stable employment must be in place prior to successful discharge. Because of the housing shortage, actually finding and committing to housing takes longer than expected. We have found that the process from the initial rental subsidy application takes longer than initially anticipated. Also, during the discharge planning process other housing options may present themselves. The rental subsidy initially requested may no longer be needed. As a result, anticipated rental subsidy expenditure has not occurred as rapidly as originally thought. This is not a bad outcome. It does underscore the need to extend the program so that we can help more individuals in early recovery from Opioid addiction achieve long term recovery.

The subsidies, in the words of most who received them, have been a blessing! Hopefully we can continue to provide more clients with the benefits of the Albany County Rental Subsidy Program that is truly needed at this time.

Please do not hesitate to contact me with any questions you have. My direct number is 518-465-5470, ext. 214. Email: kstack@theacca.net.

Sincerely,

A handwritten signature in black ink, appearing to read 'K. Stack', written over the word 'Sincerely,'.

Keith Stack, CEO

AGREEMENT BETWEEN THE COUNTY OF ALBANY
AND ADDICTIONS CARE CENTER OF ALBANY, INC.
RE OPIOID SETTLEMENT FUND COMMUNITY GRANT
TO PROVIDE SHORT-TERM RENTAL ASSISTANCE AND PEER SUPPORT TO
INDIVIDUALS DISCHARGED FROM INPATIENT TREATMENT

CONTRACT NO. 2024-1990

This Agreement is made by and between the County of Albany, a municipal corporation duly organized under the laws of the State of New York, acting by and through its County Executive, with a principal place of business located at the Albany County Office Building, 112 State Street, Albany, New York 12207 (hereinafter, the “County”) and Addictions Care Center of Albany, Inc., a registered New York not-for-profit corporation, with a principal place of business located at 90 McCarty Avenue Albany, New York 12202 (hereinafter, the “Grantee,” and with the County, may be referred to herein individually as a “[P]arty” or together as the “[P]arties”).

WITNESSETH:

WHEREAS, the County has received Opioid Settlement Regional Abatements funding, and the Albany County Mental Health Department (hereinafter, the “ACMH”) has developed priorities for the allowable program uses of said funding for the first round of community grants (hereinafter, the “OSF program funding”); and

WHEREAS, the Albany County Purchasing Division (hereinafter, the “Purchasing Division”) issued a request for proposals regarding the OSF program funding, said request having been designated RFP #2024-010, issued on January 29, 2024, and published on February 1, 2024 (hereinafter, the “RFP”); and

WHEREAS, the Purchasing Division has issued seven addenda to the RFP, the first on February 2, 2024 (hereinafter, the “Addendum #1”), the second on February 9, 2024 (hereinafter, the “Addendum #2”), the third on February 13, 2024 (hereinafter, the “Addendum #3”), the fourth on February 14, 2024 (hereinafter, the “Addendum #4”), the fifth on February 16, 2024 (hereinafter, the “Addendum #5”), the sixth on February 27, 2024 (hereinafter, the “Addendum #6”), and the seventh on February 29, 2024 (hereinafter, the “Addendum #7,” and together with Addendum #1 through Addendum #6, may be referred to herein as the “Addenda”); and

WHEREAS, the Grantee submitted a proposal on March 7, 2024 (hereinafter, the “Proposal”) seeking a portion of the funding to provide short-term rental assistance and support to individuals successfully discharged from inpatient treatment who need assistance to obtain and stabilize their housing. The Grantee will also hire a certified recovery peer advocate to provide peer support to those receiving the housing assistance.

WHEREAS, the County has accepted the Proposal of the Grantee as an appropriate expenditure of a portion of the OSF program funding, and ACMH has provided a Notice of Award to the Grantee dated June 7, 2024, describing the approved project; and

WHEREAS, this Agreement sets forth the understanding reached by the parties herein;

NOW, THEREFORE, THE PARTIES HERETO DO MUTUALLY COVENANT AND AGREE AS FOLLOWS:

ARTICLE 1. USAGE OF PROGRAM FUNDING

1.1 Using the funding the County will provide pursuant to Article 4 below, the Grantee shall implement the approved project, in accordance with the provisions, terms and conditions described in the RFP, and consistent with the Addenda.

1.2 While implementing the approved project, the Grantee shall comply with all of the agreed-upon data collection and reporting requirements described in Schedule A, attached hereto and made a part hereof.

1.3 The Grantee shall maintain regular email/phone contact with the ACMH to ensure barriers to implementation are quickly identified and resolved, and so that project achievements are recognized.

ARTICLE 2. TERM OF AGREEMENT

This Agreement shall commence upon execution of the Agreement by the parties and shall continue in effect through June 30, 2025. There is no renewal term available.

ARTICLE 3. PAYMENT OF PROGRAM FUNDING

3.1 In consideration of the terms and obligations of this Agreement, the County agrees to pay, and the Grantee agrees to accept, an amount not to exceed ONE HUNDRED SEVENTY-FIVE THOUSAND AND 00/100 (\$175,000.00) DOLLARS, as full compensation for under this Agreement.

3.2 Payment shall be made to the Grantee by the County upon the submission of a properly executed Albany County Claim Form, plus all supporting documentation, including any receipts and invoices, to the ACMH, and after review and approval by the County of the claim form.

ARTICLE 4. INDEMNIFICATION

The Grantee shall defend, indemnify, and save harmless the County, its agents, representatives, and employees, from and against any and all claims, damages, losses, and expenses (including, but not limited to, reasonable attorney's fees) arising from the program funding rendered by the County in relation to this Agreement, as a result of any negligence of the Grantee, its employees and/or agents.

ARTICLE 5. INSURANCE

The Grantee shall procure and maintain for the entire term of this Agreement, without additional expense to the County, insurance policies of the kinds and in the amounts provided in the Schedule B attached hereto and made a part hereof. The insurance policies shall name the "County of Albany" as certificate holder and primary/non-contributory additional insured. The Grantee shall provide thirty (30) days written notice to the County of any insurance policy cancellation or change.

ARTICLE 6. ENTIRE AGREEMENT

This Agreement constitutes the entire Agreement between the parties and no representations or promises have been made except as herein expressly set forth.

IN WITNESS WHEREOF, the parties hereto have caused this Agreement to be signed the day and year first indicated below.

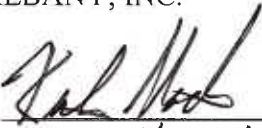
COUNTY OF ALBANY

DATED: 7/2/24

BY: 
Daniel P. McCoy
County Executive
or
Michael P. McLaughlin
Deputy County Executive

ADDICTIONS CARE CENTER
OF ALBANY, INC.

DATED: 6/21/24

BY: 
Name: KEITH STACK

CEO
Title:

STATE OF NEW YORK)
COUNTY OF ALBANY) SS.:

On the ____ day of _____, 2024, before me, the undersigned, personally appeared Daniel P. McCoy, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his capacity, and that by his signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.

NOTARY PUBLIC

STATE OF NEW YORK)
COUNTY OF ALBANY) SS.:

On the 2nd day of July, 2024, before me, the undersigned, personally appeared Michael P. McLaughlin, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his capacity, and that by his signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.

NOTARY PUBLIC
EUGENIA K. CONDON
Notary Public, State of New York
Registration No. 02CO4969817
Qualified in Albany County
Commission Expires July 23, 2025

STATE OF New York)
COUNTY OF Albany) SS.:

On the 21st day of June, 2024, before me, the undersigned, personally appeared Keith Stack, personally known to me or proved to me on the basis of satisfactory evidence to be the individual(s) whose name(s) is (are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their capacity(ies), and that by his/her/their signature(s) on the instrument, the individual(s), or the person upon behalf of which the individual(s) acted, executed the instrument.

NOTARY PUBLIC

Carly L. Kaczynski
NOTARY PUBLIC, STATE OF NEW YORK
Registration No. 01KA6358408
Qualified in Schenectady County
Commission Expires May 8, 2025

SCHEDULE A REPORTING REQUIREMENTS

Required Data Collection Elements

Start-up

- Provide updates on hiring process for the CRPA
- Provide updates on the development of policies and procedures for rental assistance

Ongoing Data Collection

- **Rental Assistance**
 - Rent amount / Agency portion
 - Address and type of housing (apt, duplex, house, studio, 1BR, 2BR, etc.)
 - Planned duration of rental assistance
 - Family type (single, couple, children, etc.)
 - Waiting time for housing assistance
- **Certified Recovery Peer Advocate**
 - Weekly case load
 - Services Provided

Reporting Requirements

- During Start-up, provide monthly status reports by email. These can be brief updates on the progress towards implantation that has been made in the last month.
- Once the project is up and running, please report monthly data summaries on a quarterly basis.

SCHEDULE B
INSURANCE

1. Workers' Compensation and Employers' Liability Insurance: A policy or policies providing protection for employees in the event of job-related injuries.
2. Automobile Liability Insurance: A policy or policies with the limits of not less than \$500,000 combined for each accident because of bodily injury, sickness, or disease, sustained by any person, caused by accident, and arising out of the ownership, maintenance or use of any automobile for damage because of injury to or destruction of property, including the loss of use thereof, caused by accident and arising out of the ownership, maintenance, or use of any automobile.
3. General Liability Insurance: A policy or policies of comprehensive all-risk insurance, including coverage for demolition of structures, with limits of not less than:

Liability For:	Combined Single Limit
Property Damage	\$1,000,000
Bodily Injury	\$1,000,000
Personal Injury	\$1,000,000.

4. Professional Liability Insurance: A policy or policies of professional liability insurance with limits of not less than \$1,000,000.

CERTIFICATE OF WORKERS' COMPENSATION INSURANCE

***** 141501404

EDGEWOOD PARTNERS INSURANCE
CENTER (EPIC)
73 TROY RD
EAST GREENBUSH NY 12061



SCAN TO VALIDATE
AND SUBSCRIBE

POLICYHOLDER ADDICTIONS CARE CENTER OF ALBANY INC 90 MCCARTY AVE ALBANY NY 12202		CERTIFICATE HOLDER ALBANY COUNTY 112 STATE STREET ALBANY NY 12207	
POLICY NUMBER A 1310 868-3	CERTIFICATE NUMBER 847931	POLICY PERIOD 12/17/2023 TO 12/17/2024	DATE 12/11/2023

THIS IS TO CERTIFY THAT THE POLICYHOLDER NAMED ABOVE IS INSURED WITH THE NEW YORK STATE INSURANCE FUND UNDER POLICY NO. 1310 868-3, COVERING THE ENTIRE OBLIGATION OF THIS POLICYHOLDER FOR WORKERS' COMPENSATION UNDER THE NEW YORK WORKERS' COMPENSATION LAW WITH RESPECT TO ALL OPERATIONS IN THE STATE OF NEW YORK, EXCEPT AS INDICATED BELOW, AND, WITH RESPECT TO OPERATIONS OUTSIDE OF NEW YORK, TO THE POLICYHOLDER'S REGULAR NEW YORK STATE EMPLOYEES ONLY.

IF YOU WISH TO RECEIVE NOTIFICATIONS REGARDING SAID POLICY, INCLUDING ANY NOTIFICATION OF CANCELLATIONS, OR TO VALIDATE THIS CERTIFICATE, VISIT OUR WEBSITE AT [HTTPS://WWW.NYSIF.COM/CERT/CERTVAL.ASP](https://www.nysif.com/cert/certval.asp). THE NEW YORK STATE INSURANCE FUND IS NOT LIABLE IN THE EVENT OF FAILURE TO GIVE SUCH NOTIFICATIONS.

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS NOR INSURANCE COVERAGE UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICY.

NEW YORK STATE INSURANCE FUND

DIRECTOR, INSURANCE FUND UNDERWRITING

VALIDATION NUMBER: 50062552



ACORD™

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

12/21/2023

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer any rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Edgewood Partners Ins. Center 73 Troy Road East Greenbush, NY 12061	CONTACT NAME: Josie Wisher PHONE (A/C, No, Ext): * FAX (A/C, No): E-MAIL ADDRESS: Josie.Wisher@epicbrokers.com INSURER(S) AFFORDING COVERAGE INSURER A: Massachusetts Bay Insurance Company NAIC # 22306 INSURER B: The Hanover Insurance Company 22292 INSURER C: Atlantic Specialty Insurance Company 27154 INSURER D: Allmerica Financial Alliance Ins Co 10212 INSURER E: INSURER F:
INSURED Addictions Care Center of Albany Inc 90 McCarty Avenue Albany, NY 12202	

COVERAGES

CERTIFICATE NUMBER:

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL SUBR INSR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	☒ COMMERCIAL GENERAL LIABILITY ☐ CLAIMS-MADE ☒ OCCUR ☒ Professional Liab. GEN'L AGGREGATE LIMIT APPLIES PER: ☐ POLICY ☐ PRO-JECT ☒ LOC OTHER:		ZDS980934210	01/01/2024	01/01/2025	EACH OCCURRENCE \$1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$1,000,000 MED EXP (Any one person) \$20,000 PERSONAL & ADV INJURY \$1,000,000 GENERAL AGGREGATE \$3,000,000 PRODUCTS - COMP/OP AGG \$Included \$
D	AUTOMOBILE LIABILITY ☒ ANY AUTO OWNED AUTOS ONLY ☐ SCHEDULED AUTOS NON-OWNED AUTOS ONLY ☒ HIRED AUTOS ONLY ☒		AWS980935910	01/01/2024	01/01/2025	COMBINED SINGLE LIMIT (Ea accident) \$1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
B	☒ UMBRELLA LIAB ☐ OCCUR ☐ EXCESS LIAB ☐ CLAIMS-MADE ☐ DED ☒ RETENTION \$0		UHS980935910	01/01/2024	01/01/2025	EACH OCCURRENCE \$5,000,000 AGGREGATE \$5,000,000 \$ PER STATUTE OTH-ER E.L. EACH ACCIDENT \$ E.L. DISEASE - EA EMPLOYEE \$ E.L. DISEASE - POLICY LIMIT \$
C	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? ☐ Y/N (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	N/A	AWS980935910	01/01/2024	01/01/2025	Physical damage Comp/Coll Ded \$1,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

CERTIFICATE HOLDER

CANCELLATION

County of Albany Attn: County Attorney
 112 State Street Suite 900
 Albany, NY 12207

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

Michael J. Kelly

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ALBANY COUNTY

JUN 28 2024

ATTORNEY'S OFFICE

June 26, 2024

Sia Zois Googas
Assistant County Attorney
Office of the County Attorney
112 State Street, Room 600
Albany, New York 12207

Sia Zois Googas,

Please find attached sign and notarized copies of Contract NO. 2024 – 1990.
The required insurance certifications are included.

Thank you.

Sincerely,

Keith Stack, CEO

PH. 518.465.5470 / FAX 518.427.0854 / WWW.THEACCA.NET

MAIN CAMPUS

90 MCCARTY AVENUE / ALBANY NY 12202

SERVING ALBANY, RENSSELAER, WARREN & WASHINGTON COUNTIES

RESOLUTION NO. 267

AMENDING RESOLUTION NO. 281 FOR 2024 REGARDING OPIOID SETTLEMENT FUNDING

Introduced: 7/14/25

By Health Committee:

WHEREAS, By Resolution No. 281 for 2024, this Honorable Body authorized an agreement with Addiction Care Centers of Albany regarding Opioid Settlement Funding in the amount of \$175,000 for the term commencing June 1, 2024 and ending May 31, 2025, and

WHEREAS, The Director of the Department of Mental Health has requested authorization to amend the agreement to reflect a term ending June 30, 2026 rather than May 31, 2025, now, therefore, be it

RESOLVED, That Resolution No. 281 for 2024 is amended to reflect a term ending June 30, 2026 rather than May 31, 2025, and, be it further

RESOLVED, That the County Attorney is authorized to approve said amendment as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.



Daniel P. McCoy
County Executive

Michael P. McLaughlin, Jr.
Deputy County Executive

COUNTY OF ALBANY
DEPARTMENT OF MENTAL HEALTH
ADMINISTRATION
175 GREEN STREET
ALBANY, NEW YORK 12202
518-447-4537 FAX 518-447-4577
WWW.ALBANYCOUNTY.COM

Stephen J. Giordano, Ph.D.
Director of Mental Health

Cindy G. Hoffman, LCSW-R
Deputy Director

May 28th, 2025

Honorable Joanne Cunningham, Chair
Albany County Legislature
112 State Street, Room 710
Albany, New York 12207

Dear Chairwoman Cunningham,

The Albany County Department of Mental Health (ACDMH) respectfully requests permission to amend our 2025 budget with funds from the Appropriated Fund Balance in order to satisfy increased costs associated with inpatient psychiatric hospitalization at NYS Office of Mental Health (OMH) forensic facilities.

According to NYS Mental Hygiene Law (MHL), each county is responsible to assist local courts in determining the legal competency of defendants to meaningfully participate in their defense. When defendants are found not competent to proceed they must enter into a restoration process that often involves hospitalization. NYS MHL stipulates that each county is responsible for the costs associated with hospital-based restoration. Since 2021, counties are required to satisfy 100% of the cost of these services (prior to 2021, counties were responsible for 50%). This is an unfunded mandate.

In Albany County, since 2020, court requests have increased 184%; defendants found to be incompetent have increased three-fold; and, associated costs for inpatient hospitalization have increased almost 10-fold during that same time period. These costs have increased for counties statewide and reflect multiple system-wide trends including increased reliance by the courts upon the mental health system to address issues of public safety and crime, as well as an overburdened mental health system that too often contributes to individuals entering the criminal justice system unnecessarily.

Please feel free to contact either Michael Fitzgerald or me if you have any further questions or require further information regarding this request.

Sincerely,


Stephen J. Giordano, Ph.D.
Director of Mental Health

Cc: Hon. Dennis Feeney, Majority Leader
Hon. Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6619, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Albany County Department of Mental Health is requesting a Budget Amendment in order to use appropriated fund balance to fund inpatient hospitalization costs.

Date: 5/28/25
Department: Mental Health
Attending Meeting: Dr. Stephen Giordano, Director
Submitted By: Mark Gleason
Title: Budget Analyst
Phone: 518-447-2025

Purpose of Request: Budget Amendment Enter text.

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:
Enter text.

Term: (Start/end date or duration) Enter text.
Amount/Raise Schedule/Fee: Enter text.

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Budget: Yes ☐ No ☒
Spreadsheet attached: Yes ☒ No ☐

Source of Funding - (Percentages)

Federal:	0%	County:	100%
State:	0%	Local:	0%

County Budget Accounts:

Revenue Account and Line:	Please see attached budget amendment
Revenue Amount:	\$6,000,000
Appropriation Account and Line:	Please see attached budget amendment
Appropriation Amount:	\$6,000,000

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒
If Mandated, Cite Authority: Enter text.

Request for Bids / Proposals:

Competitive Bidding Exempt: Yes ☐ No ☒

of Response(s): Enter text.

of MWBE: Enter text.

of Veteran Business: Enter text.

Bond Resolution No.: Enter text.

Apprenticeship Program Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: N/A

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

A Budget Amendment is needed to fund inpatient hospitalization costs. New York State Office of Mental Health requires that Counties assume 100% of the cost of inpatient hospitalization for its county residents (up from 50% 3 years ago).

The budget amendment is needed to fund the inpatient hospitalization for 2025.



County of

Harold L. JoyceAlbany
County Office Building112
State Street - Albany, NY
12207

Legislation

File #: TMP-6619, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Albany County Department of Mental Health is requesting a Budget Amendment in order to use appropriated fund balance to fund inpatient hospitalization costs.

Date: 5/28/25
Department: Mental Health
Attending Meeting: Dr. Stephen Giordano, Director
Submitted By: Mark Gleason
Title: Budget Analyst
Phone: 518-447-2025

Purpose of Request: Budget Amendment Enter text.

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:
Enter text.

Term: (Start/end date or duration) Enter text.
Amount/Raise Schedule/Fee: Enter text.

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Budget: Yes ☐ No ☒
Spreadsheet attached: Yes ☒ No ☐

Source of Funding - (Percentages)

Federal:	0%	County:	100%
State:	0%	Local:	0%

County Budget Accounts:

Revenue Account and Line:	Please see attached budget amendment
Revenue Amount:	\$6,000,000
Appropriation Account and Line:	Please see attached budget amendment
Appropriation Amount:	\$6,000,000

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒
If Mandated, Cite Authority: Enter text.
Request for Bids / Proposals:
Competitive Bidding Exempt: Yes ☐ No ☒

File #: TMP-6619, **Version:** 1

# of Response(s):	Enter text.
# of MWBE:	Enter text.
# of Veteran Business:	Enter text.
Bond Resolution No.:	Enter text.
Apprenticeship Program	Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: N/A

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

A Budget Amendment is needed to fund inpatient hospitalization costs. New York State Office of Mental Health that Counties assume 100% of the cost of inpatient hospitalization for its county residents (up from 50% 3 years ago). The budget amendment is needed to fund the inpatient hospitalization for 2025.

Albany County Department of Mental Health									2025 Budget Amendment	
USE WHOLE NUMBERS ONLY										
BUDGET LINE						DESCRIPTION	INCREASE	DECREASE	UNIT COST	DEPARTMENT NAME
FUND	ORG	OBJ	PROJECT	FOR POSITIONS ONLY						
				STATE POS. CODE	POSITION CONTROL					
A9	4310	44249				Inpatient Hospitalization	\$6,000,000		\$8,000,000	Mental Health
A	A	00599				Appropriated Fund Balance		\$6,000,000	\$6,000,000	
						TOTAL APPROPRIATIONS	\$6,000,000	\$6,000,000		
ESTIMATED REVENUES										
USE WHOLE NUMBERS ONLY										
BUDGET LINE						DESCRIPTION	DECREASE	INCREASE	UNIT COST	DEPARTMENT NAME
FUND	ORG	OBJ	PROJECT	FOR POSITIONS ONLY						
				STATE POS. CODE	POSITION CONTROL					
						TOTAL REVENUES	\$0	\$0		
						GRAND TOTAL	\$6,000,000	\$6,000,000		



**Office of
Mental Health**

KATHY HOCHUL
Governor

ANN MARIE T. SULLIVAN, M.D.
Commissioner

May 8, 2025

Dr. Stephen J. Giordano, Ph.D.
Albany County Mental Health Commissioner,
Director of Community Services (DCS),
175 Green Street,
Albany, NY 12202

Dear Dr. Giordano:

As per agreement and breakdown below, the outstanding amount of \$2,097,138.37 (for services provided to Patient D.F. during dates of service from December 2020 through December 2024), as discounted to \$1,939,852.99, can be paid in equal monthly installments of \$40,413.60 over a four-year period starting May 2025. In the event of any failure of the county to make such agreed upon payments OMH reserves the right to seek collection in full of any outstanding remainder, with the discount rescinded.

If you have any questions, please do not hesitate to reach out to me.

Sincerely,

Shweta Gupta
Director, State Operations Finance Group
NYS Office of Mental Health

Enclosure:
Pending Invoices

Below is the breakdown of the outstanding liability and agreed upon payment terms:

Total Outstanding Amount	\$2,097,138.37
Discount (7.5%)	\$157,285.38
New Discounted Outstanding Amount	\$1,939,852.99
Over four years eff. May'25	Four (4) years
Year 1	\$484,963.25
Year 2	\$484,963.25
Year 3	\$484,963.25
Year 4	\$484,963.25
Monthly Payment Amount	\$40,413.60



Please remit payment to:
NYS Office of Mental
Health, 44 Holland Ave
1st Fl Finance
Albany, New York 12229

Rev Owner: CN 043 Psych Ctr 1033375258
Tax ID: 141663311
Billing Reference Number: 01 - Frierion, Damion

Fac-Consec #	Patient Last	Patient First	Coverage Start	Coverage End	Bill Number	BP Start	BP End	Bill Days	Expected Reimbursement
43 - 21295	FRIERSON	DAMION	12/3/2020	12/2/2024	64379117	12/3/2020	12/31/2020	29	\$37,057.36
					64379118	1/1/2021	1/31/2021	31	\$44,746.95
					64379119	2/1/2021	2/28/2021	28	\$40,416.60
					64379120	3/1/2021	3/31/2021	31	\$44,746.95
					64379121	4/1/2021	4/30/2021	30	\$43,303.50
					64379122	5/1/2021	5/31/2021	31	\$44,746.95
					64379123	6/1/2021	6/30/2021	30	\$43,303.50
					64379124	7/1/2021	7/31/2021	31	\$44,746.95
					64379125	8/1/2021	8/31/2021	31	\$44,746.95
					64379126	9/1/2021	9/30/2021	30	\$43,303.50
					64379127	10/1/2021	10/31/2021	31	\$44,746.95
					64379128	11/1/2021	11/30/2021	30	\$43,303.50
					64379129	12/1/2021	12/31/2021	31	\$44,746.95
					64379130	1/1/2022	1/31/2022	31	\$44,153.61
					64379131	2/1/2022	2/28/2022	28	\$39,880.68
					64379132	3/1/2022	3/31/2022	31	\$44,153.61
					64379133	4/1/2022	4/30/2022	30	\$42,729.30
					64379134	5/1/2022	5/31/2022	31	\$44,153.61
					64379135	6/1/2022	6/30/2022	30	\$42,729.30
					64379136	7/1/2022	7/31/2022	31	\$44,153.61
					64379137	8/1/2022	8/31/2022	31	\$44,153.61
					64379138	9/1/2022	9/30/2022	30	\$42,729.30
					64379139	10/1/2022	10/31/2022	31	\$44,153.61
					64379140	11/1/2022	11/30/2022	30	\$42,729.30
					64379141	12/1/2022	12/31/2022	31	\$44,153.61
					64379142	1/1/2023	1/31/2023	31	\$40,597.91
					64379143	2/1/2023	2/28/2023	28	\$36,669.08
					64379144	3/1/2023	3/31/2023	31	\$40,597.91
					64379145	4/1/2023	4/30/2023	30	\$39,288.30
					64379146	5/1/2023	5/31/2023	31	\$40,597.91
					S24938000103	6/1/2023	6/30/2023	30	\$39,288.30
					S24938000203	7/1/2023	7/31/2023	31	\$40,597.91
					S24938000303	8/1/2023	8/31/2023	31	\$40,597.91
					S24938000403	9/1/2023	9/30/2023	30	\$39,288.30
					S24938000503	10/1/2023	10/31/2023	31	\$40,597.91
					S24938000603	11/1/2023	11/30/2023	30	\$39,288.30
					S24938000703	12/1/2023	12/31/2023	31	\$40,597.91
					S24938000803	1/1/2024	1/31/2024	31	\$49,244.74
					S24938000903	2/1/2024	2/29/2024	29	\$46,067.66
					S24938001003	3/1/2024	3/31/2024	31	\$49,244.74
					S24938001103	4/1/2024	4/30/2024	30	\$47,656.20
					S24938001203	5/1/2024	5/31/2024	31	\$49,244.74
					S24938001303	6/1/2024	6/30/2024	30	\$47,656.20
					S24938001403	7/1/2024	7/31/2024	31	\$49,244.74
					S24938001503	8/1/2024	8/31/2024	31	\$49,244.74
					S24938001603	9/1/2024	9/30/2024	30	\$47,656.20
					S24938001703	10/1/2024	10/31/2024	31	\$49,244.74
					S24938001803	11/1/2024	11/30/2024	30	\$47,656.20
					S24938001903	12/1/2024	12/2/2024	2	\$3,177.08

1461 \$2,097,138.37
Discount 7.5% (\$157,285.38)
Adjusted Total \$1,939,852.99

RESOLUTION NO. 268

AMENDING THE 2025 DEPARTMENT OF MENTAL HEALTH BUDGET: INPATIENT HOSPITALIZATION COSTS

Introduced: 7/14/25
By Health Committee:

WHEREAS, The Director of Mental Health has requested authorization to amend the 2025 Department of Mental Health Budget to fund inpatient psychiatric hospitalization at New York State Office of Mental Health forensic facilities, now, therefore, be it

RESOLVED, By the Albany County Legislature that the 2025 Department of Mental Health Budget is amended as follows:

Albany County Department of Mental Health							2025 Budget Amendment			
USE WHOLE NUMBERS ONLY										
BUDGET LINE						DESCRIPTION	INCREASE	DECREASE	UNIT COST	DEPARTMENT NAME
FUND	ORG	OBJ	PROJECT	FOR POSITIONS ONLY						
				STATE POS. CODE	POSITION CONTROL					
A9	4310	44249				Inpatient Hospitalization	\$6,000,000		\$8,000,000	Mental Health
A	A	00599				Appropriated Fund Balance		\$6,000,000	\$6,000,000	
						TOTAL APPROPRIATIONS	\$6,000,000	\$6,000,000		
ESTIMATED REVENUES										
USE WHOLE NUMBERS ONLY										
BUDGET LINE						DESCRIPTION	DECREASE	INCREASE	UNIT COST	DEPARTMENT NAME
FUND	ORG	OBJ	PROJECT	FOR POSITIONS ONLY						
				STATE POS. CODE	POSITION CONTROL					
						TOTAL REVENUES	\$0	\$0		
						GRAND TOTAL	\$6,000,000	\$6,000,000		

and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.



DANIEL P. McCOY
County Executive

MARIBETH MILLER, RN, BSN, MS
Interim Commissioner of Health

DEPARTMENT OF HEALTH
COUNTY OF ALBANY
175 GREEN STREET
ALBANY, NEW YORK 12202

The Dr. John J.A. Lyons
ALBANY COUNTY HEALTH FACILITY
(518) 447-4580 FAX (518) 447-4698
www.albanycountyny.gov

TRICIA BULATAO, MS, RDN
Deputy Commissioner of Health

RANSOM E. MOORE III
Assistant Commissioner
Finance & Administration

June 3, 2025

Hon. Joanne Cunningham, Chairwoman
Albany County Legislature
112 State St., Rm. 710
Albany, NY 12207

Dear Chairwoman Cunningham:

The Albany County Department of Health (ACDOH) requests authorization to enter into a contract with Health Research, Inc. / New York State Department of Health for the Public Health Emergency Preparedness Program in the amount of \$341,339 for the period July 1, 2025 through June 30, 2026. This funding is used to:

- Improve Albany County's capacity for public health emergency response;
- Continue its robust training and exercise program to test our response capabilities; and
- Continue to recruit and train Medical Reserve Corps volunteers.

All revenues and expenditures were anticipated and included in the 2025 Health Department budget. These grant funds are provided to the County on an annual basis and we are not required to apply for the funding.

Please don't hesitate to contact me if you have any questions or need additional information.

Sincerely,

Maribeth Miller, BSN, MS
Interim Commissioner of Health

cc: Hon. Dennis Feeney, Majority Leader
Hon. Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel

Enclosures



Albany County Department of Health is nationally accredited and meets rigorous public health standards set forth to best meet the needs of our community.



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6625, **Version:** 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Contract Authorization with Health Research Inc./New York State Department of Health for the Public Health Emergency Preparedness Grant

Date: June 3, 2025
Department: Health
Attending Meeting: Maribeth Miller
Submitted By: Ransom Moore
Title: Assistant Commissioner
Phone: 518-447-4695

Purpose of Request: Contract Authorization

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:

Health Research, Inc.
150 Broadway Suite 560
Menands, NY 12204

Term: (Start/end date or duration) July 1, 2025 - June 30, 2026
Amount/Raise Schedule/Fee: \$341,339

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Budget: Yes ☒ No ☐
Spreadsheet attached: Yes ☐ No ☒

Source of Funding - (Percentages)

Federal: 100% County:
State: Local:

County Budget Accounts:

Revenue Account and Line: A.4010.0.4433
Revenue Amount: \$341,339
Appropriation Account and Line: Various
Appropriation Amount: \$341,339

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒

If Mandated, Cite Authority:

Request for Bids / Proposals:

Competitive Bidding Exempt: Yes ☐ No ☒

of Response(s):

of MWBE:

of Veteran Business:

Bond Resolution No.:

Apprenticeship Program Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: Resolution 2024-404

July 8, 2024

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

The Albany County Department of Health (ACDOH) requests authorization to enter into a contract with Health Research, Inc. / New York State Department of Health for the Public Health Emergency Preparedness Program in the amount of \$341,339 for the period July 1, 2025 through June 30, 2026. This funding is used to:

- Improve Albany County's capacity for public health emergency response;
- Continue its robust training and exercise program to test our response capabilities; and
- Continue to recruit and train Medical Reserve Corps volunteers.

All revenues and expenditures were anticipated and included in the 2025 Health Department budget. These grant funds are provided to the County on an annual basis and we are not required to apply for the funding.

Moore, Ransom

From: doh.sm.NYSPHEP <NYSPHEP@health.ny.gov>
Sent: Wednesday, May 7, 2025 1:35 PM
Cc: Sarah Ravenhall; Lewis, Cori L (HEALTH); doh.sm.NYSPHEP
Subject: EXTERNAL 2025-2026 Public Health Emergency Preparedness Contracts - LHDs
Attachments: 2025-2026 LHD Funding Table.pdf; 2025-2026 PHEP Base-CRI Budget Template.xls; 2025-2026 PHEP Budget Template.xls; Budget Requirements and Reminders.pdf; Meeting Expense Guidelines - EP 20240516.pdf

Caution: This email originated from outside of Albany County. Do not click links or open attachments unless you recognize the sender and know the content is safe. If this email appears suspicious, please submit it using the Phish Alert Button in Outlook.

Warning: Unusual sender <nyspheap@health.ny.gov>
You don't usually receive emails from this address. Make sure you trust this sender before taking any actions.

Dear Emergency Preparedness Partners:

On July 1, 2025, we will begin new Public Health Emergency Preparedness contracts. Using the appropriate attached Excel template, prepare a detailed budget to allocate the amount being provided to your organization to implement this program (see the Subtotal column of the attached funding table). Please do not use any other templates to prepare your budget.

The following documents are attached to assist in the development of your budget for the period 7/1/25-6/30/26:

- 2025-2026 LHD Funding Table
- Meeting Expense Guidelines
- Budget Reminders and Requirements
- Budget Template: Base only
- Budget Template: Base and CRI

Note: Contract deliverables for 2025-2026 will be sent under separate cover.

The first tab of the budget templates include instructions. Please develop your budget utilizing your 2024-2025 approved budget as a guide. This contract will also include emergency placeholder funding in the 'Restricted' category. These placeholder funds allow the New York State Department of Health to quickly provide additional funding in the event of a public health emergency.

Return the completed budget electronically to nyspheap@health.ny.gov as soon as possible but **no later than close of business Wednesday, June 4, 2025**. If you have any questions or concerns, please contact us at nyspheap@health.ny.gov.

Thank you,

Kristine Baldes

Pronouns: she, her, hers

Assistant Health Program Administrator

Grants Administration, Office of Public Health

New York State Department of Health

Riverview Center, 150 Broadway, Suite 516

Menands, NY 12204-2719

Phone: (518) 408-2063 Fax: (518) 408-5280

Email: nyspheap@health.ny.gov

LHD Public Health Emergency Preparedness Contracts
2025-2026 Base and CRI Awards by LHD

County	2020 Total Population	Base Award	CRI Award	Subtotal (Base + CRI)	Emergency Placeholder	Total Contract
Albany	314,848	\$154,663	\$186,676	\$341,339	\$1,000,000	\$1,341,339
Allegany	46,456	\$52,099	\$0	\$52,099	\$1,000,000	\$1,052,099
Broome	198,683	\$116,822	\$0	\$116,822	\$1,000,000	\$1,116,822
Cattaraugus	77,042	\$77,196	\$0	\$77,196	\$1,000,000	\$1,077,196
Cayuga	76,248	\$76,937	\$0	\$76,937	\$1,000,000	\$1,076,937
Chautauqua	127,657	\$93,684	\$0	\$93,684	\$1,000,000	\$1,093,684
Chemung	84,148	\$79,511	\$0	\$79,511	\$1,000,000	\$1,079,511
Chenango	47,220	\$52,099	\$0	\$52,099	\$1,000,000	\$1,052,099
Clinton	79,843	\$78,109	\$0	\$78,109	\$1,000,000	\$1,078,109
Columbia	61,570	\$52,099	\$0	\$52,099	\$1,000,000	\$1,052,099
Cortland	46,809	\$52,099	\$0	\$52,099	\$1,000,000	\$1,052,099
Delaware	44,308	\$52,099	\$0	\$52,099	\$1,000,000	\$1,052,099
Dutchess	295,911	\$148,495	\$103,076	\$251,571	\$1,000,000	\$1,251,571
Erie	954,236	\$362,950	\$249,376	\$612,326	\$1,000,000	\$1,612,326
Essex	37,381	\$52,099	\$0	\$52,099	\$1,000,000	\$1,052,099
Franklin	47,555	\$52,099	\$0	\$52,099	\$1,000,000	\$1,052,099
Fulton	53,324	\$52,099	\$0	\$52,099	\$1,000,000	\$1,052,099
Genesee	58,388	\$52,099	\$0	\$52,099	\$1,000,000	\$1,052,099
Greene	47,931	\$52,099	\$0	\$52,099	\$1,000,000	\$1,052,099
Hamilton	5,107	\$52,099	\$0	\$52,099	\$1,000,000	\$1,052,099
Herkimer	60,139	\$52,099	\$0	\$52,099	\$1,000,000	\$1,052,099
Jefferson	116,721	\$90,122	\$0	\$90,122	\$1,000,000	\$1,090,122
Lewis	26,582	\$52,099	\$0	\$52,099	\$1,000,000	\$1,052,099
Livingston	61,834	\$52,099	\$0	\$52,099	\$1,000,000	\$1,052,099
Madison	68,016	\$52,099	\$0	\$52,099	\$1,000,000	\$1,052,099
Monroe	759,443	\$299,494	\$0	\$299,494	\$1,000,000	\$1,299,494
Montgomery	49,532	\$52,099	\$0	\$52,099	\$1,000,000	\$1,052,099
Nassau	1,395,774	\$506,785	\$177,898	\$684,683	\$1,000,000	\$1,684,683
Niagara	212,666	\$121,377	\$123,976	\$245,353	\$1,000,000	\$1,245,353
Oneida	232,125	\$127,716	\$0	\$127,716	\$1,000,000	\$1,127,716
Onondaga	476,516	\$207,328	\$0	\$207,328	\$1,000,000	\$1,207,328
Ontario	112,458	\$88,733	\$0	\$88,733	\$1,000,000	\$1,088,733
Orange	401,310	\$182,829	\$103,076	\$285,905	\$1,000,000	\$1,285,905
Orleans	40,343	\$52,099	\$0	\$52,099	\$1,000,000	\$1,052,099
Oswego	117,525	\$90,384	\$0	\$90,384	\$1,000,000	\$1,090,384
Otsego	58,524	\$52,099	\$0	\$52,099	\$1,000,000	\$1,052,099
Putnam	97,668	\$83,915	\$103,076	\$186,991	\$1,000,000	\$1,186,991
Rensselaer	161,130	\$104,589	\$103,076	\$207,665	\$1,000,000	\$1,207,665
Rockland	338,329	\$162,313	\$103,076	\$265,389	\$1,000,000	\$1,265,389
Saratoga	235,509	\$128,818	\$103,076	\$231,894	\$1,000,000	\$1,231,894
Schenectady	158,061	\$103,589	\$103,076	\$206,665	\$1,000,000	\$1,206,665
Schoharie	29,714	\$52,099	\$103,076	\$155,175	\$1,000,000	\$1,155,175
Schuyler	17,898	\$52,099	\$0	\$52,099	\$1,000,000	\$1,052,099
Seneca	33,814	\$52,099	\$0	\$52,099	\$1,000,000	\$1,052,099
St. Lawrence	108,505	\$87,445	\$0	\$87,445	\$1,000,000	\$1,087,445
Steuben	93,584	\$82,585	\$0	\$82,585	\$1,000,000	\$1,082,585
Suffolk	1,525,920	\$549,181	\$126,066	\$675,247	\$1,000,000	\$1,675,247
Sullivan	78,624	\$77,711	\$0	\$77,711	\$1,000,000	\$1,077,711
Tioga	48,455	\$52,099	\$0	\$52,099	\$1,000,000	\$1,052,099
Tompkins	105,740	\$86,545	\$0	\$86,545	\$1,000,000	\$1,086,545
Ulster	181,851	\$111,339	\$0	\$111,339	\$1,000,000	\$1,111,339
Warren	65,737	\$52,099	\$0	\$52,099	\$1,000,000	\$1,052,099
Washington	61,302	\$52,099	\$0	\$52,099	\$1,000,000	\$1,052,099
Wayne	91,283	\$81,835	\$0	\$81,835	\$1,000,000	\$1,081,835
Westchester	1,004,457	\$379,310	\$141,114	\$520,424	\$1,000,000	\$1,520,424
Wyoming	40,531	\$52,099	\$0	\$52,099	\$1,000,000	\$1,052,099
Yates	24,774	\$52,099	\$0	\$52,099	\$1,000,000	\$1,052,099
Total	11,397,059	\$6,296,884	\$1,829,714	\$8,126,598	\$57,000,000	\$65,126,598

RESOLUTION NO. 404**AUTHORIZING AN AGREEMENT WITH THE NEW YORK STATE DEPARTMENT OF HEALTH/HEALTH RESEARCH, INC. REGARDING THE PUBLIC HEALTH EMERGENCY PREPAREDNESS PROGRAM**

Introduced: 7/8/24

By Health Committee:

WHEREAS, The Commissioner of Health has requested authorization to enter into an agreement with the New York State Department of Health/Health Research, Inc. (NYSDOH/HRI) regarding the Public Health Emergency Preparedness Program in an amount not to exceed \$341,339 for the term commencing July 1, 2024 and ending June 30, 2025, and

WHEREAS, The Commissioner indicated that the funding will be used to provide enhanced services in the five focus areas of planning and assessment, surveillance, information and communication technology, risk communication, and education and training of staff, now, therefore, be it

RESOLVED, By the Albany County Legislature that the County Executive is authorized to enter into an agreement with the NYSDOH/HRI regarding the Public Health Emergency Preparedness Program in an amount not to exceed \$341,339 for the term commencing July 1, 2024 and ending June 30, 2025, and, be it further

RESOLVED, That the County Attorney is authorized to approve said agreement as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.

Adopted by unanimous vote – 7/8/24

Reidy abstained.

State of New York
County of Albany

This is to certify that I, the undersigned, Clerk of the Albany County Legislature, have compared the foregoing copy of the resolution and/or local law with the original resolution and/or local law now on file in the office, and which was passed by the Legislature of said County on the 8th day of July, 2024, a majority of all members elected to the Legislature voting in favor thereof, and that the same is a correct and true transcript of such original resolution and/or local law and the whole thereof.



IN WITNESS THEREOF, I have hereunto set my hand and the official seal of the County Legislature this 11th day of July, 2024.

A handwritten signature in cursive script, reading "Needa Chambers", is written over a horizontal line.

Clerk, Albany County Legislature

RESOLUTION NO. 269

AUTHORIZING AN AGREEMENT WITH THE NEW YORK STATE DEPARTMENT OF HEALTH/HEALTH RESEARCH, INC. REGARDING THE PUBLIC HEALTH EMERGENCY PREPAREDNESS PROGRAM

Introduced: 7/14/25
By Health Committee:

WHEREAS, The Commissioner of Health has requested authorization to enter into an agreement with the New York State Department of Health/Health Research, Inc. (NYSDOH/HRI) regarding the Public Health Emergency Preparedness Program in an amount not to exceed \$341,339 for the term commencing July 1, 2025 and ending June 30, 2026, and

WHEREAS, The Commissioner indicated that the funding will be used to improve Albany County's capacity for public health emergency response, continue to recruit and train Medical Reserve Corps volunteers, and continue the robust training and exercise program to test response capabilities, now, therefore, be it

RESOLVED, By the Albany County Legislature that the County Executive is authorized to enter into an agreement with NYSDOH/HRI regarding the Public Health Emergency Preparedness Program in an amount not to exceed \$341,339 for the term commencing July 1, 2025 and ending June 30, 2026, and, be it further

RESOLVED, That the County Attorney is authorized to approve said agreement as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.

DANIEL P. MCCOY
County Executive



COUNTY OF ALBANY
PROBATION DEPARTMENT
60 SOUTH PEARL STREET
ALBANY, NEW YORK 12207
PHONE: (518) 487-5200
FAX: (518) 487-5204
www.albanycounty.com

WILLIAM CONNORS
Director

Lori Haggerty
Deputy Director

Michael OConnor
Principal Probation Officer

May 20, 2025

Honorable Joanne Cunningham, Chairwoman
Albany County Legislature
112 State Street, Room 710
Albany, NY 12207

Re: Statewide Targeted Reductions in Intimate Partner Violence (STRIVE)
4.1.2025-3.31.2026

Dear Chairwoman Cunningham:

Enclosed is a request for Legislative Action for permission to accept funding from New York State Division of Criminal Justice for a new grant, for the Probation Department to participant in comprehensive partnership for Albany County. The partners in will include, Equinox Domestic Violence Services, Albany Police Department, Albany County District Attorney Office and the Probation Department. The STRIVE Grant partners will work together to change the way agencies respond to intimate partner violence.

Albany County Probation will assign a Probation Officer on a .5 basis to coordinate with STRIVE partners, which shall include field work for offenders under Probation Supervision and technology monitoring.

Albany County Probation has been awarded \$92,783. It is respectfully requested this be considered for acceptance.

If you have any questions or need additional information, please do not hesitate to contact me directly at (518) 487-5194.

Sincerely,

William Connors
Probation Director

WC/km
Enc.

cc: Honorable Dennis Feeney, Majority Leader
Honorable Frank Mauriello, Minority Leader
Rebekah Kennedy, Esq., Majority Counsel
James Curran, Esq., Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6615, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Contract Authorization with New York State Division of Criminal Justice Services to accept funding for STRIVE Grant

Date: May 22, 2025
Department: Probation
Attending Meeting: William Connors
Submitted By: William Connors
Title: Probation Director
Phone: 518-487-5194

Purpose of Request: Contract Authorization Enter text.

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses: New York State Division of Criminal Justice, 80 South Swan Street, Albany NY 12210
Enter text.

Term: (Start/end date or duration) 12 Months
Amount/Raise Schedule/Fee: 92,783

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Budget: Yes ☐ No ☒
Spreadsheet attached: Yes ☒ No ☐

Source of Funding - (Percentages)

Federal:	0	County:	0
State:	100	Local:	0

County Budget Accounts:

Revenue Account and Line:	A33140 03078
Revenue Amount:	92,783
Appropriation Account and Line:	Budget Amendment Attached
Appropriation Amount:	92,783

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒

If Mandated, Cite Authority: Enter text.
Request for Bids / Proposals:
 Competitive Bidding Exempt: Yes ☐ No ☒
 # of Response(s): Enter text.
 # of MWBE: Enter text.
 # of Veteran Business: Enter text.
Bond Resolution No.: Enter text.
Apprenticeship Program Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: Enter text.

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

New York State has awarded grant funding to Albany County, for Statewide Targeted Reductions in Intimate Partner Violence (STRIVE). Partners include Equinox Domestic Violence Services, Albany County District Attorney Office, Albany City Police Department, and the Probation Department. All partners will work together in a collaborate manor to reduce the risk of lethality or serious harm to victims of intimate partner violence and their families. The Probation Department will designate a Probation Officer to attend meetings and training with the goal of improving the ability of all partners to better monitor offenders while providing outreach services to victims and families.

USE WHOLE NUMBERS ONLY

BUDGET LINE				DESCRIPTION		INCREASE	DECREASE	UNIT COST	DEPARTMENT NAME
FUND	ORG	OBJ	PROJECT	FOR POSITIONS ONLY					
				STATE POS. CODE	POSITION CONTROL				
A	3140	19954	10000			Enhanced Pay	\$32,541	\$32,541	Probation Dept
A	3140	19900	10000			Overtime	\$10,000	\$75,150	Probation Dept
A	3140	44041	10000			Computer Fees	\$24,019	\$66,519	Probation Dept
A	3140	44039	10000			Conference/Training/Tuition	\$8,000	\$37,000	Probation Dept
A	3140	89010	10000			State Retirement	\$16,829	\$1,161,553	Probation Dept
A	3140	89030	10000			Social Security	\$1,394	\$611,722	Probation Dept
TOTAL APPROPRIATIONS							\$92,783	\$0	

ESTIMATED REVENUES										
USE WHOLE NUMBERS ONLY										
BUDGET LINE					DESCRIPTION		DECREASE	INCREASE	UNIT COST	DEPARTMENT NAME
FUND	ORG	OBJ	PROJECT	FOR POSITIONS ONLY						
				STATE POS. CODE	POSITION CONTROL					
A	33140	03078				STRIVE Grant		\$92,783	\$92,783	Probation Dept
						TOTAL REVENUES	\$0	\$92,783		
						GRAND TOTAL	\$92,783	\$92,783		

ALBANY

ALBANY POLICE DEPARTMENT BUDGET

PERSONNEL		Requested Budget	Awarded Budget
Job Title / Position			
STRIVE Analyst		\$46,025	\$46,025
Job Title/ Position Total		\$46,025	\$46,025
Fringe Benefits for Positions			
STRIVE Analyst		\$26,696	\$26,696
Fringe Benefits Total		\$26,696	\$26,696
TOTAL PERSONNEL		\$72,721	\$72,721
DOMESTIC VIOLENCE HIGH-RISK TEAM (DVHRT)			
Overtime		\$85,000	\$85,000
Equipment - one (1) Crime Lite AUTO Kit full spectrum illumination, capture and enhancement too		\$50,600	\$50,600
Cellular Telephone Plans		\$23,040	\$23,040
Child Safety Seats for Transportation		\$1,000	\$1,000
STRIVE Related Domestic Violence Awareness campaign materials		\$2,500	\$2,500
TOTAL DVHRT		\$162,140	\$162,140
LETHALITY ASSESSMENT PROGRAM (LAP)			
TOTAL LAP		\$0	\$0
INTIMATE PARTNER VIOLENCE INTERVENTION (IPVI)			
TOTAL IPVI		\$0	\$0
TRAVEL & TRAINING			
Training: LAP Implementation Training		\$21,500	\$21,500
Training: STRIVE/IPV Related Training Conferences		\$8,000	\$8,000
Travel: Travel related to Conferences, Symposiums, or STRIVE Related Training		\$6,000	\$6,000
TOTAL TRAVEL & TRAINING		\$35,500	\$35,500
POLICE DEPARTMENT TOTAL		\$270,361	\$270,361

5/1/2025

DISTRICT ATTORNEY'S OFFICE BUDGET			
PERSONNEL		Requested Budget	Awarded Budget
Job Title / Position			
	Assistant District Attorney I	\$80,000	\$80,000
	Assistant District Attorney II	\$90,000	\$90,000
	Crime Victim Caseworker	\$62,525	\$62,525
Job Title/ Position Total		\$232,525	\$232,525
Fringe Benefits for Positions			
	Assistant District Attorney I	\$44,800	\$44,800
	Assistant District Attorney II	\$50,400	\$50,400
	Crime Victim Caseworker	\$35,014	\$35,014
Fringe Benefits Total		\$130,214	\$130,214
TOTAL PERSONNEL		\$362,739	\$362,739
DOMESTIC VIOLENCE HIGH-RISK TEAM (DVHRT)			
TOTAL DVHRT		\$0	\$0
LETHALITY ASSESSMENT PROGRAM (LAP)			
TOTAL LAP		\$0	\$0
INTIMATE PARTNER VIOLENCE INTERVENTION (IPVI)			
TOTAL IPVI		\$0	\$0
TRAVEL& TRAINING			
	Training	\$15,000	\$15,000
TOTAL TRAVEL & TRAINING		\$15,000	\$15,000
DISTRICT ATTORNEY'S OFFICE TOTAL		\$377,739	\$377,739

5/1/2025

SERVICE PROVIDER BUDGET			
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PERSONNEL		Requested Budget	Awarded Budget
Job Title / Position			
	Strive Coordinator	\$55,000	\$55,000
	Police Advocate 1 FTE	\$45,760	\$45,760
	Police Advocate 1 FTE	\$45,760	\$45,760
	Chief Program Officer	\$10,400	\$10,400
	Director of DV Services	\$8,102	\$8,102
	Outreach Services Program Manager (Advocate Supervisor)	\$3,021	\$3,021
	Counselor	\$0	\$3,021
	Job Title/ Position Total	\$168,043	\$171,064
Fringe Benefits for Positions			
	Strive Coordinator	\$10,208	\$10,208
	Police Advocate 1 FTE	\$8,494	\$8,494
	Police Advocate 1 FTE	\$8,494	\$8,494
	Chief Program Officer	\$1,930	\$1,930
	Director of DV Services	\$1,506	\$1,506
	Outreach Services Program Manager (Advocate Supervisor)	\$561	\$561
	Counselor	\$0	\$561
	Fringe Benefits Total	\$31,193	\$31,754
	TOTAL PERSONNEL	\$199,236	\$202,818
DOMESTIC VIOLENCE HIGH-RISK TEAM (DVHRT)			
	Overtime and On Call	\$50,000	\$40,000
	Administrative costs	\$92,337	\$92,337
	Program/Office & CCR Coordination Supplies:	\$30,000	\$25,000
	Communication / Cell Phone	\$3,008	\$3,008
	Printing & Outreach	\$10,000	\$6,000
	Office & Building expenses	\$6,000	\$6,000
	Client Emergency Funds & Emergency Relocation Funds	\$90,000	\$75,418
	Equipment and Software	\$10,000	\$10,000
	Interpretation and translation services	\$15,000	\$10,000
	Client Transportation	\$20,000	\$15,000
	Client Civil Legal Services	\$0	\$50,000
	TOTAL DVHRT	\$326,345	\$332,763
LETHALITY ASSESSMENT PROGRAM (LAP)			
	TOTAL LAP	\$0	\$0
INTIMATE PARTNER VIOLENCE INTERVENTION (IPVI)			
	TOTAL IPVI	\$0	\$0
TRAVEL & TRAINING			
	Staff Mileage	\$4,000	\$4,000
	Travel	\$33,000	\$28,000
	Training	\$53,000	\$48,000
	TOTAL TRAVEL & TRAINING	\$90,000	\$80,000
	SERVICE PROVIDER TOTAL	\$615,581	\$615,581

5/1/2025

SHERIFF'S OFFICE BUDGET

PERSONNEL		Requested Budget	Awarded Budget
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[illegible]

[illegible]



Division of Criminal Justice Services

KATHY HOCHUL

Governor

ROSSANA ROSADO

Commissioner

CILLIAN FLAVIN

Deputy Commissioner

Grant Award Notice

Grantee/Contractor: Albany County Probation Department	Date: 5/19/2025
Program Name: STRIVE (Year I)	Award Amount: \$92,783
Signatory Name and Title: William Connors Director	Term Dates: 3/1/2025 – 3/31/2026
Email: william.connors@albanycounty.com	Contract Number: C485121
Program Description: Statewide Targeted Reductions in Intimate Partner Violence (STRIVE) Initiative	
I am pleased to inform you that your organization will receive funding under the Statewide Targeted Reductions in Intimate Partner Violence (STRIVE) grant program from State Fiscal Year 2025. This grant program was secured by Governor Kathy Hochul and the New York State Legislature to improve the public safety response to intimate partner abuse and domestic violence. Please note that this funding is made available to your organization pursuant to the county plan submitted to, and approved by, the Division of Criminal Justice Services (DCJS). Funding shall be used for the prevention of – and intervention (e.g., enforcement, prosecution) in – incidences of intimate partner violence through evidence-based, survivor-centered, trauma-informed, and culturally responsive strategies. If you have any programmatic questions, please contact the DCJS Office of Public Safety (OPS) at STRIVE@dcjs.ny.gov. Your DCJS Office of Program Development and Funding (OPDF) Public Safety Grants Representative will be reaching out shortly with the next steps.	

We look forward to working with you in our shared efforts to help keep New Yorkers safe and ensure a justice system that works for all. Thank you for your continued partnership.

RESOLUTION NO. 270

AUTHORIZING AN AGREEMENT WITH THE NEW YORK STATE DIVISION OF CRIMINAL JUSTICE SERVICES REGARDING THE STATEWIDE TARGETED REDUCTIONS IN INTIMATE PARTNER VIOLENCE GRANT AND AMENDING THE 2025 PROBATION DEPARTMENT BUDGET

Introduced: 7/14/25

By Public Safety Committee:

WHEREAS, The Director of the Probation Department has requested authorization to enter into an agreement with the New York State Division of Criminal Justice Services regarding the Statewide Targeted Reductions in Intimate Partner Violence (STRIVE) Grant in the amount of \$92,783 for a term commencing March 1, 2025 and ending March 31, 2026, and

WHEREAS, The Probation Department will partner with Equinox Domestic Violence Services, the Albany Police Department, and the Albany County District Attorney's Office to change the way agencies respond to intimate partner violence, and

WHEREAS, The Director has also requested a budget amendment to incorporate the aforementioned funding, now, therefore, be it

RESOLVED, By the Albany County Legislature that the County Executive is authorized to enter into an agreement with the New York State Division of Criminal Justice Services regarding the STRIVE Grant in the amount of \$92,783 for a term commencing March 1, 2025 and ending March 31, 2026, and, be it further

RESOLVED, That the 2025 Probation Department Budget is hereby amended as follows:

USE WHOLE NUMBERS ONLY									
BUDGET LINE					DESCRIPTION	INCREASE	DECREASE	UNIT COST	DEPARTMENT NAME
FUND	ORG	OBJ	PROJECT	FOR POSITIONS ONLY					
				STATE POSITION					
				POS. CODE CONTROL					
A	3140	19954	10000		Enhanced Pay	\$32,541		\$32,541	Probation Dept
A	3140	19900	10000		Overtime	\$10,000		\$75,150	Probation Dept
A	3140	44041	10000		Computer Fees	\$24,019		\$66,519	Probation Dept
A	3140	44039	10000		Conference/Training/Tuition	\$8,000		\$37,000	Probation Dept
A	3140	89010	10000		State Retirement	\$16,829		\$1,161,553	Probation Dept
A	3140	89030	10000		Social Security	\$1,394		\$611,722	Probation Dept
					TOTAL APPROPRIATIONS	\$92,783	\$0		
ESTIMATED REVENUES									
USE WHOLE NUMBERS ONLY									
BUDGET LINE					DESCRIPTION	DECREASE	INCREASE	UNIT COST	DEPARTMENT NAME
FUND	ORG	OBJ	PROJECT	FOR POSITIONS ONLY					
				STATE POSITION					
				POS. CODE CONTROL					
A	33140	03078			STRIVE Grant		\$92,783	\$92,783	Probation Dept
					TOTAL REVENUES	\$0	\$92,783		
					GRAND TOTAL	\$92,783	\$92,783		

and, be it further

RESOLVED, That the County Attorney is authorized to approve said agreement as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.



DANIEL P. McCOY
County Executive

DEPARTMENT OF HEALTH
COUNTY OF ALBANY
175 GREEN STREET
ALBANY, NEW YORK 12202

TRICIA BULATAO, MS, RDN
Deputy Commissioner of Health

MARIBETH MILLER, RN, BSN, MS
Interim Commissioner of Health

The Dr. John J.A. Lyons
ALBANY COUNTY HEALTH FACILITY
(518) 447-4580 FAX (518) 447-4698
www.albanycountyny.gov

RANSOM E. MOORE III
Assistant Commissioner
Finance & Administration

June 3, 2025

Hon. Joanne Cunningham, Chairwoman
Albany County Legislature
112 State St., Rm. 710
Albany, NY 12207

Dear Chairwoman Cunningham:

The Albany County Department of Health (ACDOH) requests approval for an agreement with the Civil Service Employees Association, Inc., Local 1000, AFSCME, AFL-CIO, Albany County Local 801 (the "Bargaining Unit") on terms and conditions of employment through December 31, 2027. Under the terms of the agreement, employees with the following Nursing division titles will have salaries commensurate with the schedule included in the agreement.

- Clinical Head Nurse
- Epidemiology Nurse
- Immunization Clinic Service Specialist
- Lead Poison Prevention Specialist
- Public Health Aide
- Public Health Aide II
- Public Health Nurse
- Public Health Nurse II
- Registered Professional Nurse
- Registered Professional Nurse II
- Supervising Public Health Nurse

The 2025 ACDOH budget anticipated the increased salaries with the Enhanced Pay line and additional Article 6 State Aid generated from the increased salaries.

Please don't hesitate to contact me if you have any questions or need additional information.

Sincerely,

Maribeth Miller, BSN, MS
Interim Commissioner of Health

cc: Hon. Dennis Feeney, Majority Leader
Hon. Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel

Enclosures



Albany County Department of Health is nationally accredited and meets rigorous public health standards set forth to best meet the needs of our community.



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6631, **Version:** 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Authorization Request for CSEA MOU and 2025 Health Department Budget Amendment

Date: June 3, 2025
Department: Health
Attending Meeting: Maribeth Miller
Submitted By: Ransom Moore
Title: Assistant Commissioner
Phone: 518-447-4695

Purpose of Request: Contract Authorization

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:

CSEA, Local 1000 AFSCME, AFL-CIO
Albany County
Department of Health Unit #6000-02
143 Washington Avenue
Albany, NY 12210

Term: (Start/end date or duration) 1/1/2022-12/31/2027
Amount/Raise Schedule/Fee: \$318,289

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Budget: Yes ☐ No ☒
Spreadsheet attached: Yes ☒ No ☐

Source of Funding - (Percentages)

Federal: County:
State: 100% Local:

County Budget Accounts:

Revenue Account and Line: Public Health
A34010.03401

Revenue Amount:

Appropriation Account and Line: Multiple

Appropriation Amount:

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☒ No ☐
If Mandated, Cite Authority: New York State Department of Health
Request for Bids / Proposals:
 Competitive Bidding Exempt: Yes ☒ No ☐
 # of Response(s):
 # of MWBE:
 # of Veteran Business:
Bond Resolution No.:
Apprenticeship Program Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: Resolution 23-578
12/4/2023

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

The Albany County Department of Health (ACDOH) requests approval for an agreement with the Civil Service Employees Association, Inc., Local 1000, AFSCME, AFL-CIO, Albany County Local 801 (the "Bargaining Unit") on terms and conditions of employment through December 31, 2027. Under the terms of the agreement, employees with the following Nursing division titles will have salaries commensurate with the schedule included in the agreement.

- Clinical Head Nurse
- Epidemiology Nurse
- Immunization Clinic Service Specialist
- Lead Poison Prevention Specialist
- Public Health Aide
- Public Health Aide II
- Public Health Nurse
- Public Health Nurse II
- Registered Professional Nurse
- Registered Professional Nurse II
- Supervising Public Health Nurse

The 2025 ACDOH budget anticipated the increased salaries with the Enhanced Pay line and additional Article 6 State Aid generated from the increased salaries.

MEMORANDUM of AGREEMENT

By and Between the
COUNTY OF ALBANY

and the

CIVIL SERVICE EMPLOYEES ASSOCIATION, INC., Local 1000, AFSCME, AFL-CIO,
Local 801 Albany County Health Department Unit #6000-02

Made by and between Albany County ("Employer") and CSEA ("the Association") (collectively, the "Parties") this day of 20 May, 2025.

WHEREAS, the Parties entered into a collective bargaining agreement that expires on December 31, 2027 and;

WHEREAS, the Parties recognize that the labor market for nursing related titles is increasingly competitive; and

WHEREAS, the Parties recognize the need to improve the ability of the Employer to recruit and retain qualified individuals in said titles; and

WHEREAS, recent changes to the New York State Public Health Law has given the Parties the opportunity to exploring increasing salaries for said titles; and

WHEREAS the Parties are desirous to amend the current agreement to increase the salary levels for specific Nursing-related titles; and

WHEREAS, the Parties negotiated in good faith and continue to negotiate in good faith; and

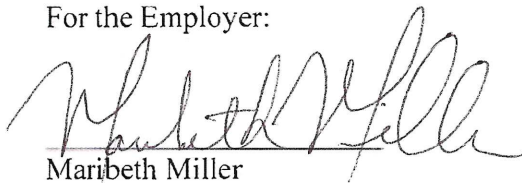
WHEREAS, the Parties have reached an agreement relating to the amendment of terms of a collective bargaining agreement between the parties and;

NOW THEREFORE, in consideration for the mutual undertakings and covenants herein contained, the Parties stipulate and agree as follows:

1. All Nursing-related titles found on the attached salary table shall receive an increase in pay according to the chart. Nursing-related titles are defined solely as: Clinical Head Nurse; Epidemiology Nurse; Immunization Clinic Service Specialist; Lead Poison Prevention Specialist; Public Health Aide; Public Health Aide II; Public Health Nurse; Public Health Nurse II; Registered Professional Nurse; Registered Professional Nurse II; and Supervising Public Health Nurse.
2. All current employees in the bargaining unit in the Nursing-related titles shall receive a raise in accordance with the starting salary increase at their appropriate step.

Dated this 20 of May 2025.

For the Employer:



Maribeth Miller
Acting Commissioner of Health
Albany County

For the Association:

Lawrence Lorraine Hazel-Mullen
Lawrence Mullen, CSEA



Counsel for the County Dept. of Health

Marianne P. Stone
CSEA Albany County Health Dept. Unit
President

Title	Starting Salary (2021)	2022 2%	2023 2%	2023 + \$1,000	2024 3%	2024 Adj.	2025 3%	2026 3%	2027 3%
Clinical Head Nurse	\$ 68,314.00	\$ 69,680	\$ 71,074	\$ 72,074	\$ 74,236	\$ 93,111	\$ 95,904	\$ 98,781	\$ 101,745
Epidemiology Nurse	\$ 62,220.00	\$ 63,464	\$ 64,734	\$ 65,734	\$ 67,706	\$ 84,920	\$ 87,468	\$ 90,092	\$ 92,795
Immunization Clinic Service Specialist	\$ 50,677.00	\$ 51,691	\$ 52,724	\$ 53,724	\$ 55,336	\$ 69,406	\$ 71,488	\$ 73,633	\$ 75,842
Lead Poison Prevention Specialist	\$ 53,411.00	\$ 54,479	\$ 55,569	\$ 56,569	\$ 58,266	\$ 73,080	\$ 75,273	\$ 77,531	\$ 79,857
Public Health Aide	\$ 32,608.40	\$ 33,261	\$ 33,926	\$ 34,926	\$ 35,974	\$ 42,974	\$ 44,263	\$ 45,591	\$ 46,958
Public Health Aide II	\$ 35,166.00	\$ 35,869	\$ 36,587	\$ 37,587	\$ 38,714	\$ 45,714	\$ 47,086	\$ 48,498	\$ 49,953
Public Health Nurse	\$ 58,594.55	\$ 59,766	\$ 60,962	\$ 61,962	\$ 63,821	\$ 80,048	\$ 82,449	\$ 84,922	\$ 87,470
Public Health Nurse II	\$ 61,852.24	\$ 63,089	\$ 64,351	\$ 65,351	\$ 67,312	\$ 84,426	\$ 86,959	\$ 89,568	\$ 92,255
Registered Professional Nurse	\$ 53,322.40	\$ 54,389	\$ 55,477	\$ 56,477	\$ 58,171	\$ 72,961	\$ 75,150	\$ 77,405	\$ 79,727
Registered Professional Nurse II	\$ 55,500.00	\$ 56,610	\$ 57,742	\$ 58,742	\$ 60,504	\$ 75,888	\$ 78,165	\$ 80,510	\$ 82,925
Supervising Public Health Nurse	\$ 68,313.83	\$ 69,680	\$ 71,074	\$ 72,074	\$ 74,236	\$ 93,111	\$ 95,904	\$ 98,781	\$ 101,745

CSEA MOU - Nursing Division Salaries

USE WHOLE NUMBERS ONLY											
BUDGET LINE					DESCRIPTION		INCREASE	DECREASE	UNIT COST	DEPARTMENT NAME	
FUND	ORG	OBJ	PROJECT	FOR POSITIONS ONLY							
				STATE POS. CODE	POSITION CONTROL						
A	4010	12120	10000	1	410066	Registered Nurse II	\$	14,908	\$ -	\$ 79,365	Health Dept
A	4010	12120	10000	2	410067	Registered Nurse II	\$	17,849	\$ -	\$ 78,165	Health Dept
A	4010	12128	10000	11	400025	Registered Nurse	\$	13,998	\$ -	\$ 75,150	Health Dept
A	4010	12128	10000	16	400172	Registered Nurse Part Time - 21 hr	\$	9,140	\$ -	\$ 45,090	Health Dept
A	4010	12129	10000	5	400030	Registered Nurse Part Time - 21 hr	\$	9,140	\$ -	\$ 45,090	Health Dept
A	4010	12130	10000	1	400203	Public Health Nurse II	\$	18,428	\$ -	\$ 88,159	Health Dept
A	4010	12131	10000	3	400033	Public Health Nurse Supervisor	\$	19,041	\$ -	\$ 95,904	Health Dept
A	4010	12133	10000	2	400037	Public Health Nurse	\$	16,314	\$ -	\$ 82,449	Health Dept
A	4010	12133	10000	4	400039	Public Health Nurse	\$	15,489	\$ -	\$ 82,449	Health Dept
A	4010	12133	10000	5	400040	Public Health Nurse	\$	16,314	\$ -	\$ 82,449	Health Dept
A	4010	12133	10000	6	400041	Public Health Nurse	\$	15,389	\$ -	\$ 82,449	Health Dept
A	4010	12133	10000	9	400044	Public Health Nurse	\$	16,314	\$ -	\$ 82,449	Health Dept
A	4010	12154	10000	1	400245	Clinic Head Nurse	\$	19,333	\$ -	\$ 97,104	Health Dept
A	4010	12177	10000	1	400198	Public Health Aide PT - 17.5 hr	\$	3,605	\$ -	\$ 22,131	Health Dept
A	4010	12196	10000	1	400071	Epidemiology Nurse	\$	18,531	\$ -	\$ 88,668	Health Dept
A	4010	12200	10000	1	400220	Immunization Clinic Service Specialist	\$	14,092	\$ -	\$ 71,488	Health Dept
A	4010	11903	10000	1	400199	Assistant Director Public Health Nursing	\$	10,575	\$ -	\$ 103,000	Health Dept
A	4010	13190	10000	1	400212	Lead Poisoning And Prevention Specialist	\$	15,247	\$ -	\$ 76,073	Health Dept
A	4010	15165	10000	3	400120	Public Health Aide	\$	7,133	\$ -	\$ 45,463	Health Dept
A	4010	15165	10000	4	400121	Public Health Aide	\$	7,210	\$ -	\$ 44,663	Health Dept
A	4010	15165	10000	5	400122	Public Health Aide	\$	6,810	\$ -	\$ 44,263	Health Dept
A	4010	15165	10000	6	400123	Public Health Aide	\$	5,877	\$ -	\$ 44,263	Health Dept
A	4010	15165	10000	8	400125	Public Health Aide	\$	6,810	\$ -	\$ 44,263	Health Dept
A	4010	15165	10000	11	400128	Public Health Aide	\$	7,210	\$ -	\$ 44,663	Health Dept
A	4010	15166	10000	1	400207	Public Health Aide II	\$	6,397	\$ -	\$ 47,086	Health Dept
A	4010	15166	10000	2	400208	Public Health Aide II	\$	7,136	\$ -	\$ 48,286	Health Dept
A	94010	19954	ART23			Enhanced Pay	\$	-	\$ 242,893		Health Dept
TOTAL APPROPRIATIONS							\$	318,289	\$ 242,893		

ESTIMATED REVENUES										
USE WHOLE NUMBERS ONLY										
BUDGET LINE					DESCRIPTION		DECREASE	INCREASE	UNIT COST	DEPARTMENT NAME
FUND	ORG	OBJ	PROJECT	FOR POSITIONS ONLY						
				STATE POS. CODE	POSITION CONTROL					
A	4010	03401				Public Health (Article 6 State Aid)	\$	-	\$ 75,396	Health Dept
TOTAL REVENUES							\$	-	\$ 75,396	
GRAND TOTAL							\$ 318,289	\$ 318,289		

RESOLUTION NO. 578**APPROVING A COLLECTIVE BARGAINING AGREEMENT WITH CIVIL SERVICES EMPLOYEES ASSOCIATION-LOCAL 1000 DEPARTMENT OF HEALTH UNIT LOCAL 801 AND AMENDING THE 2023 ALBANY COUNTY DEPARTMENT OF HEALTH BUDGET**

Introduced: 12/4/23

By Personnel Committee:

WHEREAS, The Commissioner of the Department of Health has requested approval of an agreement with the Civil Service Employees Association, Inc., Local 1000, AFSCME, AFL-CIO, and Albany County Local 801 (the "Bargaining Unit") on the terms and conditions of employment for the period of January 1, 2023 through December 31, 2027, and

WHEREAS, Under the terms of the agreement, employees of the Bargaining Unit will receive an increase of \$1000 to base salaries, retroactive to January 1, 2023, and

WHEREAS, Other terms and conditions of employment were modified in accordance with the annexed Memorandum of Understanding ratified by the membership of the Bargaining Unit, and

WHEREAS, The Commissioner has indicated that a budget amendment is necessary to accommodate this agreement, now, therefore, be it

RESOLVED, By the Albany County Legislature that Albany County is authorized to enter into a collective bargaining agreement with the Bargaining Unit as annexed hereto, for a term commencing January 1, 2023 and ending December 31, 2027, and, be it further

RESOLVED, That the 2023 Department of Health Budget is hereby amended to accommodate said agreement as indicated in the spreadsheet annexed hereto, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.

MEMORANDUM OF UNDERSTANDING

By and Between the
COUNTY OF ALBANY
and the

CIVIL SERVICE EMPLOYEES ASSOCIATION, INC., Local 1000, AFSCME, AFL-CIO

WHEREAS, the County of Albany (the "County") and the Civil Service Employees Association, Inc., Local 1000, AFSCME, AFL-CIO, (the "Union" or "CSEA"), (collectively the "Parties"), are parties to a collective bargaining agreement (the "CBA") for Albany County Department of Health, CSEA, Inc. Bargaining Unit #6000-02 (the "Unit"), covering the period of January 1, 2022 through December 31, 2027;

WHEREAS, pursuant to CBA Article XXV Section 25, the Parties agreed "to meet for continuing discussion of equitable salary increases in consideration of all titles of the Unit" (CBA Appendix "A"); and

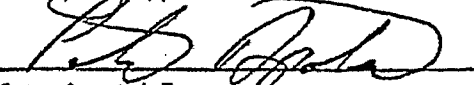
WHEREAS, after several meetings the Parties have reached an agreement on the matter.

NOW, THEREFORE, in consideration of the mutual undertakings and covenants herein contained, the Parties stipulate and agree as follows:

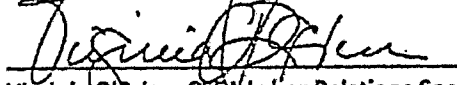
1. The Parties agree to increase the base salary of all current members of the Unit in the amount of one-thousand dollars (\$1,000.00), contingent upon approval by the Albany County Legislature.
2. The Parties agree to amend CBA Appendix "C" Salary Schedule to reflect an increase to starting salaries of all titles in the Unit in the amount of one-thousand dollars (\$1,000.00), contingent upon approval by the Albany County Legislature.
3. The aforementioned one-time salary increases shall be retroactive to January 1, 2023.
4. Increases to the starting salaries on CBA Appendix "C" Salary Schedule shall be contingent upon continued funding pursuant to Article VI of the New York State Public Health Law. In the event funding is discontinued, one-thousand dollars (\$1,000.00) will be deducted from only those starting salaries on CBA Appendix "C" Salary Schedule that increased by one-thousand dollars (\$1,000.00) retroactive to January 1, 2023, affecting only new hires or County employees, who subsequently transfer into the Unit.
5. If any dispute or disagreement arises over the terms and conditions of this agreement, the Parties agree that such issue(s) shall be governed by the grievance procedure contained in the CBA.

Dated: 26 October 2023


Jennifer Clement, Commissioner
Albany County Human Resources


Peter Apostol, Esq.
*Director of Employee Relations
for Albany County*


Marianne Stone, President
CSEA Unit #6000-02


Virginia O'Brien, CSEA Labor Relations Specialist

2023 Health Department Budget Amendment - CSEA MOU

		ACCOUNT NO.		APPROPRIATIONS		RESOLUTION DESCRIPTION		INCREASE		DECREASE		UNIT COST		DEPARTMENT NAME	
A	4010	1	1014	400001		COMMISSIONER PUBLIC		\$	1,000.00					Health Dept.	
A	4010	1	1114	400002		ASSISTANT COMMISSION		\$	1,000.00					Health Dept.	
A	4010	1	1260	400003		DIRECTOR ENVIRONMENTAL MENTAL		\$	1,000.00					Health Dept.	
A	4010	1	1261	400004		DIR OF PUBLIC HEALTH		\$	1,000.00					Health Dept.	
A	4010	1	2161	400014		PUBLIC HEALTH PLAN		\$	1,000.00					Health Dept.	
A	4010	1	2128	400016		REGISTERED NURSE		\$	1,000.00					Health Dept.	
A	4010	1	2128	400017		REGISTERED NURSE		\$	1,000.00					Health Dept.	
A	4010	1	2128	400023		REGISTERED NURSE		\$	1,000.00					Health Dept.	
A	4010	1	2128	400025		REGISTERED NURSE		\$	1,000.00					Health Dept.	
A	4010	1	2129	400030		RN PT 21		\$	1,000.00					Health Dept.	
A	4010	1	2131	400033		PUBLIC HEALTH NURSE		\$	1,000.00					Health Dept.	
A	4010	1	2133	400039		PUBLIC HEALTH NURSE		\$	1,000.00					Health Dept.	
A	4010	1	2133	400040		PUBLIC HEALTH NURSE		\$	1,000.00					Health Dept.	
A	4010	1	2133	400041		PUBLIC HEALTH NURSE		\$	1,000.00					Health Dept.	
A	4010	1	2133	400044		PUBLIC HEALTH NURSE		\$	1,000.00					Health Dept.	
A	4010	1	2137	400046		HIV NURS PT		\$	1,000.00					Health Dept.	
A	4010	1	2138	400050		EPIDEMIOLOGIST		\$	1,000.00					Health Dept.	
A	4010	1	6192	400051		KEYBOARD SPECIALIST		\$	1,000.00					Health Dept.	
A	4010	1	6192	400056		KEYBOARD SPECIALIST		\$	1,000.00					Health Dept.	
A	4010	1	6192	400057		KEYBOARD SPECIALIST		\$	1,000.00					Health Dept.	
A	4010	1	6192	400069		KEYBOARD SPECIALIST		\$	1,000.00					Health Dept.	
A	4010	1	2196	400071		EPIDEMIOLOGY NURSE		\$	1,000.00					Health Dept.	
A	4010	1	2609	400074		ENVIRONMENTAL SPECIA		\$	1,000.00					Health Dept.	
A	4010	1	2802	400080		PUBLIC HEALTH EDUCAT		\$	1,000.00					Health Dept.	
A	4010	1	2803	400081		HEALTH PROGRAM ASSIS		\$	1,000.00					Health Dept.	
A	4010	1	3100	400082		SUPERVISING DENTAL H		\$	1,000.00					Health Dept.	
A	4010	1	3102	400083		DENTAL HYGIENIST		\$	1,000.00					Health Dept.	
A	4010	1	5101	400097		DENTAL ASSISTANT		\$	1,000.00					Health Dept.	
A	4010	1	5101	400098		DENTAL ASSISTANT		\$	1,000.00					Health Dept.	
A	4010	1	5120	400101		CLINICAL ASSISTANT		\$	1,000.00					Health Dept.	
A	4010	1	1259	400106		ASST COMM FIN ADMIN		\$	1,000.00					Health Dept.	
A	4010	1	2612	400108		SR PUBLIC HEALTH ENG		\$	1,000.00					Health Dept.	
A	4010	1	6108	400109		ACCOUNT CLERK III		\$	1,000.00					Health Dept.	
A	4010	1	3101	400110		SUPER COMM HLTH WRKR		\$	1,000.00					Health Dept.	
A	4010	1	2546	400112		PROGRAM DIRECTOR		\$	1,000.00					Health Dept.	
A	4010	1	5165	400120		PUBLIC HEALTH AIDE		\$	1,000.00					Health Dept.	
A	4010	1	5105	400121		PUBLIC HEALTH AIDE		\$	1,000.00					Health Dept.	
A	4010	1	5165	400122		PUBLIC HEALTH AIDE		\$	1,000.00					Health Dept.	

2023 Health Department Budget Amendment - CSEA MOU

A	4010	1	5165	400123	PUBLIC HEALTH AIDE	\$	1,000.00			Health Dept.
A	4010	1	5165	400125	PUBLIC HEALTH AIDE	\$	1,000.00			Health Dept.
A	4010	1	5165	400128	PUBLIC HEALTH AIDE	\$	1,000.00			Health Dept.
A	4010	1	5113	400139	PERSONNEL ASSISTANT	\$	1,000.00			Health Dept.
A	4010	1	5208	400142	CLERK I	\$	1,000.00			Health Dept.
A	4010	1	5302	400157	MEDICAL CLERK TYPIST	\$	1,000.00			Health Dept.
A	4010	1	5302	400159	MEDICAL CLERK TYPIST	\$	1,000.00			Health Dept.
A	4010	1	5302	400160	MEDICAL CLERK TYPIST	\$	1,000.00			Health Dept.
A	4010	1	5302	400161	MEDICAL CLERK TYPIST	\$	1,000.00			Health Dept.
A	4010	1	5401	400163	CONFIDENTIAL SECRETA	\$	1,000.00			Health Dept.
A	4010	1	5401	400164	CONFIDENTIAL SECRETA	\$	1,000.00			Health Dept.
A	4010	1	5197	400171	INSURANC BILLING MGR	\$	1,000.00			Health Dept.
A	4010	1	2128	400172	REGISTERED NURSE	\$	1,000.00			Health Dept.
A	4010	1	5404	400184	SECRETARY II	\$	1,000.00			Health Dept.
A	4010	1	1264	400186	DIR PUB HLTH PLAN ED	\$	1,000.00			Health Dept.
A	4010	1	2013	400190	AST DIR ENVIR HEALTH	\$	1,000.00			Health Dept.
A	4010	1	2148	400194	DISEASE INTVN SPEC	\$	1,000.00			Health Dept.
A	4010	1	2159	400195	PH PREPAREDNS COORD	\$	1,000.00			Health Dept.
A	4010	1	5505	400196	DENTIST PART TIME	\$	1,000.00			Health Dept.
A	4010	1	2546	400197	PROGRM DIRECTOR	\$	1,000.00			Health Dept.
A	4010	1	2177	400198	PUBLIC HEALTH AID PT	\$	1,000.00			Health Dept.
A	4010	1	1903	400199	AST DIR PH NURSING	\$	1,000.00			Health Dept.
A	4010	1	2148	400201	DISEASE INTVN SPEC	\$	1,000.00			Health Dept.
A	4010	1	2130	400203	PUBLIC HLTH NURSE II	\$	1,000.00			Health Dept.
A	4010	1	3192	400205	DISEASE INTV SPEC II	\$	1,000.00			Health Dept.
A	4010	1	2504	400206	ASSC PUB HLTH PLANNR	\$	1,000.00			Health Dept.
A	4010	1	5166	400207	PUBLIC HLTH AIDE II	\$	1,000.00			Health Dept.
A	4010	1	5166	400208	PUBLIC HLTH AIDE II	\$	1,000.00			Health Dept.
A	4010	1	3190	400212	LEAD POISON PREV SPE	\$	1,000.00			Health Dept.
A	4010	1	2159	400214	PH PREPAREDNS COORD	\$	1,000.00			Health Dept.
A	4010	1	2147	400216	SCHOOL SPECIALIST	\$	1,000.00			Health Dept.
A	4010	1	2148	400217	GRANTS ADMINISTRATOR	\$	1,000.00			Health Dept.
A	4010	1	2502	400218	PUBLIC HEALTH EDUCAT	\$	1,000.00			Health Dept.
A	4010	1	2193	400219	EPIDEM DATA ANALYST	\$	1,000.00			Health Dept.
A	4010	1	2200	400220	IMMNZ CLIN SERV SPEC	\$	1,000.00			Health Dept.
A	4010	1	2930	400222	PUBLIC HLTH SAN I	\$	1,000.00			Health Dept.
A	4010	1	2930	400223	PUBLIC HLTH SAN I	\$	1,000.00			Health Dept.
A	4010	1	2930	400224	PUBLIC HLTH SAN I	\$	1,000.00			Health Dept.
A	4010	1	2930	400225	PUBLIC HLTH SAN I	\$	1,000.00			Health Dept.

2023 Health Department Budget Amendment - CSEA MOU

A	4010	1	2930	400226	PUBLIC HLTH SAN I	\$	1,000.00		Health Dept.
A	4010	1	2930	400227	PUBLIC HLTH SAN I	\$	1,000.00		Health Dept.
A	4010	1	2930	400228	PUBLIC HLTH SAN I	\$	1,000.00		Health Dept.
A	4010	1	2930	400229	PUBLIC HLTH SAN I	\$	1,000.00		Health Dept.
A	4010	1	2930	400230	PUBLIC HLTH SAN I	\$	1,000.00		Health Dept.
A	4010	1	2930	400231	PUBLIC HLTH SAN I	\$	1,000.00		Health Dept.
A	4010	1	2930	400232	PUBLIC HLTH SAN I	\$	1,000.00		Health Dept.
A	4010	1	2930	400233	PUBLIC HLTH SAN I	\$	1,000.00		Health Dept.
A	4010	1	2930	400234	PUBLIC HLTH SAN I	\$	1,000.00		Health Dept.
A	4010	1	2931	400235	PUBLIC HLTH SAN II	\$	1,000.00		Health Dept.
A	4010	1	2931	400236	PUBLIC HLTH SAN II	\$	1,000.00		Health Dept.
A	4010	1	2931	400237	PUBLIC HLTH SAN II	\$	1,000.00		Health Dept.
A	4010	1	2932	400238	PUBLIC HLTH SAN III	\$	1,000.00		Health Dept.
A	4010	1	2932	400239	PUBLIC HLTH SAN III	\$	1,000.00		Health Dept.
A	4010	1	2933	400240	PUBLIC HLTH SAN IV	\$	1,000.00		Health Dept.
A	4010	1	2933	400241	PUBLIC HLTH SAN IV	\$	1,000.00		Health Dept.
A	4010	1	6412	400242	RECEPTIONIST	\$	1,000.00		Health Dept.
A	4010	1	2172	400243	DISEASE INTERVENTION SPECIALIST III	\$	1,000.00		Health Dept.
A	4010	1	2156	400244	PUBLIC HEALTH EDUCATOR II	\$	1,000.00		Health Dept.
A	4010	1	2154	400245	CLINIC HEAD NURSE	\$	1,000.00		Health Dept.
A	4010	1	2800	410043	SR PUBLIC HEALTH ED	\$	1,000.00		Health Dept.
A	4010	1	2802	410044	PUBLIC HEALTH EDUCAT	\$	1,000.00		Health Dept.
A	4010	1	1266	410062	DIRECTOR EPPM	\$	1,000.00		Health Dept.
A	4010	1	3192	410063	DISEASE INTV SPEC II	\$	1,000.00		Health Dept.
A	4010	1	3192	410064	DISEASE INTV SPEC II	\$	1,000.00		Health Dept.
A	4010	1	2120	410065	REGISTERED NURSE II	\$	1,000.00		Health Dept.
A	4010	1	2120	410067	REGISTERED NURSE II	\$	1,000.00		Health Dept.
A	4010	1	9954		Enhanced Pay	\$	104,000.00		Health Dept.
					TOTAL APPROPRIATIONS	\$	104,000.00		
					GRAND TOTALS	\$	104,000.00	\$	104,000.00

Adopted by unanimous vote – 12/4/23

State of New York
County of Albany

This is to certify that I, the undersigned, Clerk of the Albany County Legislature, have compared the foregoing copy of the resolution and/or local law with the original resolution and/or local law now on file in the office, and which was passed by the Legislature of said County on the 4th day of December, 2023, a majority of all members elected to the Legislature voting in favor thereof, and that the same is a correct and true transcript of such original resolution and/or local law and the whole thereof.



IN WITNESS THEREOF, I have hereunto set my hand and the official seal of the County Legislature this 4th day of December, 2023.


Clerk, Albany County Legislature

RESOLUTION NO. 271

APPROVING A COLLECTIVE BARGAINING AGREEMENT WITH CIVIL SERVICES EMPLOYEES ASSOCIATION-LOCAL 1000 DEPARTMENT OF HEALTH UNIT LOCAL 801 AND AMENDING THE 2025 ALBANY COUNTY DEPARTMENT OF HEALTH BUDGET

Introduced: 7/14/25

By Personnel Committee:

WHEREAS, The Commissioner of the Department of Health has requested approval of an agreement with the Civil Service Employees Association, Inc., Local 1000, AFSCME, AFL-CIO, and Albany County Local 801 (the “Bargaining Unit”) on the terms and conditions of employment for the period of January 1, 2023 through December 31, 2027, and

WHEREAS, Under the terms of the agreement, various position titles will have salaries increased commensurate with the schedule annexed hereto, for a term commencing immediately and ending December 31, 2027, and

WHEREAS, Other terms and conditions of employment were modified in accordance with the annexed Memorandum of Understanding ratified by the membership of the Bargaining Unit, and

WHEREAS, The Commissioner has indicated that a budget amendment is necessary to accommodate this agreement, now, therefore, be it

RESOLVED, By the Albany County Legislature that Albany County is authorized to enter into a collective bargaining agreement with the Bargaining Unit as annexed hereto, for a term commencing January 1, 2023 and ending December 31, 2027, and, be it further

RESOLVED, That the 2025 Department of Health Budget is hereby amended to accommodate said agreement as indicated in the spreadsheet annexed hereto, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.

MEMORANDUM of AGREEMENT

By and Between the

COUNTY OF ALBANY

and the

CIVIL SERVICE EMPLOYEES ASSOCIATION, INC., Local 1000, AFSCME, AFL-CIO,
Local 801 Albany County Health Department Unit #6000-02

Made by and between Albany County ("Employer") and CSEA ("the Association") (collectively, the "Parties") this day of 20 May, 2025.

WHEREAS, the Parties entered into a collective bargaining agreement that expires on December 31, 2027 and;

WHEREAS, the Parties recognize that the labor market for nursing related titles is increasingly competitive; and

WHEREAS, the Parties recognize the need to improve the ability of the Employer to recruit and retain qualified individuals in said titles; and

WHEREAS, recent changes to the New York State Public Health Law has given the Parties the opportunity to exploring increasing salaries for said titles; and

WHEREAS the Parties are desirous to amend the current agreement to increase the salary levels for specific Nursing-related titles; and

WHEREAS, the Parties negotiated in good faith and continue to negotiate in good faith; and

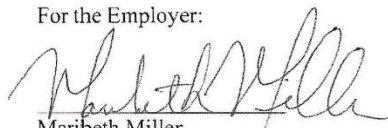
WHEREAS, the Parties have reached an agreement relating to the amendment of terms of a collective bargaining agreement between the parties and;

NOW THEREFORE, in consideration for the mutual undertakings and covenants herein contained, the Parties stipulate and agree as follows:

1. All Nursing-related titles found on the attached salary table shall receive an increase in pay according to the chart. Nursing-related titles are defined solely as: Clinical Head Nurse; Epidemiology Nurse; Immunization Clinic Service Specialist; Lead Poison Prevention Specialist; Public Health Aide; Public Health Aide II; Public Health Nurse; Public Health Nurse II; Registered Professional Nurse; Registered Professional Nurse II; and Supervising Public Health Nurse.
2. All current employees in the bargaining unit in the Nursing-related titles shall receive a raise in accordance with the starting salary increase at their appropriate step.

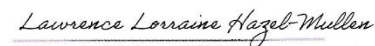
Dated this 20 of May 2025.

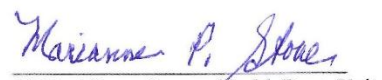
For the Employer:


 Maribeth Miller
 Acting Commissioner of Health
 Albany County


 Counsel for the County Dept. of Health

For the Association:


 Lawrence Mullen, CSEA


 CSEA Albany County Health Dept. Unit
 President

Title	Starting Salary (2021)	2022 2%	2023 2%	2023 + \$1,000	2024 3%	2024 Adj.	2025 3%	2026 3%	2027 3%
Clinical Head Nurse	\$ 68,314.00	\$ 69,680	\$ 71,074	\$ 72,074	\$ 74,236	\$ 93,111	\$ 95,904	\$ 98,781	\$ 101,745
Epidemiology Nurse	\$ 62,220.00	\$ 63,464	\$ 64,734	\$ 65,734	\$ 67,706	\$ 84,920	\$ 87,468	\$ 90,092	\$ 92,795
Immunization Clinic Service Specialist	\$ 50,677.00	\$ 51,691	\$ 52,724	\$ 53,724	\$ 55,336	\$ 69,406	\$ 71,488	\$ 73,633	\$ 75,842
Lead Poison Prevention Specialist	\$ 53,411.00	\$ 54,479	\$ 55,569	\$ 56,569	\$ 58,266	\$ 73,080	\$ 75,273	\$ 77,531	\$ 79,857
Public Health Aide	\$ 32,608.40	\$ 33,261	\$ 33,926	\$ 34,926	\$ 35,974	\$ 42,974	\$ 44,263	\$ 45,591	\$ 46,958
Public Health Aide II	\$ 35,166.00	\$ 35,869	\$ 36,587	\$ 37,587	\$ 38,714	\$ 45,714	\$ 47,086	\$ 48,498	\$ 49,953
Public Health Nurse	\$ 58,594.55	\$ 59,766	\$ 60,962	\$ 61,962	\$ 63,821	\$ 80,048	\$ 82,449	\$ 84,922	\$ 87,470
Public Health Nurse II	\$ 61,852.24	\$ 63,089	\$ 64,351	\$ 65,351	\$ 67,312	\$ 84,426	\$ 86,959	\$ 89,568	\$ 92,255
Registered Professional Nurse	\$ 53,322.40	\$ 54,389	\$ 55,477	\$ 56,477	\$ 58,171	\$ 72,961	\$ 75,150	\$ 77,405	\$ 79,727
Registered Professional Nurse II	\$ 55,500.00	\$ 56,610	\$ 57,742	\$ 58,742	\$ 60,504	\$ 75,888	\$ 78,165	\$ 80,510	\$ 82,925
Supervising Public Health Nurse	\$ 68,313.83	\$ 69,680	\$ 71,074	\$ 72,074	\$ 74,236	\$ 93,111	\$ 95,904	\$ 98,781	\$ 101,745

CSEA MOU - Nursing Division Salaries

USE WHOLE NUMBERS ONLY										
BUDGET LINE				DESCRIPTION		INCREASE	DECREASE	UNIT COST	DEPARTMENT NAME	
FUND	ORG	OBJ	PROJECT	FOR POSITIONS ONLY						
				STATE POS. CODE	POSITION CONTROL					
A	4010	12120	10000	1	410066	Registered Nurse II	\$ 14,908	\$ -	\$ 79,365	Health Dept
A	4010	12120	10000	2	410067	Registered Nurse II	\$ 17,849	\$ -	\$ 78,165	Health Dept
A	4010	12128	10000	11	400025	Registered Nurse	\$ 13,998	\$ -	\$ 75,150	Health Dept
A	4010	12128	10000	16	400172	Registered Nurse Part Time - 21 hr	\$ 9,140	\$ -	\$ 45,090	Health Dept
A	4010	12129	10000	5	400030	Registered Nurse Part Time - 21 hr	\$ 9,140	\$ -	\$ 45,090	Health Dept
A	4010	12130	10000	1	400203	Public Health Nurse II	\$ 18,428	\$ -	\$ 88,159	Health Dept
A	4010	12131	10000	3	400033	Public Health Nurse Supervisor	\$ 19,041	\$ -	\$ 95,904	Health Dept
A	4010	12133	10000	2	400037	Public Health Nurse	\$ 16,314	\$ -	\$ 82,449	Health Dept
A	4010	12133	10000	4	400039	Public Health Nurse	\$ 15,489	\$ -	\$ 82,449	Health Dept
A	4010	12133	10000	5	400040	Public Health Nurse	\$ 16,314	\$ -	\$ 82,449	Health Dept
A	4010	12133	10000	6	400041	Public Health Nurse	\$ 15,389	\$ -	\$ 82,449	Health Dept
A	4010	12133	10000	9	400044	Public Health Nurse	\$ 16,314	\$ -	\$ 82,449	Health Dept
A	4010	12154	10000	1	400245	Clinic Head Nurse	\$ 19,333	\$ -	\$ 97,104	Health Dept
A	4010	12177	10000	1	400198	Public Health Aide PT - 17.5 hr	\$ 3,605	\$ -	\$ 22,131	Health Dept
A	4010	12196	10000	1	400071	Epidemiology Nurse	\$ 18,531	\$ -	\$ 88,668	Health Dept
A	4010	12200	10000	1	400220	Immunization Clinic Service Specialist	\$ 14,092	\$ -	\$ 71,488	Health Dept
A	4010	11903	10000	1	400199	Assistant Director Public Health Nursing	\$ 10,575	\$ -	\$ 103,000	Health Dept
A	4010	13190	10000	1	400212	Lead Poisoning And Prevention Specialist	\$ 15,247	\$ -	\$ 76,073	Health Dept
A	4010	15165	10000	3	400120	Public Health Aide	\$ 7,133	\$ -	\$ 45,463	Health Dept
A	4010	15165	10000	4	400121	Public Health Aide	\$ 7,210	\$ -	\$ 44,663	Health Dept
A	4010	15165	10000	5	400122	Public Health Aide	\$ 6,810	\$ -	\$ 44,263	Health Dept
A	4010	15165	10000	6	400123	Public Health Aide	\$ 5,877	\$ -	\$ 44,263	Health Dept
A	4010	15165	10000	8	400125	Public Health Aide	\$ 6,810	\$ -	\$ 44,263	Health Dept
A	4010	15165	10000	11	400128	Public Health Aide	\$ 7,210	\$ -	\$ 44,663	Health Dept
A	4010	15166	10000	1	400207	Public Health Aide II	\$ 6,397	\$ -	\$ 47,086	Health Dept
A	4010	15166	10000	2	400208	Public Health Aide II	\$ 7,136	\$ -	\$ 48,286	Health Dept
A	94010	19954	ART23			Enhanced Pay	\$ -	\$ 242,893		Health Dept
TOTAL APPROPRIATIONS							\$ 318,289	\$ 242,893		

ESTIMATED REVENUES										
USE WHOLE NUMBERS ONLY										
BUDGET LINE				DESCRIPTION		DECREASE	INCREASE	UNIT COST	DEPARTMENT NAME	
FUND	ORG	OBJ	PROJECT	FOR POSITIONS ONLY						
				STATE POS. CODE	POSITION CONTROL					
A	4010	03401				Public Health (Article 6 State Aid)	\$ -	\$ 75,396		Health Dept
TOTAL REVENUES						\$ -	\$ 75,396			
GRAND TOTAL						\$ 318,289	\$ 318,289			



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF PUBLIC WORKS
449 NEW SALEM ROAD
VOORHEESVILLE, NEW YORK 12186-4826
(518) 765-2055 - FAX (518) 447-7047
www.albanycountyny.gov

LISA M. RAMUNDO
COMMISSIONER

April 24, 2025

Hon. Joanne Cunningham, Chairperson
Albany County Legislature
112 State Street, Rm. 710
Albany, NY 12207

Dear Chairperson Cunningham,

The Department of Public Works is requesting the Legislature's funding authorization in the amount of \$1,250,000 to finance the Highway Pavement Recycling Project.

If there are any questions or further information is needed, please feel free to contact my office.

Sincerely,

Lisa M. Ramundo
Commissioner

cc: Hon. Dennis Feeney, Majority Leader
Hon. Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6531, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Funding Authorization to finance the Highway Pavement Recycling Project.

Date: 04/14/2025
Department: Public Works
Attending Meeting: Lisa M. Ramundo, Commissioner
Submitted By: Rosa Maria Tirino
Title: Director of Operations
Phone: 518-655-7919

Purpose of Request: Other (State if not Listed) Funding Authorization

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:
Enter text.

Term: (Start/end date or duration) 01/01/2025-12/31/2025
Amount/Raise Schedule/Fee: \$1,250,000

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Budget: Yes ☒ No ☐
Spreadsheet attached: Yes ☐ No ☒

Source of Funding - (Percentages)

Federal: Enter text. County: 100%
State: Enter text. Local: Enter text.

County Budget Accounts:

Revenue Account and Line: Enter text.
Revenue Amount: Enter text.
Appropriation Account and Line: TBD
Appropriation Amount: \$1,250,000

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒
If Mandated, Cite Authority: Enter text.
Request for Bids / Proposals:

Competitive Bidding Exempt:	Yes ☒ No ☐
# of Response(s):	Enter text.
# of MWBE:	Enter text.
# of Veteran Business:	Enter text.
Bond Resolution No.:	Enter text.
Apprenticeship Program	Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: Enter text.

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

The Department of Public Works is requesting the Legislature's funding authorization in the amount of \$1,250,000 to finance the Highway Pavement Recycling Project.

Albany County
Department of Economic Development, Conservation and Planning
112 State Street
Albany, NY 12207
Telephone: (518) 655-7932
<https://www.albanycountyny.gov/home>

MEMORANDUM

TO: Lisa Ramundo, Commissioner of Public Works
CC:
FROM: Gopika Muddappa, Deputy Director
DATE: April 25, 2025
RE: 2025 DPW Highway Projects
SUBJECT: SEQR compliance for Albany County Highway Pavement Recycling Projects

According to the information provided in the documentation for the referenced project, Department of Public Works (DPW) is proposing the rehabilitation of several lane miles of County Roadways by Cold in Place Recycling pavement, re-establishment of binder course and re-pavement of entire road with 2" of top course asphalt. This pavement process is a cost-effective method of rehabilitating for certain low traffic volume rural County roadways. The Cold in Place (CIP) Recycling Project on County Road Cole Hill Road (CR2) starting in the Town of Berne and ending in the Town of Westerlo is for a length of 4.8 miles.

Upon reviewing the information for the proposed project as it relates to State Environmental Quality Review (SEQR), the project meets the criteria established for SEQR Type II actions pursuant to 6 NYCRR 617.5(c)(31). Accordingly, this action has been determined not to have a significant impact on the environment, and as such, no procedural compliance beyond this determination is required at this time.

Should you have any questions or require additional information, please feel free to reach me at Gopika.muddappa@albanycountyny.gov or (518)655-7932.

RESOLUTION NO. 272

BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, DATED JULY 14, 2025, AUTHORIZING THE REPAVING AND/OR RECONSTRUCTION OF COUNTY ROADS, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$1,250,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$1,250,000 BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Introduced: 7/14/25

By Audit and Finance Committee:

THE COUNTY LEGISLATURE OF THE COUNTY OF ALBANY, NEW YORK, HEREBY RESOLVES AS FOLLOWS:

Section 1. The County of Albany, New York (the “County”) is hereby authorized to repave and/or reconstruct County roads, as further described in the County’s 2026-2030 Capital Program, as amended and supplemented (hereinafter referred to as the “Capital Program”). The estimated maximum cost of said specific object or purpose, including preliminary costs and costs incidental thereto and the financing thereof (including the costs relating to the issuance of the obligations authorized by this resolution), is an amount not to exceed \$1,250,000 and said amount is hereby appropriated therefor. The plan of financing is described as follows: (a) the issuance of an amount not to exceed \$1,250,000 of bonds (and bond anticipation notes in anticipation of the issuance of such bonds) in such series and amounts as may be necessary to pay the cost thereof, (b) the receipt of various federal and state grants, and/or (c), subject to the discretion of the County, the application of moneys from the County’s General Fund or such other moneys of the County that may be available to pay the costs thereof. In the event that such grants or other moneys become available, the County will issue obligations in a principal amount less than the \$1,250,000 amount authorized by this resolution, or pay down such obligations with the amount of such grants and other moneys received by the County.

Section 2. Bonds (and bond anticipation notes in anticipation of the issuance of such bonds) in the aggregate principal amount not to exceed \$1,250,000 to finance said appropriation are hereby authorized to be issued pursuant to the provisions of the Law.

Section 3. The following additional matters are hereby determined and stated:

(a) The period of probable usefulness applicable to the specific object or purpose herein authorized and for which \$1,250,000 of said bonds are herein authorized to be issued, within the limitations of Section 11.00a. 20(c) of the New York Local Finance Law (the “Law”), is fifteen (15) years.

(b) Current funds are not required by the Law to be provided as a down payment prior to the issuance of the bonds authorized by this resolution or any bond anticipation notes issued in anticipation thereof in accordance with Section 107.00 of the Law.

(c) The proposed maturity of the bonds authorized by this resolution will exceed five (5) years.

Section 4. The bonds authorized by this resolution and any notes issued in anticipation of the sale of such bonds shall contain the recital of validity prescribed by Section 52.00 of the Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County, payable as to both principal and interest by a general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and provision shall be made annually in the budget of the County by appropriation for (a) the amortization and redemption of the bonds and any notes in anticipation thereof to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 5. Subject to the provisions of this resolution and of the Law, pursuant to the provisions of Section 30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals of said notes and of Section 21.00, Section 23.00, Section 50.00, Sections 56.00 to 60.00, Section 62.00, Section 63.00, Section 164.00 and Section 168.00 of the Law, the powers and duties of the County Legislature pertaining or incidental to the sale and issuance of the obligations herein authorized, including but not limited to authorizing bond anticipation notes and prescribing the terms, form and contents and as to the sale and issuance of the bonds herein authorized and of any bond anticipation notes issued in anticipation of said bonds, and the renewals of said notes, are hereby delegated to the County Comptroller, the chief fiscal officer of the County.

Section 6. The County Comptroller is further authorized to take such actions and execute such documents as may be necessary to ensure the continued status of the interest on the bonds authorized by this resolution and any notes issued in anticipation thereof, as excludable from gross income for federal income tax purposes pursuant to Section 103 of the Internal Revenue Code of 1986, as amended (the "Code") and to designate the bonds authorized by this resolution and any notes issued in anticipation thereof, if applicable, as "qualified tax-exempt bonds" in accordance with Section 265(b)(3)(B)(i) of the Code.

Section 7. The County Comptroller is further authorized to enter into a continuing disclosure undertaking with the initial purchaser of the bonds or notes authorized by this resolution, containing provisions which are satisfactory to such purchaser in compliance with the provisions of Rule 15c2-12, promulgated by the

Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934.

Section 8. Pursuant to Article 8 of the Environmental Conservation Law, Chapter 43-B of the Consolidated Laws of New York, as amended (the “SEQR Act”) and the regulations adopted pursuant thereto by the Department of Environmental Conservation of the State of New York, being 6 NYCRR Part 617, as amended (the “Regulations” and collectively with the SEQR Act, “SEQRA”), the County must satisfy the requirements contained in SEQRA prior to making a final determination whether to proceed with the above referenced project. Based upon an examination of the projects and memoranda from the Albany County Department of Economic Development, Conservation and Planning, the County hereby makes the following determination: The project authorized by this resolution described in Section 1 constitutes a “Type II action” pursuant to 6 NYCRR 617.5(c)(1) and (5); and therefore that, pursuant to 6 NYCRR 617.6(a)(1)(i), the County has no further responsibilities under SEQRA with respect to the project.

Section 9. The County may initially use funds from the General Fund or such other funds that may be available to pay the cost of the specific objects or purposes authorized by this resolution, pursuant to Section 165.10 of the Law. If the County determines to issue bonds or bond anticipation notes to finance the specific objects or purposes authorized by this resolution, the County then reasonably expects to reimburse such expenditures with the proceeds of such bonds or bond anticipation notes. If the County determines to issue bonds or bond anticipation notes to finance the specific objects or purposes authorized by this resolution, then this resolution shall constitute the declaration of the County’s “official intent” to reimburse the expenditures authorized by Section 1 hereof with the proceeds of the bonds and notes authorized herein, as required by United States Treasury Regulation Section 1.150-2. The provisions of this section are expressly subject to the plan of finance as determined by the County as described in Section 1 above.

Section 10. The validity of the bonds authorized by this resolution, and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

- (1) (a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or
- (b) the provisions of law which should be complied with at the date of the publication of such resolution are not substantially complied with,

and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or

- (2) such obligations are authorized in violation of the provisions of the constitution.

Section 11. This bond resolution shall take effect immediately and the Clerk of the County Legislature is hereby authorized and directed to publish the foregoing resolution in full (or a summary as permitted by the Law), together with a notice attached in substantially the form as prescribed in Section 81.00 of the Law, in the official newspaper(s) of the County for such publication.



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF PUBLIC WORKS
449 NEW SALEM ROAD
VOORHEESVILLE, NEW YORK 12186-4826
(518) 765-2055 - FAX (518) 447-7047
www.albanycountyny.gov

LISA M. RAMUNDO
COMMISSIONER

April 24, 2025

Hon. Joanne Cunningham, Chairwoman
Albany County Legislature
112 State Street, Rm. 710
Albany, NY 12207

Dear Chairwoman Cunningham,

The Department of Public Works is requesting the Legislature's funding authorization in the amount of \$1,600,000 to finance the 2025 Vehicle and Truck Replacement Project.

If there are any questions or further information is needed, please feel free to contact my office.

Sincerely,

Lisa M. Ramundo
Commissioner

cc: Hon. Dennis Feeney, Majority Leader
Hon. Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6533, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Funding Authorization to finance Vehicle and Truck Replacement Project.

Date: 4/14/25
Department: Public Works
Attending Meeting: Lisa M. Ramundo, Commissioner
Submitted By: Rosa Maria Tirino
Title: Director of Operations
Phone: 518-655-7919

Purpose of Request: Other (State if not Listed) Funding Authorization

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:
Enter text.

Term: (Start/end date or duration) 01/01/2025-12/31/2025
Amount/Raise Schedule/Fee: \$1,600,000

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Budget: Yes ☒ No ☐
Spreadsheet attached: Yes ☐ No ☒

Source of Funding - (Percentages)

Federal: Enter text. County: 100%
State: Enter text. Local: Enter text.

County Budget Accounts:

Revenue Account and Line: Enter text.
Revenue Amount: Enter text.
Appropriation Account and Line: TBD
Appropriation Amount: \$1,600,000

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒
If Mandated, Cite Authority: Enter text.
Request for Bids / Proposals:

Competitive Bidding Exempt:	Yes ☒ No ☐
# of Response(s):	Enter text.
# of MWBE:	Enter text.
# of Veteran Business:	Enter text.
Bond Resolution No.:	Enter text.
Apprenticeship Program	Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: Enter text.

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

The Department of Public Works is requesting the Legislature's funding authorization in the amount of \$1,600,000 to finance the 2025 Vehicle and Truck Replacement Project.

Albany County
Economic Development, Conservation and Planning
112 State Street
Albany, NY 12207
Telephone: (518) 655-7932
<https://www.albanycountyny.gov/home>

MEMORANDUM

TO: Lisa Ramundo, Commissioner of Public Works
CC:
FROM: Gopika Muddappa, Senior Planner
DATE: April 8, 2025
RE: Vehicle and Truck Replacement
SUBJECT: SEQR compliance for 2025 projects

Upon reviewing the documentation provided for the referenced project as it relates to State Environmental Quality Review (SEQR), Public Works is proposing to purchase a vehicles and equipment in accordance with the DPW vehicle and replacement plan.

As described above, this project meets the criteria established for SEQR Type II actions pursuant to 6 NYCRR 617.5(c)(31). Accordingly, this action has been determined not to have a significant impact on the environment, and as such, no procedural compliance beyond this determination is required at this time.

Should you have any questions or require additional information, please feel free to reach me at Gopika.muddappa@albanycountyny.gov or (518)655-7932.

RESOLUTION NO. 273

BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, DATED JULY 14, 2025, AUTHORIZING THE ACQUISITION OF PASSENGER VEHICLES AND HEAVY-DUTY AND LIGHT-DUTY TRUCKS FOR USE BY THE COUNTY, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$1,600,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$1,600,000 BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Introduced: 7/14/25

By Audit and Finance Committee:

THE COUNTY LEGISLATURE OF THE COUNTY OF ALBANY, NEW YORK, HEREBY RESOLVES AS FOLLOWS:

Section 1. The County of Albany, New York (the “County”) is hereby authorized to acquire (a) heavy-duty trucks and light-duty trucks at the estimated maximum cost of \$1,500,000 and (b) passenger vehicles at the estimated maximum cost of \$100,000 for use by the County, as further described in the County’s 2026-2030 Capital Program, as amended and supplemented (hereinafter referred to as the “Capital Program”). The estimated maximum cost of said specific object or purpose, including preliminary costs and costs incidental thereto and the financing thereof (including the costs relating to the issuance of the obligations authorized by this resolution), is an amount not to exceed \$1,600,000 and said amount is hereby appropriated therefor. The plan of financing is described as follows: (a) the issuance of an amount not to exceed \$1,600,000 of bonds (and bond anticipation notes in anticipation of the issuance of such bonds) in such series and amounts as may be necessary to pay the cost thereof, (b) the receipt of various federal and state grants, and/or (c), subject to the discretion of the County, the application of moneys from the County’s General Fund or such other moneys of the County that may be available to pay the costs thereof. In the event that such grants or other moneys become available, the County will issue obligations in a principal amount less than the \$1,600,000 amount authorized by this resolution, or pay down such obligations with the amount of such grants and other moneys received by the County.

Section 2. Bonds (and bond anticipation notes in anticipation of the issuance of such bonds) in the aggregate principal amount not to exceed \$1,600,000 to finance said appropriation are hereby authorized to be issued pursuant to the provisions of the Law.

Section 3. The following additional matters are hereby determined and stated:

(a) The period of probable usefulness applicable to the specific object or purpose herein authorized and for which \$1,500,000 of said bonds are herein

authorized to be issued, within the limitations of Section 11.00a. 28 of the New York Local Finance Law (the "Law"), is fifteen (15) years. The period of probable usefulness applicable to the specific object or purpose herein authorized and for which \$100,000 of said bonds are herein authorized to be issued, within the limitations of Section 11.00a. 77 of the New York Local Finance Law (the "Law"), is three (3) years.

(b) Current funds are not required by the Law to be provided as a down payment prior to the issuance of the bonds authorized by this resolution or any bond anticipation notes issued in anticipation thereof in accordance with Section 107.00 of the Law.

(c) The proposed maturity of the bonds authorized by this resolution will exceed five (5) years.

Section 4. The bonds authorized by this resolution and any notes issued in anticipation of the sale of such bonds shall contain the recital of validity prescribed by Section 52.00 of the Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County, payable as to both principal and interest by a general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and provision shall be made annually in the budget of the County by appropriation for (a) the amortization and redemption of the bonds and any notes in anticipation thereof to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 5. Subject to the provisions of this resolution and of the Law, pursuant to the provisions of Section 30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals of said notes and of Section 21.00, Section 23.00, Section 50.00, Sections 56.00 to 60.00, Section 62.00, Section 63.00, Section 164.00 and Section 168.00 of the Law, the powers and duties of the County Legislature pertaining or incidental to the sale and issuance of the obligations herein authorized, including but not limited to authorizing bond anticipation notes and prescribing the terms, form and contents and as to the sale and issuance of the bonds herein authorized and of any bond anticipation notes issued in anticipation of said bonds, and the renewals of said notes, are hereby delegated to the County Comptroller, the chief fiscal officer of the County.

Section 6. The County Comptroller is further authorized to take such actions and execute such documents as may be necessary to ensure the continued status of the interest on the bonds authorized by this resolution and any notes issued in anticipation thereof, as excludable from gross income for federal income tax purposes pursuant to Section 103 of the Internal Revenue Code of 1986, as amended (the "Code") and to designate the bonds authorized by this resolution and any notes issued in anticipation thereof, if applicable, as "qualified tax-exempt bonds" in accordance with Section 265(b)(3)(B)(i) of the Code.

Section 7. The County Comptroller is further authorized to enter into a continuing disclosure undertaking with the initial purchaser of the bonds or notes authorized by this resolution, containing provisions which are satisfactory to such purchaser in compliance with the provisions of Rule 15c2-12, promulgated by the Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934.

Section 8. Pursuant to Article 8 of the Environmental Conservation Law, Chapter 43-B of the Consolidated Laws of New York, as amended (the "SEQR Act") and the regulations adopted pursuant thereto by the Department of Environmental Conservation of the State of New York, being 6 NYCRR Part 617, as amended (the "Regulations" and collectively with the SEQR Act, "SEQRA"), the County must satisfy the requirements contained in SEQRA prior to making a final determination whether to proceed with the above referenced project. Based upon an examination of the projects and memoranda from the Albany County Department of Economic Development, Conservation and Planning, the County hereby makes the following determination: The project authorized by this resolution described in Section 1 constitutes a "Type II action" pursuant to 6 NYCRR 617.5(c)(1) and (5); and therefore that, pursuant to 6 NYCRR 617.6(a)(1)(i), the County has no further responsibilities under SEQRA with respect to the project.

Section 9. The County may initially use funds from the General Fund or such other funds that may be available to pay the cost of the specific objects or purposes authorized by this resolution, pursuant to Section 165.10 of the Law. If the County determines to issue bonds or bond anticipation notes to finance the specific objects or purposes authorized by this resolution, the County then reasonably expects to reimburse such expenditures with the proceeds of such bonds or bond anticipation notes. If the County determines to issue bonds or bond anticipation notes to finance the specific objects or purposes authorized by this resolution, then this resolution shall constitute the declaration of the County's "official intent" to reimburse the expenditures authorized by Section 1 hereof with the proceeds of the bonds and notes authorized herein, as required by United States Treasury Regulation Section 1.150-2. The provisions of this section are expressly subject to the plan of finance as determined by the County as described in Section 1 above.

Section 10. The validity of the bonds authorized by this resolution, and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

- (1) (a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or
- (b) the provisions of law which should be complied with at the date of the publication of such resolution are not substantially complied with,

and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or

- (2) such obligations are authorized in violation of the provisions of the constitution.

Section 11. This bond resolution shall take effect immediately and the Clerk of the County Legislature is hereby authorized and directed to publish the foregoing resolution in full (or a summary as permitted by the Law), together with a notice attached in substantially the form as prescribed in Section 81.00 of the Law, in the official newspaper(s) of the County for such publication.



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF PUBLIC WORKS
449 NEW SALEM ROAD
VOORHEESVILLE, NEW YORK 12186-4826
(518) 765-2055 - FAX (518) 447-7047
www.albanycountyny.gov

LISA M. RAMUNDO
COMMISSIONER

April 24, 2025

Hon. Joanne Cunningham, Chairwoman
Albany County Legislature
112 State Street, Rm. 710
Albany, NY 12207

Dear Chairwoman Cunningham,

The Department of Public Works is requesting the Legislature's funding authorization in the amount of \$500,000 to finance Various Albany County Culvert Rehabilitation and Replacement projects.

If there are any questions or further information is needed, please feel free to contact my office.

Sincerely,

Lisa M. Ramundo
Commissioner

cc: Hon. Dennis Feeney, Majority Leader
Hon. Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6534, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Funding Authorization to finance Various Albany County Culvert Rehabilitation and Replacement Projects.

Date: 4/14/25
Department: Public Works
Attending Meeting: Lisa M. Ramundo, Commissioner
Submitted By: Rosa Maria Tirino
Title: Director of Operations
Phone: 518-655-7919

Purpose of Request: Other (State if not Listed) Funding Authorization

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:
Enter text.

Term: (Start/end date or duration) 01/01/25-12/31/25
Amount/Raise Schedule/Fee: \$500,000

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Budget: Yes ☒ No ☐
Spreadsheet attached: Yes ☐ No ☒

Source of Funding - (Percentages)

Federal: Enter text. County: 100%
State: Enter text. Local: Enter text.

County Budget Accounts:

Revenue Account and Line: Enter text.
Revenue Amount: Enter text.
Appropriation Account and Line: TBD
Appropriation Amount: \$500,000

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒
If Mandated, Cite Authority: Enter text.

Request for Bids / Proposals:

Competitive Bidding Exempt: Yes ☒ No ☐

of Response(s): Enter text.

of MWBE: Enter text.

of Veteran Business: Enter text.

Bond Resolution No.: Enter text.

Apprenticeship Program Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: Enter text.

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

The Department of Public Works is requesting the Legislature's funding authorization in the amount of \$500,000 to finance Various Albany County Culvert Rehabilitation and Replacement projects.

Albany County
Economic Development, Conservation and Planning
112 State Street, Room 1310
Albany, NY 12207-2021
Telephone: (518) 447-5670
<http://www.albanycounty.com>

MEMORANDUM

TO: Lisa Ramundo, Commissioner of Public Works
CC:
FROM: Laura DeGaetano, Sr. Natural Resource Planner
DATE: June 8, 2023
RE: Albany County Culvert Replacements and Rehabilitation
SUBJECT: SEQR compliance for DPW Projects - Culvert Replacement on CR 53, Bethlehem

I have reviewed the documentation provided for the referenced project as it relates to State Environmental Quality Review (SEQR). This project will involve lining the existing 96" circular CMP culvert with a cementitious material to provide structural reinforcement and improved hydraulics.

As described above, this project meets the criteria established for SEQR Type II actions pursuant to 6 NYCRR 617.5(c)(2). Accordingly, this action has been determined not to have a significant impact on the environment, and as such, no procedural compliance with SEQR beyond this determination is required at this time.

Should you have any questions or require additional information, please feel free to call me at your convenience.

Albany County
Department of Economic Development, Conservation and Planning
112 State Street
Albany, NY 12207
Telephone: (518) 655-7932
<https://www.albanycountyny.gov/home>

MEMORANDUM

TO: Lisa Ramundo, Commissioner of Public Works
CC:
FROM: Gopika Muddappa, Deputy Director
DATE: April 29, 2025
RE: Albany County Culvert Replacement and Rehabilitation
SUBJECT: SEQR compliance for CR 408 Culvert Rehabilitation

According to the information provided in the documentation for the referenced project, Department of Public Works (DPW) is proposing the rehabilitation or replacement of culverts on County roadways as a part of maintenance operations. This project will involve lining the existing 48" diameter culvert with a cured in place pipe lining system to provide structural reinforcement and improved hydraulics.

Upon reviewing the information for the proposed project as it relates to State Environmental Quality Review (SEQR), the project meets the criteria established for SEQR Type II actions pursuant to 6 NYCRR 617.5(c)(31). Accordingly, this action has been determined not to have a significant impact on the environment, and as such, no procedural compliance beyond this determination is required at this time.

Should you have any questions or require additional information, please feel free to reach me at Gopika.muddappa@albanycountyny.gov or (518)655-7932.

Albany County
Economic Development, Conservation and Planning
112 State Street, Room 1310
Albany, NY 12207-2021
Telephone: (518) 447-5670
<http://www.albanycounty.com>

MEMORANDUM

TO: Lisa Ramundo, Commissioner of Public Works
CC:
FROM: Laura DeGaetano, Sr. Natural Resource Planner
DATE: December 22, 2023
RE: Albany County Culvert Replacements and Rehabilitations
SUBJECT: SEQR compliance for DPW Projects - Culvert Replacement or Rehab on CR 111, CR 301

I have reviewed the documentation provided for the referenced project as it relates to State Environmental Quality Review (SEQR). This project includes replacement or rehabilitation of a culvert on CR 111 over an unnamed creek in the Town of Coeymans, and CR 301 over a tributary to Onesquethaw Creek in New Scotland,

As described above, this project meets the criteria established for SEQR Type II actions pursuant to 6 NYCRR 617.5(c)(2). Accordingly, this action has been determined not to have a significant impact on the environment, and as such, no procedural compliance with SEQR beyond this determination is required at this time.

Should you have any questions or require additional information, please feel free to call me at your convenience.

Albany County
Economic Development, Conservation and Planning
112 State Street, Room 1310
Albany, NY 12207-2021
Telephone: (518) 447-5670
<http://www.albanycounty.com>

MEMORANDUM

TO: Lisa Ramundo, Commissioner of Public Works
CC:
FROM: Laura DeGaetano, Sr. Natural Resource Planner
DATE: June 8, 2023
RE: Albany County Culvert Replacements and Rehabilitation
SUBJECT: SEQR compliance for DPW Projects - Culvert Replacement on CR 358, Rensselaerville

I have reviewed the documentation provided for the referenced project as it relates to State Environmental Quality Review (SEQR). This project includes rehabilitation of a culvert on CR 358 by adding new steel beams with a concrete deck or a 3-sided concrete box using existing substructure. This will include new railings and associated site work.

As described above, this project meets the criteria established for SEQR Type II actions pursuant to 6 NYCRR 617.5(c)(2). Accordingly, this action has been determined not to have a significant impact on the environment, and as such, no procedural compliance with SEQR beyond this determination is required at this time.

Should you have any questions or require additional information, please feel free to call me at your convenience.

RESOLUTION NO. 274

BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, DATED JULY 14, 2025, AUTHORIZING THE RECONSTRUCTION AND/OR REPLACEMENT OF CULVERTS ON COUNTY ROADWAYS, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$500,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$500,000 BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Introduced: 7/14/25

By Audit and Finance Committee:

THE COUNTY LEGISLATURE OF THE COUNTY OF ALBANY, NEW YORK, HEREBY RESOLVES AS FOLLOWS:

Section 1. The County of Albany, New York (the “County”) is hereby authorized to reconstruct and/or replace culverts on County roadways, as further described in the County’s 2026-2030 Capital Program, as amended and supplemented (hereinafter referred to as the “Capital Program”). The estimated maximum cost of said specific object or purpose, including preliminary costs and costs incidental thereto and the financing thereof (including the costs relating to the issuance of the obligations authorized by this resolution), is an amount not to exceed \$500,000 and said amount is hereby appropriated therefor. The plan of financing is described as follows: (a) the issuance of an amount not to exceed \$500,000 of bonds (and bond anticipation notes in anticipation of the issuance of such bonds) in such series and amounts as may be necessary to pay the cost thereof, (b) the receipt of various federal and state grants, and/or (c), subject to the discretion of the County, the application of moneys from the County’s General Fund or such other moneys of the County that may be available to pay the costs thereof. In the event that such grants or other moneys become available, the County will issue obligations in a principal amount less than the \$500,000 amount authorized by this resolution, or pay down such obligations with the amount of such grants and other moneys received by the County.

Section 2. Bonds (and bond anticipation notes in anticipation of the issuance of such bonds) in the aggregate principal amount not to exceed \$500,000 to finance said appropriation are hereby authorized to be issued pursuant to the provisions of the Law.

Section 3. The following additional matters are hereby determined and stated:

(a) The period of probable usefulness applicable to the specific object or purpose herein authorized and for which \$500,000 of said bonds are herein authorized to be issued, within the limitations of Section 11.00a.4 of the New York Local Finance Law (the “Law”), is forty (40) years.

(b) Current funds are not required by the Law to be provided as a down payment prior to the issuance of the bonds authorized by this resolution or any bond anticipation notes issued in anticipation thereof in accordance with Section 107.00 of the Law.

(c) The proposed maturity of the bonds authorized by this resolution will not exceed five (5) years.

Section 4. The bonds authorized by this resolution and any notes issued in anticipation of the sale of such bonds shall contain the recital of validity prescribed by Section 52.00 of the Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County, payable as to both principal and interest by a general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and provision shall be made annually in the budget of the County by appropriation for (a) the amortization and redemption of the bonds and any notes in anticipation thereof to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 5. Subject to the provisions of this resolution and of the Law, pursuant to the provisions of Section 30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals of said notes and of Section 21.00, Section 23.00, Section 50.00, Sections 56.00 to 60.00, Section 62.00, Section 63.00, Section 164.00 and Section 168.00 of the Law, the powers and duties of the County Legislature pertaining or incidental to the sale and issuance of the obligations herein authorized, including but not limited to authorizing bond anticipation notes and prescribing the terms, form and contents and as to the sale and issuance of the bonds herein authorized and of any bond anticipation notes issued in anticipation of said bonds, and the renewals of said notes, are hereby delegated to the County Comptroller, the chief fiscal officer of the County.

Section 6. The County Comptroller is further authorized to take such actions and execute such documents as may be necessary to ensure the continued status of the interest on the bonds authorized by this resolution and any notes issued in anticipation thereof, as excludable from gross income for federal income tax purposes pursuant to Section 103 of the Internal Revenue Code of 1986, as amended (the "Code") and to designate the bonds authorized by this resolution and any notes issued in anticipation thereof, if applicable, as "qualified tax-exempt bonds" in accordance with Section 265(b)(3)(B)(i) of the Code.

Section 7. The County Comptroller is further authorized to enter into a continuing disclosure undertaking with the initial purchaser of the bonds or notes authorized by this resolution, containing provisions which are satisfactory to such purchaser in compliance with the provisions of Rule 15c2-12, promulgated by the

Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934.

Section 8. Pursuant to Article 8 of the Environmental Conservation Law, Chapter 43-B of the Consolidated Laws of New York, as amended (the “SEQR Act”) and the regulations adopted pursuant thereto by the Department of Environmental Conservation of the State of New York, being 6 NYCRR Part 617, as amended (the “Regulations” and collectively with the SEQR Act, “SEQRA”), the County must satisfy the requirements contained in SEQRA prior to making a final determination whether to proceed with the above referenced project. Based upon an examination of the projects and memoranda from the Albany County Department of Economic Development, Conservation and Planning, the County hereby makes the following determination: The project authorized by this resolution described in Section 1 constitutes a “Type II action” pursuant to 6 NYCRR 617.5(c)(1) and (5); and therefore that, pursuant to 6 NYCRR 617.6(a)(1)(i), the County has no further responsibilities under SEQRA with respect to the project.

Section 9. The County may initially use funds from the General Fund or such other funds that may be available to pay the cost of the specific objects or purposes authorized by this resolution, pursuant to Section 165.10 of the Law. If the County determines to issue bonds or bond anticipation notes to finance the specific objects or purposes authorized by this resolution, the County then reasonably expects to reimburse such expenditures with the proceeds of such bonds or bond anticipation notes. If the County determines to issue bonds or bond anticipation notes to finance the specific objects or purposes authorized by this resolution, then this resolution shall constitute the declaration of the County’s “official intent” to reimburse the expenditures authorized by Section 1 hereof with the proceeds of the bonds and notes authorized herein, as required by United States Treasury Regulation Section 1.150-2. The provisions of this section are expressly subject to the plan of finance as determined by the County as described in Section 1 above.

Section 10. The validity of the bonds authorized by this resolution, and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

- (1) (a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or
- (b) the provisions of law which should be complied with at the date of the publication of such resolution are not substantially complied with,

and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or

- (2) such obligations are authorized in violation of the provisions of the constitution.

Section 11. This bond resolution shall take effect immediately and the Clerk of the County Legislature is hereby authorized and directed to publish the foregoing resolution in full (or a summary as permitted by the Law), together with a notice attached in substantially the form as prescribed in Section 81.00 of the Law, in the official newspaper(s) of the County for such publication.



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF PUBLIC WORKS
449 NEW SALEM ROAD
VOORHEESVILLE, NEW YORK 12186-4826
(518) 765-2055 - FAX (518) 447-7047
www.albanycountyny.gov

LISA M. RAMUNDO
COMMISSIONER

April 24, 2025

Hon. Joanne Cunningham, Chairwoman
Albany County Legislature
112 State Street, Rm. 710
Albany, NY 12207

Dear Chairwoman Cunningham,

The Department of Public Works is requesting the Legislature's funding authorization in the amount of \$3,000,000 to finance Various Albany County Bridge Rehabilitation and Replacement projects.

If there are any questions or further information is needed, please feel free to contact my office.

Sincerely,

Lisa M. Ramundo
Commissioner

cc: Hon. Dennis Feeney, Majority Leader
Hon. Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6535, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Funding Authorization to finance various Albany County Bridge Rehabilitation and Replacement Projects.

Date: 4/14/25
Department: Public Works
Attending Meeting: Lisa M. Ramundo, Commissioner
Submitted By: Rosa Maria Tirino
Title: Director of Operations
Phone: 518-655-7919

Purpose of Request: Other (State if not Listed) Funding Authorization

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:
Enter text.

Term: (Start/end date or duration) 01/01/25-12/31/25
Amount/Raise Schedule/Fee: \$3,000,000

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Budget: Yes ☒ No ☐
Spreadsheet attached: Yes ☐ No ☒

Source of Funding - (Percentages)

Federal: Enter text. County: 100%
State: Enter text. Local: Enter text.

County Budget Accounts:

Revenue Account and Line: Enter text.
Revenue Amount: Enter text.
Appropriation Account and Line: TBD
Appropriation Amount: \$3,000,000

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒
If Mandated, Cite Authority: Enter text.

Request for Bids / Proposals:

Competitive Bidding Exempt: Yes ☒ No ☐

of Response(s): Enter text.

of MWBE: Enter text.

of Veteran Business: Enter text.

Bond Resolution No.: Enter text.

Apprenticeship Program Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: Enter text.

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

The Department of Public Works is requesting the Legislature's funding authorization in the amount of \$3,000,000 to finance various Albany County Bridge Rehabilitation and Replacement projects.

Albany County
Economic Development, Conservation and Planning
112 State Street, Room 1310
Albany, NY 12207-2021
Telephone: (518) 447-5670
<http://www.albanycounty.com>

MEMORANDUM

TO: Lisa Ramundo, Commissioner of Public Works
CC:
FROM: Laura DeGaetano, Sr. Natural Resource Planner
DATE: December 22, 2023
RE: Albany County Bridge Replacements and Rehabilitation
SUBJECT: SEQR compliance for DPW Projects - Bridge Replacement on CR 53, CR11

I have reviewed the documentation provided for the referenced project as it relates to State Environmental Quality Review (SEQR). The bridges on CR 111 over the Alcove Spillway in the Town of Coeymans and CR 53 over Onesquethaw Creek in the Town of New Scotland will be rehabilitated or replaced.

As described above, this project meets the criteria established for SEQR Type II actions pursuant to 6 NYCRR 617.5(c)(2). Accordingly, this action has been determined not to have a significant impact on the environment, and as such, no procedural compliance with SEQR beyond this determination is required at this time.

Should you have any questions or require additional information, please feel free to call me at your convenience.

Albany County
Department of Economic Development, Conservation and Planning
112 State Street
Albany, NY 12207
Telephone: (518) 655-7932
<https://www.albanycountyny.gov/home>

MEMORANDUM

TO: Lisa Ramundo, Commissioner of Public Works
CC:
FROM: Gopika Muddappa, Deputy Director
DATE: April 28, 2025
RE: Albany County Bridge Replacement and Rehabilitation
SUBJECT: SEQR compliance for Various Albany County Bridges - CR 352 bridge (BIN 3301410)

According to the information provided in the documentation for the referenced project, Department of Public Works (DPW) is proposing to rehabilitate or replace the CR 352 bridge (BIN 3301410) that involves lining the existing 12'-11" wide by 7'-6" high double CMP squash culverts with a pipe lining system to provide structural reinforcement and improved hydraulics.

Upon reviewing the information for the proposed project as it relates to State Environmental Quality Review (SEQR), the project meets the criteria established for SEQR Type II actions pursuant to 6 NYCRR 617.5(c)(31). Accordingly, this action has been determined not to have a significant impact on the environment, and as such, no procedural compliance beyond this determination is required at this time.

Should you have any questions or require additional information, please feel free to reach me at Gopika.muddappa@albanycountyny.gov or (518)655-7932.

RESOLUTION NO. 275

BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, DATED JULY 14, 2025, AUTHORIZING THE RECONSTRUCTION AND/OR REPLACEMENT OF BRIDGES ON COUNTY ROADWAYS, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$3,000,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$3,000,000 BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Introduced: 7/14/25

By Audit and Finance Committee:

THE COUNTY LEGISLATURE OF THE COUNTY OF ALBANY, NEW YORK, HEREBY RESOLVES AS FOLLOWS:

Section 1. The County of Albany, New York (the “County”) is hereby authorized to reconstruct and/or replace bridges on County roadways, as further described in the County’s 2026-2030 Capital Program, as amended and supplemented (hereinafter referred to as the “Capital Program”). The estimated maximum cost of said specific object or purpose, including preliminary costs and costs incidental thereto and the financing thereof (including the costs relating to the issuance of the obligations authorized by this resolution), is an amount not to exceed \$3,000,000 and said amount is hereby appropriated therefor. The plan of financing is described as follows: (a) the issuance of an amount not to exceed \$3,000,000 of bonds (and bond anticipation notes in anticipation of the issuance of such bonds) in such series and amounts as may be necessary to pay the cost thereof, (b) the receipt of various federal and state grants, and/or (c), subject to the discretion of the County, the application of moneys from the County’s General Fund or such other moneys of the County that may be available to pay the costs thereof. In the event that such grants or other moneys become available, the County will issue obligations in a principal amount less than the \$3,000,000 amount authorized by this resolution, or pay down such obligations with the amount of such grants and other moneys received by the County.

Section 2. Bonds (and bond anticipation notes in anticipation of the issuance of such bonds) in the aggregate principal amount not to exceed \$3,000,000 to finance said appropriation are hereby authorized to be issued pursuant to the provisions of the Law.

Section 3. The following additional matters are hereby determined and stated:

(a) The period of probable usefulness applicable to the specific object or purpose herein authorized and for which \$3,000,000 of said bonds are herein authorized to be issued, within the limitations of Section 11.00a.10 of the New York Local Finance Law (the “Law”), is twenty (20) years.

(b) Current funds are not required by the Law to be provided as a down payment prior to the issuance of the bonds authorized by this resolution or any bond anticipation notes issued in anticipation thereof in accordance with Section 107.00 of the Law.

(c) The proposed maturity of the bonds authorized by this resolution will not exceed five (5) years.

Section 4. The bonds authorized by this resolution and any notes issued in anticipation of the sale of such bonds shall contain the recital of validity prescribed by Section 52.00 of the Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County, payable as to both principal and interest by a general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and provision shall be made annually in the budget of the County by appropriation for (a) the amortization and redemption of the bonds and any notes in anticipation thereof to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 5. Subject to the provisions of this resolution and of the Law, pursuant to the provisions of Section 30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals of said notes and of Section 21.00, Section 23.00, Section 50.00, Sections 56.00 to 60.00, Section 62.00, Section 63.00, Section 164.00 and Section 168.00 of the Law, the powers and duties of the County Legislature pertaining or incidental to the sale and issuance of the obligations herein authorized, including but not limited to authorizing bond anticipation notes and prescribing the terms, form and contents and as to the sale and issuance of the bonds herein authorized and of any bond anticipation notes issued in anticipation of said bonds, and the renewals of said notes, are hereby delegated to the County Comptroller, the chief fiscal officer of the County.

Section 6. The County Comptroller is further authorized to take such actions and execute such documents as may be necessary to ensure the continued status of the interest on the bonds authorized by this resolution and any notes issued in anticipation thereof, as excludable from gross income for federal income tax purposes pursuant to Section 103 of the Internal Revenue Code of 1986, as amended (the "Code") and to designate the bonds authorized by this resolution and any notes issued in anticipation thereof, if applicable, as "qualified tax-exempt bonds" in accordance with Section 265(b)(3)(B)(i) of the Code.

Section 7. The County Comptroller is further authorized to enter into a continuing disclosure undertaking with the initial purchaser of the bonds or notes authorized by this resolution, containing provisions which are satisfactory to such purchaser in compliance with the provisions of Rule 15c2-12, promulgated by the

Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934.

Section 8. Pursuant to Article 8 of the Environmental Conservation Law, Chapter 43-B of the Consolidated Laws of New York, as amended (the “SEQR Act”) and the regulations adopted pursuant thereto by the Department of Environmental Conservation of the State of New York, being 6 NYCRR Part 617, as amended (the “Regulations” and collectively with the SEQR Act, “SEQRA”), the County must satisfy the requirements contained in SEQRA prior to making a final determination whether to proceed with the above referenced project. Based upon an examination of the projects and memoranda from the Albany County Department of Economic Development, Conservation and Planning, the County hereby makes the following determination: The project authorized by this resolution described in Section 1 constitutes a “Type II action” pursuant to 6 NYCRR 617.5(c)(1) and (5); and therefore that, pursuant to 6 NYCRR 617.6(a)(1)(i), the County has no further responsibilities under SEQRA with respect to the project.

Section 9. The County may initially use funds from the General Fund or such other funds that may be available to pay the cost of the specific objects or purposes authorized by this resolution, pursuant to Section 165.10 of the Law. If the County determines to issue bonds or bond anticipation notes to finance the specific objects or purposes authorized by this resolution, the County then reasonably expects to reimburse such expenditures with the proceeds of such bonds or bond anticipation notes. If the County determines to issue bonds or bond anticipation notes to finance the specific objects or purposes authorized by this resolution, then this resolution shall constitute the declaration of the County’s “official intent” to reimburse the expenditures authorized by Section 1 hereof with the proceeds of the bonds and notes authorized herein, as required by United States Treasury Regulation Section 1.150-2. The provisions of this section are expressly subject to the plan of finance as determined by the County as described in Section 1 above.

Section 10. The validity of the bonds authorized by this resolution, and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

- (1) (a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or
- (b) the provisions of law which should be complied with at the date of the publication of such resolution are not substantially complied with,

and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or

- (2) such obligations are authorized in violation of the provisions of the constitution.

Section 11. This bond resolution shall take effect immediately and the Clerk of the County Legislature is hereby authorized and directed to publish the foregoing resolution in full (or a summary as permitted by the Law), together with a notice attached in substantially the form as prescribed in Section 81.00 of the Law, in the official newspaper(s) of the County for such publication.



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF PUBLIC WORKS
449 NEW SALEM ROAD
VOORHEESVILLE, NEW YORK 12186-4826
(518) 765-2055 - FAX (518) 447-7047
www.albanycountyny.gov

LISA M. RAMUNDO
COMMISSIONER

April 24, 2025

Hon. Joanne Cunningham, Chairwoman
Albany County Legislature
112 State Street, Rm. 710
Albany, NY 12207

Dear Chairwoman Cunningham,

The Department of Public Works is requesting the Legislature's funding authorization in the amount of \$250,000 to finance Rail Trail Pedestrian Bridge Rehab Projects.

If there are any questions or further information is needed, please feel free to contact my office.

Sincerely,

Lisa M. Ramundo
Commissioner

cc: Hon. Dennis Feeney, Majority Leader
Hon. Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6536, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Funding Authorization to finance Rail Trail Pedestrian Bridge Rehab

Date: 04/24/2025
Department: Public Works
Attending Meeting: Lisa M. Ramundo, Commissioner
Submitted By: Rosa Maria Tirino
Title: Director of Operations
Phone: 518-655-7919

Purpose of Request: Other (State if not Listed) Funding Authorization

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:
Enter text.

Term: (Start/end date or duration) 01/01/2025-12/31/2025
Amount/Raise Schedule/Fee: \$250,000.00

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Budget: Yes ☒ No ☐
Spreadsheet attached: Yes ☐ No ☒

Source of Funding - (Percentages)

Federal: Enter text. County: 100%
State: Enter text. Local: Enter text.

County Budget Accounts:

Revenue Account and Line: Enter text.
Revenue Amount: Enter text.
Appropriation Account and Line: TBD
Appropriation Amount: \$250,000

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒
If Mandated, Cite Authority: Enter text.
Request for Bids / Proposals:

Competitive Bidding Exempt:	Yes ☒ No ☐
# of Response(s):	Enter text.
# of MWBE:	Enter text.
# of Veteran Business:	Enter text.
Bond Resolution No.:	Enter text.
Apprenticeship Program	Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: Enter text.

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

The Department of Public Works is requesting the Legislature's funding authorization in the amount of \$250,000 to finance Rail Trail Pedestrian Bridge Rehab Projects.

RESOLUTION NO. 276

BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, DATED JULY 14, 2025, AUTHORIZING THE RAIL TRAIL PEDESTRIAN BRIDGE REHABILITATION PROJECT, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$250,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$250,000 BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Introduced: 7/14/25

By Audit and Finance Committee:

THE COUNTY LEGISLATURE OF THE COUNTY OF ALBANY, NEW YORK, HEREBY RESOLVES AS FOLLOWS:

Section 1. The County of Albany, New York (the “County”) is hereby authorized for the Rail Trail Pedestrian Bridge Rehabilitation Project, as further described in the County’s 2026-2030 Capital Program, as amended and supplemented (hereinafter referred to as the “Capital Program”). The estimated maximum cost of said specific object or purpose, including preliminary costs and costs incidental thereto and the financing thereof (including the costs relating to the issuance of the obligations authorized by this resolution), is an amount not to exceed \$250,000 and said amount is hereby appropriated therefor. The plan of financing is described as follows: (a) the issuance of an amount not to exceed \$250,000 of bonds (and bond anticipation notes in anticipation of the issuance of such bonds) in such series and amounts as may be necessary to pay the cost thereof, (b) the receipt of various federal and state grants, and/or (c), subject to the discretion of the County, the application of moneys from the County’s General Fund or such other moneys of the County that may be available to pay the costs thereof. In the event that such grants or other moneys become available, the County will issue obligations in a principal amount less than the \$250,000 amount authorized by this resolution, or pay down such obligations with the amount of such grants and other moneys received by the County.

Section 2. Bonds (and bond anticipation notes in anticipation of the issuance of such bonds) in the aggregate principal amount not to exceed \$250,000 to finance said appropriation are hereby authorized to be issued pursuant to the provisions of the Law.

Section 3. The following additional matters are hereby determined and stated:

(a) The period of probable usefulness applicable to the specific object or purpose herein authorized and for which \$250,000 of said bonds are herein authorized to be issued, within the limitations of Section 11.00a.19(c) of the New York Local Finance Law (the “Law”), is fifteen (15) years.

(b) Current funds are not required by the Law to be provided as a down payment prior to the issuance of the bonds authorized by this resolution or any bond anticipation notes issued in anticipation thereof in accordance with Section 107.00 of the Law.

(c) The proposed maturity of the bonds authorized by this resolution will not exceed five (5) years.

Section 4. The bonds authorized by this resolution and any notes issued in anticipation of the sale of such bonds shall contain the recital of validity prescribed by Section 52.00 of the Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County, payable as to both principal and interest by a general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and provision shall be made annually in the budget of the County by appropriation for (a) the amortization and redemption of the bonds and any notes in anticipation thereof to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 5. Subject to the provisions of this resolution and of the Law, pursuant to the provisions of Section 30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals of said notes and of Section 21.00, Section 23.00, Section 50.00, Sections 56.00 to 60.00, Section 62.00, Section 63.00, Section 164.00 and Section 168.00 of the Law, the powers and duties of the County Legislature pertaining or incidental to the sale and issuance of the obligations herein authorized, including but not limited to authorizing bond anticipation notes and prescribing the terms, form and contents and as to the sale and issuance of the bonds herein authorized and of any bond anticipation notes issued in anticipation of said bonds, and the renewals of said notes, are hereby delegated to the County Comptroller, the chief fiscal officer of the County.

Section 6. The County Comptroller is further authorized to take such actions and execute such documents as may be necessary to ensure the continued status of the interest on the bonds authorized by this resolution and any notes issued in anticipation thereof, as excludable from gross income for federal income tax purposes pursuant to Section 103 of the Internal Revenue Code of 1986, as amended (the "Code") and to designate the bonds authorized by this resolution and any notes issued in anticipation thereof, if applicable, as "qualified tax-exempt bonds" in accordance with Section 265(b)(3)(B)(i) of the Code.

Section 7. The County Comptroller is further authorized to enter into a continuing disclosure undertaking with the initial purchaser of the bonds or notes authorized by this resolution, containing provisions which are satisfactory to such purchaser in compliance with the provisions of Rule 15c2-12, promulgated by the

Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934.

Section 8. Pursuant to Article 8 of the Environmental Conservation Law, Chapter 43-B of the Consolidated Laws of New York, as amended (the “SEQR Act”) and the regulations adopted pursuant thereto by the Department of Environmental Conservation of the State of New York, being 6 NYCRR Part 617, as amended (the “Regulations” and collectively with the SEQR Act, “SEQRA”), the County must satisfy the requirements contained in SEQRA prior to making a final determination whether to proceed with the above referenced project. Based upon an examination of the projects and memoranda from the Albany County Department of Economic Development, Conservation and Planning, the County hereby makes the following determination: The project authorized by this resolution described in Section 1 constitutes a “Type II action” pursuant to 6 NYCRR 617.5(c)(1) and (5); and therefore that, pursuant to 6 NYCRR 617.6(a)(1)(i), the County has no further responsibilities under SEQRA with respect to the project.

Section 9. The County may initially use funds from the General Fund or such other funds that may be available to pay the cost of the specific objects or purposes authorized by this resolution, pursuant to Section 165.10 of the Law. If the County determines to issue bonds or bond anticipation notes to finance the specific objects or purposes authorized by this resolution, the County then reasonably expects to reimburse such expenditures with the proceeds of such bonds or bond anticipation notes. If the County determines to issue bonds or bond anticipation notes to finance the specific objects or purposes authorized by this resolution, then this resolution shall constitute the declaration of the County’s “official intent” to reimburse the expenditures authorized by Section 1 hereof with the proceeds of the bonds and notes authorized herein, as required by United States Treasury Regulation Section 1.150-2. The provisions of this section are expressly subject to the plan of finance as determined by the County as described in Section 1 above.

Section 10. The validity of the bonds authorized by this resolution, and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

- (1) (a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or
- (b) the provisions of law which should be complied with at the date of the publication of such resolution are not substantially complied with,

and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or

- (2) such obligations are authorized in violation of the provisions of the constitution.

Section 11. This bond resolution shall take effect immediately and the Clerk of the County Legislature is hereby authorized and directed to publish the foregoing resolution in full (or a summary as permitted by the Law), together with a notice attached in substantially the form as prescribed in Section 81.00 of the Law, in the official newspaper(s) of the County for such publication.

RESOLUTION NO. 276

BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, DATED JULY 14, 2025, AUTHORIZING THE RAIL TRAIL PEDESTRIAN BRIDGE REHABILITATION PROJECT, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$250,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$250,000 BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Introduced: 7/14/25

By Audit and Finance Committee:

THE COUNTY LEGISLATURE OF THE COUNTY OF ALBANY, NEW YORK, HEREBY RESOLVES AS FOLLOWS:

Section 1. The County of Albany, New York (the “County”) is hereby authorized for the Rail Trail Pedestrian Bridge Rehabilitation Project, as further described in the County’s 2026-2030 Capital Program, as amended and supplemented (hereinafter referred to as the “Capital Program”). The estimated maximum cost of said specific object or purpose, including preliminary costs and costs incidental thereto and the financing thereof (including the costs relating to the issuance of the obligations authorized by this resolution), is an amount not to exceed \$250,000 and said amount is hereby appropriated therefor. The plan of financing is described as follows: (a) the issuance of an amount not to exceed \$250,000 of bonds (and bond anticipation notes in anticipation of the issuance of such bonds) in such series and amounts as may be necessary to pay the cost thereof, (b) the receipt of various federal and state grants, and/or (c), subject to the discretion of the County, the application of moneys from the County’s General Fund or such other moneys of the County that may be available to pay the costs thereof. In the event that such grants or other moneys become available, the County will issue obligations in a principal amount less than the \$250,000 amount authorized by this resolution, or pay down such obligations with the amount of such grants and other moneys received by the County.

Section 2. Bonds (and bond anticipation notes in anticipation of the issuance of such bonds) in the aggregate principal amount not to exceed \$250,000 to finance said appropriation are hereby authorized to be issued pursuant to the provisions of the Law.

Section 3. The following additional matters are hereby determined and stated:

(a) The period of probable usefulness applicable to the specific object or purpose herein authorized and for which \$250,000 of said bonds are herein authorized to be issued, within the limitations of Section 11.00a.19(c) of the New York Local Finance Law (the “Law”), is fifteen (15) years.

(b) Current funds are not required by the Law to be provided as a down payment prior to the issuance of the bonds authorized by this resolution or any bond anticipation notes issued in anticipation thereof in accordance with Section 107.00 of the Law.

(c) The proposed maturity of the bonds authorized by this resolution will not exceed five (5) years.

Section 4. The bonds authorized by this resolution and any notes issued in anticipation of the sale of such bonds shall contain the recital of validity prescribed by Section 52.00 of the Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County, payable as to both principal and interest by a general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and provision shall be made annually in the budget of the County by appropriation for (a) the amortization and redemption of the bonds and any notes in anticipation thereof to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 5. Subject to the provisions of this resolution and of the Law, pursuant to the provisions of Section 30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals of said notes and of Section 21.00, Section 23.00, Section 50.00, Sections 56.00 to 60.00, Section 62.00, Section 63.00, Section 164.00 and Section 168.00 of the Law, the powers and duties of the County Legislature pertaining or incidental to the sale and issuance of the obligations herein authorized, including but not limited to authorizing bond anticipation notes and prescribing the terms, form and contents and as to the sale and issuance of the bonds herein authorized and of any bond anticipation notes issued in anticipation of said bonds, and the renewals of said notes, are hereby delegated to the County Comptroller, the chief fiscal officer of the County.

Section 6. The County Comptroller is further authorized to take such actions and execute such documents as may be necessary to ensure the continued status of the interest on the bonds authorized by this resolution and any notes issued in anticipation thereof, as excludable from gross income for federal income tax purposes pursuant to Section 103 of the Internal Revenue Code of 1986, as amended (the "Code") and to designate the bonds authorized by this resolution and any notes issued in anticipation thereof, if applicable, as "qualified tax-exempt bonds" in accordance with Section 265(b)(3)(B)(i) of the Code.

Section 7. The County Comptroller is further authorized to enter into a continuing disclosure undertaking with the initial purchaser of the bonds or notes authorized by this resolution, containing provisions which are satisfactory to such purchaser in compliance with the provisions of Rule 15c2-12, promulgated by the

Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934.

Section 8. Pursuant to Article 8 of the Environmental Conservation Law, Chapter 43-B of the Consolidated Laws of New York, as amended (the “SEQR Act”) and the regulations adopted pursuant thereto by the Department of Environmental Conservation of the State of New York, being 6 NYCRR Part 617, as amended (the “Regulations” and collectively with the SEQR Act, “SEQRA”), the County must satisfy the requirements contained in SEQRA prior to making a final determination whether to proceed with the above referenced project. Based upon an examination of the projects and memoranda from the Albany County Department of Economic Development, Conservation and Planning, the County hereby makes the following determination: The project authorized by this resolution described in Section 1 constitutes a “Type II action” pursuant to 6 NYCRR 617.5(c)(1) and (5); and therefore that, pursuant to 6 NYCRR 617.6(a)(1)(i), the County has no further responsibilities under SEQRA with respect to the project.

Section 9. The County may initially use funds from the General Fund or such other funds that may be available to pay the cost of the specific objects or purposes authorized by this resolution, pursuant to Section 165.10 of the Law. If the County determines to issue bonds or bond anticipation notes to finance the specific objects or purposes authorized by this resolution, the County then reasonably expects to reimburse such expenditures with the proceeds of such bonds or bond anticipation notes. If the County determines to issue bonds or bond anticipation notes to finance the specific objects or purposes authorized by this resolution, then this resolution shall constitute the declaration of the County’s “official intent” to reimburse the expenditures authorized by Section 1 hereof with the proceeds of the bonds and notes authorized herein, as required by United States Treasury Regulation Section 1.150-2. The provisions of this section are expressly subject to the plan of finance as determined by the County as described in Section 1 above.

Section 10. The validity of the bonds authorized by this resolution, and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

- (1) (a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or
- (b) the provisions of law which should be complied with at the date of the publication of such resolution are not substantially complied with,

and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or

- (2) such obligations are authorized in violation of the provisions of the constitution.

Section 11. This bond resolution shall take effect immediately and the Clerk of the County Legislature is hereby authorized and directed to publish the foregoing resolution in full (or a summary as permitted by the Law), together with a notice attached in substantially the form as prescribed in Section 81.00 of the Law, in the official newspaper(s) of the County for such publication.



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF PUBLIC WORKS
449 NEW SALEM ROAD
VOORHEESVILLE, NEW YORK 12186-4826
(518) 765-2055 - FAX (518) 447-7047
www.albanycountyny.gov

LISA M. RAMUNDO
COMMISSIONER

April 24, 2025

Hon. Joanne Cunningham, Chairwoman
Albany County Legislature
112 State Street, Rm. 710
Albany, NY 12207

Dear Chairwoman Cunningham,

The Department of Public Works is requesting the Legislature's funding authorization in the amount of \$900,000 to finance Highway and Trail Improvement Projects.

If there are any questions or further information is needed, please feel free to contact my office.

Sincerely,

Lisa M. Ramundo
Commissioner

cc: Hon. Dennis Feeney, Majority Leader
Hon. Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6538, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Funding Authorization to finance Highway and Trail Improvement Projects.

Date: 04/28/2025
Department: Public Works
Attending Meeting: Lisa M. Ramundo, Commissioner
Submitted By: Rosa Maria Tirino
Title: Director of Operations
Phone: 518-655-7919

Purpose of Request: Other (State if not Listed) Funding Authorization

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:
Enter text.

Term: (Start/end date or duration) 01/01/2025-12/31/2025
Amount/Raise Schedule/Fee: \$900,000.00

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Budget: Yes ☒ No ☐
Spreadsheet attached: Yes ☐ No ☒

Source of Funding - (Percentages)

Federal: Enter text. County: 100%
State: Enter text. Local: Enter text.

County Budget Accounts:

Revenue Account and Line: Enter text.
Revenue Amount: Enter text.
Appropriation Account and Line: TBD
Appropriation Amount: \$900,000.00

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒
If Mandated, Cite Authority: Enter text.
Request for Bids / Proposals:

Competitive Bidding Exempt:	Yes ☒ No ☐
# of Response(s):	Enter text.
# of MWBE:	Enter text.
# of Veteran Business:	Enter text.
Bond Resolution No.:	Enter text.
Apprenticeship Program	Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: Enter text.

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

The Department of Public Works is requesting the Legislature's funding authorization in the amount of \$900,000 to finance Highway and Trail Improvement Projects.

RESOLUTION NO. 277

BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, DATED JULY 14, 2025, AUTHORIZING THE CONSTRUCTION OF VARIOUS HIGHWAY AND TRAIL IMPROVEMENTS, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$900,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$900,000 BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Introduced: 7/14/25

By Audit and Finance Committee:

THE COUNTY LEGISLATURE OF THE COUNTY OF ALBANY, NEW YORK, HEREBY RESOLVES AS FOLLOWS:

Section 1. The County of Albany, New York (the “County”) is hereby authorized to construct various highway and trail improvements, as further described in the County’s 2026-2030 Capital Program, as amended and supplemented (hereinafter referred to as the “Capital Program”). The estimated maximum cost of said specific object or purpose, including preliminary costs and costs incidental thereto and the financing thereof (including the costs relating to the issuance of the obligations authorized by this resolution), is an amount not to exceed \$900,000 and said amount is hereby appropriated therefor. The plan of financing is described as follows: (a) the issuance of an amount not to exceed \$900,000 of bonds (and bond anticipation notes in anticipation of the issuance of such bonds) in such series and amounts as may be necessary to pay the cost thereof, (b) the receipt of various federal and state grants, and/or (c), subject to the discretion of the County, the application of moneys from the County’s General Fund or such other moneys of the County that may be available to pay the costs thereof. In the event that such grants or other moneys become available, the County will issue obligations in a principal amount less than the \$900,000 amount authorized by this resolution, or pay down such obligations with the amount of such grants and other moneys received by the County.

Section 2. Bonds (and bond anticipation notes in anticipation of the issuance of such bonds) in the aggregate principal amount not to exceed \$900,000 to finance said appropriation are hereby authorized to be issued pursuant to the provisions of the Law.

Section 3. The following additional matters are hereby determined and stated:

(a) The period of probable usefulness applicable to the specific object or purpose herein authorized and for which \$900,000 of said bonds are herein authorized to be issued, within the limitations of Section 11.00a.19(c) of the New York Local Finance Law (the “Law”), is fifteen (15) years.

(b) Current funds are not required by the Law to be provided as a down payment prior to the issuance of the bonds authorized by this resolution or any bond anticipation notes issued in anticipation thereof in accordance with Section 107.00 of the Law.

(c) The proposed maturity of the bonds authorized by this resolution will not exceed five (5) years.

Section 4. The bonds authorized by this resolution and any notes issued in anticipation of the sale of such bonds shall contain the recital of validity prescribed by Section 52.00 of the Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County, payable as to both principal and interest by a general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and provision shall be made annually in the budget of the County by appropriation for (a) the amortization and redemption of the bonds and any notes in anticipation thereof to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 5. Subject to the provisions of this resolution and of the Law, pursuant to the provisions of Section 30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals of said notes and of Section 21.00, Section 23.00, Section 50.00, Sections 56.00 to 60.00, Section 62.00, Section 63.00, Section 164.00 and Section 168.00 of the Law, the powers and duties of the County Legislature pertaining or incidental to the sale and issuance of the obligations herein authorized, including but not limited to authorizing bond anticipation notes and prescribing the terms, form and contents and as to the sale and issuance of the bonds herein authorized and of any bond anticipation notes issued in anticipation of said bonds, and the renewals of said notes, are hereby delegated to the County Comptroller, the chief fiscal officer of the County.

Section 6. The County Comptroller is further authorized to take such actions and execute such documents as may be necessary to ensure the continued status of the interest on the bonds authorized by this resolution and any notes issued in anticipation thereof, as excludable from gross income for federal income tax purposes pursuant to Section 103 of the Internal Revenue Code of 1986, as amended (the "Code") and to designate the bonds authorized by this resolution and any notes issued in anticipation thereof, if applicable, as "qualified tax-exempt bonds" in accordance with Section 265(b)(3)(B)(i) of the Code.

Section 7. The County Comptroller is further authorized to enter into a continuing disclosure undertaking with the initial purchaser of the bonds or notes authorized by this resolution, containing provisions which are satisfactory to such purchaser in compliance with the provisions of Rule 15c2-12, promulgated by the

Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934.

Section 8. Pursuant to Article 8 of the Environmental Conservation Law, Chapter 43-B of the Consolidated Laws of New York, as amended (the “SEQR Act”) and the regulations adopted pursuant thereto by the Department of Environmental Conservation of the State of New York, being 6 NYCRR Part 617, as amended (the “Regulations” and collectively with the SEQR Act, “SEQRA”), the County must satisfy the requirements contained in SEQRA prior to making a final determination whether to proceed with the above referenced project. Based upon an examination of the projects and memoranda from the Albany County Department of Economic Development, Conservation and Planning, the County hereby makes the following determination: The project authorized by this resolution described in Section 1 constitutes a “Type II action” pursuant to 6 NYCRR 617.5(c)(1) and (5); and therefore that, pursuant to 6 NYCRR 617.6(a)(1)(i), the County has no further responsibilities under SEQRA with respect to the project.

Section 9. The County may initially use funds from the General Fund or such other funds that may be available to pay the cost of the specific objects or purposes authorized by this resolution, pursuant to Section 165.10 of the Law. If the County determines to issue bonds or bond anticipation notes to finance the specific objects or purposes authorized by this resolution, the County then reasonably expects to reimburse such expenditures with the proceeds of such bonds or bond anticipation notes. If the County determines to issue bonds or bond anticipation notes to finance the specific objects or purposes authorized by this resolution, then this resolution shall constitute the declaration of the County’s “official intent” to reimburse the expenditures authorized by Section 1 hereof with the proceeds of the bonds and notes authorized herein, as required by United States Treasury Regulation Section 1.150-2. The provisions of this section are expressly subject to the plan of finance as determined by the County as described in Section 1 above.

Section 10. The validity of the bonds authorized by this resolution, and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

- (1) (a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or
- (b) the provisions of law which should be complied with at the date of the publication of such resolution are not substantially complied with,

and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or

- (2) such obligations are authorized in violation of the provisions of the constitution.

Section 11. This bond resolution shall take effect immediately and the Clerk of the County Legislature is hereby authorized and directed to publish the foregoing resolution in full (or a summary as permitted by the Law), together with a notice attached in substantially the form as prescribed in Section 81.00 of the Law, in the official newspaper(s) of the County for such publication.



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF PUBLIC WORKS
449 NEW SALEM ROAD
VOORHEESVILLE, NEW YORK 12186-4826
(518) 765-2055 - FAX (518) 447-7047
www.albanycountyny.gov

LISA M. RAMUNDO
COMMISSIONER

April 24, 2025

Hon. Joanne Cunningham, Chairwoman
Albany County Legislature
112 State Street, Rm. 710
Albany, NY 12207

Dear Chairwoman Cunningham,

The Department of Public Works is requesting the Legislature's funding authorization in the amount of \$250,000 to finance Traffic Signal Upgrades.

If there are any questions or further information is needed, please feel free to contact my office.

Sincerely,

Lisa M. Ramundo
Commissioner

cc: Hon. Dennis Feeney, Majority Leader
Hon. Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6539, **Version:** 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):
Funding Authorization to finance Various Traffic Signal Upgrades.

Date: 04/28/2025
Department: Public Works
Attending Meeting: Lisa M. Ramundo, Commissioner
Submitted By: Rosa Maria Tirino
Title: Director of Operations
Phone: 518-655-7919

Purpose of Request: Other (State if not Listed) Funding Authorization

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:
Enter text.

Term: (Start/end date or duration) 01/01/2025-12/31/2025
Amount/Raise Schedule/Fee: \$250,000.00

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Budget: Yes ☒ No ☐
Spreadsheet attached: Yes ☐ No ☒

Source of Funding - (Percentages)

Federal: Enter text. County: 100%
State: Enter text. Local: Enter text.

County Budget Accounts:

Revenue Account and Line: Enter text.
Revenue Amount: Enter text.
Appropriation Account and Line: TBD
Appropriation Amount: \$250,000.00

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒
If Mandated, Cite Authority: Enter text.
Request for Bids / Proposals:

File #: TMP-6539, **Version:** 1

Competitive Bidding Exempt: Yes ☒ No ☐
of Response(s): Enter text.
of MWBE: Enter text.
of Veteran Business: Enter text.
Bond Resolution No.: Enter text.
Apprenticeship Program Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: Enter text.

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

The Department of Public Works is requesting the Legislature's funding authorization in the amount of \$250,000 to finance Traffic Signal Upgrades.

RESOLUTION NO. 278

BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, DATED JULY 14, 2025, AUTHORIZING TRAFFIC SIGNAL UPGRADES, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$250,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$250,000 BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Introduced: 7/14/25

By Audit and Finance Committee:

THE COUNTY LEGISLATURE OF THE COUNTY OF ALBANY, NEW YORK, HEREBY RESOLVES AS FOLLOWS:

Section 1. The County of Albany, New York (the “County”) is hereby authorized to upgrade various County-owned traffic signals, as further described in the County’s 2026-2030 Capital Program, as amended and supplemented (hereinafter referred to as the “Capital Program”). The estimated maximum cost of said specific object or purpose, including preliminary costs and costs incidental thereto and the financing thereof (including the costs relating to the issuance of the obligations authorized by this resolution), is an amount not to exceed \$250,000 and said amount is hereby appropriated therefor. The plan of financing is described as follows: (a) the issuance of an amount not to exceed \$250,000 of bonds (and bond anticipation notes in anticipation of the issuance of such bonds) in such series and amounts as may be necessary to pay the cost thereof, (b) the receipt of various federal and state grants, and/or (c), subject to the discretion of the County, the application of moneys from the County’s General Fund or such other moneys of the County that may be available to pay the costs thereof. In the event that such grants or other moneys become available, the County will issue obligations in a principal amount less than the \$250,000 amount authorized by this resolution, or pay down such obligations with the amount of such grants and other moneys received by the County.

Section 2. Bonds (and bond anticipation notes in anticipation of the issuance of such bonds) in the aggregate principal amount not to exceed \$250,000 to finance said appropriation are hereby authorized to be issued pursuant to the provisions of the Law.

Section 3. The following additional matters are hereby determined and stated:

(a) The period of probable usefulness applicable to the specific object or purpose herein authorized and for which \$250,000 of said bonds are herein authorized to be issued, within the limitations of Section 11.00a.72(a) of the New York Local Finance Law (the “Law”), is twenty (20) years.

(b) Current funds are not required by the Law to be provided as a down payment prior to the issuance of the bonds authorized by this resolution or any bond anticipation notes issued in anticipation thereof in accordance with Section 107.00 of the Law.

(c) The proposed maturity of the bonds authorized by this resolution will exceed five (5) years.

Section 4. The bonds authorized by this resolution and any notes issued in anticipation of the sale of such bonds shall contain the recital of validity prescribed by Section 52.00 of the Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County, payable as to both principal and interest by a general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and provision shall be made annually in the budget of the County by appropriation for (a) the amortization and redemption of the bonds and any notes in anticipation thereof to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 5. Subject to the provisions of this resolution and of the Law, pursuant to the provisions of Section 30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals of said notes and of Section 21.00, Section 23.00, Section 50.00, Sections 56.00 to 60.00, Section 62.00, Section 63.00, Section 164.00 and Section 168.00 of the Law, the powers and duties of the County Legislature pertaining or incidental to the sale and issuance of the obligations herein authorized, including but not limited to authorizing bond anticipation notes and prescribing the terms, form and contents and as to the sale and issuance of the bonds herein authorized and of any bond anticipation notes issued in anticipation of said bonds, and the renewals of said notes, are hereby delegated to the County Comptroller, the chief fiscal officer of the County.

Section 6. The County Comptroller is further authorized to take such actions and execute such documents as may be necessary to ensure the continued status of the interest on the bonds authorized by this resolution and any notes issued in anticipation thereof, as excludable from gross income for federal income tax purposes pursuant to Section 103 of the Internal Revenue Code of 1986, as amended (the "Code") and to designate the bonds authorized by this resolution and any notes issued in anticipation thereof, if applicable, as "qualified tax-exempt bonds" in accordance with Section 265(b)(3)(B)(i) of the Code.

Section 7. The County Comptroller is further authorized to enter into a continuing disclosure undertaking with the initial purchaser of the bonds or notes authorized by this resolution, containing provisions which are satisfactory to such purchaser in compliance with the provisions of Rule 15c2-12, promulgated by the

Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934.

Section 8. Pursuant to Article 8 of the Environmental Conservation Law, Chapter 43-B of the Consolidated Laws of New York, as amended (the “SEQR Act”) and the regulations adopted pursuant thereto by the Department of Environmental Conservation of the State of New York, being 6 NYCRR Part 617, as amended (the “Regulations” and collectively with the SEQR Act, “SEQRA”), the County must satisfy the requirements contained in SEQRA prior to making a final determination whether to proceed with the above referenced project. Based upon an examination of the projects and memoranda from the Albany County Department of Economic Development, Conservation and Planning, the County hereby makes the following determination: The project authorized by this resolution described in Section 1 constitutes a “Type II action” pursuant to 6 NYCRR 617.5(c)(1) and (5); and therefore that, pursuant to 6 NYCRR 617.6(a)(1)(i), the County has no further responsibilities under SEQRA with respect to the project.

Section 9. The County may initially use funds from the General Fund or such other funds that may be available to pay the cost of the specific objects or purposes authorized by this resolution, pursuant to Section 165.10 of the Law. If the County determines to issue bonds or bond anticipation notes to finance the specific objects or purposes authorized by this resolution, the County then reasonably expects to reimburse such expenditures with the proceeds of such bonds or bond anticipation notes. If the County determines to issue bonds or bond anticipation notes to finance the specific objects or purposes authorized by this resolution, then this resolution shall constitute the declaration of the County’s “official intent” to reimburse the expenditures authorized by Section 1 hereof with the proceeds of the bonds and notes authorized herein, as required by United States Treasury Regulation Section 1.150-2. The provisions of this section are expressly subject to the plan of finance as determined by the County as described in Section 1 above.

Section 10. The validity of the bonds authorized by this resolution, and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

- (1) (a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or
- (b) the provisions of law which should be complied with at the date of the publication of such resolution are not substantially complied with,

and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or

- (2) such obligations are authorized in violation of the provisions of the constitution.

Section 11. This bond resolution shall take effect immediately and the Clerk of the County Legislature is hereby authorized and directed to publish the foregoing resolution in full (or a summary as permitted by the Law), together with a notice attached in substantially the form as prescribed in Section 81.00 of the Law, in the official newspaper(s) of the County for such publication.



Daniel P. McCoy
County Executive

Mark S. Olsen
Executive Director

April 29th, 2025

The Honorable Joanne Cunningham
Chairwoman, Albany County Legislature
Legislative Clerk's Office
112 State Street, Suite 710
Albany, New York 12207

Dear Chairwoman Cunningham:

Shaker Place Rehabilitation and Nursing Center (SPRNC) respectfully requests approval of bonding authorization for the Food Service and Distribution Equipment project of the 2026 – 2030 Albany County Capital Plan, Project N2501. The request is in the amount of \$1,000,000.00 for the 2025.

If any additional information is required, please do not hesitate to contact me.

Sincerely,

Mark S. Olsen
Executive Director

cc: Dennis Feeney, Majority Leader
Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel





County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6575, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

BONDING AUTHORIZATION FOR THE FOOD SERVICE AND DISTRIBUTION EQUIPMENT PROJECT, PART OF THE 2026 - 2030 ALBANY COUNTY CAPITAL PLAN.

Date: 4/30/2025
Department: Shaker Place Rehabilitation and Nursing Center
Attending Meeting: Mark S. Olsen
Submitted By: Shawn Thelen
Title: Deputy Executive Director
Phone: 518-447-7108

Purpose of Request: Bond Approval Enter text.

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:
Enter text.

Term: (Start/end date or duration) Enter text.
Amount/Raise Schedule/Fee: Enter text.

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Budget: Yes ☒ No ☐
Spreadsheet attached: Yes ☐ No ☒

Source of Funding - (Percentages)

Federal:	0	County:	100
State:	0	Local:	0

County Budget Accounts:

Revenue Account and Line: Enter text.
Revenue Amount: Enter text.
Appropriation Account and Line: Enter text.
Appropriation Amount: Enter text.

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒
If Mandated, Cite Authority: Enter text.

File #: TMP-6575, **Version:** 1

Request for Bids / Proposals:

Competitive Bidding Exempt: Yes ☒ No ☐

of Response(s): Enter text.

of MWBE: Enter text.

of Veteran Business: Enter text.

Bond Resolution No.: Enter text.

Apprenticeship Program Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: Reso 686 of 2024

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

Shaker Place Rehabilitation and Nursing Center (SPRNC) respectfully requests approval of bonding authorization for the Food Service and Distribution Equipment project of the 2026 - 2030 Albany County Capital Plan, Project N2501. The request is in the amount of \$1,000,000.00 for the 2025.

2026-2030 Capital Plan Summary

Project Status: 6 New 26 Existing 8 Amended 9 Continual 6 Completed

Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
Civic Center	14.506	0.600	1.000	2.000	2.000	0.000	0.000	20.106
General Services	57.289	14.241	6.203	9.665	9.121	9.077	-6.245	99.351
Information Services	1.250	1.850	2.825	2.200	1.500	1.000	0.875	11.500
AC Sheriff's Office	0.000	1.989	0.000	0.000	0.000	0.000	0.000	1.989
Public Works	90.723	22.216	13.573	12.125	3.925	2.700	2.700	147.962
Water Purification	0.250	22.900	60.500	78.000	18.486	0.000	0.000	180.136
Shaker Place	78.658	3.745	5.450	5.000	5.425	0.000	0.000	98.278
Total Project Cost	242.676	67.541	89.551	108.990	40.457	12.777	-2.670	559.322

All amounts listed in this plan are in millions of dollars.

Amounts listed in Pre-years are authorized amounts.

Amounts listed in out years are estimates.

A Fund Civic Center Capital Projects

Upper Level Seating Replacement**Project Number:** C1501**Debt Account:** HKUJ

Project Description: The chairs in the upper level are 25 years old. The lower level seating was replaced in 2010. This plan was originally spread over two years utilizing Facility Fees. Combining the projects into the same year we would realize an approximate savings of \$100,000. Given lead time of the chairs, installation of chairs will begin spring of 2019, purchase of chairs will be in 2018.

Amendment(s):

Project Status:	New	1	Existing	Amended	Continual	Completed		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	3.375	-						3.375
State								
Federal								
Other								-
Total Project Cost	3.375	-	-	-	-	-	-	3.375

Main Arena Sound System**Project Number:** C1801**Debt Account:** HKUW

Project Description: The intent is to design and install a new sound system. The existing system has been maintained for over 20 years. It has been maintained and still operates, but the sound quality is failing. The components are outdated and difficult to replace. There are few assisted listening devices active. The purchase of over 200 units will be needed to keep up with Americans With Disabilities Act (ADA) code.

Amendment(s):

Project Status:	New	1	Existing	Amended	Continual	Completed		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	1.800	-						1.800
State								-
Federal								
Other								
Total Project Cost	1.800	-	-	-	-	-	-	1.800

WiFi**Project Number:** C1802**Debt Account:** HKUX

Project Description: The wireless network system in the building has been pieced together over the years. The system does not have the capacity for the growing needs of the shows, media and patrons. The wired network has been upgraded. The awards of the National Collegiate Athletic Association (NCAA) Basketball Championship has put the need of this project to forefront to accommodate National Media needs.

Amendment(s):

Project Status:	New	1	Existing	Amended			Continual		Completed
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total	
County	0.826							0.826	
State									
Federal									
Other								-	
Total Project Cost	0.826	-	-	-	-	-	-	0.826	

A Fund Civic Center Capital Projects

Arena Equipment Replacement**Project Number:** C1901**Debt Account:** HKUY

Project Description: All equipment is at least 15 years old. Replace staging that is old and degrading. Spotlights are in need of frequent repair and replacement parts. Existing barricade lacks step to assist patrons from GA floor. The turnstiles are needed for accurate counts of patrons entering. Forklifts are up in age. The West End curtains will cover the lights in the suites for end stage shows that production often have concerns about during shows.

Amendment(s):**Project Status:** New 1 Existing Amended Continual Completed

Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	0.597							0.597
State								
Federal								
Other								-
Total Project Cost	0.597	-	-	-	-	-	-	0.597

Replacement of Chiller, BMS Control, Concourse heat/Fan Coil Replacement, Lighting Upgrade**Project Number:** C1902**Debt Account:** HKUZ

Project Description: Comfort Chiller is original to building construction and is past its useful life. The work for this project would also include replacement of motors, pumps, valves and suction diffusers. This work will offer significant energy reduction savings. This project is needed to run the building more efficiently and help accommodate the increased building load of the front atrium enclosure. Building sealing is needed to prevent loss of conditioned air. Concourse heat is needed rather than ambient from arena bowl. Additional BMS controls to automate more systems for energy conservation. Lighting upgrades are to replace high energy consumption bulbs.

Amendment(s):**Project Status:** New 1 Existing Amended Continual Completed

Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	1.950			-	-	-	-	1.950
State								
Federal								
Other								-
Total Project Cost	1.950	-	-	-	-	-	-	1.950

Arena Floor, Kitchen Floor, Quad Stairs Refinishing and Atrium door patching**Project Number:** C1903**Debt Account:** HKUZ

Project Description: The main arena floor has sustained several significant gouges. Rebar is showing in several areas. There have been several attempts to patch, but they continue to come out. The kitchen floor has had years of wear and tear. The existing floor covering is coming up in pieces and makes the kitchen look unsanitary. The Quad stairs going to the bathrooms stick out because it sits next to the refinished concourse and bathroom lobby floors.

Amendment(s):**Project Status:** New 1 Existing Amended Continual Completed

Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	0.500							0.500
State								
Federal								
Other								-
Total Project Cost	0.500	-	-	-	-	-	-	0.500

A Fund Civic Center Capital Projects

Loading Dock RenovationProject Number: C1904Debt Account: HKUZ

Project Description: Design and construction of loading dock platforms, doors and bays. Trucks have found it increasingly more difficult to back into the dock area. The dock plates are old and in constant need of adjustment. Weather proof doorways to keep the elements out.

Amendment(s): 2023-2027 - Push out end date.

Project Status:	New	1	Existing	Amended	Continual	Completed			
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total	
County	0.500	-	-					0.500	
State									
Federal									
Other								-	
Total Project Cost	0.500	-	-	-	-	-	-	0.500	

Additional Show Power and Transformer ReplacementProject Number: C2001Debt Account: HKUZ

Project Description: Events are getting bigger and their expectations are higher. We have 2000 amps of show power. Shows often require more and they need to bring in a generator. This may make the building less desirable to put a show in than the next arena. There is available power in our switchgear, but work is needed to extend it and make it available. There are also several transformers that are over 20 years old and should be replaced before they fail.

Amendment(s): 2022-2026 - increase cost.

2023-2027 - updatd funding needs.

Project Status:	New	1	Existing	Amended	Continual	Completed			
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total	
County	0.400							0.400	
State									
Federal									
Other								-	
Total Project Cost	0.400	-	-	-	-	-	-	0.400	

Low Roof ReplacementProject Number: C2002Debt Account: HKUZ

Project Description: The low roof is the last of the roofs that need replacement. There have been several leaks over renovated areas that need patching. The leaks seem to be coming from age, seals coming loose and general wear and tear.

Amendment(s):

Project Status:	New	1	Existing	Amended	Continual	Completed			
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total	
County	0.308							0.308	
State									
Federal									
Other								-	
Total Project Cost	0.308	-	-	-	-	-	-	0.308	

A Fund Civic Center Capital Projects

Stairwell Enclosure | Concourse | Flooring**Project Number:** C2201**Debt Account:** _____

The stairwells on the East side of the arena has been unsightly due to being unable to keep wildlife out. There have been multiple attempts by several means with no success. Enclosing these stairwells will allow us to keep animals out. It will also provide an extra level of security and utility savings to the adjacent area. There are multiple railings that are determined due to street salt and weather damage. There are various concrete steps / facades that need reconstruction or replacing around the entire property. The concourse entrance from the MVP

Project Description: Arena garage could be utilized as a revenue generating event space. We are looking to add a cooler to the concourse level for concessions to restock the stands quicker. The stairs going from the concourse level to the bathroom lobbies are the only flooring area that has not been refinished and we are looking to have completed. Refinishing the kitchen floor will also be included in this project.

Amendment(s): 2023-2027 - Incorporating Concourse and Flooring project.

Project Status:	<u>New</u>	<u>1</u>	<u>Existing</u>	<u>Amended</u>	<u>Continual</u>	<u>Completed</u>		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	2.500							2.500
State								
Federal								
Other								
Total Project Cost	2.500	-	-	-	-	-	-	2.500

Network Bandwidth Upgrade**Project Number:** C2301**Debt Account:** _____

The arena network was upgraded in 2017. The capacity of the system can handle 1 gigabyte of bandwidth which was adequate at the time. Increased event needs have required the arena to order temporary internet services to run outside the system firewalls and protections.

Amendment(s): 2024-2028 - Amended to push out project date.

Project Status:	<u>New</u>	<u>1</u>	<u>Existing</u>	<u>Amended</u>	<u>Continual</u>	<u>Completed</u>		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	0.250							0.250
State								
Federal								
Other								-
Total Project Cost	0.250	-	-	-	-	-	-	0.250

Upper Level Curtain Replacement**Project Number:** C2501**Debt Account:** _____

Project Description: The existing curtains were installed in 1993 and have been maintained and operational since. The curtain motor, wires and material are starting to age and have been in need of more significant repair. Safety lines need to be added to the truss so lifts will not be needed to access the curtain areas for repairs or other needs.

Amendment(s):

Project Status:	<u>New</u>	<u>1</u>	<u>Existing</u>	<u>Amended</u>	<u>Continual</u>	<u>Completed</u>		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	1.500	-						1.500
State								
Federal								
Other								-
Total Project Cost	1.500	-	-	-	-	-	-	1.500

A Fund Civic Center Capital Projects

Various Building Improvements and RenovationsProject Number: C2601

Debt Account: _____

Project Description: This project will address the long term improvements to any / all arena facilities. Improvements will include, but not limited to, various upgrades such as mechanicals, HVAC, chillers, electrical, roofs, painting/carpeting, energy management systems, plumbing and engineering, structural, & property evaluations. These projects will generally have a useful life of 15 – 20 years.

Amendment(s):

Project Status: <u>1</u> New <u> </u> Existing <u> </u> Amended <u> </u> Continual <u> </u> Completed									
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total
County				1.000	2.000	2.000			5.000
State									
Federal									
Other									-
Total Project Cost		-	-	1.000	2.000	2.000	-	-	5.000

Architectural and EngineeringProject Number: C2602

Debt Account: _____

Project Description: Many of the MVP Arenas facilities are aged and would benefit from a structural and engineering evaluation. The proposed evaluation will allow the County to make the best use of its resources. This project will include evaluation and engineering fees starting in 2025, with any construction beginning in the out years.

Amendment(s):

Project Status:	<u>1</u>	New	<u> </u>	Existing	<u> </u>	Amended	<u> </u>	Continual	<u> </u>	Completed
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total	
County			0.600						0.600	
State										
Federal										
Other									-	
Total Project Cost		-	0.600	-	-	-	-	-	0.600	

Civic Center Capital Plan Summary: All Projects

Project Status:	<u>2</u>	New	<u>12</u>	Existing	<u>0</u>	Amended	<u>0</u>	Continual	<u>0</u>	Completed
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total		
County	14.506	0.600	1.000	2.000	2.000	-	-	20.106		
State	-	-	-	-	-	-	-	-		
Federal	-	-	-	-	-	-	-	-		
Other	-	-	-	-	-	-	-	-		
Total Project Cost	14.506	0.600	1.000	2.000	2.000	-	-	20.106		

A Fund General Services Capital Projects

Vehicle and Truck Replacement ProjectProject Number: **B1201**Debt Account: **HH07**

Project Description: This project would replace fleet pool vehicles in accordance with our Department Vehicle Replacement Plan. This plan would replace 11 +/- vehicles per year for the next 2 years and the vehicles being replaced are 10 years old or older. This project was amended to change the completion date to 2027.

Amendment(s):

Project Status:	New	Existing	Amended	1	Continual	Completed		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	2.539	0.500	0.500	0.500	0.500	0.500	0.500	5.539
State								-
Federal								-
Other								-
Total Project Cost	2.539	0.500	0.500	0.500	0.500	0.500	0.500	5.539

County-wide Facilities EvaluationProject Number: **B1501**Debt Account: **HKUV**

Project Description: Many of the County's facilities are aged and would benefit from a structural and engineering evaluation. The proposed evaluation will allow the County to make the best use of its resources. This project will include evaluation and engineering fees starting in 2015, with any construction beginning in the out years.

Amendment(s):

Project Status:	New	Existing	Amended	1	Continual	Completed		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	1.350		0.500	0.500	0.500	0.500	0.500	3.850
State								-
Federal								-
Other								-
Total Project Cost	1.350	-	0.500	0.500	0.500	0.500	0.500	3.850

Youth Facility Renovation & UpgradeProject Number: **B1902**Debt Account: **HHW0**

Project Description: The State of New York has stipulated that Albany County modify / renovate their youth facilities to be more conducive to both the age & sex of the child. Therefore, we must address revamping our facilities at DCYF as well as Family Court to comply. Initial cost estimate of the NYS project is \$22.3 million to be split 89% NYS and 11% of four municipalities. DASNY will bond \$16.120 million plus utilize Albany County previous bond of \$6.2 million according to Resolution No. 18-570. County shares are 6.73% Albany; 1.79% Schenectady; 1.79% Rensselaer and .89% Saratoga, plus NYS share of 88.8%.

The scope of the makeover project shall include, but are not limited to, design fees, HVAC modification, renovation and fit-up of viewing rooms & common areas, electrical, plumbing & bathroom facilities, painting & carpeting as well as furniture & fixtures. Reimbursement will be long term, over approximately a 20 year period.

Amendment(s): 2023-20207 - Extended reimbursement time line.

2024-2028 - Included additional year of reimbursement.

2025-2029 - amend funding to show full project cost and expand scope.

Project Status:	<u> </u> New	<u> </u> Existing	<u> </u> Amended	<u> </u> Continual	<u> </u> 1	Completed		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
							2040	
County	22.320							22.320
State	(1.352)	(0.759)	(0.797)	(0.835)	(0.879)	(0.923)	(14.245)	(19.790)
Federal								
Other	(0.068)							(0.068)
Total Project Cost	20.900	(0.759)	(0.797)	(0.835)	(0.879)	(0.923)	(14.245)	2.530

A Fund General Services Capital Projects

Albany County Green Capital ProjectsProject Number: **B2201**Debt Account: **HHG4**

Project Description: This project will include the purchase of some electric vehicles and zero emission vehicles. It will also include some infrastructure improvements with renewable energy technology and energy efficient improvements. This year's request positions the County to make additional investments in renewable energy generation and clean heating and cooling of County facilities.

Amendment(s): 2024-2028 - Project amended to add funding to outer years and expand scope.
2025-2030 - Amended to add a year and funding.

Project Status:	New	Existing	Amended	1	Continual	Completed			
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total	
County	11.000	1.000	1.000	1.000	1.000	1.000	1.000	17.000	
State								-	
Federal								-	
Other								-	
Total Project Cost	11.000	1.000	1.000	1.000	1.000	1.000	1.000	17.000	

Various Building Upgrades & ImprovementsProject Number: **B2301**

Debt Account:

Project Description: This project will address the long term improvements to any / all County owned and operated facilities. Improvements will include, but not limited to, various upgrades such as mechanicals, HVAC, chillers, electrical, roofs, painting/carpeting, energy management systems, department moving & relocation costs, and engineering, structural, & property evaluations. NOTE: this project replaces several projects including, but not limited to, the County Office Building project, Facility Improvement Project, and the Office Modernization Project. These projects will generally have a useful life of 15 – 20 years.

Amendment(s): 2024-2028 - Amended to clarify scope and increase funding needs.

Project Status:	New	Existing	Amended	1	Continual	Completed			
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total	
County	14.000	7.000	5.000	5.000	5.000	5.000	5.000	46.000	
State								-	
Federal								-	
Other								-	
Total Project Cost	14.000	7.000	5.000	5.000	5.000	5.000	5.000	46.000	

County Facility Roof RenovationsProject Number: **B2302**

Debt Account:

Project Description: The purpose of this project is to address the ongoing needed repair / restoration to the roofs of various County owned properties. We are proposing this plan to address the overall concern over the necessary maintenance.

Amendment(s): 2026-2030 -Amended to add another year to plan.

Project Status:	New	Existing	1	Amended	Continual	Completed			
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total	
County	1.500	0.500	-	0.500				2.500	
State								-	
Federal								-	
Other								-	
Total Project Cost	1.500	0.500	-	0.500	-	-	-	2.500	

A Fund General Services Capital Projects

Various Mechanical Upgrades & ImprovementsProject Number: B2303

Debt Account: _____

Project Description: As part of our efforts to maintain any/all existing facilities, this project will address any mechanical repairs to our existing properties. These improvements will generally include, but not be limited to, all HVAC repairs, all energy management system improvements, all chiller repairs, and all electrical repairs. Improvements will generally have a useful life of 7 – 12 years.

Amendment(s): 2024-2028 - Amended to clarify scope and increase funding needs.

Project Status:	<u>1</u> New	<u>0</u> Existing	<u>1</u> Amended	<u>1</u> Continual	<u>0</u> Completed				
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total	
County	6.000	3.000	-	3.000	3.000	3.000	1.000	19.000	
State								-	
Federal								-	
Other								-	
Total Project Cost	6.000	3.000	-	3.000	3.000	3.000	1.000	19.000	

Property AcquisitionProject Number: B2601

Debt Account: _____

Project Description: A review of property owned by the County has shown a need to change in certain instances. In some cases departments need to be moved to other facilities and/or properties may need to be bought or sold so that the County is getting the highest and best usage out of its holdings while returning other, lesser needed properties to the Property tax rolls. This project will be utilized for purchases if and when necessary.

Amendment(s): _____

Project Status:	<u>1</u> New	<u>0</u> Existing	<u>0</u> Amended	<u>0</u> Continual	<u>0</u> Completed				
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total	
County		3.000						3.000	
State								-	
Federal								-	
Other								-	
Total Project Cost	-	3.000	-	-	-	-	-	3.000	

General Services Capital Plan Summary: All ProjectsProject Status: 1 New 0 Existing 1 Amended 5 Continual 1 Completed

Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total	
County	58.709	15.000	7.000	10.500	10.000	10.000	8.000	111.209	
State	(1.352)	(0.759)	(0.797)	(0.835)	(0.879)	(0.923)	(14.245)	(19.790)	
Federal	-	-	-	-	-	-	-	-	
Other	(0.068)	-	-	-	-	-	-	(0.068)	
Total Project Cost	57.289	14.241	6.203	9.665	9.121	9.077	(6.245)	91.351	

A Fund Information Services Capital Projects

Core Switch and Telephone replacement and Data Closet UpgradeProject Number: I2301Debt Account: HHY9

The County is in need of replacing and upgrading some of our core IT equipment at various County owned sites. The priority projects at this time include, but are not limited to, our telephone system, data closet switches, switching equipment, server room infrastructure, core network switches and related equipment. These projects will support more efficient and faster data.

Amendment(s): 2026-2030- Amended to increase costs and include ARPA funding.

Project Status:	New	Existing	1	Amended	Continual	Completed		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	2.145	1.350	0.825	0.700	1.000	0.500	0.500	7.020
State								-
Federal	(0.895)							(0.895)
Other								-
Total Project Cost	1.250	1.350	0.825	0.700	1.000	0.500	0.500	6.125

New FacilitiesProject Number: I2601Debt Account:

Albany County is intending to relocate operations to new facilities. Information Services has performed site visits of potential locations and determined initial needs in order to accommodate rapid relocation. IT projects required to ensure a successful relocation include: upgrading core switches; upgrading firewalls; upgrading fiber runs between sites and within buildings; improvements to internet service lines to increase resiliency; upgrading camera and security systems; and other related operational technology needs.

Amendment(s):

Project Status:		1	New	Existing		Amended		Continual		Completed	
Authorized Funding			Pre-2025	2025	2026	2027	2028	2029	2030	Total	
County				0.500	2.000	1.500	0.500	0.500	0.375	5.375	
State										-	
Federal										-	
Other										-	
Total Project Cost			-	0.500	2.000	1.500	0.500	0.500	0.375	5.375	

Department of Information Services Capital Plan Summary: All Projects

Project Status: <u>1</u> New <u>0</u> Existing <u>1</u> Amended <u>0</u> Continual <u>0</u> Completed									
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total	
County	2.145	1.850	2.825	2.200	1.500	1.000	0.875	12.395	
State	-	-	-	-	-	-	-	-	
Federal	(0.895)	-	-	-	-	-	-	(0.895)	
Other	-	-	-	-	-	-	-	-	
Total Project Cost	1.250	1.850	2.825	2.200	1.500	1.000	0.875	11.500	

A Fund - Albany County Sheriff's Office Capital Projects

ACSO Network UpgradeProject Number: S2601

Debt Account: _____

Project Description: This project is to implement a secure, agency specific, communications and productivity solution. Law Enforcement and Public Safety agencies are required to have a higher degree of security than most other network systems.

Amendment(s):

Project Status:	<u>1</u>	New	<u> </u>	Existing	<u> </u>	Amended	<u> </u>	Continual	<u> </u>	Completed
Authorized Funding			Pre-2025	2025	2026	2027	2028	2029	2030	Total
County				1.500						1.500
State										-
Federal										-
Other										-
Total Project Cost			-	1.500	-	-	-	-	-	1.500

Albany County Sheriff's Office Facilities UpgradeProject Number: S2602

Debt Account: _____

Project Description: This project will address renovations and long term improvements to any/all County owned and operated facilities by the Albany County Sheriff. These improvements will include various upgrades including but not limited to moving & relocation costs, engineering, structural, construction and property evaluation services.

Amendment(s):

Project Status:	<u>1</u>	New	<u> </u>	Existing	<u> </u>	Amended	<u> </u>	Continual	<u> </u>	Completed
Authorized Funding			Pre-2025	2025	2026	2027	2028	2029	2030	Total
County				0.489						0.489
State										-
Federal										-
Other										-
Total Project Cost			-	0.489	-	-	-	-	-	0.489

Sheriff's Office Capital Plan Summary: All Projects

Project Status:	<u>2</u>	New	<u>0</u>	Existing	<u>0</u>	Amended	<u>0</u>	Continual	<u>0</u>	Completed
Authorized Funding			Pre-2025	2025	2026	2027	2028	2029	2030	Total
County			-	1.989	-	-	-	-	-	1.989
State			-	-	-	-	-	-	-	-
Federal			-	-	-	-	-	-	-	-
Other			-	-	-	-	-	-	-	-
Total Project Cost			-	1.989	-	-	-	-	-	1.989

D - DM Fund Public Works Capital Projects

CR 157, SR 155 Watervliet-Shaker Road (New Karner Road to Sand Creek Road) [Airport Area FGEIS]**Project Number:** D0101**Debt Account:** HHYB

Project Description: This project is Phase 3 of the Watervliet-Shaker Road Realignment Project. The section of Watervliet-Shaker Road (WSR) included in this project extends from New Karner Rd. to Sand Creek Rd. The project includes reconstruction and widening of approximately 0.75 miles of CR157 which could include the addition of a center or two additional lanes (depending on traffic study outcome), a new traffic signal at the intersection of New Karner Rd. and WSR, drainage improvements and new pavement. This last phase will complete the Albany-Shaker Rd/WSR Airport Improvement Project started in 2001.

Amendment(s): 2022-2026 - reflect an updated construction cost and completed SEQR.

2023-2027 - Add another year and increase costs.

2024-2028 - Amended to reflect a new construction completion date.

2025-2029 - Increase cost and push out completion date.

Project Status: ☐ New ☐ Existing ☐ Amended ☐ Continual ☒ Completed

Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	10.072							10.072
State								-
Federal								-
Other								-
Total Project Cost	10.072	-	-	-	-	-	-	10.072

Highway Pavement Recycling Projects**Project Number:** D1102**Debt Account:** HHT8

Project Description: Rehabilitation of several lane miles of County Roadways by Cold in Place Recycling pavement, re-establishing binder course and repaving entire road with 2" of top course asphalt. This pavement process is a very cost effective method of rehabilitating certain low traffic volume, rural County roadways.

Amendment(s):

Project Status: ☐ New ☐ Existing ☐ Amended ☒ Continual ☐ Completed

Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	11.950	1.250	1.250	1.250	1.250	1.250	1.250	19.450
NY State								-
Federal								-
Other								-
Total Project Cost	11.950	1.250	1.250	1.250	1.250	1.250	1.250	19.450

New Karner Road (NY 155) From US 20 to NY 5: Corridor Improvements**Project Number:** D1103**Debt Account:** HHT7

Project Description: The project involves pavement rehabilitation, safety improvements, signal timing updates and addition of complete streets components along New Karner Road (NY 155) from US 20 to Watervliet Shaker Road. Added the inclusion of a potential roundabout or diverging left at the Washington Ave Extension Intersection and the costs are also included.

Amendment(s): 2023-2027 - Push out start date and increase costs.

2024-2028 - Increase costs and updated completion date.

2025-2029 - Push out completion date and increase costs.

2026-2030 - Amended to update construction completion date and costs.

Project Status: ☐ New ☐ Existing ☒ Amended ☐ Continual ☐ Completed

Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	7.340	8.000	2.000	-				17.340
NY State								-
Federal	(0.422)	(0.664)	(6.254)	(7.250)				(14.590)
Other								-
Total Project Cost	6.918	7.336	(4.254)	(7.250)	-	-	-	2.750

D - DM Fund Public Works Capital Projects

Vehicle and Truck ReplacementProject Number: D1104Debt Account: HBYB

Project Description: This project would replace heavy-duty trucks and equipment and light-duty pickup trucks and cars in accordance with our Department Vehicle and Equipment Replacement Plan.

Amendment(s):

Project Status: New Existing Amended 1 Continual Completed

Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	12.498	1.600	1.450	1.450	1.450	1.450	1.450	21.348
NY State								-
Federal								-
Other								-
Total Project Cost	12.498	1.600	1.450	1.450	1.450	1.450	1.450	21.348

Traffic Sign Compliance ProjectProject Number: D1201Debt Account: HHSB

Project Description: This project will ensure that the County of Albany complies with Federal and State regulations contained in the national Manual on Uniform Traffic Control Devices and the New York State Supplement to the Manual on Uniform Traffic Control Devices, primarily regarding traffic sign retro reflectivity (night-time visibility). All regulatory, warning and guide signs other than street name signs must meet minimum requirements for retro reflectivity as they are replaced. There are close to 8,000 signs on County roadways, in various conditions.

Amendment(s):

Project Status: New 1 Existing Amended Continual Completed

Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	1.375	-						1.375
NY State								-
Federal								-
Other								-
Total Project Cost	1.375	-	-	-	-	-	-	1.375

DPW Facilities Assessment/Building/Salt Sheds/Fuel Monitoring SystemProject Number: D1501Debt Account: HHT7

Project Description: This project is an assessment to determine the feasibility of renovation or replacement of DPW facilities, buildings, salt sheds, and the fuel monitoring system. (Will also explore shared services)

Amendment(s): 2023-2027 - Added fuel remediation project to this project.

Project Status: New 1 Existing Amended Continual Completed

Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	1.350							1.350
NY State								-
Federal								-
Other								-
Total Project Cost	1.350	-	-	-	-	-	-	1.350

Krumkill Rd. Truss over Normanskill BridgeProject Number: D1806Debt Account: HHT8

Project Description: Krumkill Rd. Truss over Normanskill Bridge Rehabilitation Project - BIN 3301270 Rehabilitation of a 137' x 29' steel truss structure. The bridge was built in 1939 and has undergone a few repairs over the past years. The bridge will be stripped and repainted along with minor repairs to the truss as part of this project. The bridge is located in the Town of New Scotland.

Amendment(s): 2022-2026 - new construction year and update project costs.

2023-2027 - Increase costs.

2025-2029 - Push out completion date.

Project Status: New Existing Amended Continual 1 Completed

Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	1.673							1.673
NY State								-
Federal								-
Other								-
Total Project Cost	1.673	-	-	-	-	-	-	1.673

D - DM Fund Public Works Capital Projects

HHRT Bridge over State RTE 85Project Number: **D1904**Debt Account: **HHT8**

Project Description: Replacement of the existing HHRT Bridge (BIN 7032650) over New Scotland Road (State RTE 85) with a pedestrian structure. The existing girder and floor beam structure was built approximately in 1912. It is located in the Town of Bethlehem. The bridge is not currently posted as it is used for pedestrian traffic only, however advanced section loss in the many of the steel columns combined with severe impact distortion to two columns makes this bridge a candidate for replacement. The bridge also has substandard vertical clearance of 12'-2", 14' is the required minimum. Emergency repairs were performed in January of 2019 to address structural issues with two support columns.

Amendment(s): 2022-2026 - new construction completion date
 2023-2027 - Push out construction completion date and increase costs.
 2024-2028 - Amended to increase costs.
 2025-2029 - Push out completion date and increase State funding.

Project Status:	New	Existing	Amended	Continual	1	Completed		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	4.166							4.166
NY State		(0.508)						(0.508)
Federal								-
Other		(0.756)						(0.756)
Total Project Cost	4.166	(1.264)	-	-	-	-	-	2.902

CR405 over 8 Mile Creek Culvert ReplacementProject Number: **D2004**Debt Account: **HHYB**

Project Description: This project replaces the existing triple, 60 foot long, 4 foot diameter corrugated metal pipe culverts with a single pre-cast concrete box culvert. The project is in the Town of Westerlo. Minor approach work and new railing will be included.

Amendment(s): 2022-2026 - New construction year and to update design and construction costs.
 We applied for Bridge NY funding for this project. Funding for Bridge NY expected December 2021.
 2023-2027 - Push out start date and increase costs.
 2024-2028 - Push out start date and increase costs.
 2025-2029 - Push out completion year and increase costs.

Project Status:	New	1	Existing	Amended	Continual	Completed		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	1.700							1.700
NY State								-
Federal								-
Other								-
Total Project Cost	1.700	-	-	-	-	-	-	1.700

CR 412 Culvert Replacement ProjectProject Number: **D2005**Debt Account: **HHT7**

Project Description: This project was previously programmed as two separate projects in the capital plan. They were combined in an effort to save on construction costs. Two Culvert replacement projects on CR412 in the Town of Westerlo were combined into one project. Site 1 is located Just South of the Berne/Westerlo Line and Site 2 is located 0.25miles North of Slade Hill Rd. The existing corrugated metal pipes and reinforced concrete pipe culverts will be replaced with precast concrete box culverts.

Amendment(s): 2022-2026 - new construction completion date.
 2023-2027 - Push out end date and increase costs.
 2025-2029 - Pushout completion date.

Project Status:	<u> </u> New	<u> </u> Existing	<u> </u> Amended	<u> </u> Continual	<u> </u> 1	<u> </u> Completed		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	1.200							1.200
NY State								-
Federal								-
Other								-
Total Project Cost	1.200	-	-	-	-	-	-	1.200

D - DM Fund Public Works Capital Projects

Construction New Garage Buildings with Office Space for Various SubdivisionsProject Number: **D2204**Debt Account: **HHT8****Project Description:**

The project description was amended to include design and construction of new garage buildings, fueling stations, truck washes, salt sheds, office space and outbuildings at VARIOUS subdivisions as well as update the project costs and completion date. This project will provide site plans, design, construction and furnishings for new highway garages, fueling stations, truck washes, salt sheds, office space and outbuildings at various subdivisions. The existing structures are beyond their useful life. The new structures will be large enough to house our plow trucks and heavy equipment and will save on future energy costs. Deficiencies noted in the "Department of Public Works Facility Assessment" report by C&S Companies in 2016, noted extensive structural, mechanical, and electrical system repairs are needed at both locations. The existing structures, are undersized and deteriorated

Amendment(s): 2023-2027 - Increase costs and combine with facilities project.

2024-2028 - Increase costs and expand scope to various substations.

2025-2029 - Expand scope of project and increase costs.

2026-2030 - Amended to expand scope of project.

Project Status: <u> </u> New		<u> </u> Existing	<u> </u> I	<u> </u> Amended	<u> </u> Continual	<u> </u> Completed		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	13.250		9.000	10.000				32.250
NY State	(0.380)							(0.380)
Federal								-
Other								-
Total Project Cost	12.870	-	9.000	10.000	-	-	-	31.870

Various Bridge Deck ReplacementsProject Number: **D2205**Debt Account: **HHYB****Project Description:**

This project will replace the deteriorated wooden bridge decks on three Ohio Truss structures in the Town of New Scotland. The bridges are as follows: BIN 3301340- Old Plank Rd. over Onesquethaw Creek, BIN 3301310 - Onesquethaw Creek Rd. over Onesquethaw Creek and BIN 3301300- Rowe Rd. over Onesquethaw Creek. Minor work will be done on approaches, railings bearings and substructures.

Amendment(s): 2023-2027 - Decreased design and CI costs, increased construction costs.

2024-2028 - Extend project timeline.

2025-2029 - Pushout completion date.

Project Status:	<u> </u> New	<u> </u> Existing	<u> </u> Amended	<u> </u> Continual	<u> </u> I	<u> </u> Completed		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	1.450							1.450
NY State								-
Federal								-
Other								-
Total Project Cost	1.450	-	-	-	-	-	-	1.450

Albany County Culvert Replacements and RehabilitationProject Number: **D2301**Debt Account: **HHYB/HHTH****Project Description:**

As part of maintenance operations, culverts on County roadways occasionally need to be rehabilitated or replaced. This project involves construction and reconstruction of various culverts in Albany County, as well as development of a county wide culvert inventory. Including, but not limited to, CR 111 over Unnamed Creek in Coeymans, CR 358 over Unknown Creek in the Town of Rensselaerville, CR 308 over Vlomankill in the Town of New Scotland, CR 411 over Silver Creek, in the Town of Westerlo, CR 53 over Coeymans Creek in the Town of Coeymans and CR 301 over a tributary to the Onesquethaw in the Town of New Scotland. This work also includes minor stream and approach work and installation of new guide rail where needed.

Amendment(s): 2024-2028 - Amended to increase costs and push out completion date.

2025-2029 - Amended to expand scope of projects.

2026-2030 - Amended to reflect new reimbursement year.

Project Status: <u> </u> New <u> </u> Existing <u> </u> Amended <u> </u> 1 <u> </u> Continual <u> </u> Completed								
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	5.545	0.500	3.000	0.750				9.795
NY State								-
Federal			(1.250)					(1.250)
Other								-
Total Project Cost	5.545	0.500	1.750	0.750	-	-	-	8.545

D - DM Fund Public Works Capital Projects

Albany County Bridge Replacements and RehabilitationProject Number: **D2302**Debt Account: **HHYB/HHTH****Project Description:**

As part of maintenance operations, bridges on County roadways occasionally need to be rehabilitated or replaced. This project involves construction and reconstruction of various bridges in Albany County, including, but not limited to, CR 352 (Fox Creek Rd.) over Fox Creek in the Town of Rensselaerville (BIN 3301500), CR 2 over Fox Creek in the Town of Berne (BIN 3300860), CR 53 over Onesquethaw Creek in the Town of Bethlehem (BIN 3301020), CR 111 over Hannacroix Creek in the Town of Coeymans (BIN 3301090 & BIN 3301070), CR 106 over Hannacroix Creek in the Town of Coeymans (BIN 3301100), CR 406 over the Basic Creek in the Town of Westerlo (BIN 3301560), CR 201 over Black Creek in the Town of Guiderland (BIN 3301160), CR 357 over 10 Mile Creek in the Town of Rensselaerville (BIN 3301460), CR 102 over Onesquethaw Creek in the Town of Bethlehem (BIN 3301040). This work also includes minor stream and approach work, installation of new guide rail and acquisition of ROW when needed.

Amendment(s): 2024-2028 - Amended to increase costs and push out completion date.

2025-2029 - Increase cost and update funding schedule.

2026-2030 - Amended to update funding, reimbursement schedule and projects.

2020-2030 - Amended to update funding, reimbursement schedule and projects.								
Project Status:	New	Existing	1	Amended	Continual	Completed		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	3.500	3.000	5.000	4.000	3.600			19.100
NY State		-	(2.473)	(2.375)	(2.375)			(7.223)
Federal								-
Other								-
Total Project Cost	3.500	3.000	2.527	1.625	1.225	-	-	11.877

Albany Shaker Road Corridor EnhancementsProject Number: **D2303**

Debt Account: _____

Project Description:

This project is part of the 2022-2027 TIP program. It would design the following: safety improvements at the intersection of Albany Shaker Rd. (ASR) and Everett Rd. (CR 155); safety and operational improvements at the intersection of ASR and Osborne Rd., left turn lanes at the Crossings/Emerich Rd. intersection and low cost changes at the Maxwell Rd. roundabout to reduce crashes. In addition, the project would design sidewalks along the corridor where practical and make ADA improvements.

Amendment(s): 2025-2029 - Push out completion date.

Project Status:	New	1	Existing	Amended	Continual	Completed		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	0.780	-						0.780
NY State	(0.624)	-						(0.624)
Federal								-
Other								-
Total Project Cost	0.156	-	-	-	-	-	-	0.156

Improvement and Acquisition of Albany County Recreational FacilitiesProject Number: **D2304**Debt Account: **HHYA/HHYC****Project Description:**

The purpose of the project is to improve and acquire recreational areas and facilities for the residents of Albany County, including, but not limited to the Albany County Rail Trail, Lawson Lake & Ann Lee Pond.

Amendment(s): 2024-2028 - Increase costs

2025-2029 - Increase project costs.

2025-2029 - Increase Project Cost:									
Project Status:	<u> </u> New	<u> </u> 1	<u> </u> Existing	<u> </u> Amended	<u> </u> Continual	<u> </u> Completed			
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total
County		12.550	10.000						22.550
NY State									-
Federal									-
Other			(1.856)						(1.856)
Total Project Cost			12.550	8.144	-	-	-	-	20.694

D - DM Fund Public Works Capital Projects

Rail Trail Pedestrian Bridge RehabilitationProject Number: **D2305**

Debt Account: _____

Project Description: This project will design plans and investigate grant funding to rehabilitate various Albany County Rail Trail Bridges.

Amendment(s): 2025-2029 - Expand scope of project and increase costs.
2026-2030 - Amended to expand scope and increase costs.Project Status: _____ New _____ Existing 1 Amended _____ Continual _____ Completed

Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County		0.250	0.500	0.300				1.050
NY State								-
Federal								-
Other								-
Total Project Cost	-	0.250	0.500	0.300	-	-	-	1.050

Potter Hollow Creek (CR 354) Stream Bank StabilizationProject Number: **D2306**

Debt Account: _____

Project Description: This project will design stream bank stabilization along a ¼ mile length of Potter Hollow Creek in order to prevent washout of material behind our bridge wingwall on CR 354 over Potter Hollow Creek, (BIN 3301360). Included in the design will be grant writing to secure funding to construct the project.

Amendment(s): 2025-2029 - Pushout completion date and increase costs.

Project Status: _____ New 1 Existing _____ Amended _____ Continual _____ Completed

Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	0.250	0.250						0.500
NY State								-
Federal								-
Other								-
Total Project Cost	0.250	0.250	-	-	-	-	-	0.500

DPW Highway and Trail Improvement ProjectsProject Number: **D2401**Debt Account: **HHYB**

Project Description: This project will design and construct various highway and trail improvements. Improvements include but are not limited to repair of slope failures as well as intersection, pedestrian and bicycle safety improvements.

Amendment(s): 2025-2029 - Expand scope of project and increase costs.
2026-2030 - amended to expand scope and costs.Project Status: _____ New _____ Existing 1 Amended _____ Continual _____ Completed

Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	0.500	0.900	0.500					1.900
NY State								-
Federal								-
Other								-
Total Project Cost	0.500	0.900	0.500	-	-	-	-	1.900

Albany Shaker Road Rehabilitation From CR155 to SR7Project Number: **D2501**

Debt Account: _____

Project Description: This project includes rehabilitation of Albany Shaker Road between Watervliet Shaker Rad and SR7. Pavement rehabilitation, signal upgrades and drainage improvements are included in this project.

Amendment(s): 2026-2030 - amended to push out dates.

Project Status: _____ New _____ Existing 1 Amended _____ Continual _____ Completed

Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County			0.350	4.000				4.350
NY State								-
Federal								-
Other								-
Total Project Cost	-	-	0.350	4.000	-	-	-	4.350

D - DM Fund Public Works Capital Projects

Paving - Other than RoadwaysProject Number: D2502

Debt Account: _____

Project Description: This project will pave County owned parking lots and other County owned areas in need of paving that are not roadways. The project also includes sidewalk and ADA improvements where necessary.

Amendment(s): 2026-2030 - amended push out dates and increase costs.

Project Status: _____ New _____ Existing _____ Amended 1 _____ Continual _____ Completed

Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	1.000		0.500					1.500
NY State								-
Federal								-
Other								-
Total Project Cost	1.000	-	0.500	-	-	-	-	1.500

Various Traffic Signal UpgradesProject Number: D2503

Debt Account: _____

Project Description: This project will evaluate existing County owned traffic and pedestrian signals and design, purchase and install any necessary upgrades.

Amendment(s): _____

Project Status: _____ New 1 _____ Existing _____ Amended _____ Continual _____ Completed

Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County		0.250						0.250
NY State								-
Federal								-
Other								-
Total Project Cost	-	0.250	-	-	-	-	-	0.250

Public Works Capital Plan Summary of All ProjectsProject Status: 0 New 7 Existing 6 Amended 4 Continual 5 Completed

Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	92.149	26.000	23.550	21.750	6.300	2.700	2.700	175.149
NY State	(1.004)	(0.508)	(2.473)	(2.375)	(2.375)	-	-	(8.735)
Federal	(0.422)	(0.664)	(7.504)	(7.250)	-	-	-	(15.840)
Other	-	(2.612)	-	-	-	-	-	(2.612)
Total Project Cost	90.723	22.216	13.573	12.125	3.925	2.700	2.700	147.962

G Fund Water Purification Capital Projects

North and South Plant HVAC Upgrades

Project Number:	<u>G2301</u>				Debt Account:				
Project Description:	Project to include the study, design, and construction of improvement to existing HVAC systems at both the North and South treatment plants. Existing HVAC systems at both facilities are at the end of their useful life.								
Amendment(s): 2024-2028 - Increase Costs.									
Project Status:	<u> </u> New	<u> 1 </u> Existing	<u> </u> Amended	<u> </u> Continual	<u> </u> Completed				
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total	
County		1.000	0.500					1.500	
State								-	
Federal								-	
Other								-	
Total Project Cost	-	1.000	0.500	-	-	-	-	1.500	

North Plant Treatment Process Improvements

Project Number:	G2401				Debt Account:				
Project Description:	Project will include improvements to unit treatment process associated with the North Plant. Phase 1 of the project will include replacement of the mechanical screens, grit system, primary and secondary clarifiers, plant water pumps and upgrades to the high voltage electrical system. Upgrades have been identified in a 2023 Capital Study that is currently being finalized. Grouping projects together will allow the District to maximize the potential for grant opportunities in the coming years. Cost has been amended to \$72,594,000 to account for EFC fees that were incorporated into the EFC Intended Use Plan (IUP).								
Amendment(s): 2025-2029 - cost of project amended to account for EFC fees.									
Project Status:	<u> </u> New	<u> 1 </u> Existing	<u> </u> Amended			<u> </u> Continual		<u> </u> Completed	
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total	
County		10.000	25.000	35.000	2.444	-		72.444	
State								-	
Federal								-	
Other Approp.	0.150							0.150	
Total Project Cost	0.150	10.000	25.000	35.000	2.444	-	-	72.594	

South Plant Treatment Process Improvements

Project Number:	G2402	Debt Account:						
Project Description:	Project will include improvements to unit treatment process associated with the North Plant. Phase 1 of the project will include replacement of grit system, primary and secondary clarifiers, plant water pumps and upgrades to the high voltage electrical system. Upgrades have been identified in a 2023 Capital Study that is currently being finalize. Grouping projects together will allow the District to maximize the potential for grant opportunities in the coming years. Project cost was amended to \$41,042,000 to incorporate administration fees from EFC that were included on the 2024 Intended Use Plan (IUP)							
Amendment(s): 2025-2029 - cost of project amended to account for administration fees from EFC.								
Project Status:	<u> </u> New	<u> </u> Existing	<u> 1 </u> Amended	<u> </u> Continual	<u> </u> Completed			
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County		5.000	15.000	15.000	6.042			41.042
State								-
Federal								-
Other Approp.								-
Total Project Cost	-	5.000	15.000	15.000	6.042	-	-	41.042

G Fund Water Purification Capital Projects

Sludge Processing Improvements**Project Number:** G2501**Debt Account:** _____

Project Description: Project to include the study, design and construction of improvements to the existing North and South Plant sludge processing systems. Project was amended to eliminate the South Plant Sludge processing consolidation based on comments received from DEC in 2024. Project will now include improvements to the North and South Plant incinerators, sludge mixing and dewatering systems. The North Plant incinerators will be outfitted with anew emissions system. Project will also include a new Septage and FOG receiving station at the North Plant project. Project is expected to receive EFC funding and a \$25M Bipartisan Infrastructure Grant and 0% hardship financing for up to \$25M.

Amendment(s): 2026-2030 - amended to change scope of project.

Project Status: _____		New	_____	Existing	_____	1	Amended	_____	Continual	_____	Completed
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total		
County			4.400	19.000	28.000	10.000			61.400		
State									-		
Federal									-		
Other		0.100	2.500	1.000					3.600		
Total Project Cost		0.100	6.900	20.000	28.000	10.000	-	-	65.000		

Water Purification District Capital Plan Summary: All Projects

Project Status: _____ 0 _____ New _____ 2 _____ Existing _____ 2 _____ Amended _____ 0 _____ Continual _____ 0 _____ Completed									
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total
County		-	20.400	59.500	78.000	18.486	-	-	176.386
	State	-	-	-	-	-	-	-	-
	Federal	-	-	-	-	-	-	-	-
	Other	0.250	2.500	1.000	-	-	-	-	3.750
Total Project Cost		0.250	22.900	60.500	78.000	18.486	-	-	180.136

G Fund Water Purification Capital Projects

NH Fund Shaker Place Capital Projects

Albany County Nursing Common Areas RenovationsProject Number: N1501Debt Account: HNN5

Project Description: The modernization of common areas. This would include remodeling the main bathrooms and showers to a functional level. Modernizing outer restrooms to a more appealing look and increased functionality. Replacing tables, chairs and wall coverings in common areas and to a more up to date style. Also, the remodeling of an area to an ADL (Activities of Daily Living) apartment for increased therapy billings.

Amendment(s):

Project Status:	New	1	Existing	Amended	Continual	Completed		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	0.385							0.385
State								
Federal								
Other								-
Total Project Cost	0.385	-	-	-	-	-	-	0.385

Albany County Nursing Room FurnishingsProject Number: N1502Debt Account: HNN5

Project Description: The replacement of the current beds, bureaus, bedside stands, over-bed tables and high-back chairs in the resident's personal rooms. This replacement would be to modernize the current room furnishings as many are past the end of their useful life, in disrepair or damaged, mismatched and/or outdated.

Amendment(s):

Project Status:	New	1	Existing	Amended	Continual	Completed		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	0.571							0.571
State								
Federal								
Other								-
Total Project Cost	0.571	-	-	-	-	-	-	0.571

Albany County Nursing Unit Living Areas ReplacementProject Number: N1503Debt Account: HNN5

Project Description: The modernization of resident rooms and living areas. This would include new drapes, cubicles, HVAC units, a facelift for personal bathrooms and updating the flooring on the units. Two units would be started and completed in 2015 with the four other units being completed in 2016.

Amendment(s):

Project Status:	New	1	Existing	Amended	Continual	Completed		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	1.500							1.500
State								
Federal								
Other								-
Total Project Cost	1.500	-	-	-	-	-	-	1.500

NH Fund Shaker Place Capital Projects

Albany County Nursing Home New Construction ProjectProject Number: N1701Debt Account: HNN8**Project Description:**

The purpose of this project is to add an addition to the existing nursing home with approximately 125 new conforming beds, ancillary areas, resident dining and activity rooms, handicapped bathrooms and country style kitchens. This addition will be located on the same site as the existing nursing home. This project will require NYSDOH approval, architectural and engineering with drawings, land studies, surveys, subcontracting, construction management and will permit the current capital plan to be implemented that has been approved and funded by Albany County and the Legislature, however, this initiative will require additional funding. This construction project will require modifications to the existing high rise some mandated and others to accommodate alternate uses, since this part of the facility after the new construction will not be used by the nursing residents. This construction /renovation including the cost of architect, construction manager, sub-contractors, HVAC, moveable equipment and non-moveable equipment under the NYSDOH capital expenditure regulations is considered reimbursable through our Medicaid Rate. A Certificate of Need application will be filed with the NYSDOH. Redo 18-310 The purpose of this project is to add an addition to the existing nursing home with approximately 125 new conforming beds, ancillary areas, resident dining and activity rooms, handicapped bathrooms and country style kitchens. By creating this new facility that will be attached to the current nursing home we will meet the NYSDOH and ADA requirements. It has been determined that remediation of the Albany County Nursing Home is required. To perform this remediation which is outside of the original new construction and renovation project it will be necessary to remove in the existing nursing home all the of walls, partitions, showers, sinks and toilets. Through discussion with the New York State Department of Health they agreed since all resident rooms will be gutted that this new construction should be made handicap accessible and we agreed. This redesign will include the reconfiguration to accommodate a new medical record department with appropriate protected storage and a ADA staff bathing and locker facilities.

Amendments:

Project Status:	New	1	Existing	Amended	Continual	Completed		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	62.000							62.000
State								
Federal								
Other								-
Total Project Cost	62.000	-	-	-	-	-	-	62.000

Albany County Nursing Home Vehicle ReplacementProject Number: N1901Debt Account: HNN0

Albany County Nursing Home is in need of replacing our current vehicle fleet. The vehicles have been in service many years without replacement and are at end of life. This would include, but not be limited to, stretcher capable vans for transporting our residents to off-site appointments.

Amendment(s): 2025-2029 - Amended to extend time line and increase costs.

Project Status:	<u> </u> New	<u> </u> 1	Existing	<u> </u> Amended	<u> </u> Continual	<u> </u> Completed		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	0.350	0.250	-	-	0.250	-	-	0.850
State								
Federal								
Other								-
Total Project Cost	0.350	0.250	-	-	0.250	-	-	0.850

NH Fund Shaker Place Capital Projects

Shaker Place Garage and Parking Lot ExtensionProject Number: N2201Debt Account: HNNB

Project Description: To construct a new garage to house the vehicles of Shaker Place Rehabilitation and Nursing Center and to create additional parking facilities across the street at the current DPW worksite. These projects will require the demolishing of buildings on the Shaker Place property and DPW. The new structure will be utilized by both departments. And will include appropriate climate equipment, offices, storage compartments and the roadway to the location will require site work, paving, electric and plumbing. This facility will be utilized by the Department of Public Works as well as Shaker Place and reimbursement will be sought for the portion of the facility that will be utilized by Shaker Place.

Amendment(s): 2023-2027 - Increase costs.

2024-2028 - Pushout end date into 2024 and distribute needed funding over two years.

Project Status:	New	1	Existing	Amended	Continual	Completed			
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total	
County	9.295	2.000							11.295
State									
Federal									
Other									-
Total Project Cost	9.295	2.000	-	-	-	-	-	-	11.295

Day Care CenterProject Number: N2202Debt Account: HNNA

Project Description: Shaker Place will be renovating/constructing the vacant Shaker Place Wing into a child day care center, following the requirements of the New York Office of Children and Family Services, Division of Child Care Services. This project will include the renovation of existing rooms to suite the child day care program, install and or update the sprinkler and fire alarm system, replace all windows, lighting, bathrooms, flooring and electrical panels and wiring, install HVAC system for air conditioning and heating, create a fenced in outdoor playground, conduct asbestos survey and remove as required by regulation, install security cameras and monitoring system, create new front entrance and furnishings.

Amendment(s): 2023-2027 - Update funding needs.

Project Status:	New	1	Existing	Amended	Continual	Completed			
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total	
County	4.557	-	-	-	-	-	-		4.557
State									
Federal									
Other									-
Total Project Cost	4.557	-	-	-	-	-	-	-	4.557

Food Service and Distribution EquipmentProject Number: N2501Debt Account: HNND

Project Description: Shaker Place provides meal service to the residents with a tray line consisting of transportation and storage equipment. This product line has come to the end of it's useful life and will need to be replaced. In addition to storage and transportation equipment and service equipment including small-wares that are used for distribution, conversion of space in the employee dining area to a multi-use cafe with equipment to prepare, store and sell food to the staff at the facility.

Amendment(s): 2026-2030 - amended to add additional costs to project.

Project Status:	New	Existing	1	Amended	Continual	Completed			
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total	
County		1.000	0.300	-	-	-	-		1.300
State									
Federal									
Other									-
Total Project Cost	-	1.000	0.300	-	-	-	-	-	1.300

NH Fund Shaker Place Capital Projects

Information Technology Infrastructure and Computer System UpgradesProject Number: N2601

Debt Account: _____

Project Description: This project is to modify the current systems at Shaker Place Rehabilitation and Nursing Center to allow for stand alone Internet service with firewall installation, switches and required back-end infrastructure. This will also allow for the upgrading of in-house desktop and laptop assets through the duration of the project as many of these items will reach end of life.

Amendment(s):

Project Status:	<u>1</u>	New	Existing	Amended	Continual	Completed		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County		0.495	0.150	-	0.175	-	-	0.820
State								
Federal								
Other								-
Total Project Cost	-	0.495	0.150	-	0.175	-	-	0.820

High-Rise Infrastructure and Floor RemodelingProject Number: N2602

Debt Account: _____

Project Description: This project is to refit the High-Rise floors of 2HR, 3HR and 4HR. These floors were decommissioned as resident units and this project would be used to return them to a usable form. The HVAC, plumbing and electrical are original to the facility build in the 1970's and will be replaced to fit the new use. The 2HR floor will be used by Shaker Place and appropriate capital reimbursement will be sought.

Amendment(s):

Project Status:	<u>1</u>	New	<u>Existing</u>	<u>Amended</u>	<u>Continual</u>	<u>Completed</u>		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County			5.000	5.000	5.000	-	-	15.000
State								
Federal								
Other								-
Total Project Cost	-	-	5.000	5.000	5.000	-	-	15.000

Albany County Nursing Home Capital Plan Summary: All Projects

Project Status: 2 New 7 Existing 1 Amended 0 Continual 0 Completed

Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	78.658	3.745	5.450	5.000	5.425	-	-	98.278
State	-	-	-	-	-	-	-	
Federal	-	-	-	-	-	-	-	
Other	-	-	-	-	-	-	-	-
Total Project Cost	78.658	3.745	5.450	5.000	5.425	-	-	98.278

RESOLUTION NO. 686

BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, AUTHORIZING PURCHASING FOOD SERVICE CAFÉ AND DISTRIBUTION EQUIPMENT FOR SHAKER PLACE REHABILITATION AND NURSING CENTER ("SPRNC") AND CONVERTING EMPLOYEE DINING AREA TO A MULTI-USE CAFÉ WITH EQUIPMENT TO PREPARE, STORE, AND SELL FOOD TO STAFF AT SPRNC, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$500,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$500,000 OF SERIAL BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Introduced: 11/12/24

By Audit and Finance Committee:

THE COUNTY LEGISLATURE OF THE COUNTY OF ALBANY, NEW YORK, HEREBY RESOLVES AS FOLLOWS:

Section 1. The County of Albany, New York (the "County") is hereby authorized to undertake a capital project for Shaker Place Rehabilitation and Nursing Center ("SPRNC"), which shall include purchasing food service café and distribution equipment and converting employee dining area to a multi-use café with equipment to prepare, store, and sell food to staff at SPRNC, as further described in the County's 2025-2029 Capital Program, as amended and supplemented (hereinafter referred to as the "Capital Program"). The estimated maximum cost of said specific object or purpose, including preliminary costs and costs incidental thereto and the financing thereof (including the costs relating to the issuance of the obligations authorized by this resolution), is an amount not to exceed \$500,000 and said amount is hereby appropriated therefor. The plan of financing is described as follows: (a) the issuance of an amount not to exceed \$500,000 of serial bonds (and bond anticipation notes in anticipation of the issuance of such serial bonds) in such series and amounts as may be necessary to pay the cost thereof, but in no event in excess of \$500,000 to pay the cost of the capital projects, (b) the receipt of various federal and state grants, and/or (c), subject to the discretion of the County, the application of moneys from the County's General Fund or such other moneys of the County that may be available to pay the costs thereof. In the event that such grants or other moneys become available, the County will issue obligations in a principal amount less than the \$500,000 amount authorized by this resolution, or pay down such obligations with the amount of such grants and other moneys. The period of probable usefulness of the specific object or purpose herein authorized and for which \$500,000 of said serial bonds are

herein authorized to be issued, within the limitations of Section 11.00a.32 of the New York Local Finance Law (the "Law"), is five (5) years.

Section 2. Serial bonds (and bond anticipation notes in anticipation of the issuance of such serial bonds) in the aggregate principal amount not to exceed \$500,000 to finance said appropriation are hereby authorized to be issued pursuant to the provisions of the Law.

Section 3. The following additional matters are hereby determined and stated:

(a) Current funds are not required by the Law to be provided as a down payment prior to the issuance of the serial bonds authorized by this resolution or any bond anticipation notes issued in anticipation thereof in accordance with Section 107.00 of the Law.

(b) The proposed maturity of the bonds authorized by this resolution will not exceed five (5) years.

Section 4. The serial bonds authorized by this resolution and any notes issued in anticipation of the sale of such bonds shall contain the recital of validity prescribed by Section 52.00 of the Law and said serial bonds and any notes issued in anticipation of said bonds shall be general obligations of the County, payable as to both principal and interest by a general tax upon all the taxable real property within the County without limitation of rate or amount. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and provision shall be made annually in the budget of the County by appropriation for (a) the amortization and redemption of the bonds and any notes in anticipation thereof to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 5. Subject to the provisions of this resolution and of the Law, pursuant to the provisions of Section 30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals of said notes and of Section 21.00, Section 23.00, Section 50.00, Sections 56.00 to 60.00, Section 62.00, Section 63.00 and Section 164.00 of the Law, the powers and duties of the County Legislature

pertaining or incidental to the sale and issuance of the obligations herein authorized, including but not limited to authorizing bond anticipation notes and prescribing the terms, form and contents and as to the sale and issuance of the bonds herein authorized and of any bond anticipation notes issued in anticipation of said bonds, and the renewals of said notes, are hereby delegated to the County Comptroller, the chief fiscal officer of the County.

Section 6. The County Comptroller is further authorized to take such actions and execute such documents as may be necessary to ensure the continued status of the interest on the bonds authorized by this resolution and any notes issued in anticipation thereof, as excludable from gross income for federal income tax purposes pursuant to Section 103 of the Internal Revenue Code of 1986, as amended (the "Code") and to designate the bonds authorized by this resolution and any notes issued in anticipation thereof, if applicable, as "qualified tax-exempt bonds" in accordance with Section 265(b)(3)(B)(i) of the Code.

Section 7. The County Comptroller is further authorized to enter into a continuing disclosure undertaking with the initial purchaser of the bonds or notes authorized by this resolution, containing provisions which are satisfactory to such purchaser in compliance with the provisions of Rule 15c2-12, promulgated by the Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934.

Section 8. Pursuant to Article 8 of the Environmental Conservation Law, Chapter 43-B of the Consolidated Laws of New York, as amended (the "SEQR Act") and the regulations adopted pursuant thereto by the Department of Environmental Conservation of the State of New York, being 6 NYCRR Part 617, as amended (the "Regulations" and collectively with the SEQR Act, "SEQRA"), the County must satisfy the requirements contained in SEQRA prior to making a final determination whether to proceed with the above referenced project. Based upon an examination of the projects and memoranda from the Albany County Department of Economic Development, Conservation and Planning, the County hereby makes the following determination: The project authorized by this resolution described in Section 1 constitutes a "Type II action" pursuant to 6 NYCRR 617.5(c)(1) and (31); and therefore that, pursuant to 6 NYCRR 617.6(a)(1)(i), the County has no further responsibilities under SEQRA with respect to the project.

Section 9. The County may initially use funds from the General Fund or such other funds that may be available to pay the cost of the specific objects or purposes authorized by this resolution, pursuant to Section 165.10 of the Law. If the County determines to issue bonds or bond anticipation notes to finance the specific objects or purposes authorized by this resolution, the County then reasonably expects to reimburse such expenditures with the proceeds of such bonds or bond anticipation notes. If the County determines to issue bonds or bond anticipation notes to finance the specific objects or purposes authorized by this resolution, then this resolution shall constitute the declaration of the County's "official intent" to reimburse the expenditures authorized by Section 1 hereof with the proceeds of the bonds and notes authorized herein, as required by United States Treasury Regulation Section 1.150-2. The provisions of this section are expressly subject to the plan of finance as determined by the County as described in Section 1 above.

Section 10. The validity of the bonds authorized by this resolution, and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

- (1) (a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or
- (b) the provisions of law which should be complied with at the date of the publication of such resolution are not substantially complied with,

and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or

- (2) such obligations are authorized in violation of the provisions of the constitution.

Section 11. This bond resolution shall take effect immediately and the Clerk of the County Legislature is hereby authorized and directed to publish the foregoing resolution in full (or a summary as permitted by the Law), together with a notice attached in substantially the form as prescribed in Section 81.00 of the Law, in the official newspaper(s) of the County for such publication.

RESOLUTION NO. 279

BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, DATED JULY 14, 2025, AUTHORIZING THE ACQUISITION OF FOOD SERVICE AND DISTRIBUTION EQUIPMENT FOR USE AT SHAKER PLACE REHABILITATION AND NURSING CENTER, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$1,000,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$1,000,000 BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Introduced: 7/14/25

By Audit and Finance Committee:

THE COUNTY LEGISLATURE OF THE COUNTY OF ALBANY, NEW YORK, HEREBY RESOLVES AS FOLLOWS:

Section 1. The County of Albany, New York (the “County”) is hereby authorized to acquire food service and distribution equipment for use at Shaker Place Rehabilitation and Nursing Center, as further described in the County’s 2026-2030 Capital Program, as amended and supplemented (hereinafter referred to as the “Capital Program”). The estimated maximum cost of said specific object or purpose, including preliminary costs and costs incidental thereto and the financing thereof (including the costs relating to the issuance of the obligations authorized by this resolution), is an amount not to exceed \$1,000,000 and said amount is hereby appropriated therefor. The plan of financing is described as follows: (a) the issuance of an amount not to exceed \$1,000,000 of bonds (and bond anticipation notes in anticipation of the issuance of such bonds) in such series and amounts as may be necessary to pay the cost thereof, (b) the receipt of various federal and state grants, and/or (c), subject to the discretion of the County, the application of moneys from the County’s General Fund or such other moneys of the County that may be available to pay the costs thereof. In the event that such grants or other moneys become available, the County will issue obligations in a principal amount less than the \$1,000,000 amount authorized by this resolution, or pay down such obligations with the amount of such grants and other moneys received by the County.

Section 2. Bonds (and bond anticipation notes in anticipation of the issuance of such bonds) in the aggregate principal amount not to exceed \$1,000,000 to finance said appropriation are hereby authorized to be issued pursuant to the provisions of the Law.

Section 3. The following additional matters are hereby determined and stated:

(a) The period of probable usefulness applicable to the specific object or purpose herein authorized and for which \$1,000,000 of said bonds are herein

authorized to be issued, within the limitations of Section 11.00a.32 of the New York Local Finance Law (the “Law”), is five (5) years.

(b) Current funds are not required by the Law to be provided as a down payment prior to the issuance of the bonds authorized by this resolution or any bond anticipation notes issued in anticipation thereof in accordance with Section 107.00 of the Law.

(c) The proposed maturity of the bonds authorized by this resolution will not exceed five (5) years.

Section 4. The bonds authorized by this resolution and any notes issued in anticipation of the sale of such bonds shall contain the recital of validity prescribed by Section 52.00 of the Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County, payable as to both principal and interest by a general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and provision shall be made annually in the budget of the County by appropriation for (a) the amortization and redemption of the bonds and any notes in anticipation thereof to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 5. Subject to the provisions of this resolution and of the Law, pursuant to the provisions of Section 30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals of said notes and of Section 21.00, Section 23.00, Section 50.00, Sections 56.00 to 60.00, Section 62.00, Section 63.00, Section 164.00 and Section 168.00 of the Law, the powers and duties of the County Legislature pertaining or incidental to the sale and issuance of the obligations herein authorized, including but not limited to authorizing bond anticipation notes and prescribing the terms, form and contents and as to the sale and issuance of the bonds herein authorized and of any bond anticipation notes issued in anticipation of said bonds, and the renewals of said notes, are hereby delegated to the County Comptroller, the chief fiscal officer of the County.

Section 6. The County Comptroller is further authorized to take such actions and execute such documents as may be necessary to ensure the continued status of the interest on the bonds authorized by this resolution and any notes issued in anticipation thereof, as excludable from gross income for federal income tax purposes pursuant to Section 103 of the Internal Revenue Code of 1986, as amended (the “Code”) and to designate the bonds authorized by this resolution and any notes issued in anticipation thereof, if applicable, as “qualified tax-exempt bonds” in accordance with Section 265(b)(3)(B)(i) of the Code.

Section 7. The County Comptroller is further authorized to enter into a continuing disclosure undertaking with the initial purchaser of the bonds or notes

authorized by this resolution, containing provisions which are satisfactory to such purchaser in compliance with the provisions of Rule 15c2-12, promulgated by the Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934.

Section 8. Pursuant to Article 8 of the Environmental Conservation Law, Chapter 43-B of the Consolidated Laws of New York, as amended (the “SEQR Act”) and the regulations adopted pursuant thereto by the Department of Environmental Conservation of the State of New York, being 6 NYCRR Part 617, as amended (the “Regulations” and collectively with the SEQR Act, “SEQRA”), the County must satisfy the requirements contained in SEQRA prior to making a final determination whether to proceed with the above referenced project. Based upon an examination of the projects and memoranda from the Albany County Department of Economic Development, Conservation and Planning, the County hereby makes the following determination: The project authorized by this resolution described in Section 1 constitutes a “Type II action” pursuant to 6 NYCRR 617.5(c)(1) and (5); and therefore that, pursuant to 6 NYCRR 617.6(a)(1)(i), the County has no further responsibilities under SEQRA with respect to the project.

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Section 10. The validity of the bonds authorized by this resolution, and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

- (1) (a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or
- (b) the provisions of law which should be complied with at the date of the publication of such resolution are not substantially complied with,

and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or

- (2) such obligations are authorized in violation of the provisions of the constitution.

Section 11. This bond resolution shall take effect immediately and the Clerk of the County Legislature is hereby authorized and directed to publish the foregoing resolution in full (or a summary as permitted by the Law), together with a notice attached in substantially the form as prescribed in Section 81.00 of the Law, in the official newspaper(s) of the County for such publication.



DANIEL P. McCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF MANAGEMENT AND BUDGET
FINANCE DIVISION
112 STATE STREET, ROOM 1340
ALBANY, NEW YORK 12207-2021
(518) 447-7071 - FAX (518) 447-5516
www.albanycountyny.gov

M. DAVID REILLY, JR.
COMMISSIONER

JEFFREY NEAL
DIRECTOR OF FINANCE

June 3, 2025

Honorable Joanne Cunningham
Chair, Albany County Legislature
112 State St. Rm. 710
Albany, NY 12207

Dear Chairwoman Cunningham,

The Department of Law and Department of Management and Budget completed review of the Request for Proposals RFP#2024-046. The bid of Corning Abstract was the lowest per-title search bid received.

Corning Abstract was the approved vendor on the prior contract and has performed adequately to allow effective enforcement of unpaid real property taxes. That contract is now complete.

Authorization for a new contract for real property title searches as required by NYS RPTL "In Rem" proceedings is requested.

If you have any questions regarding this request, I can make myself available at your earliest convenience. Thank you for your consideration.

Sincerely,

Jeffrey J.P. Neal
Director of Finance

cc: Hon. Dennis Feeney, Majority Leader
Hon. Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6598, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Contract for Real Property Title Searches Required by NYS RPTL "In Rem" Proceedings

Date: May 13, 2025
Department: Management and Budget
Attending Meeting: Jeffrey Neal
Submitted By: Jeffrey Neal
Title: Director of Finance
Phone: 518-447-4912

Purpose of Request: Contract Authorization Enter text.

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:

Corning Abstract and Closing Services, 650 Sing Sing Road, Horseheads NY 14845

Term: (Start/end date or duration) June 2025-December 31, 2026
Amount/Raise Schedule/Fee: Not to Exceed \$450,000

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Budget: Yes ☒ No ☐
Spreadsheet attached: Yes ☐ No ☐

Source of Funding - (Percentages)

Federal: Enter text. County: 100
State: Enter text. Local: Enter text.

County Budget Accounts:

Revenue Account and Line: A11310 01052
Revenue Amount:
Appropriation Account and Line: A91310 44046 10000
Appropriation Amount:

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☒ No ☐
If Mandated, Cite Authority: NYS RPTL Article 11 Title 3
Request for Bids / Proposals:

Competitive Bidding Exempt:	Yes ☐ No ☒
# of Response(s):	two (2)
# of MWBE:	two (2)
# of Veteran Business:	None (0)
Bond Resolution No.:	Enter text.
Apprenticeship Program	Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: 17-148

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

NYS RPTL § 1125 Requires 1st Class and Certified Mailings to owners and lienholders that exist in the public record related to tax delinquent real property. This enforcement process was under moratoria from March 2020-May 2022, May 2023-December 2023, then under amended Law in 2024.

Restart of the "in rem" proceedings accelerated as soon as the title work was completed under prior contract.

This vendor was the sole bidder on the last RFP and completed that contract successfully. They are the low bidder on this RFP.

This workflow, revenue and expense are anticipated in the current budget.

Term of contract should be completion of specified lien years in the RFP for the title work, should be completed by December 31, 2026.



DANIEL P. McCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF MANAGEMENT AND BUDGET
FINANCE DIVISION
112 STATE STREET, ROOM 1340
ALBANY, NEW YORK 12207-2021
(518) 447-7070 - FAX (518) 447-5516
www.albanycounty.com

M. DAVID REILLY, JR.
COMMISSIONER

JEFFREY NEAL
DIRECTOR OF FINANCE

MEMORANDUM

To: Commissioner Reilly
From: Jeff Neal, Director of Finance
Re: RFP #2024-046, Title Search NYS RPTL
Date: July 2, 2024

The County Department of Law and Division of Finance staff have completed a review of the bid submissions for #2024-046 Technical and Professional Services Consisting of Providing Title Searches on Properties Subject to Albany County "IN REM" Delinquent Real Property Tax Lien Foreclosure Proceedings.

The bid of **Corning Abstract and Closing Services**, a MWBE with over 20 years of experience in the title search industry within New York State, is recommended on the basis of reported professional experience, comprehension of NYS RPTL Article 11, Title 3 proceedings, and the qualifications of existing staff.

The per search pricing is also lower for **Corning Abstract and Closing Services** than the other submitted bid on this RFP. **Corning Abstract and Closing Services** is also the current vendor for this service and their performance has been acceptable.

The rating worksheets are attached for your reference, as needed.

Rating Worksheet

RFP #2024-046 Technical and Professional Services
Consisting of Providing Title Searches on Properties
Subject to Albany County "IN REM" Delinquent Real
Property Tax Lien Foreclosure Proceedings.

Proposal Rating Worksheet

Reviewer: Jeff Neal

	Weight	Corning Abstract		Nationwide Court Services	
		Rate	Score	Rate	Score
Proposer's comprehension of and feasibility of plan for accomplishing the Work required by Scope of Services ("Work")	25%	5	1.25	5	1.25
Professional Qualifications, Prior Experience in performing similar work, and location of employees and, if utilized SUBCONTRACTORS who will be utilized to perform the Work (evaluation of resumes and operational plan)	35%	5	1.75	5	1.75
Proposer's reputation and performance reliability history (client references, performance reliability history, financial condition, and general reputation in the land title abstract and insurance business)	25%	5	1.25	5	1.25
Total proposed price for performing the Work	15%	5	0.75	3	0.45
TOTALS:	100%		5.0		4.7
Level 1 and Level 2 cost per search is lower with Corning Abstract; Experience and References seem comparable. Both have performed "in rem" title searches for like-sized Counties in NYS. References checked out. Both companies MWBE. Corning Abstract is the current vendor providing "in rem" searches. Neither main offices are located within Albany County.					

Rating Worksheet

RFP #2024-046 Technical and Professional Services
Consisting of Providing Title Searches on Properties
Subject to Albany County "IN REM" Delinquent Real
Property Tax Lien Foreclosure Proceedings.
Proposal Rating Worksheet

Reviewer: Greg Rutnik

	Weight	Corning Abstract		Nationwide Court Services	
		Rate	Score	Rate	Score
Proposer's comprehension of and feasibility of plan for accomplishing the Work required by Scope of Services ("Work")	25%	5	1.25	5	1.25
Professional Qualifications, Prior Experience in performing similar work, and location of employees and, if utilized SUBCONTRACTORS who will be utilized to perform the Work (evaluation of resumes and operational plan)	35%	5	1.75	5	1.75
Proposer's reputation and performance reliability history (client references, performance reliability history, financial condition, and general reputation in the land title abstract and insurance business)	25%	5	1.25	5	1.25
Total proposed price for performing the Work	15%	5	0.75	2	0.3
TOTALS:	100%		5		4.55
Both proponents are qualified. However Nationwide, which is a much larger provider than Corning, could and should have been able to offer lower pricing.					

Rating Worksheet

REP #2024-046 Technical and Professional Services
Consisting of Providing Title Searches on Properties
Subject to Albany County "IN REM" Delinquent Real
Property Tax Lien Foreclosure Proceedings.
Proposal Rating Worksheet

Reviewer: Tania Spadaro

	Weight	Corning Abstract		Nationwide Court Services	
		Rate	Score	Rate	Score
Proposer's comprehension of and feasibility of plan for accomplishing the Work required by Scope of Services ("Work")	25%	5	1.25	4	1
Professional Qualifications, Prior Experience in performing similar work, and location of employees and, if utilized SUBCONTRACTORS who will be utilized to perform the Work (evaluation of resumes and operational plan)	35%	5	1.75	4	1.4
Proposer's reputation and performance reliability history (client references, performance reliability history, financial condition, and general reputation in the land title abstract and insurance business)	25%	5	1.25	4	1
Total proposed price for performing the Work	15%	5	0.75	3	0.45
TOTALS:	100%		5.0		3.85
TEXT FOR COMMENTS					

OFFICIAL RFP RATING SCALE

The following is a rating scale that shall be used to evaluate proposals. A proposal need not have all of the characteristics of a rating category in order to receive that rating. The evaluators must use judgment to rate the proposal using one of the numeric descriptions.

Numeric Grade	Description
5 (Excellent)	All aspects of the evaluation factor are addressed in a highly competent and logical fashion. Information provided clearly demonstrates that requirements can be met that far exceeds minimums. In terms of the specific factor (or significant subfactor), the proposal contains major strengths, exceptional features, or innovations that should substantially benefit the program. There are <u>no</u> weaknesses or deficiencies in the proposal. The risk of unsuccessful contract performance is extremely low. <i>Example:</i> The proposed approach indicates an exceptionally thorough and comprehensive understanding of the program goals, resources, schedules, and other aspects essential to performance of the program.
4 (Good)	All aspects of the evaluation factor are addressed in a highly competent and logical fashion. Information clearly demonstrates the requirements can be met in a manner, which exceeds minimums. The proposal has major and/or minor strengths that indicate the proposed approach will benefit the program. Weaknesses, if any, are minor and are more than offset by strengths. Risk of unsuccessful performance is very low. <i>Example:</i> The proposed approach indicates a thorough understanding of the program goals and the methods, resources, schedules, and other aspects essential to the performance of the program.
3 (Satisfactory)	All aspects of the evaluation factor are addressed in a competent and logical fashion. Information clearly demonstrates that requirements can be met. The proposed approach indicates an adequate understanding of the program goals and the methods, resources, schedules, and other

	aspects essential to the performance of the program. There are few, if any, exceptional features to benefit the program. Any weaknesses in the proposal will not seriously degrade performance, or can be corrected with reasonable effort. The risk of unsuccessful performance is low.
2 (Marginal)	Most aspects of the evaluation factor are addressed. However, information provided does not demonstrate that minimum requirements can be fully met. The proposed approach indicates a superficial or vague understanding of the program goals and the methods, resources, schedules, and other aspects essential to the performance of the program. The proposal has weaknesses that are not offset by strengths and/or will require considerable effort to correct. The risk of unsuccessful contract performance is moderate.
1 (Unsatisfactory)	Fails to address key aspects of the evaluation factor. Information provided indicates that minimum requirements cannot be met. The proposed approach indicates a lack of understanding of the program goals and the methods, resources, schedules, and other aspects essential to the performance of the program. Numerous and significant weaknesses and deficiencies exist. The risk of unsuccessful performance is high.
0	Offeror did not respond to the area, or responded so poorly to this criterion that a clear understanding of the response is not possible.

Proposal evaluations must be supported with narrative statements, which describe each strength and weakness associated with each aspect of a proposal in relation to the evaluation criteria. The identification of the specific strengths and weaknesses provides the Evaluation Team with the information needed to make a reasonable and rational basis for the selection decision. The detailed information on strengths and weaknesses is also required by the Purchasing Division in order to provide the debriefings to unsuccessful offerors, as well as contracting and legal personnel in order to defend any protests which might be filed with the County.



DANIEL P. McCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF GENERAL SERVICES
PURCHASING DIVISION
112 STATE STREET, ROOM 1000
ALBANY, NEW YORK 12207-2021
(518) 447-7140 - FAX (518) 447-5588

DAVID M. LATINA
COMMISSIONER OF GENERAL SERVICES

PAMELA O NEILL
PURCHASING AGENT

MEMORANDUM

TO: M. David Reilly
Commissioner

FROM: Pamela O Neill *Pamela*
Purchasing Agent

DATE: July 3, 2024

RE: RFP #2024-046
Technical And Professional Services Consisting Of Providing Title Searches On
Properties Subject To Albany County "In Rem" Delinquent Real Property Tax Lien
Foreclosure Proceedings

I am in receipt of your recommendation to award the aforementioned to Corning Abstract and Closing Services.

I have reviewed your scoring sheets and believe that you have performed a thorough evaluation of the proposal(s) submitted. I have no objection to the selection of Corning Abstract and Closing Services.

Please obtain the necessary contract approval of the County Legislature, so that we may issue a Notice of Award.

REQUEST FOR PROPOSAL

RFP#2024-046

Technical and Professional Services Consisting of Providing
Title Abstracts for Properties Subject to Albany County “In Rem”
Delinquent Real Property Tax Lien Foreclosure Proceedings

Corning Abstract and Closing Services
Cindy A. Thomas
650 Sing Sing Road
Horseheads, New York 14845
(607) 731-8723

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COUNTY OF ALBANY

PROPOSAL FORM

PROPOSAL IDENTIFICATION:

Title: Technnical and Professional Services Consisting of Providing Title Searches on Properties Subject to Albany County "In Rem" Delinquent Real Property Tax Lien Foreclosure Proceedings
RFP Number: 2024-046

THIS PROPOSAL IS SUBMITTED TO:

Pamela O Neill, Purchasing Agent
Albany County Department of General Services
Purchasing Division
112 State Street, Room 1000
Albany, NY 12207

1. The undersigned Proposer proposes and agrees, if this Proposal is accepted, to enter into a Contract with the owner in the form included in the Contract Documents to complete all Work as specified or indicated in the Contract Documents for the Contract Price and within the Contract Time indicated in this Proposal and in accordance with the Contract Documents.
2. Proposer accepts all of the terms and conditions of the Instructions to Proposers, including without limitation those dealing with the Disposition of Proposal Security. This Proposal may remain open for ninety (90) days after the day of Proposal opening. Proposer will sign the Contract and submit the Contract Security and other documents required by the Contract Documents within fifteen days after the date of County's Notice of Award.
3. In submitting this Proposal, Proposer represents, as more fully set forth in this Contract, that:

- (a) Proposer has examined copies of all the Contract Documents and of the following addenda: (If none, so state)

Date

Number

NONE as of 4/15/2024

(receipt of all of which is hereby acknowledges) and also copies of the Notice to Proposers and the Instructions to Proposers;

- (b) Proposer has examined the site and locality where the Work is to be performed, the legal requirements (federal, state and local laws, ordinances, rules and regulations) and the conditions affecting cost, progress or performance of the Work and has made such independent investigations as Proposer deems necessary;

(c) This Proposal is genuine and not made in the interest of or on behalf of any undisclosed person, firm or corporation and is not submitted in conformity with any agreement or rules of any group, association, organization or corporation; Proposer has not directly or indirectly induced or solicited any other Proposer to submit a false or sham Proposal; PROPOSER has not solicited or induced any person, firm or a corporation to refrain from Proposing; and Proposer has not sought by collusion to obtain for himself any advantage over any other Proposer or over the owner.

4. Proposer will complete the Work for the following prices(s): (Attach Proposal)
5. Proposer agrees to commence the Work within the number of calendar days or by the specific date indicated in the Contract. Proposer agrees that the Work will be completed within the number of Calendar days or by the specific date indicated in the contract.
6. The following documents are attached to and made a condition of this Proposal:
 - (a) Non-Collusive Bidding Certificate (Attachment "A")
 - (b) Acknowledgment by Bidder (Attachment "B")
 - (c) Vendor Responsibility Questionnaire (Attachment "C")
 - (d) Iranian Energy Divestment Certification (Attachment "D")
7. Communication concerning this Proposal shall be addressed to:
Corning Abstract & Closing services
650 SUNG SUNG Rd
Horseheads, New York 14845
Phone: 607-731-8723
8. Terms used in this Proposal have the meanings assigned to them in the Contract and General Provisions.

COUNTY OF ALBANY

COST PROPOSAL FORM

PROPOSAL IDENTIFICATION:

Title: Technical and Professional Services Consisting of Providing Title Searches on Properties Subject to Albany County "In Rem" Delinquent Real Property Tax Lien Foreclosure Proceedings
RFP Number: 2024-046

Tier I searches = \$75.00 per search

Tier II searches = \$125.00 per search

COMPANY:

Corning Abstract & Closing services

ADDRESS:

650 SING SING Rd.

CITY, STATE, ZIP:

Horseheads, New York 14845

TEL. NO.:

607-731-8723

FAX NO.:

N/A

FEDERAL TAX ID NO.:

20-2837801

REPRESENTATIVE:

Cindy A. Thomas

E-MAIL:

Corningabstract@hotmail.com

SIGNATURE AND TITLE

Cindy A. Thomas - owner

DATE

April 16, 2024

ATTACHMENT "A"
NON-COLLUSIVE BIDDING CERTIFICATE PURSUANT TO
SECTION 103-D OF THE NEW YORK STATE GENERAL MUNICIPAL LAW

A. By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid, each party thereto certifies as to its own organizations, under penalty of perjury, that to the best of knowledge and belief:

(1) The prices in this bid have been arrived at independently without collusion, consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor.

(2) Unless otherwise required by law, the prices which have been quoted in this bid have not knowingly been disclosed by the bidder and will not knowingly be disclosed by the bidder, directly or indirectly, prior to opening, to any bidder or to any competitor.

(3) No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.

A bid shall not be considered for award nor shall any award be made where (1), (2), and (3) above have not been complied with; provided, however, that in any case the bidder cannot make the foregoing certification, the bidder shall so state and shall furnish with the bid a signed statement which sets forth in detail the reasons thereof. Where (1), (2), and (3) above have not been complied with, the bid shall not be considered for any award nor shall any award be made unless the head of the Purchasing Unit to the political subdivision, public department, agency or official thereof to which the bid is made, or his designee, determines that such disclosure was not made for the purpose of restricting competition.

The fact that a bidder (a) has published price lists, rates, or tariffs covering items being procured, (b) has informed prospective customer of proposed or pending publication of new or revised price lists for such items, or (c) has sold the same items to other customers at the same prices being bid, does not constitute, without more, a disclosure within the meaning of paragraph "A" above.

B. Any bid hereafter made to any political subdivision of the state or any public department, agency or official thereof by a corporate bidder for work or services performed or to be performed or goods sold or to be sold, where competitive bidding is required by statute, rule, regulation, local law, and where such bid contains the certification referred to in paragraph "A" of this section, shall be deemed to have been authorized by the Board of Directors of the bidder, and such authorization shall be deemed to include the submission of the bid and the inclusion therein of the certificate as to non-collusion as the act and deed of the corporation

Cathy A. Thomas
Signature

owner
Title

Corning Abstract & Closing
Company Name services

4/15/2024
Date

CR1

ATTACHMENT "B"
ACKNOWLEDGMENT BY PROPOSER

If Individual or Individuals:

STATE OF New York
COUNTY OF Chemung

)
) SS.:

On this 15 day of April, 2024, before me personally appeared Cindy Thomas to me known and known to me to be the same person(s) described in and who executed the within instrument, and he (or they severally) **acknowledged** to me that he (or they) executed the same.



Amy L. Moore
Notary Public, State of New York
Qualified in Chemung
Commission Expires 12/10/24

If Corporation:

STATE OF _____
COUNTY OF _____

)
) SS.:

On this _____ day of _____, 20____, before me personally appeared _____ to me known, who, being by me sworn, did say that he resides at (give address) _____; that he is the (give title) _____ of the _____ (name of corporation) _____, the corporation described in and which executed the above instrument; that he knows the seal of the corporation, and that the seal affixed to the instrument is such corporate seal; that it was so affixed by order of the board of directors of the corporation, and that he signed his name thereto by like order.

Notary Public, State of _____
Qualified in _____
Commission Expires _____

If Partnership:

STATE OF _____
COUNTY OF _____

)
) SS.:

On the _____ day of _____, 20____, before me personally came _____ to me known to be the individual who executed the foregoing, and who, being duly sworn, did depose and say that he / she is a partner of the firm of _____ and that he / she has the authority to sign the same, and acknowledged that he / she executed the same as the act and deed of said partnership.

Notary Public, State of _____
Qualified in _____
Commission Expires _____

ATTACHMENT "C"
ALBANY COUNTY
VENDOR RESPONSIBILITY QUESTIONNAIRE

1. VENDOR IS: ☒ PRIME CONTRACTOR			
2. VENDOR'S LEGAL BUSINESS NAME		3. IDENTIFICATION NUMBERS a) FEIN # <u>20-2837801</u> b) DUNS #	
4. D/B/A – Doing Business As (if applicable) & COUNTY FIELD: <u>Corning Abstract & Closing services</u> <u>Chemung Co.</u>		5. WEBSITE ADDRESS (if applicable)	
6. ADDRESS OF PRIMARY PLACE OF BUSINESS/EXECUTIVE OFFICE <u>650 King King Rd.</u> <u>Horseheads, NY 14845</u>		7. TELEPHONE NUMBER <u>607-731-8723</u>	8. FAX NUMBER <u>N/A</u>
9. ADDRESS OF PRIMARY PLACE OF BUSINESS/EXECUTIVE OFFICE IN NEW YORK STATE, if different from above <u>N/A</u>		10. TELEPHONE NUMBER <u>N/A</u>	11. FAX NUMBER <u>N/A</u>
12. AUTHORIZED CONTACT FOR THE QUESTIONNAIRE Name <u>Cindy A. Thomas</u> Title <u>owner</u> Telephone Number <u>607-731-8723</u> Fax Number <u>N/A</u> e-mail <u>Corningabstract@hotmail.com</u>			
13. LIST ALL OF THE VENDOR'S PRINCIPAL OWNERS.			
a) NAME <u>Cindy Thomas</u>	TITLE <u>owner</u>	b) NAME	TITLE
c) NAME	TITLE	d) NAME	TITLE
A DETAILED EXPLANATION IS REQUIRED FOR EACH QUESTION ANSWERED WITH A "YES," AND MUST BE PROVIDED AS AN ATTACHMENT TO THE COMPLETED QUESTIONNAIRE. YOU MUST PROVIDE ADEQUATE DETAILS OR DOCUMENTS TO AID THE COUNTY IN MAKING A DETERMINATION OF VENDOR RESPONSIBILITY. PLEASE NUMBER EACH RESPONSE TO MATCH THE QUESTION NUMBER.			
14. DOES THE VENDOR USE, OR HAS IT USED IN THE PAST FIVE (5) YEARS, ANY OTHER BUSINESS NAME, FEIN, or D/B/A OTHER THAN THOSE LISTED IN ITEMS 2-4 ABOVE? List all other business name(s), Federal Employer Identification Number(s) or any D/B/A names and the dates that these names or numbers were/are in use. Explain the relationship to the vendor.		☐ Yes ☒ No	
15. ARE THERE ANY INDIVIDUALS NOW SERVING IN A MANAGERIAL OR CONSULTING CAPACITY TO THE VENDOR, INCLUDING PRICIPAL OWNERS AND OFFICERS, WHO NOW SERVE OR IN THE PAST ONE (1) YEARS HAVE SERVED AS:		☐ Yes ☒ No	
a) An elected or appointed public official or officer? List each individual's name, business title, the name of the organization and position elected or appointed to, and dates of service		☐ Yes ☒ No	
b) An officer of any political party organization in Albany County, whether paid or unpaid? List each individuals name, business title or consulting capacity and the official political position held with applicable service dates.		☐ Yes ☒ No	

16.	WITHIN THE PAST (5) YEARS, HAS THE VENDOR, ANY INDIVIDUALS SERVING IN MANAGERIAL OR CONSULTING CAPACITY, PRINCIPAL OWNERS, OFFICERS, MAJOR STOCKHOLDER(S) (10% OR MORE OF THE VOTING SHARES FOR PUBLICLY TRADED COMPANIES, 25% OR MORE OF THE SHARES FOR ALL OTHER COMPANIES), AFFILIATE OR ANY PERSON INVOLVED IN THE BIDDING OR CONTRACTING PROCESS:	
	a) 1. been suspended, debarred or terminated by a local, state or federal authority in connection with a contract or contracting process; 2. been disqualified for cause as a bidder on any permit, license, concession franchise or lease; 3. entered into an agreement to a voluntary exclusion from bidding/contracting; 4. had a bid rejected on an Albany County contract for failure to comply with the MacBride Fair Employment Principles; 5. had a low bid rejected on a local, state or federal contract for failure to meet statutory affirmative action or M/WBE requirements on a previously held contract; 6. had status as a Women's Business Enterprise, Minority Business Enterprise or Disadvantaged Business Enterprise, de-certified, revoked or forfeited; 7. been subject to an administrative proceeding or civil action seeking specific performance or restitution in connection with any local, state or federal government contract; 8. been denied an award of a local, state or federal government contract, had a contract suspended or had a contract terminated for non-responsibility; or 9. had a local, state or federal government contract suspended or terminated for cause prior to the completion of the term of the contract. b) been indicted, convicted, received a judgment against them or a grant of immunity for any business-related conduct constituting a crime under local, state or federal law including but not limited to, fraud extortion, bribery, racketeering, price-fixing, bid collusion or any crime related to truthfulness and/or business conduct? c) been issued a citation, notice, violation order, or are pending an administrative hearing or proceeding or determination of violations of: 1. federal, state or local health laws, rules or regulations.	☐ Yes ☒ No ☐ Yes ☒ No ☐ Yes ☒ No
17.	IN THE PAST THREE (3) YEARS, HAS THE VENDOR OR ITS AFFILIATES HAD ANY CLAIMS, JUDGMENTS, INJUNCTIONS, LIENS, FINES OR PENALTIES SECURED BY ANY GOVERNMENTAL AGENCY? Indicate if this is applicable to the submitting vendor or affiliate. State whether the situation(s) was a claim, judgment, injunction, lien or other with an explanation. Provide the name(s) and address(es) of the agency, the amount of the original obligation and outstanding balance. If any of these items are open, unsatisfied, indicate the status of each item as "open" or "unsatisfied."	☐ Yes ☒ No
18.	DURING THE PAST THREE (3) YEARS, HAS THE VENDOR FAILED TO: a) file returns or pay any applicable federal, state or city taxes? <i>Identify the taxing jurisdiction, type of tax, liability year(s), and tax liability amount the vendor failed to file/pay and the current status of the liability.</i> b) file returns or pay New York State unemployment insurance? <i>Indicate the years the vendor failed to file/pay the insurance and the current status of the liability.</i> c) Property Tax <i>Indicate the years the vendor failed to file.</i>	☐ Yes ☒ No ☐ Yes ☒ No ☐ Yes ☒ No
19.	HAVE ANY BANKRUPTCY PROCEEDINGS BEEN INITIATED BY OR AGAINST THE VENDOR OR ITS AFFILIATES WITHIN THE PAST SEVEN (7) YEARS (WHETHER OR NOT CLOSED) OR IS ANY BANKRUPTCY PROCEEDING PENDING BY OR AGAINST THE VENDOR OR ITS AFFILIATES REGARDLESS OF THE DATE OF FILING? Indicate if this is applicable to the submitting vendor or affiliate. If it is an affiliate, include the affiliate's name and FEIN. Provide the court name, address and docket number. Indicate if the proceedings have been initiated, remain pending or have been closed. If closed, provide the date closed.	☐ Yes ☒ No
20.	IS THE VENDOR CURRENTLY INSOLVENT, OR DOES VENDOR CURRENTLY HAVE REASON TO BELIEVE THAT AN INVOLUNTARY BANKRUPTCY PROCEEDING MAY BE BROUGHT AGAINST IT? Provide financial information to support the vendor's current position, for example, Current Ratio, Debt Ratio, Age of Accounts Payable, Cash Flow and any documents that will provide the agency with an understanding of the vendor's situation.	☐ Yes ☒ No

21. IN THE PAST FIVE (5) YEARS, HAS THE VENDOR OR ANY AFFILIATES:

☐ Yes ☒ No

a) defaulted or been terminated on, or had its surety called upon to complete, any contract (public or private) awarded;

Indicate if this is applicable to the submitting vendor or affiliate. Detail the situation(s) that gave rise to the negative action, any corrective action taken by the vendor and the name of the contracting agency.

1 "Affiliate" meaning: (a) any entity in which the vendor owns more than 50% of the voting stock; (b) any individual, entity or group of principal owners or officers who own more than 50% of the voting stock of the vendor; or (c) any entity whose voting stock is more than 50% owned by the same individual, entity or group described in clause (b). In addition, if a vendor owns less than 50% of the voting stock of another entity, but directs or has the right to direct such entity's daily operations, that entity will be an "affiliate" for purposes of this questionnaire.

ALBANY COUNTY
VENDOR RESPONSIBILITY QUESTIONNAIRE

FEIN #

20-2837801

State of: New York)
County of: Chenango) ss:

CERTIFICATION:

The undersigned recognizes that this questionnaire is submitted for the express purpose of assisting the County of Albany in making a determination regarding an award of contract or approval of a subcontract; acknowledges that the County may in its discretion, by means which it may choose, verify the truth and accuracy of all statements made herein; acknowledges that intentional submission of false or misleading information may constitute a felony under Penal Law Section 210.40 or a misdemeanor under Penal Law Section 210.35 or Section 210.45, and may also be punishable by a fine and/or imprisonment of up to five years under 18 USC Section 1001 and may result in contract termination; and states that the information submitted in this questionnaire and any attached pages is true, accurate and complete;

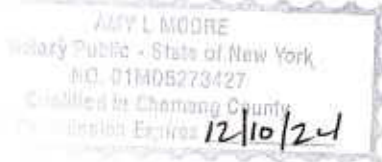
The undersigned certifies that he/she:

- Has not altered the content of the questions in the questionnaire in any manner;
- Has read and understands all of the items contained in the questionnaire and any pages attached by the submitting vendor;
- Has supplied full and complete responses to each item therein to the best of his/her knowledge, information and belief;
- Is knowledgeable about the submitting vendor's business and operations;
- Understands that Albany County will rely on the information supplied in the questionnaire when entering into a contract with the vendor;
- Is under duty to notify the Albany County Purchasing Division of any material changes to the vendor's responses.

Corning Abstracts
Name of Business Closing Services
650 SINE SINE RD.
Address
Horseheads, NY 14845
City, State, Zip

Signature of Owner Cindy A. Thomas
Printed Name of Signatory Cindy A. Thomas
Title owner

Sworn before me this 15 day of April, 2024
Cindy J. Moore
Notary Public



Cindy A. Thomas
Printed Name
Cindy A. Thomas
Signature
4/15/2024
Date

Attachment "D"
Certification Pursuant to Section 103-g
Of the New York State
General Municipal Law

- A. By submission of this bid/proposal, each bidder/proposer and each person signing on behalf of any bidder/proposer certifies, and in the case of a joint bid, each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each bidder is not on the list created pursuant to paragraph (b) of subdivision 3 of Section 165-a of the New York State Finance Law.
- B. A Bid/Proposal shall not be considered for award, nor shall any award be made where the condition set forth in Paragraph A above has not been complied with; provided, however, that in any case the bidder/proposer cannot make the foregoing certification set forth in Paragraph A above, the bidder/proposer shall so state and shall furnish with the bid a signed statement which sets forth in detail the reasons therefor. Where Paragraph A above cannot be complied with, the Purchasing Unit to the political subdivision, public department, agency or official thereof to which the bid/proposal is made, or his designee, may award a bid/proposal, on a case by case business under the following circumstances:
1. The investment activities in Iran were made before April 12, 2012, the investment activities in Iran have not been expanded or renewed after April 12, 2012, and the Bidder/Proposer has adopted, publicized and is implementing a formal plan to cease the investment activities in Iran and to refrain from engaging in any new investments in Iran; or
 2. The political subdivision makes a determination that the goods or services are necessary for the political subdivision to perform its functions and that, absent such an exemption, the political subdivision would be unable to obtain the goods or services for which the contract is offered. Such determination shall be made in writing and shall be a public document.

Cindy A Thomas
Signature

owner
Title

Corning Abstracts Closing
Company Name services

4/15/2014
Date

Qualifications / Experience

See attached Resumes for Qualifications and Experience.

Cindy A. Thomas

corningabstract@hotmail.com

650 Sing Sing Road
Horseheads, New York 14845
(607) 731-8723 Phone
(607) 215-0430 Fax

Professional Objective

A challenging position with a progressive company that will effectively utilize acquired expertise and experience and with primary concentration being in all aspects of the real estate market.

Qualification Highlights

- **Proficient** in cost containment, customer service, staff training or oversight, strategic and new business start up.
- **Expertise** in the preparation of abstracting, real estate closings and office procedures.
- **Quick** learner with an ability to rapidly achieve organizational integration, assimilate job requirements and employ new methodologies. Energetic and self-motivated team player/builder. At ease in high stress environments requiring superior ability to effectively handle multi-task levels of responsibility.
- **Excellent** communication, interpersonal, intuitive, analysis and leadership skills. Proven ability to work efficiently in both independent and teamwork environments. Known for exceeding goals and objectives.
- **Experienced** in developing and executing office policies and procedures. Proven track record for stimulating new business growth, increasing revenues and profits, and decreasing overhead.

Oil and gas lease title search, lease checks and take-off/due diligence projects in New York State and Pennsylvania, to include Otsego, Livingston, Chemung, Tompkins, Steuben, Broome, Tioga, Schuyler, Chenango, Madison and Cortland in New York State and Susquehanna, Tioga, Bradford, McKean, and Potter Counties in Pennsylvania. Completed landman course.

In Rem Delinquent Real Property Tax Lien Foreclosure Proceedings in New York State to include Chemung, Steuben, Orleans, Genesee, Schuyler, Allegany, Wayne, and Cortland Counties. Delinquent parcel lists range from 120-1400 title abstracts, updates before Notice of Petition filing and preparation of database and spreadsheet of interested parties.

Nationwide Clientele to assist in preparation of 40-year, last owner, foreclosure title searches and minor solar projects to include document retrieval of tax liens, judgments, mortgages, and deed with additional coverage for 58 counties in New York and 5 counties in Pennsylvania.

Start up business of nationwide clientele with primary concentration in sign only loans to include conferences with borrowers, download e-mail loan closing documents and conduct loan closings with borrowers to include reviewing documents and notarizing same with borrowers, finalizing closing documents and return same to lenders.

Accounts Receivable and Accounts Payable experience and constant business development of company.

Cindy A. Thomas, President/Founder/Owner

Employment History

Corning Abstract & Closing Services – Horseheads, New York

Start up business of abstract and closing services for nationwide clientele with primary concentration as Crew Chief and Project Manager for oil and gas projects and pursuing expertise in Landman Training. With more than 40 years of legal and real estate experience as a legal assistant, an administrative assistant, a real estate salesperson, a relocation coordinator and owner of abstracting company with primary concentration being oil and gas leases as well as loan closing services.

**Prudential Ambrose & Shoemaker Real Estate and Relocation Services – Corning, New York
Relocation Coordinator**

Manager of relocation department to include managing over 30 inventory properties and servicing several nationwide relocation companies and 70 real estate agents and real estate transactions. Author of various training manuals and conducted training and certification seminars to real estate agents. Author of Staging of Homes within the community and attended various open houses.

**William M. Ogilvie, Esq. – Elmira, New York
Real Estate Legal Assistant and Office Manager**

Involved in the probate of estates and formation of corporations with primary concentration in the conveyance of commercial and real estate transactions. Review and preparation of abstracts of title, title policies, surveys and transfer documents together with ancillary closing documents. Extensively reviewed loan documents and prepared HUD-1 and HUD-1A Settlement Statements. Handled high volume of telephone calls with local mortgage brokers, lenders and clients. Drafted various legal documents and letters. Attended and conducted real estate closings. Supervised two part-time office staff with primary concentration of estates and receptionist duties. Implemented computer programs such as Corel WordPerfect 7.0, 8.0 and Soft Pro Quick and Easy.

**United National Real Estate – Elmira, New York
Real Estate Salesperson (part-time)**

Handled floor time, telephone calls and authored letters to homeowners. Met with homeowners, obtained listings and showed properties.

United National Real Estate – Elmira, New York

Administrative Assistant to Divisional Vice President, Northeast Division

Handled telephone calls from regional affiliated, Authored monthly newsletters to affiliates, recruiting letters to potential affiliates and a handbook entitled, "Administrative Assistant Handbook to Divisional Vice Presidents." Organized, traveled to and participated in divisional meetings, trainings meetings and conventions. Assisted in opening local real estate office.

Davidson & O'Mara, P.C. – Elmira, New York

Legal Secretary to Associates Timothy C. Eckel, Esq., Stephen C. Roe, Esq., Scott J. Pautz, Esq., and Partner, Weeden A. Wetmore, Esq.

Carried out a variety of legal typing and gained experience in the areas of commercial and residential real estate transactions, collections, probate, bankruptcies, evictions and litigation matters. Implemented computer programs such as Display Write 3 and Professional Write. Became conversant with real estate offices, clerk's offices, banks, title companies, various courts, office requirements and search procedures.

Davidson & O'Mara, P.C. – Elmira, New York

Receptionist

Handled high volume of telephone calls and appointments. Maintained telephone logs and light typing.

Education and Certifications

AAPL Member
(2009)

Landman Course
Shreveport, Louisiana
Pending Certificate
(2008)

Abstract Certification Course
Title Search, Atlanta, Georgia
(2007)

American Land Title Association
(Member Since 2005)

New York State Notary Public
(March, 2004 to Present)

New York State Real Estate Salesperson License
(April, 1994 to 2006)

Paralegal Degree
Corning Community College, Corning, New York
(September, 1998 – Pending – Part time)

Legal Secretary Degree
Elmira Business Institute, Elmira, New York
(June, 1982)

Brittany I Miller

4 Carriage Circle
Elmira NY 14903
(607) 846-8323 Phone
(607) 215-0430 Fax

Professional Objective

A challenging position with a progressive company
That will effectively utilize acquired expertise and experience and the ability to manage a team,
operate and assume the risk of running a business with a focus on marketing and customer
service and customer service management.

Qualification Highlights

- **Proficient** in cost containment, customer service, staff training or oversight, strategic and new business start up.
- **Expertise** in the preparation of abstracting, real estate closings and office procedures.
- **Quick** learner with an ability to rapidly achieve organizational integration, assimilate job requirements and employ new methodologies. Energetic and self-motivated team player/builder. At ease in high stress environments requiring superior ability to effectively handle multi-task levels of responsibility.
- **Excellent** communication, interpersonal, intuitive, analysis and leadership skills. Proven ability to work efficiently in both independent and teamwork environments. Known for exceeding goals and objectives.
- **Experienced** in developing and executing office policies and procedures. Proven track record for stimulating new business growth, increasing revenues and profits, and decreasing overhead.

Employment History

Corning Abstract & Closing Services – Horseheads, New York (March 2005-present)

Project Manager/Abstractor

Preparation of 40-year, last owner, and foreclosure title searches to include document retrieval of tax liens, judgments, mortgages, and deed with additional coverage for the States of New York and Pennsylvania.

I have worked on numerous projects and was second in command on a massive lease check in Tioga County and Chemung County NY. I managed two people as well as creating a data base for lease checks from the ground up. I oversaw assigning work to my title assistants, creating files for lease checks i.e pulling deeds, real property reports and deed chains for 40 yrs as well. I have also performed 40-, 60-, 100- and 125-year-old titles from intense searching to typing them up etc. I am very experienced in take offs, lease check.

Startup business of nationwide clientele with primary concentration in sign only loans to include conferences with borrowers, download e-mail loan closing documents and conduct loan closings with borrowers to include reviewing documents and notarizing same with borrowers, finalizing closing documents and return same to lenders.

Oil and gas lease title searches, lease checks and take-off/due diligence projects, Project Manager to an intense take-off project, primary concentration in Chemung, Tompkins, Steuben, Broome, Tioga, Schuyler, Cortland, Livingston, and Madison Counties.

- Pursuing expertise in Landman Training
- Service nationwide clientele with primary concentration on the preparation of 40-year, last owner, and foreclosure title searches.
- Manager of Accounts Receivable and Accounts Payable
- Assistant Business Development

In Rem Delinquent Real Property Tax Lien Foreclosure Proceedings in New York State to include Chemung, Steuben, Orleans, Genesee, Schuyler, Allegany, Wayne, and Cortland Counties. Delinquent parcel lists range from 120-1400 title abstracts, updates before Notice of Petition filing and preparation of database and spreadsheet of interested parties.

Tanning Bed

Sales Associate

Assisted customers with memberships and lotion options. Managed store opening and closing on weekends and handled nightly deposits. Attended meetings for new lotion promotions and sale ideas and trained new sales associates.

Prudential Ambrose & Shoemaker Real Estate and Relocation Services – Corning, New York

Administrative Assistant

Managed scanning of documents for each listing the company had onto the intranet, a company website for agents within the company. Assisted the Relocation Director stage homes within the community and handled telephone calls for the Relocation Department. Also, assisted four agents with sending flyers of their listings to homeowners in the area and advertising their listings throughout the community.

Education and Certification

AAPL Member
(2009)

New York State Notary Public
(Pending)

Landmen Course
Shreveport, Louisiana
(2008)

Abstract Certification Course
Title Search, Atlanta, Georgia
(2007)

Associates Degree - Pending
Corning Community College, Corning, New York
(August, 2005 – present) - Online classes

High School Regents Diploma (Honors)
Horseheads High School, Horseheads, New York
(June, 2005)

References

Kimberly DeFrank
County Treasurer
Orleans County Treasurer's Office
34 East Park Street
Albion, New York 14411
Telephone - (585) 589-5353 Ext: 5152
Kim/DeFrank@orleanscountyny.gov

Terri L. Ross
County Treasurer
Allegany County Treasurer's Office
7 Court Street
Room #134
Belmont, New York 14813
Telephone - (585) 268-9290
rosstl@alleganyco.com

Plan Implementation

Our Plan Implementation will be initiated the way we have for the 5 counties that we have prepared In Rem title foreclosure searches for the last 10 to 15 years. Our procedures coincides with the Scope of Services as outlined in the RFP 2024-046 for Tier I and Tier II searches.

We begin by obtaining real property sheets and tax maps from the Real Property Tax Office for each property on the list to verify ownership. We begin our search with a current owner search and search all public records as outlined on our run sheet and search back 20 years, 40 years or further, if necessary, to consideration and/or out of family. We search for any and all open mortgages, liens, judgments, and encumbrances. We also research surrogates court and bankruptcy filings together with reviewing and revealing any and all interested parties that may have an interest in the property within the search time frame.

Once the title searches are complete, the title review department finalizes and types up each search to scan and email to client. We, also, prepare a database/spreadsheet for the interested parties in order for client to prepare the mailing labels to mail out the appropriate notices. The database is built for future title searches and to provide updates for those title searches as we continue to work on this project for the County.

We have attached a sample run sheet, In Rem foreclosure abstract and spreadsheet for review.

We are available throughout the contract year to complete any additional searches or answer any questions that the County may have with respect to the title searches.

Corning Abstract & Closing Services

650 Sing Sing Road

Horseheads, NY 14845

Phone: 607-731-8723 & Fax: 607-215-0430

Website: <http://corningabstract.wix.com/closingservices>

REAL PROPERTY TAX LIEN FORECLOSURE SEARCH

Level One Search Data—Existing On line Records Only

SEARCH DATE 03/11/2024 LIEN YEAR 2018
TAX MAP NUMBER: 65.55-6-30 MUNICIPALITY C-ALBANY
PARCEL ADDRESS 348 SECOND STREET
INDEX and FORECLOSURE NUMBER 01927-19-000645
DATE OF FILING OF LIST OF DELINQUENT TAXES: _____

NUMBER OF DOCUMENTS FOUND

Open Mortgages	<u>1</u>
Assignments	_____
Judgments	<u>1</u>
Federal Tax Liens	_____
UCCs	_____
State Tax Warrants	_____
Leases	_____
Other Lienholders	_____
Other Interests	_____
Estate Proceedings	_____
Mortgage Foreclosures	_____

Special Notes/Conditions:

*Current owner a full search
Simple work
CP15*

List of Required Mailing Address

[illegible]

**Foreclosure Stub Search Prepared by Corning Abstract & Closing
Services**

Certificate #:

Owner:
Dale Davidson

Property:
348 Second Street

Parcel No.:
65.55-6-30

Current Mailing Address
P.O. Box 2564
Albany, New York 12220

Interested Parties

**City of Albany
Judgment
24 Eagle Street
Albany, New York 12207**

**Mortgage Electronic Registration Systems Inc.
P.O. Box 2026
Flint, Michigan 48501-2026**

**Home Star Mortgage Services LLC
W115 Century Road
Paramus, New Jersey 07652**

Names Searched at Surrogate's Court:

Dale Davidson

1.

Margaret Scaringe

Warranty Deed with Lien Covenant

Dated: January 30, 2002

Ack: January 30, 2002

Rec: February 7, 2002

Inst #: 2701-1100

Consid: \$182.00

to

Dale Davidson

See copies attached

2.

Dale Davidson

Mortgage - \$54,000.00

to

Dated: December 19, 2003
Ack: December 19, 2003
Rec: January 28, 2004
Inst #: 4627-214

Mortgage Electronic
Registration Systems Inc.
as nominee for Home Star
Mortgage Services, LLC

See copies attached

3.

City of Albany

Transcript of Judgment - \$1,200.00

vs

Dated: April 28, 2023
Ack: May 1, 2023
Rec: February 6, 2024
Inst #: T24-1288

Dale Davidson

See copies attached

LIBER 2701 PAGE 1100

N.Y.S. TAX \$ 182

4915

THIS INDENTURE, made the 30 day of January, 2000

Between MARGARET SCARINGE, presently residing at 106 Huron Street, Albany, New York 12203, Party of the First Part, and

DALE DAVIDSON, presently residing at 169-16 110th Avenue, Jamaica, New York 11433, Party of the Second Part,

WITNESSETH, that the Party of the First Part, in consideration of ONE and 00/100 DOLLAR (\$1.00) lawful money of the United States, and other good and valuable consideration paid by the Party of the Second Part, does hereby grant and release unto the Party of the Second Part, his heirs and assigns forever,

ALL THAT PARCEL: (City and County of Albany)

BEGINNING at a point in said south line of Second Street about one hundred fifty-two (152) feet four and one-half (4 1/2) inches west of the intersection of the south line of Second Street with the west line of Judson Street, and runs from thence southerly on a line parallel with Judson Street about one hundred eighteen (118) feet three (3) inches to a line equidistant between Second and First Streets; thence westerly along said line twenty-three (23) feet; thence northerly on a line parallel with Judson Street about one hundred eighteen (118) feet three (3) inches to the south line of Second Street; thence easterly along said south line of Second Street twenty-three (23) feet to the place of the beginning.

Said premises being now known as No. 348 Second Street, Albany, New York.

BEING the same premises conveyed to the Party of the First Part by Deed dated _____ and recorded in the Albany County Clerk's Office on March 10, 1988 in Liber _____ of Deeds at Page _____

The parcel is entirely owned by the transferor.

This conveyance is made subject to all enforceable easements, restrictions and covenants of record, affecting said premises.

Together with the appurtenances and all the estate and rights of the Party of the First Part in and to said premises.

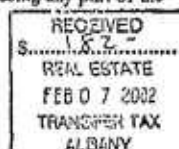
To have and to hold the premises herein granted unto the Party of the Second Part, his heirs and assigns forever.

And the Party of the First Part covenants as follows:

FIRST, That the Party of the Second Part shall quietly enjoy the said premises;

SECOND, That the Party of the First Part will forever Warrant the title to said premises.

THIRD, That, in compliance with Sec. 13 of the Lien Law, the Grantor will receive the consideration for this conveyance and will hold the right to receive such consideration as a trust fund to be applied first for the purpose of paying the cost of the improvement and will apply the same first to the payment of the cost of the improvement before using any part of the total of the same for any other purpose.



LIBER 2701 PAGE 1101

IN WITNESS WHEREOF, the Party of the First Part has hereunto set her hand and seal the day and year first above written.

IN PRESENCE OF

Margaret Scaringe L.S.
MARGARET SCARINGE

STATE OF NEW YORK

COUNTY OF ALBANY

On the 30th day of July, 2002, before me, the undersigned, personally appeared MARGARET SCARINGE, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that she executed the same in her capacity, and that by her signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.

✓ Record & Return to
Carolyn B. George, Esq.

Annworth, Sullivan

Box 49 - Courthouse - County
Albany, NY 12207

clerk's
office

Victor A. Capone Jr.
Notary Public - State of New York

Qualified in Albany County
No. 4252184
Commission Expires March 30, 49-8003

A102032

STATE OF NEW YORK
COUNTY OF ALBANY
Recorded in DEEDS
as Shown Herein and
Signed
THOMAS G. CLEGGAN
ALBANY COUNTY CLERK

State of New York -- Transcript of Judgment

T24-1288

JUDGMENT DEBTOR

SURNAME	GIVEN NAME	TRADE OR PROFESSION	LAST KNOWN ADDRESS
Davidson	Dale	Unknown	PO Box 2564 Albany, N.Y. 12220

JUDGMENT CREDITOR	AMOUNT OF JUDGMENT	JUDGMENT RENDERED
NAME ADDRESS City of Albany 24 Eagle St. Albany, N.Y. 12207	DAMAGES \$1200.00 COSTS - TOTAL \$1200.00	INDEX NO. Code 21-001710 COURT Alb. City Court COUNTY Albany DATE 4/28/2023 HR. & MIN.
ATTORNEY FOR JUDGMENT CREDITOR NAME ADDRESS Madeline DeThomaz, Esq. 24 Eagle St. Albany, N.Y. 12207		JUDGMENT DOCKETED DATE HR. & MIN.

REMARKS - DATE AND MANNER OF CHANGE OF STATUS OF JUDGMENT

EXECUTION	SATISFIED	HOW AND TO WHAT EXTENT
WHEN ISSUED	WHEN	
WHEN RETURNED		
UNSATISFIED		

STATE OF NEW YORK
COUNTY OF Albany

I, Anthony Marcino, (JUDGE, JUSTICE, CLERK) OF City Court of Albany
COUNTY OF Albany HEREBY CERTIFY THAT THE ABOVE IS A CORRECT TRANSCRIPT FROM THE DOCKET OF JUDGMENTS IN MY OFFICE.

IN TESTIMONY WHEREOF, I HAVE HEREUNTO SET MY NAME AND AFFIXED MY OFFICIAL SEAL THIS
DAY OF May 2023
Anthony J. Marcino Chief Clerk

NOTICE TO CLERK OF FILING - Pursuant to Section 5018 C.P.L.R.



**Albany County Clerk
Albany County Court House
16 Eagle Street Rm 128
Albany, NY 12207**

Return to:

NEW CENTURY ABSTRACT & SETTLEMENT CO
4 AUTOMATION LANE, SUITE 150
ALBANY NY 12205

Instrument: Mortgage

Document Number: 9166122 Book: 4627 Page: 214 Annual Code: CU Increment: 19881

Mortgagor: DAVIDSON, DALE

Mortgagee: HOME STAR MORTGAGE SERVICES LLC

Number of Pages: 23

Amount: \$54000.00

Mortgage Tax Receipt
Albany County Clerk Received:
Tax # CU 19881
Basic Tax.....270.00
Additional Tax.....110.00
Special Additional Tax.....136.00

Filing Date/Time: 01/28/2004 at 9:38 AM

Receipt Number: 94878

Note:

THIS PAGE CONSTITUTES THE CLERK'S ENDORSEMENT, REQUIRED BY SECTION 316A(5) &
319 OF THE REAL PROPERTY LAW OF THE STATE OF NEW YORK. DO NOT DETACH.

Thomas G. Clingan
Thomas G. Clingan, County Clerk

(3)

12 Dec 23 2004
R & R TO:

C19881

New Century Abstract & Settlement Co., Ltd.
4 Automation Lane, Suite 150
Albany, NY 12205

Albany County Clerk
Document Number 9168122
Rcvd 01/28/2004 9:38:22 AM

LIBER 4627 PAGE 214

Return To: Home Star
Mortgage Services, LLC
1115 Century Road,
Paramus, NEW JERSEY
07652
Prepared By:
Janet Druan W115
Century Road, Paramus,
NEW JERSEY 07652

[Space Above This Line For Recording Data]

ACB-4287

MORTGAGE MIN 100135811051016000

WORDS USED OFTEN IN THIS DOCUMENT

(A) "Security Instrument." This document, which is dated December 19, 2003 together with all Riders to this document, will be called the "Security Instrument."
(B) "Borrower." Dale Davidson

whose address is 169-16 110th Ave, Jamaica, NEW YORK 11433

sometimes will be called "Borrower" and sometimes simply "I" or "me."
(C) "MERS" is Mortgage Electronic Registration Systems, Inc. MERS is a separate corporation that is acting solely as a nominee for Lender and Lender's successors and assigns. MERS is organized and existing under the laws of Delaware, and has an address and telephone number of P.O. Box 2026, Flint, MI 48501-2026, tel. (388) 679-MERS. FOR PURPOSES OF RECORDING THIS MORTGAGE, MERS IS THE MORTGAGEE OF RECORD.
(D) "Lender." Home Star Mortgage Services, LLC

will be called "Lender." Lender is a corporation or association which exists under the laws of DELAWARE. Lender's address is W115 Century Road Paramus, NEW JERSEY 07652

1105101600
NEW YORK - Single Family - Fannie Mae/Freddie Mac UNIFORM INSTRUMENT WITH MERS

Form 3033 1/01

LOAN - SA (NY) (2003).06

Page 1 of 17

VMP MORTGAGE FORMS - (800) 521-7281

(E) "Note." The note signed by Borrower and dated December 19, 2003, will be called the "Note." The Note shows that I owe Lender Fifty Four Thousand and 00/100

Dollars (U.S. \$ 54,000.00)

plus interest and other amounts that may be payable. I have promised to pay this debt in Periodic Payments and to pay the debt in full by January 01, 2034

(F) "Property." The property that is described below in the section titled "Description of the Property," will be called the "Property."

(G) "Loan." The "Loan" means the debt evidenced by the Note, plus interest, any prepayment charges and late charges due under the Note, and all sums due under this Security Instrument, plus interest.

(H) "Sums Secured." The amounts described below in the section titled "Borrower's Transfer to Lender of Rights in the Property" sometimes will be called the "Sums Secured."

(I) "Riders." All Riders attached to this Security Instrument that are signed by Borrower will be called "Riders." The following Riders are to be signed by Borrower [check box as applicable]:

- | | | |
|--|---|--|
| ☐ Adjustable Rate Rider | ☐ Condominium Rider | ☐ Second Home Rider |
| ☐ Balloon Rider | ☐ Planned Unit Development Rider | ☒ 1-4 Family Rider |
| ☐ VA Rider | ☐ Biweekly Payment Rider | ☒ Other(s) [specify] |

Exhibit "A" Legal

Description, Pre-pay Rider

(J) "Applicable Law." All controlling applicable federal, state and local statutes, regulations, ordinances and administrative rules and orders (that have the effect of law) as well as all applicable final, non-appealable, judicial opinions will be called "Applicable Law."

(K) "Community Association Dues, Fees, and Assessments." All dues, fees, assessments and other charges that are imposed on Borrower or the Property by a condominium association, homeowners association or similar organization will be called "Community Association Dues, Fees, and Assessments."

(L) "Electronic Funds Transfer." "Electronic Funds Transfer" means any transfer of money, other than by check, draft, or similar paper instrument, which is initiated through an electronic terminal, telephonic instrument, computer, or magnetic tape so as to order, instruct, or authorize a financial institution to debit or credit an account. Some common examples of an Electronic Funds Transfer are point-of-sale transfers (where a card such as an asset or debit card is used at a merchant), automated teller machine (or ATM) transactions, transfers initiated by telephone, wire transfers, and automated clearinghouse transfers.

(M) "Escrow Items." Those items that are described in Section 3 will be called "Escrow Items."

(N) "Miscellaneous Proceeds." "Miscellaneous Proceeds" means any compensation, settlement, award of damages, or proceeds paid by any third party (other than Insurance Proceeds, as defined in, and paid under the coverage described in Section 5) for: (i) damage to, or destruction of, the Property; (ii) Condemnation or other taking of all or any part of the Property; (iii) conveyance in lieu of Condemnation or sale to avoid Condemnation; or (iv) misrepresentations of, or omissions as to, the value and/or condition of the Property. A taking of the Property by any governmental authority by eminent domain is known as "Condemnation."

(O) "Mortgage Insurance." "Mortgage Insurance" means insurance protecting Lender against the nonpayment of, or default on, the Loan.

(P) "Periodic Payment." The regularly scheduled amount due for (i) principal and interest under the Note, and (ii) any amounts under Section 3 will be called "Periodic Payment."

(Q) "RESPA." "RESPA" means the Real Estate Settlement Procedures Act (12 U.S.C. Section 2601 et seq.) and its implementing regulation, Regulation X (24 C.F.R. Part 3500), as they might be amended from time to time, or any additional or successor legislation or regulation that governs the same subject matter. As used in this Security Instrument, "RESPA" refers to all requirements and restrictions that are imposed in regard to a "federally related mortgage loan" even if the Loan does not qualify as a "federally related mortgage loan" under RESPA.

1105101600

UNID-8A(NY) (0005).06

Page 2 of 17

Initials: S.D.

Form 3033 1/01

BORROWER'S TRANSFER TO LENDER OF RIGHTS IN THE PROPERTY

I mortgage, grant and convey the Property to MERS (solely as nominee for Lender and Lender's successors in interest) and its successors in interest subject to the terms of this Security Instrument. This means that, by signing this Security Instrument, I am giving Lender those rights that are stated in this Security Instrument and also those rights that Applicable Law gives to lenders who hold mortgages on real property. I am giving Lender these rights to protect Lender from possible losses that might result if I fail to:

(A) Pay all the amounts that I owe Lender as stated in the Note including, but not limited to, all renewals, extensions and modifications of the Note;

(B) Pay, with interest, any amounts that Lender spends under this Security Instrument to protect the value of the Property and Lender's rights in the Property; and

(C) Keep all of my other promises and agreements under this Security Instrument and the Note.

I understand and agree that MERS holds only legal title to the rights granted by me in this Security Instrument, but, if necessary to comply with law or custom, MERS (as nominee for Lender and Lender's successors and assigns) has the right:

(A) to exercise any or all of those rights, including, but not limited to, the right to foreclose and sell the Property; and

(B) to take any action required of Lender including, but not limited to, releasing and canceling this Security Instrument.

DESCRIPTION OF THE PROPERTY

I give MERS (solely as nominee for Lender and Lender's successors in interest) rights in the Property described in (A) through (C) below:

(A) The Property which is located at 348 Second Street

City of Albany [City, Town or Village], New York 12206 [Street]
This Property is in Albany County. It has the following legal [Zip Code].
description: All that tract or parcel of land with improvements thereon as shown on Exhibit "A" attached hereto which is incorporated herein and made a part hereof.

(B) All buildings and other improvements that are located on the Property described in subsection (A) of this section;

(C) All rights in other property that I have as owner of the Property described in subsection (A) of this section. These rights are known as "easements and appurtenances attached to the Property;"

(D) All rights that I have in the land which lies in the streets or roads in front of, or next to, the Property described in subsection (A) of this section;

1105101600

6A(NY) (0005).06

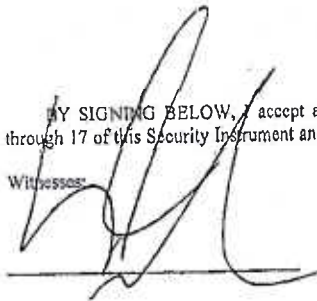
Page 3 of 17

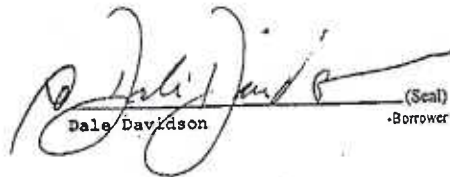
Includes: 12.

Form 3033 1/01

BY SIGNING BELOW, I accept and agree to the promises and agreements contained in pages 1 through 17 of this Security Instrument and in any Rider signed by me and recorded with it.

Witnesses:




Dale Davidson (Seal)
-Borrower

(Seal)
-Borrower

(Seal)
-Borrower

(Seal)
-Borrower

(Seal)
-Borrower

1105101600

WMP-6A(NY) (0006).06

Page 10 of 17

Form 3033 1/01

STATE OF NEW YORK, Albany

County ss:

On the 19th day of December, 2003
public in and for said state, personally appeared Dale Davidson

before me, the undersigned, a notary

personally known to me or proved to me on the basis of satisfactory evidence to be the individual(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their capacity(ies), and that by his/her/their signature(s) on the instrument, the individual(s), or the person upon behalf of which the individual(s) acted, executed the instrument.

WILLIAM F. TESSITORE
Notary Public, State of New York
Qualified in Schenectady County
No. 02TF5041886
Commission Expires April 10, 2007

Notary Public

Tax Map Information:

1105101603

MP 5A(NY) (0005).08

Page 17 of 17

Initials: S.D.

Form 3033 1/01

Commitment No: ALB-4287

SCHEDULE A

ALL THAT CERTAIN PLOT, PIECE, OR PARCEL OF LAND, situate, lying and being in the City of Albany, County of Albany and State of New York beginning at a point in said south line of Second Street about 152 feet and 4 ½ inches west of the intersection of the south line of Second Street with the west line of Judson Street, and runs from thence southerly on a line parallel with Judson Street about 118 feet 3 inches to a line equidistant between Second and First Streets; thence westerly along said line 23 feet; thence northerly on a line parallel with Judson Street about 118 feet 3 inches to the south line of Second Street; thence easterly along said south line of Second Street 23 feet to the place of the beginning.

Said premises being now known as No. 348 Second Street, Albany, New York

STATE OF NEW YORK } ss.
COUNTY OF ALBANY }
Recorded in MORTGAGES
As Shown Hereon And
Examined

Thomas G. Clingan
THOMAS G. CLINGAN
ALBANY COUNTY CLERK



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Residential

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Municipality of City of Albany

SWIS: 010100 Tax ID: 65.55-6-30

Tax Map ID / Property Data

Status:	Active	Roll Section:	Taxable
Address:	348 Second St		
Property Class:	220 - 2 Family Res	Site Property Class:	220 - 2 Family Res
Ownership Code:			
Site:	Res 1	In Ag. District:	No
Zoning Code:	R2A -	Bldg. Style:	Row
Neighborhood:	05040 -	School District:	Albany
Total Acreage/Size:	23 x 118.25	Equalization Rate:	---
Land Assessment:	2023 - \$10,400	Total Assessment:	2023 - \$52,000
Full Market Value:	2023 - \$73,415		
Deed Book:	2701	Deed Page:	1100
Grid East:	652540	Grid North:	971560

Special Districts for 2023

No information available for the 2023 roll year.

Land Types

Type	Size
Primary	2,714.00 sq ft

Photographs

No Photo Available

Documents

No documents found for this parcel

Maps

[View Tax Map](#)

[Pin Property on
GIS Map](#)

[View in Google
Maps](#)

[View in Bing
Maps](#)

[Map Disclaimer](#)

Corning Abstract & Closing Services

Corning Abstract & Closing Services (the "Company"), organized under the laws of the State of New York, hereby certifies and guarantees that it has examined the records of the County Clerk's Office for the county in which the subject premises is located by means of searching the general alphabetical indices to Deeds, Mortgages, Miscellaneous Records, General Assignments, Discharges, Cancellations, Terminations and Satisfactions, Powers of Attorney, Lis Pendens and Notice of Pendency of Action, Mortgage Sales, Sheriff's Certificates of Sale, Orders Appointing Receivers, the Inactive Hazardous Waste Disposal Site Registry Index and Homestead Exemptions maintained in said County Clerk's Office, any Lis Pendens and Notices of Pendency, if any, filed against the above named persons who appear to have had title to the subject premises during the last ten years are set forth in the search. The Company has also caused an examination in the dockets of Judgment Transcripts, Public Welfare Lien Docket, Index to UCC Financing Statements and Consolidated Lien Docket and Index to Mechanic Liens for a period during the last ten years and the Federal and State Tax Lien Indices for a period during the last twenty years and the Indices of the County Surrogate's Court for the county in which the subject premises is located for Wills, Petitions and Proceedings (affecting title to the subject premises) against the following person(s) as owner(s) during their respective periods of title to the premises described at Spec No. 1 and find only as set forth in this search.

Dale Davidson)

January 30, 2002 to March 1, 2024

This certificate is limited to a search against the spelling of the name as it appears above.

This certificate covers 3 item(s).

All Mortgages and oil and gas leases which appear to have been properly expired and discharged of record and former liens which have expired or have been discharged are omitted from this abstract.

In Witness Whereof, the Company has caused this Certificate to be signed by its Authorized Officers, this 1st day of March, 2024 at 5 p.m.

Corning Abstract & Closing Services

By: Cindy L. Thomas

Corning Abstract & Closing Services

650 Sing Sing Road

Horseheads, NY 14845

Phone: 607-731-8723 & Fax: 607-215-0430

Website: <http://corningabstract-wlx.com/closingservices>

REAL PROPERTY TAX LIEN FORECLOSURE SEARCH

Level One Search Data—Existing On line Records Only

SEARCH DATE 03/11/2024 LIEN YEAR 2018

TAX MAP NUMBER: 76.72-3-28 MUNICIPALITY C-ALBANY

PARCEL ADDRESS 39 BENJAMIN ST

INDEX and FORECLOSURE NUMBER 01927-19-001750

DATE OF FILING OF LIST OF DELINQUENT TAXES: _____

NUMBER OF DOCUMENTS FOUND

Open Mortgages _____

Assignments _____

Judgments _____

Federal Tax Liens _____

UCCs _____

State Tax Warrants 1

Leases _____

Other Lienholders _____

Other Interests _____

Estate Proceedings _____

Mortgage Foreclosures _____

Special Notes/Conditions:

List of Required Mailing Address

<u>NAME</u>	<u>ADDRESS</u>	<u>CITY, STATE ZIP</u>
-------------	----------------	------------------------

HAROLD H WILLIAMS & JOAN WILLIAMS	167 BROAD ST	ALBANY NY 12202
-----------------------------------	--------------	-----------------

NYS DEPT OF TAXATION & FINANCE	W A HARRIMAN STATE CAMPUS	ALBANY NY 12227
--------------------------------	---------------------------	-----------------

**Foreclosure Stub Search Prepared by Corning Abstract & Closing
Services**

Certificate #:

Owner:

Harold H. Williams
Joan Williams

Property:

39 Benjamin Street

Parcel No.:

76.72-3-28

Current Mailing Address

167 Broad Street
Albany, New York 12202

Interested Parties

**New York State Department of Taxation and Finance
W.A. Harriman Campus
Albany, New York 12227**

Names Searched at Surrogate's Court:

**Harold H. Williams
Joan Williams**

1.

N.Y. State Realty Liquidating
Corp.

Quit Claim Deed

to

Dated: November 16, 1962
Ack: November 16, 1962
Rec: December 3, 1962
Inst #: 1740-57
Consid: \$3.30

Harold H. Williams and
Joan Williams, his wife

See copies attached

2.

New York State Department
of Taxation and Finance

vs

Harold Williams

See copies attached

State Tax Warrant - \$1,955.14

Dated:

Ack:

Rec: June 8, 2022

Inst #: X22-26034

LIBER 1740 PAGE 57

THIS INDENTURE, made the 16th day of November, nineteen hundred and sixty-two

BETWEEN N. Y. STATE REALTY LIQUIDATING CORP., having its principal place of business at 19 Rector Street, New York, N. Y.

a corporation organized under the laws of the State of New York party of the first part, and HAROLD H. WILLIAMS and JOAN WILLIAMS, his wife, residing at 39 Benjamin Street, Albany, New York.

parties of the second part,

WITNESSETH, that the party of the first part, in consideration of

ONE ----- (\$1.00) - Dollars,

lawful money of the United States, and other good and valuable consideration

paid by the parties of the second part does hereby remise, release and quitclaim unto the parties of the second

part, their heirs, distributees

and assigns forever,

ALL that piece or parcel of land lying and being in the City of Albany, County of Albany, State of New York, assessed on tax roll of said City in the year 1940, to Joseph B. Holsher, and bounded and described as follows: Property on the west side of Benjamin St. between Seymour and Second Ave., Street No. 39, in the 2nd Ward, being 26 x 75, and bounded North - Kain; South - Mueller; East - Benjamin St.; West - Batcher St.

Being the same premises conveyed by a tax sale deed from the County of Albany to the grantor herein by deed dated April 2, 1947 and recorded in the Albany County Clerk's Office on May 8, 1947 in Book 1064 of Deeds at Page 155; and being the same premises conveyed by Mary Irish to the grantor herein by deed dated August 11, 1956, which deed will be recorded simultaneously herewith.

The grantor covenants that all taxes payable in the year 1962 are paid.

The grantor covenants that it has not affirmatively encumbered the premises from the date of its acquisition of the estate in the premises.

The premises herein conveyed are known by street No. 39 Benjamin Street, Albany, New York.

Dec 3 12 36 PM '62
ALBANY, N. Y.
OFFICE OF
COUNTY CLERK

TOGETHER with the appurtenances and all the estate and rights of the party of the first part in and to said premises.

TO HAVE AND TO HOLD the premises herein granted unto the part 100 of the second part, their heirs, distributees and assigns forever.

IN WITNESS WHEREOF, the party of the first part has caused its corporate seal to be hereunto affixed, and these presents to be signed by its duly authorized officer the day and year first above written.

In presence of:



N.Y. STATE REALTY LIQUIDATING CORP.

By James J. Beckman
President

1740 PAGE 58

STATE OF New York

COUNTY OF Rensselaer

On the 16th day of November 1962 before me came Bernard Beckman to me known, who, being by me duly sworn, did depose and say that he resides in 1763 Schenectady Ave., Brooklyn, New York.

that he is the President of N. Y. State Realty Liquidating Corp. the corporation described in, and which executed, the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the board of Directors of said corporation; and that he signed his name thereto by like order.

N.Y. STATE REALTY LIQUIDATING
CORP.

HAROLD H. WILLIAMS and
JOAN WILLIAMS

DEED

QUITCLAIM — CORPORATION

Dated, 19
The land affected by the within instrument
lies in

RECORD AND RETURN TO

HAROLD H. WILLIAMS and
JOAN WILLIAMS, residing at
39 Benjamin Street, Albany,
New York.

Reserve this space for use of Recording Office.

State of New York
County of Albany

Recorded

On the 3 day of May 1962
12:36 P.M. in
book 1740 at

at page 57 and examined

Clerk
Joseph J. Beckman

Bruce A. Hidley, Albany County Clerk
Printed: 03/11/2024 07:09:22 PM

Instr #: X22-26034

Rec Date: 06/08/2022 08:10:59 AM

Doc Grp/Desc: W / JUDGMENT, STATE TAX WARRANT

Creditor: NYS DEPT OF TAXATION AND FINANCE

Debtor: WILLIAMS HAROLD
3310 MILFORD MILL RD
WINDSOR MILL, MD 21244-2041

Amount: \$1,955.14

Transmittal ID: E23143

Warrant ID: C-970222137-W020

(2)



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Residential

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Municipality of City of Albany

SWIS: 010100 Tax ID: 76.72-3-28

Tax Map ID / Property Data

Status:	Active	Roll Section:	Taxable
Address:	39 Benjamin St		
Property Class:	311 - Res vac land	Site Property Class:	311 - Res vac land
Ownership Code:			
Site:	Res 1	In Ag. District:	No
Zoning Code:	R2A -	Bldg. Style:	0
Neighborhood:	11040 -	School District:	Albany
Total Acreage/Size:	26 x 75	Equalization Rate:	----
Land Assessment:	2023 - \$6,000	Total Assessment:	2023 - \$6,000
Full Market Value:	2023 - \$8,471		
Deed Book:	1740	Deed Page:	57
Grid East:	653280	Grid North:	961270

Special Districts for 2023

No information available for the 2023 roll year.

Land Types

Type	Size
Primary	1,950.00 sq ft

Photographs

No Photo Available

Documents

No documents found for this parcel

Maps

[View Tax Map](#)

[Pin Property on GIS Map](#)

[View in Google Maps](#)

[View in Bing Maps](#)

[Map Disclaimer](#)

Corning Abstract & Closing Services

Corning Abstract & Closing Services (the "Company"), organized under the laws of the State of New York, hereby certifies and guarantees that it has examined the records of the County Clerk's Office for the county in which the subject premises is located by means of searching the general alphabetical indices to Deeds, Mortgages, Miscellaneous Records, General Assignments, Discharges, Cancellations, Terminations and Satisfactions, Powers of Attorney, Lis Pendens and Notice of Pendency of Action, Mortgage Sales, Sheriff's Certificates of Sale, Orders Appointing Receivers, the Inactive Hazardous Waste Disposal Site Registry Index and Homestead Exemptions maintained in said County Clerk's Office, any Lis Pendens and Notices of Pendency, if any, filed against the above named persons who appear to have had title to the subject premises during the last ten years are set forth in the search. The Company has also caused an examination in the dockets of Judgment Transcripts, Public Welfare Lien Docket, Index to UCC Financing Statements and Consolidated Lien Docket and Index to Mechanic Liens for a period during the last ten years and the Federal and State Tax Lien Indices for a period during the last twenty years and the Indices of the County Surrogate's Court for the county in which the subject premises is located for Wills, Petitions and Proceedings (affecting title to the subject premises) against the following person(s) as owner(s) during their respective periods of title to the premises described at Spec No. 1 and find only as set forth in this search.

Harold H. Williams	)	November 16, 1962 to March 1, 2024
Harold Williams	)	November 16, 1962 to March 1, 2024
Joan Williams	)	November 16, 1962 to March 1, 2024

This certificate is limited to a search against the spelling of the name as it appears above.

This certificate covers 2 item(s).

All Mortgages and oil and gas leases which appear to have been properly expired and discharged of record and former liens which have expired or have been discharged are omitted from this abstract.

In Witness Whereof, the Company has caused this Certificate to be signed by its Authorized Officers, this 11th day of March, 2024 at 5 p.m.

Corning Abstract & Closing Services

By: Cindy A. Thomas

TITLE FOUND IN: _____

602



CE IFICATE OF LIABILITY INS URANCE

DATE (MM/DD/YYYY)

04/09/2024

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER	CONTACT NAME: Annie Ryan
Wallin Insurance Agency Inc.	PHONE (A/C No, Ext): 607-734-8799
1364 College Ave	FAX (A/C No): (607)734-8896
	E-MAIL ADDRESS: annette@wallininsurance.com
Elmira	INSURER(S) AFFORDING COVERAGE
NY 14901	INSURER A: Erie Insurance Group
INSURED	INSURER B:
Corning Abstract And Closing Services	INSURER C:
650 Sing Sing Rd	INSURER D:
Horseheads	INSURER E:
NY 14845	INSURER F:

COVERAGES CERTIFICATE NUMBER: REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDD SUBR INSD WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	☒ COMMERCIAL GENERAL LIABILITY ☐ CLAIMS-MADE ☒ OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: ☒ POLICY ☐ PRO-JECT ☐ LOC OTHER:	Y	Q37-7490047	01/24/2024	01/24/2025	EACH OCCURRENCE \$ 1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 1,000,000 MED EXP (Any one person) \$ 5000 PERSONAL & ADV INJURY \$ 1,000,000 GENERAL AGGREGATE \$ 2,000,000 PRODUCTS - COMP/OP AGG \$ 2,000,000
A	☐ AUTOMOBILE LIABILITY ☐ ANY AUTO ☐ OWNED AUTOS ONLY ☒ HIRED AUTOS ONLY ☐ SCHEDULED AUTOS ☒ NON-OWNED AUTOS ONLY	Y	Q37-7490047	01/24/2024	01/24/2025	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$
	☐ UMBRELLA LIAB ☐ EXCESS LIAB ☐ DED ☐ RETENTION \$ ☐ OCCUR ☐ CLAIMS-MADE					EACH OCCURRENCE \$ AGGREGATE \$
B	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/ MEMBER EXCLUDED? ☐ Y/N (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	N/A	01WECNH8780	01/24/2024	01/24/2025	PER STATUTE ☐ OTH-ER ☐ E.L. EACH ACCIDENT \$ 100,000 E.L. DISEASE - EA EMPLOYEE \$ 100,000 E.L. DISEASE - POLICY LIMIT \$ 500,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

County of Albany is listed as Additional Insured regarding the General Liability

CERTIFICATE HOLDER

CANCELLATION

County of Albany
112 State Street
RFP NUMBER 2024-046

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

Albany

NY 12207

Fax:

Email:

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ACORD 25 (2016/03)

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604

STATE OF NEW YORK
WORKER'S COMPENSATION BOARD

CERTIFICATE OF NYS WORKER'S COMPENSATION INSURANCE COVERAGE

1a. Legal Name and address of Insured (Use street address only) Corning Abstract and Closing Services 650 Sing Sing Road Horseheads NY 14845	1b. Business telephone Number of Insured 607-731-8723 c. NYS Unemployment Insurance Employer Registration Number of Insured 1d. Federal Employer Identification Number of Insured 202837801
2. Name and Address of the Entity Requesting Proof of Coverage (Entity Being Listed as the Certificate Holder) County of Albany 112 State Street RFP Number 2024-046 Albany NY 12207	3a. Name of Insurance Carrier; The Hartford 3b. Policy Number of Entity listed in box 1a 01WECNH8780 3c. Policy Effective period: 01/24/2024 to 01/24/2025 3d. The Proprietor, Partners or Executive Officers are: Included. (only check box if all partners/officers included) ☒ All excluded or certain partners/officers included 3e. Demolition is: (Definition of Demolition on Reverse) included Excluded

This certifies that the insurance carrier indicated above in box "3" insures the business referenced above in box "1a" for worker's compensation under the New York State Worker's Compensation Law. The Insurance Carrier or its licensed agent will send this Certificate of Insurance to the entity listed above as the certificate holder in box "2".

The Insurance Carrier will also notify the above certificate holder within 10 days IF a policy is canceled due to nonpayment of premiums or within 30 days IF there are reasons other than nonpayment of premiums that cancel the policy or eliminate the insured from the coverage indicated on this Certificate. (These notices may be sent by regular mail.) Otherwise, this Certificate is valid for a maximum of one year after this form is approved by the insurance carrier or its licensed agent.

Please note: Upon the cancellation of the worker's compensation policy indicated on this form, if the business continues to be named on a permit, license or contract issued by a certificated holder, the business must provide that certificate holder with a new Certificate of Workers' Compensation Coverage or other authorized proof that the business is complying with the mandatory coverage requirement of the New York State Workers' Compensation Law.

Under penalty of perjury, I certify that I am an authorized representative or licenses agent of the insurance carrier referenced above and that the named insured has the coverage as depicted on this form.

Approved by: Peter C Wallin

(Print name of authorized representative or licensed agent of insurance carrier)

Approved by: _____

(Signature)

04/10/2024

(Date)

Title: _____

Agent

Telephone Number of authorized representative or licensed agent of insurance carrier: (607) 734-8799

Please Note: Only insurance carriers and their licensed agents are authorized to issue the C-105.2 form. Insurance brokers are NOT authorized to issue it.
C-105.2 (9-01)



CE IFICATE OF LIABILITY INSU ANCE

DATE (MM/DD/YYYY)

04/09/2024

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER	CONTACT NAME:
HMS Risk Management Solutions and Insurance Services	PHONE (A/C, No, Ext):
1250 S Highway 17-92, Suite 120	E-MAIL:
	ADDRESS:
Longwood FL 32750	INSURER(S) AFFORDING COVERAGE
	INSURER A: Indian Harbor Insurance Company
INSURED	INSURER B:
Corning Abstract & Closing Services	INSURER C:
650 Sing Sing Road	INSURER D:
	INSURER E:
Horseheads NY 14845	INSURER F:
	NAIC #
	36940

COVERAGES

CERTIFICATE NUMBER:

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL SUBR INSD WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	COMMERCIAL GENERAL LIABILITY					EACH OCCURRENCE
	CLAIMS-MADE ☐ OCCUR ☐					DAMAGE TO RENTED PREMISES (Ea occurrence)
						MED EXP (Any one person)
						PERSONAL & ADV INJURY
	GEN'L AGGREGATE LIMIT APPLIES PER:					GENERAL AGGREGATE
	POLICY ☐ PROJECT ☐ LOC ☐					PRODUCTS - COMP/OP AGG
	OTHER:					
	AUTOMOBILE LIABILITY					COMBINED SINGLE LIMIT (Ea accident)
	ANY AUTO					BODILY INJURY (Per person)
	ALL OWNED AUTOS					BODILY INJURY (Per accident)
	HIRED AUTOS					PROPERTY DAMAGE (Per accident)
	UMBRELLA LIAB					
	EXCESS LIAB					EACH OCCURRENCE
	DED ☐ RETENTION \$					AGGREGATE
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY					
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH)					PER STATUTE
	If yes, describe under DESCRIPTION OF OPERATIONS below					OTH-ER
						E.L. EACH ACCIDENT
						E.L. DISEASE - EA EMPLOYEE
						E.L. DISEASE - POLICY LIMIT
A	PL - Professional Liability		TAI0151991	07/01/2023	07/01/2024	1,000,000 Each Claim 1,000,000 Annual Aggregate

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

Deductible: \$10,000 Each Claim
Retro Date: 7/1/2007

CERTIFICATE HOLDER

CANCELLATION

County of Albany
112 State Street
Albany, New York 12207
RFP Number: 2024-046

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

COUNTY OF ALBANY

REQUEST FOR PROPOSALS

MANAGEMENT AND BUDGET



RFP#2024-046

REQUEST FOR PROPOSALS

**TECHNICAL AND PROFESSIONAL SERVICES CONSISTING OF PROVIDING TITLE
SEARCHES ON PROPERTIES SUBJECT TO ALBANY COUNTY "IN REM"
DELINQUENT REAL PROPERTY TAX LIEN FORECLOSURE PROCEEDINGS**

ALBANY COUNTY DEPARTMENT OF GENERAL SERVICES

PURCHASING DIVISION

PAMELA O NEILL, PURCHASING AGENT

112 STATE STREET, ROOM 1000

ALBANY, NY 12207

**COUNTY OF ALBANY
DEPARTMENT OF GENERAL SERVICES PURCHASING DIVISION
112 STATE STREET, ROOM 1000, ALBANY, NY 12207
TELEPHONE: 518-447-7140/ FAX: 518-447-5588**

**TITLE: Request For Proposals Technical And Professional Services Consisting Of
Providing Title Searches On Properties Subject To Albany County “In Rem”
Delinquent Real Property Tax Lien Foreclosure Proceedings**
RFP NUMBER: 2024-046

Receipt Confirmation Form

Please complete and return this confirmation form as soon as possible:

Pamela O Neill
Purchasing Agent
County of Albany
112 State Street, Room 1000
Albany, NY 12207

**IF YOU PLAN TO SUBMIT A PROPOSAL, YOU MUST RETURN
THIS FORM TO ENSURE THAT YOU WILL RECEIVE ALL
FURTHER COMMUNICATION REGARDING THIS RFP.**

Company Name: _____

Address: _____

City: _____ State: _____ Zip Code: _____

Contact Person: _____

Title: _____

Phone Number: _____ Fax Number: _____ E-Mail: _____

If a Bidders/Proposers meeting has been arranged for this Bid/RFP, please indicate if you plan to attend:

☐ **Yes** / ☐ **No**

I authorize the County of Albany to send further correspondence that the County deems to be of an urgent nature by the following method (check):

Fax Number: _____ E-Mail _____

COUNTY OF ALBANY
DEPARTMENT OF GENERAL SERVICES
PURCHASING DIVISION
112 STATE STREET, ROOM 1000
ALBANY, NY 12207

NON-PROPOSER RESPONSE

RFP #2024-046

The Albany County Department of General Services, Purchasing Division, is interested in the reasons why bidders/proposers fail to submit bids/proposals. Please indicate your reason(s) by checking all appropriate item(s) below and returning this form to the above address.

- ☐ Could not meet Scope of Services.
- ☐ Items or materials requested not manufactured by us or not available to our company.
- ☐ Insurance requirements too restricting.
- ☐ Bond requirements too restricting.
- ☐ Scope of Services not clearly understood or applicable (too vague, too rigid, etc.).
- ☐ Project not suited to firm.
- ☐ Quantities too small.
- ☐ Insufficient time allowed for preparation of bid/proposal.
- ☐ Other reasons; please state and define: _____

Vendor Name: _____

Contact Person: _____

Vendor Address: _____

Vendor Telephone: _____

NOTICE TO PROPOSERS -- ALBANY COUNTY
REQUEST FOR PROPOSALS #2024-046

Sealed Proposals for -- as requested by the Albany County Department of Management and Budget will be received by the Albany County Purchasing Agent, Room 1000, 112 State Street, Albany, New York 12207 until 4:30 PM, local time on Friday, ----- and or electronic submission Bid Net, Empire State Purchasing Group.

Request for Proposal (RFP) documents may be obtained at the office of the Albany County Purchasing Agent, as noted above. RFP documents may be available for download from the Empire State Bid System website at <http://www.empirestatebidsystem.com>, starting by close of business (4:30 p.m.) on -----

Pamela O Neill
Purchasing Agent

Dated: ***Date***
Albany, New York

PUBLISH ONE DAY – ***Date*** -- THE EVANGELIST
PUBLISH ONE DAY – ***Date*** -- THE TIMES UNION

COUNTY OF ALBANY

REQUEST FOR PROPOSALS

TECHNICAL AND PROFESSIONAL SERVICES CONSISTING OF PROVIDING TITLE SEARCHES ON PROPERTIES SUBJECT TO ALBANY COUNTY “IN REM” DELINQUENT REAL PROPERTY TAX LIEN FORECLOSURE PROCEEDINGS INSERT REQUESTING DEPARTMENT NAME HERE RFP #2024-046

RFP DISTRIBUTION- *IMPORTANT NOTICE*

The County of Albany officially distributes RFP documents through the Purchasing Division Office or through the Empire State Bid System website at <http://www.empirestatebidsystem.com>. Copies of RFP documents obtained from any other source are not considered official documents. Only those vendors who obtain proposal documents from either the Purchasing Division Office or the Empire State Bid System are guaranteed to receive addendum information, if such information is issued.

If you have obtained this document from a source other than the Albany County Purchasing Division or the Empire State Bid System, it is strongly recommended that you obtain an official copy.

SECTION 1: PURPOSE

1.1 The County of Albany (“COUNTY”) is seeking proposals for furnishing technical and professional services consisting of providing title searches identifying owner(s), lienors and other person(s) having an interest of public record in the below described properties which are the subject of Real Property Tax Law, Article Eleven, Title 3, “In Rem” delinquent real property tax lien foreclosure proceedings brought by the COUNTY.

Lien Group A

2019 City of Albany 100-999	Approximately 750
2020 City of Albany 100-999	Approximately 750

Lien Group B

2019 City of Cohoes 100-999	Approximately 100
2020 City of Cohoes 100-999	Approximately 100
2019 City of Watervliet 100-999	Approximately 50
2020 City of Watervliet 100-999	Approximately 50
2019 Towns 100-999	Approximately 500
2020 Towns 100-999	Approximately 500

SECTION 2: RECEIPT OF PROPOSALS

- 2.1 Five (5) copies, and (1) electronic copy on CD or flash drive, of the Proposal and other required documents must be submitted, sealed in an opaque envelope clearly marked with the name and number of the Proposal and the name and address of the Proposer. Proposals must be received no later than **4:30 P.M. on INSERT DAY, DATE, HERE**, at the following address:

Pamela O Neill
Albany County Purchasing Agent
112 State Street, **Room 1000**
Albany, New York 12207

Or electronic submission on BidNet Empire State Purchasing Group
<http://www.empirestatebidsystem.com>

- 2.2 The Proposal submitted by the individual Proposer(s) is the document upon which Albany County will make its initial judgment regarding the Proposer's qualifications, understanding of the County's scope and objectives, methodology, and ability to complete services under the contract.
- 2.3 Those submitting Proposals do so entirely at their expense. There is no express or implied obligation by Albany County to reimburse any firm or individual for any costs incurred in preparing or submitting Proposals, preparing or submitting additional information requested by the County, or for participating in any selection interviews.
- 2.4 Submission of any Proposal indicates acceptance of the conditions contained in the RFP, unless clearly and specifically noted otherwise in the Proposal.
- 2.5 Albany County reserves the right to reject any and all Proposals, in whole or in part, submitted in response to its RFP.
- 2.6 Albany County reserves the right to waive any and all informalities and to disregard all non-conforming, non-responsive or conditional Proposals.
- 2.7 Albany County may, at any time by written notification to all Proposers, change any portion of the RFP described and detailed herein.
- 2.8 Proposals will be examined and evaluated by the Albany County Department of Management and Budget and Department of Law.
- 2.9 During the evaluation of Proposals, the County may require clarification of information or may invite Proposers to an oral presentation to amplify and or validate Proposal contents.

SECTION 3: QUALIFICATION OF PROPOSER

Provide a statement of Proposer qualifications including:

- 3.1 Provide the name, a brief history and description of your firm.
- 3.2 Identify Proposer's professional staff members and any independent contractor title searchers ("SUBCONTRACTORS") who will be involved in the COUNTY contract and the experience each possesses and the location of the office from which each work.
- 3.3 Name and title of person(s) authorized to bind the Proposer, together with the main office address, and telephone number (including area code).
- 3.4 Detail your firm's experience with title searching and preparation of title abstracts for comparable projects in New York State.
- 3.5 Provide at least two (2) references from similar projects including name, addresses and telephone numbers.
- 3.6 The Proposer, to be considered under this RFP, must demonstrate the following qualifications and experience:
 - (a) Satisfactory experience in title searching and in the preparation of title abstracts for comparable projects in New York State;
 - (b) A working knowledge of the New York State Real Property Tax Law ("RPTL") and the Real Property Law as applicable to real property tax assessments, tax liens, the requirements for identifying owner(s), lienors and other person(s) having an interest of public record in parcels of real property, and "In Rem" delinquent real property tax lien foreclosure proceedings; and,
 - (c) That the Proposer has and will commit to use adequate professional and technical staff and, if utilized, SUBCONTRACTORS who are experienced in title searching for the purpose of timely and satisfactorily identifying owner(s), lienors and other person(s) having an interest of public record in parcels of real property which are the subject of Albany County "In Rem" delinquent real property tax lien foreclosure proceedings.
- 3.7 The Proposer shall indicate the address of its principal place of business and the extent of its physical presence and operations within Albany County.
- 3.8 Provide any additional information that would distinguish the Proposer in its service to the COUNTY.
- 3.9 Proposer shall include a completed "Vendor Responsibility Questionnaire" (Attachment "C") with the Proposal.

3.10 In addition, Albany County may make such investigations it deems necessary to determine the ability of the Proposer to perform the work. The Proposer shall furnish to the County , within five (5) days of a request, all such information and data for this purpose as may be requested. The County reserves the right to reject any Proposal if the information submitted by, or investigation of, such Proposer fails to satisfy the County that such Proposer is properly qualified to carry out the obligations of the contract and to complete the work contemplated therein. Conditional Proposals will not be accepted.

3.11 Conditional Proposals will not be accepted.

SECTION 4: SCOPE OF SERVICES

4.1 The CONTRACTOR shall with respect to the above referenced delinquent real property tax lien groups provide to the COUNTY all of the technical and professional services and materials necessary and incidental to timely and satisfactorily accomplishing the following:

(a) Property title searches and providing mailing address information.

(1) The CONTRACTOR shall perform, report, certify in writing and deliver to the COUNTY in the format and on the time schedule hereinafter prescribed two copies of all Level I and Level II property title searches requested by the COUNTY for its use in connection with and filing by it as a part of the judgment roll of the foreclosure proceeding in which said searches were requested.

(i) A Level I Title Search shall consist of performing a search with respect to the property sufficient to determine: (i) the name(s) and address(es), recording and/or filing information, nature and, if applicable, dollar amount of the interest of each owner thereof and any other person whose right, title or interest therein is a matter of public record in connection with which said title search has been requested by the COUNTY, which right, title or interest may be affected by the termination of the redemption period therein and (ii) the name(s) and address(es) and recording and/or filing information with respect to the owner(s) of the property of public record as of the date said search was performed. In the event that the name and address of an owner or other person having a right or interest in the property which will be affected by the termination of the redemption period therein does not appear of public record, said search shall so state.

(ii) A Level II Title Search shall consist of performing a search with respect to the property covering a period of at least 40 years last past sufficient to determine: (i) the name(s) and address(es), recording and/or filing information, nature and, if applicable, dollar amount of the interest of each owner thereof and any other person whose right, title or interest therein was a matter of public record as of the date the List of Delinquent Taxes was filed in the "In Rem" delinquent tax foreclosure proceeding in connection with which said title search has been requested by the COUNTY, which right, title or interest will be affected by the termination of the redemption period therein and (ii) the name(s) and address(es) and recording and/or filing information with respect to the owner(s) of the property of public record as of the date said search was performed. In the event that the

name and address of an owner or other person having a right or interest in the property which will be affected by the termination of the redemption period therein does not appear of public record, said search shall so state.

(iii) For purposes of this Section the term “public record” shall be deemed to mean and include the books maintained by the recording officer of the County in which the property is located pursuant to Real Property Law, §315; the books kept by the Clerk of the Surrogate’s Court in the County in which the property is located pursuant to the Surrogate’s Court Procedure Act, §2502; the records of the Enforcing Officer of the County of Albany as to unexpired RPTL §1126 declarations of interest and change of address notices filed pursuant to RPTL 1125 (subd. d); the tax rolls in the possession of the Enforcing Officer of the County of Albany dated from the applicable delinquent tax lien date forward; and, the records of the New York State Department of State relating to corporations.

(2) The CONTRACTOR shall provide to the COUNTY the following mailing address information and materials in connection with said property title searches:

(i) an electronic file list of United States Postal Service mailing addresses for those persons required by RPTL 1125 to be given personal notice by mail by the COUNTY of the “In Rem” delinquent tax foreclosure proceeding in connection with which said title search was requested. Those mailing addresses shall be provided in Microsoft Excel or compatible file format for use by the COUNTY to generate Tax Enforcement Statements via WORD merge, a sample of which is attached.

(3) The COUNTY shall provide the CONTRACTOR with:

- (a) the address of the property, its current tax map number and its owner(s) name(s) and address(es) as it/they appear(s) in the COUNTY’S delinquent tax file with respect to the property;
- (b) Reconciling duplicate and/or erroneous assessments, if any, and identifying issues with assistance of local assessors to permit the COUNTY to obtain reimbursement from the appropriate assessing jurisdiction;
- (c) Certifying as a party “The People of the State of New York” in lieu of a franchise tax search and/or estate tax review;
- (d) Assistance with ownership listed as UNKNOWN or discrepancies between deed and tax mapped boundaries.

4.2 The CONTRACTOR shall be available to the Commissioner of DMB for the purpose of consultation regarding the Contract and matters related thereto. The Commissioner may require the CONTRACTOR to be available to meet with him/her on a priority basis. Such meetings shall be held at a location selected by the Commissioner during regular business hours, unless circumstances require otherwise. Request for such meetings may be by written notice or telephone message at the discretion of the COUNTY.

- 4.3 Parcels paid in full are no longer subject to “in rem” actions, thus the exact number of Level 1 and Level 2 title searches that will be requested by the COUNTY cannot be definitively determined or guaranteed.

For purposes of this RFP, it is estimated that with the COUNTY’S intended use of the Level 1 title searches, the total number of Level 1 title searches requested will be approximately 1,500 per lien year.

For purposes of this RFP, it is estimated that the total number of Level 2 title searches to be requested will not exceed 500 for the 2019 City of Albany 100-999; 50 for the 2019 City of Watervliet 100-999 proceeding; 100 for the 2019 City of Cohoes 100-999 proceeding; 500 for the 2019 Towns 100-999 proceeding; 500 for the 2020 City of Albany 100-999 proceeding; 50 for the 2020 City of Watervliet 100-999 proceeding; 100 for the 2020 City of Cohoes 100-999 proceeding; 500 for the 2020 Towns 100-999 proceeding, which numbers may decrease as the actual needs of the COUNTY dictate.

- 4.4 Title searches may be requested by the COUNTY in successive, approximately equal lots with each lot being requested upon completion of preceding one. The County is seeking to expedite mailings in 2024-2025 to address backlogs resulting from the 2020-2022 COVID ACT and Emergency Orders. It is anticipated that title searches will be return at a rate of 10 per day, beginning 30 days after the listing is provided to the vendor. Completion of each Indexed Action listing is anticipated within 4 month timeframe.
- 4.5 Completed title searches shall be delivered to Foreclosure Section, Division of Finance, Albany County Department of Budget and Management, 112 State Street, Room 1340, Albany, New York 12207.
- 4.7 The CONTRACTOR shall upon termination of the contract without additional charge: promptly provide the COUNTY with an electronic file (in standard .pdf format, IBM-compatible) containing a complete and legible copy of all of the title searches furnished to the COUNTY in connection with the contract, each identified by the COUNTY’S parcel foreclosure number. The vendor shall maintain those a copy of those files for a three year period thereafter for the purpose of enabling the COUNTY to obtain copies of them upon request.

SECTION 5: TERM OF CONTRACT:

- 5.1 The contract period shall be rom the date of the contract award until all obligations of the CONTRACTOR required by the Contract have been completed to the satisfaction of the COUNTY.
- 5.2 The successful Proposer shall execute a contract with the County of Albany in substantial conformance with this RFP as prepared and approved by the County Attorney.

SECTION 6: COST PROPOSAL:

- 6.1 Submit a cost proposal for the services described above in Section 4, Scope of Services, and on the Cost Proposal Form included herein.
- 6.2 Detail the fee structure for the Proposal. Provide hourly rates and reimbursable costs if not included in the lump sum.
- 6.3 Provide any other relevant information that will assist the County in evaluating your Proposal.

SECTION 7: PROPOSAL SUBMISSIONS

- 7.1 In order for the County to conduct a uniform review process of all proposals, proposals must be submitted in the format set forth below. Failure to follow this format may be cause for rejection of a proposal because adherence to this format is critical for the County's evaluation process:

SECTION I:

Title Page - The title page should reflect the Request for Proposal subject, name of the proposer, address, telephone number and contact person.

Table of Contents - The Table of Contents must indicate the material included in the proposal by section and page number.

SECTION II:

Qualification / Experience - The Qualification / Experience section must address proposer's and if utilized, all SUBCONTRACTORS qualifications and experience to carry out the requested service, inclusive of, but not limited to: qualification to do business in NYS, number of years in business and length of experience.

Resumes - Resumes of professional staff members who will be involved in the County engagement must be included in this section.

SECTION III:

References - The References section must include references from similar type projects and a sample of a Level 1 and Level 2 search where applicable, provided to those references. References that cite NYS RPTL Article *Eleven*, Title 3 proceedings and provide sample documents are preferred.

SECTION IV:

Plan Implementation - The Plan Implementation Section must address the Scope of Services in terms of the proposer's plan to carry out the requested service.

SECTION V:

Cost Proposal Section - The Cost Proposal Section must include all costs associated with the proposer's plan to carry out the requested service. Any cost proposal forms furnished by the County must be included in this section.

SECTION VI:

Mandatory Documentation - The Mandatory Documentation Section must include: The Non-Collusive Bidding Certificate (Attachment "A"), Acknowledgment by Proposer (Attachment "B"), and Vendor Responsibility Questionnaire (Attachment "C"); Iranian Energy Divestment Certification (Attachment "D").

SECTION 8: PROPOSAL EVALUATION

8.1 Proposals will remain valid until the execution of a contract by Albany County, unless otherwise rejected consistent with this RFP.

8.2 Proposals received will be evaluated by a committee with representation from the Albany County Department of Management and Budget and Department of Law. Proposals shall be evaluated based upon the following:

<i>CRITERIA</i>	<i>WEIGHT</i>
Proposer's comprehension of and feasibility of plan for accomplishing the Work required by the Scope of Services ("Work")	25%
Professional Qualifications, Prior Experience in performing similar Work, and location of employees and, if utilized, SUBCONTRACTORS who will be utilized to perform the Work (evaluation of resumes and operational plan)	35%
Proposer's reputation and performance reliability history (client references, performance reliability history, financial condition, and general reputation in the land title abstract and insurance business)	25%
Total proposed price for performing the Work	15%

8.3 Proposals will be examined and evaluated by the Albany County's Department of Management and Budget and Department of Law with the advice of the Albany County Purchasing Agent to determine whether the requirements of this RFP are met and to make a recommendation to the Albany County Executive, the Albany County Contracts Administration Board or the County Legislature for a contract award.

- 8.4 A notice of contract award shall not be binding upon the County until the contract has been fully executed by both parties

SECTION 9: Not needed

SECTION 10: ALTERNATIVES

- 10.1 Proposer may include in its Proposal items not specified in this RFP, which it would consider pertinent. All such alternatives must be listed separately from the Proposal and the cost thereof must be separate and itemized.

SECTION 11: INDEMNIFICATION

- 11.1 The successful Proposer shall defend, indemnify and save harmless the County, its employees and agents, from and against all claims, damages, losses and expenses (including without limitations, reasonable attorneys' fees) arising out of, or in consequence of, any negligent or intentional act or omission of the successful Proposer, its employees or agents, to the extent of its or their responsibility for such claims, damages, losses and expenses.

SECTION 12: SPECIFICATION CLARIFICATION

- 12.1 All inquiries with respect to this Request for Proposals must be directed to the Albany County Purchasing Agent as follows:

Pamela O Neill
Albany County Purchasing Agent
112 State Street, **Room 1000**
Albany, NY 12207
Telephone: (518) 447-7140
Facsimile: (518) 447-5588
Email: pamela.oneill@albanycountyny.gov

- 12.2 All questions about the meaning or intent of the specifications must be submitted to the aforementioned designated person in writing. Replies will be issued by Addenda mailed or delivered to all parties recorded as having received the proposal documents. Questions received less than four (4) days prior to the date of submission of Proposals will not be answered. The County will be bound only by responses given by formal written Addenda.
- 12.3 Other than the contact person identified in the Proposal, or their designee, prospective Proposers shall not approach County employees during the period of this RFP process about any matters related to this RFP or any proposals submitted pursuant thereto.

SECTION 13: MODIFICATION AND WITHDRAWAL OF PROPOSALS

- 13.1 Proposals may be modified or withdrawn at any time prior to the opening of Proposals by an appropriate document duly executed (in the manner that a Proposal must be executed) and delivered to the place where Proposals are to be submitted.

13.2 If within twenty-four (24) hours after the Proposals are opened, any Proposer files a duly signed written notice with the County and promptly thereafter demonstrates to the reasonable satisfaction of the County that there was a material and substantial mistake in the preparation of its Proposal, that Proposer may withdraw its Proposal and the Proposal Security will be returned. Thereafter, that Proposer will be disqualified from making a further or additional proposal on the work contemplated by this RFP.

13.3 Each proposal shall state that it is an irrevocable offer for a period of ninety (90) days from the Proposal opening date. After expiration of the irrevocable offer period, if no contract award has been made, a Proposal may be withdrawn if the Proposer does so in writing directed to the County Purchasing Agent; otherwise, Proposals remain in effect consistent with the terms of this RFP.

SECTION 14: PROPOSAL SECURITY

14.1 No proposal security is requested for this Proposal.

SECTION 15: INSURANCE AND SECURITY REQUIREMENTS

15.1 The successful Proposer will be required to procure and maintain at its own expense, the following insurance coverage:

- (a) **Worker's Compensation and Employer's Liability Insurance:** A policy or policies providing protection for Employees in the event of job related injuries.
- (b) **Automobile Liability Insurance:** A policy or policies of insurance with the limits of not less than \$500,000 combined for each accident because of bodily injury sickness or disease, sustained by any person, caused by accident, and arising out of the ownership, maintenance or use of any automobile for damage because of injury to or destruction of property, including the loss of use thereof, caused by accident and arising out of the ownership, maintenance or use of any automobile.
- (c) **General Liability Insurance:** A policy or policies or comprehensive all-risk insurance with limits of not less than:

Liability For:	Combined Single Limit
Property Damage	\$1,000,000
Bodily Injury	\$1,000,000
Personal Injury	\$1,000,000

- (d) **Professional Liability Insurance:** A policy or policies with limits not less than \$1,000,000.

15.2 Each policy of insurance required shall be of form and content satisfactory to the Albany County Attorney:

- (a) The insurance policies shall name the County of Albany as certificate holder and primary/non-contributory additional insured on all liability and liability policies. **Proposal number must appear on insurance certificate.**
- (b) The policy shall not be changed or canceled until the expiration of thirty (30) days after written notice to Albany County. It shall be automatically renewed upon expiration and continued in force unless Albany County is given at least thirty (30) days written notice to the contrary.

15.3 No work shall be commenced under the contract until the successful Proposer has delivered to the County Purchasing Agent or his designee proof of issuance of all policies of insurance required by the Contract to be procured by the successful Proposer. If at any time, any of said policies shall expire or become unsatisfactory to the County, the successful Proposer shall promptly obtain a new policy and submit proof of insurance of the same to the County for approval. Upon failure of the successful Proposer to furnish, deliver and maintain such insurance as above provided, the contract may, at the election of the County, be forthwith declared suspended, discontinued or terminated. Failure of the successful Proposer to procure and maintain any required insurance, shall not relieve the successful Proposer from any liability under the contract, nor shall the insurance requirements be construed to conflict with the obligations of the successful Proposer concerning indemnification.

SECTION 16: REMEDY FOR BREACH

16.1 In the event of a breach by CONTRACTOR, CONTRACTOR shall pay to the COUNTY all direct and consequential damages caused by such breach, including, but not limited to, all sums expended by the COUNTY to procure a substitute contractor to satisfactorily complete the contract work, together with the COUNTY's own costs incurred in procuring a substitute contractor.

SECTION 17: CASH DISCOUNT

17.1 Cash discounts may be offered by a Proposer for prompt payment of bills, but such cash discounts will not be taken into consideration in determining the low Proposer.

17.2 For purposes of any applicable cash discount, the payment date shall be calculated from the receipt of invoice or final acceptance of the goods, whichever is later.

SECTION 18: FREEDOM OF INFORMATION LAW

18.1 Confidential, trade secret or proprietary materials as defined by the laws of the State of New York must be clearly marked and identified as such upon submission. Proposers intending to seek an exemption from disclosure of these materials under the Freedom of Information Law (New York State Public Officers Law, Sections 84-90) must request the exemption in

writing, at the time of the submission of the materials, setting forth the reason for the claimed exemption. In addition, the proposer must mark each page of its submission on which there appears any material claimed to be protected as confidential or proprietary with the following legend, in bold face, capital letters at the top of each page: "THE PROPOSER BELIEVES THAT THIS INFORMATION IS PROTECTED FROM DISCLOSURE UNDER THE NEW YORK STATE FREEDOM OF INFORMATION LAW". Acceptance of the claimed materials does not constitute a determination on the exemption request, which determination will be made in accordance with statutory procedures.

SECTION 19: MACBRIDE PRINCIPLES

- 19.1 Contractor/Proposer hereby represents that said contractor/proposer is in compliance with the MacBride Principles of Fair Employment as set forth in Albany County Local Law No. [3] for 1993, in that said contractor/proposer either (a) has no business operations in Northern Ireland or (b) shall take lawful steps in good faith to conduct any business operations in Northern Ireland in accordance with the MacBride Principles, and shall permit independent monitoring of their compliance with such principles. In the event of a violation of this stipulation, the County reserves all rights to take remedial measures as authorized under section 4 of Local Law No. [3] in 1993, including, but not limited to, imposing sanctions, seeking compliance, recovering damages, declaring the contract/proposer in default and/or seeking debarment or suspension of the contractor/proposer.
- 19.2 In the case of a contract which must be let by competitive sealed bidding, whenever the lowest bidder has not agreed to stipulate to the conditions set forth in this section, and another bidder who has agreed to stipulate to such conditions has submitted a bid within five percent of the lowest bid for a contract to supply goods, services or construction of comparable quality, the contracting entity shall refer the contract to the County Legislature, which shall determine whether the lowest bidder is responsible. In making such determination, the County Legislature may consider, as a factor bearing on responsibility, whether the lowest bidder discriminates in employment in Northern Ireland.
- 19.3 As used in this section, the term "contract" shall not include contracts with government and non-profit organizations, contracts awarded pursuant to an emergency procurement procedure or contracts, resolutions, indentures, declarations of trust or other instruments of authorizing or relating to the authorization, issuance, award, sale or purchase or bonds, certificates of indebtedness, notes or other fiscal obligations of the County, provided that the policies of this section shall be considered when selecting managing underwriters in connection with such activities.
- 19.4 The provisions of this section shall not apply to contracts for which the County receive funds administered by the United States Department of Transportation, except to the extent Congress has directed that the Department of Transportation not withhold funds from states and localities that choose to implement selective purchasing policies based on agreement to comply with the MacBride Principles, or to the extent that such funds are not otherwise withheld by the Department of Transportation.

SECTION 20: Not needed

SECTION 21: ANTIDISCRIMINATION CLAUSE

- 21.1 In accordance with Article 15 of N.Y. EXECUTIVE LAW (also known as the Human Rights Law) and all other State and Federal statutory and constitutional non-discrimination provisions, the Contractor agrees that neither it nor any of its County-approved subcontractors shall, by reason of age, race, creed, color, national origin, sexual orientation, gender identity or expression, military status, sex, disability, predisposing genetic characteristics, familial status, marital status, or status as a victim of domestic violence, refuse to hire or employ or to bar or to discharge from employment such individual or to discriminate against such individual in compensation or in terms, conditions or privileges of employment

SECTION 22: EXTENSION OF CONTRACTS TO ALL POLITICAL SUBDIVISIONS AND AUTHORIZED DISTRICTS LOCATED IN THE STATE OF NEW YORK

- 22.1 It is the intent of this Request For Proposals that all political subdivisions, and districts located in the State of New York, be entitled to make purchases of services from the resulting contract award.
- 22.2 No officer, board or agency of a county, town, village, or school district shall make any purchase through the County when bids have been received for such purchase by such officer, board or agency, unless such purchase may be made upon the same terms, conditions and specifications at a lower price through the County.
- 22.3 All purchases shall be subject to audit by the other political subdivisions for which the purchase was made.
- 22.4 All orders will be placed by the participating entities. Each participating entity shall be billed by and make payment directly to the successful Bidder.
- 22.5 Upon request, participating entities must furnish the Contractor with the proper tax exemption certificates or documentation of tax exempt status.
- 22.6 The sole responsibility in regard to performance of the contract, or any obligation, covenant, condition or term thereunder by the successful Proposer and the participating entities will be borne and is expressly assumed by the successful Proposer and the participating entities and not by Albany County. In the event of a failure or breach in performance of any such contract by a participating entity or the successful Proposer, Albany County, specifically and expressly disclaims any and all liability for such defective performance or breach, or failure of either party to perform in accordance with its obligations, covenants and the terms and conditions of this Albany County centralized contract.

SECTION 23: INTERPRETATION

- 23.1 In the event of any discrepancy, disagreement or ambiguity among the documents which comprise this RFP, and/or, the Agreement (between the County and the successful Proposer) and its incorporated documents, the documents shall be given preference in the following order to interpret and to resolve such discrepancy, disagreement or ambiguity: 1) the Agreement; 2) the RFP; 3) the Contractor's proposal.

SECTION 24: NON APPROPRIATIONS CLAUSE

- 24.1 Notwithstanding anything contained herein to the contrary, no default shall be deemed to occur in the event no funds or insufficient funds are appropriated and budgeted by or are otherwise unavailable to the County for payment under this Agreement. The County will immediately notify the Contractor of such occurrence and this Agreement shall terminate on the last day of the fiscal period for which appropriations were received without penalty or expense to the County of any kind whatsoever, except as to those portions herein agreed upon for which funds shall have been appropriated and budgeted.

SECTION 25: IRANIAN ENERGY SECTOR DIVESTMENT

- 25.1 Contractor/Proposer hereby represents that said Contractor/Proposer is in compliance with New York State General Municipal Law Section 103-g entitled "Iranian Energy Sector Divestment", in that said Contractor/Proposer has not:
- (a) Provided goods or services of \$20 Million or more in the energy sector of Iran including but not limited to the provision of oil or liquefied natural gas tankers or products used to construct or maintain pipelines used to transport oil or liquefied natural gas for the energy sector of Iran; or
 - (b) Acted as a financial institution and extended \$20 Million or more in credit to another person for forty-five days or more, if that person's intent was to use the credit to provide goods or services in the energy sector in Iran.
- 25.2 Any Contractor/Proposer who has undertaken any of the above and is identified on a list created pursuant to Section 165-a (3)(b) of the New York State Finance Law as a person engaging in investment activities in Iran, shall not be deemed a responsible bidder pursuant to Section 103 of the New York State General Municipal Law.
- 25.3 Except as otherwise specifically provided herein, every Contractor/Proposer submitting a bid/proposal in response to this Request for Bids/Request for Proposals must certify and affirm the following under penalties of perjury:
- (a) "By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid, each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief,

that each bidder is not on the list created pursuant to NYS Finance Law Section 165-a (3)(b).

Albany County will accept this statement electronically in accordance with the provisions of Section 103 of the General Municipal Law.

25.4 Except as otherwise specifically provided herein, any Bid/Proposal that is submitted without having complied with subdivision (a) above, shall not be considered for award. In any case where the Bidder/Proposer cannot make the certification as set forth in subdivision (a) above, the Bidder/Proposer shall so state and shall furnish with the bid a signed statement setting forth in detail the reasons therefor. The County reserves its rights, in accordance with General Municipal Law Section 103-g to award the Bid/Proposal to any Bidder/Proposer who cannot make the certification, on a case-by-case basis under the following circumstances:

- (1) The investment activities in Iran were made before April 12, 2012, the investment activities in Iran have not been expanded or renewed after April 12, 2012, and the Bidder/Proposer has adopted, publicized and is implementing a formal plan to cease the investment activities in Iran and to refrain from engaging in any new investments in Iran; or
- (2) The County of Albany has made a determination that the goods or services are necessary for the County to perform its functions and that, absent such an exemption, the County of Albany would be unable to obtain the goods or services for which the Bid/Proposal is offered. Such determination shall be made by the County in writing and shall be a public document.

SECTION 26: Not needed

SECTION 27: Not needed

SECTION 28: Not needed

SAMPLE Of Petition and Notice/Tax Enforcement Statement

STATE OF NEW YORK
COUNTY COURT

COUNTY OF ALBANY

In the Matter of the "In Rem" Delinquent Tax Lien Foreclosure
Proceeding brought pursuant to Article Eleven, Title 3 of the
Real Property Tax Law

by

THE COUNTY OF ALBANY, NEW YORK, Tax District

against

Those Parcels of Real Property included in the LIST OF
DELINQUENT TAXES filed on April 24, 2017 covering the
CITY OF ALBANY, Albany County

TO:

It appears you may have an ownership, lien holder or other legal interest in the parcel of real property included in the above specified List of Delinquent Taxes, which parcel is individually described at the bottom of this Statement.

The above-entitled proceeding has been commenced to foreclose upon this parcel due to the failure to pay real property taxes assessed and levied against it. Unless such taxes and other legal charges are paid in full or you interpose an Answer in this proceeding on or before **July 15, 2024, that being THE LAST DAY FOR REDEMPTION**, you will lose your ownership, lien holder or other legal interest in this parcel and title to it will be transferred to the County of Albany by means of a Court judgment. You may want to contact an attorney to protect your rights.

If you want to pay the taxes and legal charges owed on this parcel, find out the amount owed, require further information, or have any questions concerning this matter, please immediately contact the Albany County Division of Finance, Room 1340, 112 State Street, Albany, New York 12207, Telephone No. (518) 447-7082 for further information.

DATED: XXXXXXXXXX, 2024

PARCEL DESCRIPTION

Parcel Foreclosure No.:

Owner(s) of Record on Date of Filing List of Delinquent Taxes:

Owner(s) of Record on Date of Title Search:

Parcel Location:

Parcel Tax Map No.

Class Code:

**TAX ENFORCEMENT
STATEMENT**

Index No. 02301-17

**IMPORTANT!!
PLEASE DO NOT
IGNORE THIS NOTICE!!**

**the “In Rem” Delinquent Tax Lien Foreclosure
Proceeding brought pursuant to Article
Eleven, Title 3 of the Real Property Tax Law**

by

THE COUNTY OF ALBANY, NEW YORK, TAX
DISTRICT

against

PETITION AND
NOTICE OF
FORECLOSURE

Index No. 02301-17

Those Parcels of Real Property included in the
LIST OF DELINQUENT TAXES filed on April 24,
2017 covering the CITY OF ALBANY, Albany
County

PLEASE TAKE NOTICE that on XXXXXXXXX, 2024 the Commissioner of the Albany County Department of Management and Budget as Enforcing Officer (hereinafter “Enforcing Officer”) of the Albany County, New York, Tax District (hereinafter “Tax District”) pursuant to law filed with the Clerk of Albany County this document intended to serve as both the Petition of Foreclosure and the Notice of Foreclosure in the above captioned proceeding to enforce the payment of unpaid real property taxes or other lawful charges that have accumulated and become liens against the parcels of real property included in the above specified List of Delinquent Taxes (“List”), a copy of which List was annexed to said Petition and Notice as Exhibit “A” when it was filed with said Clerk.

Effect of filing: All persons and entities having or claiming to have any right, title or interest in or lien upon any of the parcels of real property included in said List are hereby notified that the filing of this Petition and Notice of Foreclosure constitutes the commencement by the Tax District of a proceeding in the above specified Court to foreclose “in rem” the unpaid tax liens on the parcels of real property included in said List.

Nature of proceeding: This proceeding is brought only to foreclose unpaid tax liens on the parcel(s) of real property included in said List and no judgment will be entered in this proceeding against any person for taxes or other legal charges or any part thereof.

Persons affected: This notice is directed to all persons and entities having or claiming to have any right, title or interest in, or lien upon any of the parcels of real property included in said List. Such persons are hereby further notified that a duplicate of this Petition and Notice of Foreclosure and said List has been filed in the office of the Enforcing Officer of the Tax District and will remain open for public inspection up to and including the below specified last day for redemption.

Right of redemption: Any person having or claiming to have any right, title or interest in or lien upon any of the parcels of real property included in said List may on or before the last day for redemption below specified redeem the same by paying to the Tax District the amount of all of the unpaid tax liens thereon computed to and including said last day for redemption. Such payments must be made to the Albany County Commissioner of Management and Budget, 112 State Street, Room 1340, Albany, New York 12207. In the event that a person or entity other than the record owner of the parcel pays such tax liens, such person or entity is only entitled to have the tax liens satisfied of record and will not by reason of such payment acquire any ownership interest in the parcel. Personal checks will not be

accepted on or after the date of this Petition and Notice. Payment by cash, certified check, bank check or money order is required.

Last day for redemption: The last day for redemption is hereby fixed as July 15, 2024.

Service of an Answer: Any person or entity having or claiming to have any right, title or interest in or lien upon any of the parcels of real property included in said List may interpose a duly verified Answer setting forth in detail the nature and amount of said claim or interest and any defense or objection to the foreclosure proceeding. Such Answer must be filed in the Office of the Albany County Clerk and served upon the attorney for the Tax District, the Albany County Attorney, at the address shown below on or before the above mentioned as the last day for redemption.

Failure to redeem or answer: In the event of the failure to redeem or answer by any person or entity having the right to redeem or answer, such person or entity will be forever barred and foreclosed of any right, title and interest in or lien upon and equity of redemption had by them in the parcels of real property included in said List and a Judgment in Foreclosure by default may be taken by the Tax District.

DATED: XXXXXXXXXX, 2024

ENFORCING OFFICER
COUNTY OF ALBANY, NEW YORK, TAX DISTRICT

M. DAVID REILLY, JR., Commissioner, Albany County
Department of Management and Budget

ATTORNEY FOR TAX DISTRICT:
Albany County Attorney
112 State Street, Room 600
Albany, New York 12207
Tel. No. (518) 447-7089

AFFIRMATION

I, M. DAVID REILLY, JR., Commissioner of the Albany County Department of Management and Budget, hereby affirm under the penalties of perjury that: I am the duly designated Enforcing Officer for the County of Albany, New York, Tax District; I have read the foregoing Petition and Notice of Foreclosure signed by me and I am familiar with its contents; and the contents thereof are true to the best of my knowledge and belief.

DATED: XXXXXXXXXXXX, 2024

M. DAVID REILLY, JR., Commissioner, Albany County
Department of Management and Budget

Cover Sheet for Title Searches Returned to County

SAMPLE OF COVER SHEET

Vendor Name and Address

Phone: xxx-xxx-xxxx & Fax: xxx-xxx-xxxx

Website Address:

REAL PROPERTY TAX LIEN FORECLOSURE SEARCH

INDEX NUMBER _____ FORECLOSURE NUMBER _____

PROPERTY ADDRESS: _____

MUNICIPALITY _____

TAX MAP NUMBER: _____

DATE OF FILING OF LIST OF DELINQUENT TAXES: _____

DATE of THIS SEARCH _____

NUMBER OF DOCUMENTS FOUND

Open Mortgages _____

Assignments _____

Judgments _____

Federal Tax Liens _____

UCCs _____

State Tax Warrants _____

Leases _____

Other Lienholders _____

Other Interests _____

Estate Proceedings _____

Mortgage Foreclosures _____

Special Notes/Conditions:

Parcel included on other List of Delinquent Taxes: ☐ YES ☐ NO if YES, list Index Numbers/Lien Years

**PARTIES HAVING AN INTEREST OF RECORD
ON THE DATE OF FILING OF THE LIST OF DELINQUENT TAXES**

OWNERS OF RECORD

NAME ADDRESS CITY STATE ZIP

ADDITIONAL ADDRESSES

NAME ADDRESS CITY STATE ZIP

SOURCE DEED _____

“RECORD AND RETURN” Mailing Address shown on most recent deed:

ADDITIONAL PARTIES (MORTGAGES)

NAME ADDRESS CITY STATE ZIP

ASSIGNMENTS

NAME ADDRESS CITY STATE ZIP

JUDGMENTS

NAME ADDRESS CITY STATE ZIP

FEDERAL TAX LIENS _____

UCC FILINGS _____

STATE TAX WARRANTS _____

OTHER LIENHOLDERS _____

OTHER INTERESTS—City of Albany, All Searches:

1. ALBANY WATER BOARD, PO BOX 1966, ALBANY NY 12201
2. ALBANY COMMISSIONER OF WATER AND WATER SUPPLY, 35 ERIE BLVD, ALBANY NY 12204

ESTATE PROCEEDINGS

MORTGAGE FORECLOSURE PROCEEDINGS

PARTIES HAVING AN INTEREST OF RECORD AS OF SEARCH DATE
If different than above record at date of Filing of the List of Delinquent Taxes

OWNERS OF RECORD

NAME ADDRESS CITY STATE ZIP

ADDITIONAL ADDRESSES

NAME ADDRESS CITY STATE ZIP

SOURCE DEED _____

DEED "RECORD AND RETURN" INFO _____

ADDITIONAL PARTIES (MORTGAGES)

NAME ADDRESS CITY STATE ZIP

ASSIGNMENTS

NAME ADDRESS CITY STATE ZIP

JUDGMENTS

NAME ADDRESS CITY STATE ZIP

FEDERAL TAX LIENS

UCC FILINGS _____

STATE TAX WARRANTS _____

OTHER LIENHOLDERS _____

OTHER INTERESTS

1. ALBANY WATER BOARD, PO BOX 1966, ALBANY NY 12201
2. ALBANY COMMISSIONER OF WATER AND WATER SUPPLY, 35 ERIE BLVD, ALBANY NY 12204

ESTATE PROCEEDINGS

MORTGAGE FORECLOSURE PROCEEDINGS

ATTACH COPIES OF DEEDS/MORTGAGES
AND OTHER DOCUMENTS LISTED ABOVE
(Must Provide Copy of Federal Tax Lien, if any exist)
ALSO ATTACH COPY OF TAX MAP

COUNTY OF ALBANY

PROPOSAL FORM

PROPOSAL IDENTIFICATION:

Title: Technical and Professional Services Consisting of Providing Title Searches on Properties Subject to Albany County "In Rem" Delinquent Real Property Tax Lien Foreclosure Proceedings
RFP Number: 2024-046

THIS PROPOSAL IS SUBMITTED TO:

Pamela O Neill, Purchasing Agent
Albany County Department of General Services
Purchasing Division
112 State Street, Room 1000
Albany, NY 12207

1. The undersigned Proposer proposes and agrees, if this Proposal is accepted, to enter into a Contract with the owner in the form included in the Contract Documents to complete all Work as specified or indicated in the Contract Documents for the Contract Price and within the Contract Time indicated in this Proposal and in accordance with the Contract Documents.
2. Proposer accepts all of the terms and conditions of the Instructions to Proposers, including without limitation those dealing with the Disposition of Proposal Security. This Proposal may remain open for ninety (90) days after the day of Proposal opening. Proposer will sign the Contract and submit the Contract Security and other documents required by the Contract Documents within fifteen days after the date of County's Notice of Award.
3. In submitting this Proposal, Proposer represents, as more fully set forth in this Contract, that:

- (a) Proposer has examined copies of all the Contract Documents and of the following addenda: (If none, so state)

Date

Number

(receipt of all of which is hereby acknowledges) and also copies of the Notice to Proposers and the Instructions to Proposers;

- (b) Proposer has examined the site and locality where the Work is to be performed, the legal requirements (federal, state and local laws, ordinances, rules and regulations) and the conditions affecting cost, progress or performance of the Work and has made such independent investigations as Proposer deems necessary;

(c) This Proposal is genuine and not made in the interest of or on behalf of any undisclosed person, firm or corporation and is not submitted in conformity with any agreement or rules of any group, association, organization or corporation; Proposer has not directly or indirectly induced or solicited any other Proposer to submit a false or sham Proposal; PROPOSER has not solicited or induced any person, firm or a corporation to refrain from Proposing; and Proposer has not sought by collusion to obtain for himself any advantage over any other Proposer or over the owner.

4. Proposer will complete the Work for the following prices(s): (Attach Proposal)
5. Proposer agrees to commence the Work within the number of calendar days or by the specific date indicated in the Contract. Proposer agrees that the Work will be completed within the number of Calendar days or by the specific date indicated in the contract.
6. The following documents are attached to and made a condition of this Proposal:
 - (a) Non-Collusive Bidding Certificate (Attachment "A")
 - (b) Acknowledgment by Bidder (Attachment "B")
 - (c) Vendor Responsibility Questionnaire (Attachment "C")
 - (d) Iranian Energy Divestment Certification (Attachment "D")
7. Communication concerning this Proposal shall be addressed to:

Phone: _____
8. Terms used in this Proposal have the meanings assigned to them in the Contract and General Provisions.

COUNTY OF ALBANY

COST PROPOSAL FORM

PROPOSAL IDENTIFICATION:

Title: Technical and Professional Services Consisting of Providing Title Searches on
Properties Subject to Albany County "In Rem" Delinquent Real Property Tax Lien
Foreclosure Proceedings
RFP Number: 2024-046

COMPANY: _____

ADDRESS: _____

CITY, STATE, ZIP: _____

TEL. NO.: _____

FAX NO.: _____

FEDERAL TAX ID NO.: _____

REPRESENTATIVE: _____

E-MAIL: _____

SIGNATURE AND TITLE _____

DATE _____

ATTACHMENT "A"
NON-COLLUSIVE BIDDING CERTIFICATE PURSUANT TO
SECTION 103-D OF THE NEW YORK STATE GENERAL MUNICIPAL LAW

A. By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid, each party thereto certifies as to its own organizations, under penalty of perjury, that to the best of knowledge and belief:

(1) The prices in this bid have been arrived at independently without collusion, consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor.

(2) Unless otherwise required by law, the prices which have been quoted in this bid have not knowingly been disclosed by the bidder and will not knowingly be disclosed by the bidder, directly or indirectly, prior to opening, to any bidder or to any competitor.

(3) No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.

A bid shall not be considered for award nor shall any award be made where (1), (2), and (3) above have not been complied with; provided, however, that in any case the bidder cannot make the foregoing certification, the bidder shall so state and shall furnish with the bid a signed statement which sets forth in detail the reasons thereof. Where (1), (2), and (3) above have not been complied with, the bid shall not be considered for any award nor shall any award be made unless the head of the Purchasing Unit to the political subdivision, public department, agency or official thereof to which the bid is made, or his designee, determines that such disclosure was not made for the purpose of restricting competition.

The fact that a bidder (a) has published price lists, rates, or tariffs covering items being procured, (b) has informed prospective customer of proposed or pending publication of new or revised price lists for such items, or (c) has sold the same items to other customers at the same prices being bid, does not constitute, without more, a disclosure within the meaning of paragraph "A" above.

B. Any bid hereafter made to any political subdivision of the state or any public department, agency or official thereof by a corporate bidder for work or services performed or to be performed or goods sold or to be sold, where competitive bidding is required by statute, rule, regulation, local law, and where such bid contains the certification referred to in paragraph "A" of this section, shall be deemed to have been authorized by the Board of Directors of the bidder, and such authorization shall be deemed to include the submission of the bid and the inclusion therein of the certificate as to non-collusion as the act and deed of the corporation

Signature

Title

Date

Company Name

ATTACHMENT "B"
ACKNOWLEDGMENT BY PROPOSER

If Individual or Individuals:

STATE OF _____)
COUNTY OF _____) SS.:

On this _____ day of _____, 20____, before me personally appeared _____ to me known and known to me to be the same person(s) described in and who executed the within instrument, and he (or they severally) acknowledged to me that he (or they) executed the same.

Notary Public, State of _____

Qualified in _____

Commission Expires _____

If Corporation:

STATE OF _____)
COUNTY OF _____) SS.:

On this _____ day of _____, 20____, before me personally appeared _____ to me known, who, being by me sworn, did say that he resides at (give address) _____; that he is the (give title) _____ of the (name of corporation) _____, the corporation described in and which executed the above instrument; that he knows the seal of the corporation, and that the seal affixed to the instrument is such corporate seal; that it was so affixed by order of the board of directors of the corporation, and that he signed his name thereto by like order.

Notary Public, State of _____

Qualified in _____

Commission Expires _____

If Partnership:

STATE OF _____)
COUNTY OF _____) SS.:

On the _____ day of _____, 20____, before me personally came _____, to me known to be the individual who executed the foregoing, and who, being duly sworn, did depose and say that he / she is a partner of the firm of _____ and that he / she has the authority to sign the same, and acknowledged that he / she executed the same as the act and deed of said partnership.

Notary Public, State of _____

Qualified in _____

Commission Expires _____

**ATTACHMENT “C”
ALBANY COUNTY
VENDOR RESPONSIBILITY QUESTIONNAIRE**

1. VENDOR IS: ☐ PRIME CONTRACTOR			
2. VENDOR’S LEGAL BUSINESS NAME		3. IDENTIFICATION NUMBERS a) FEIN # b) DUNS #	
4. D/B/A – Doing Business As (if applicable) & COUNTY FIELD:		5. WEBSITE ADDRESS (if applicable)	
6. ADDRESS OF PRIMARY PLACE OF BUSINESS/EXECUTIVE OFFICE		7. TELEPHONE NUMBER	8. FAX NUMBER
9. ADDRESS OF PRIMARY PLACE OF BUSINESS/EXECUTIVE OFFICE <i>IN NEW YORK STATE, if different from above</i>		10. TELEPHONE NUMBER	11. FAX NUMBER
12. AUTHORIZED CONTACT FOR THE QUESTIONNAIRE Name Title Telephone Number Fax Number e-mail			
13. LIST ALL OF THE VENDOR’S PRINCIPAL OWNERS.			
a) NAME	TITLE	b) NAME	TITLE
c) NAME	TITLE	d) NAME	TITLE
A DETAILED EXPLANATION IS REQUIRED FOR EACH QUESTION ANSWERED WITH A “YES,” AND MUST BE PROVIDED AS AN ATTACHMENT TO THE COMPLETED QUESTIONNAIRE. YOU MUST PROVIDE ADEQUATE DETAILS OR DOCUMENTS TO AID THE COUNTY IN MAKING A DETERMINATION OF VENDOR RESPONSIBILITY. PLEASE NUMBER EACH RESPONSE TO MATCH THE QUESTION NUMBER.			
14. DOES THE VENDOR USE, OR HAS IT USED IN THE PAST FIVE (5) YEARS, ANY OTHER BUSINESS NAME, FEIN, or D/B/A OTHER THAN THOSE LISTED IN ITEMS 2-4 ABOVE? List all other business name(s), Federal Employer Identification Number(s) or any D/B/A names and the dates that these names or numbers were/are in use. Explain the relationship to the vendor. ☐ Yes ☐ No 			
15. ARE THERE ANY INDIVIDUALS NOW SERVING IN A MANAGERIAL OR CONSULTING CAPACITY TO THE VENDOR, INCLUDING PRINCIPAL OWNERS AND OFFICERS, WHO NOW SERVE OR IN THE PAST ONE (1) YEARS HAVE SERVED AS: a) An elected or appointed public official or officer? <i>List each individual’s name, business title, the name of the organization and position elected or appointed to, and dates of service</i> ☐ Yes ☐ No b) An officer of any political party organization in Albany County, whether paid or unpaid? <i>List each individuals name, business title or consulting capacity and the official political position held with applicable service dates.</i> ☐ Yes ☐ No			

16.	WITHIN THE PAST (5) YEARS, HAS THE VENDOR, ANY INDIVIDUALS SERVING IN MANAGERIAL OR CONSULTING CAPACITY, PRINCIPAL OWNERS, OFFICERS, MAJOR STOCKHOLDER(S) (10% OR MORE OF THE VOTING SHARES FOR PUBLICLY TRADED COMPANIES, 25% OR MORE OF THE SHARES FOR ALL OTHER COMPANIES), AFFILIATE OR ANY PERSON INVOLVED IN THE BIDDING OR CONTRACTING PROCESS: a) 1. been suspended, debarred or terminated by a local, state or federal authority in connection with a contract or contracting process; ☐ Yes ☐ No 2. been disqualified for cause as a bidder on any permit, license, concession franchise or lease; 3. entered into an agreement to a voluntary exclusion from bidding/contracting; 4. had a bid rejected on an Albany County contract for failure to comply with the MacBride Fair Employment Principles; 5. had a low bid rejected on a local, state or federal contract for failure to meet statutory affirmative action or M/WBE requirements on a previously held contract; 6. had status as a Women's Business Enterprise, Minority Business Enterprise or Disadvantaged Business Enterprise, de-certified, revoked or forfeited; 7. been subject to an administrative proceeding or civil action seeking specific performance or restitution in connection with any local, state or federal government contract; 8. been denied an award of a local, state or federal government contract, had a contract suspended or had a contract terminated for non-responsibility; or 9. had a local, state or federal government contract suspended or terminated for cause prior to the completion of the term of the contract. b) been indicted, convicted, received a judgment against them or a grant of immunity for any business-related conduct constituting a crime under local, state or federal law including but not limited to, fraud extortion, bribery, racketeering, price-fixing, bid collusion or any crime related to truthfulness and/or business conduct? ☐ Yes ☐ No c) been issued a citation, notice, violation order, or are pending an administrative hearing or proceeding or determination of violations of: ☐ Yes ☐ No 1. federal, state or local health laws, rules or regulations.
17.	IN THE PAST THREE (3) YEARS, HAS THE VENDOR OR ITS AFFILIATES ¹ HAD ANY CLAIMS, JUDGMENTS, INJUNCTIONS, LIENS, FINES OR PENALTIES SECURED BY ANY GOVERNMENTAL AGENCY? ☐ Yes ☐ No Indicate if this is applicable to the submitting vendor or affiliate. State whether the situation(s) was a claim, judgment, injunction, lien or other with an explanation. Provide the name(s) and address(es) of the agency, the amount of the original obligation and outstanding balance. If any of these items are open, unsatisfied, indicate the status of each item as "open" or "unsatisfied."
18.	DURING THE PAST THREE (3) YEARS, HAS THE VENDOR FAILED TO: a) file returns or pay any applicable federal, state or city taxes? ☐ Yes ☐ No Identify the taxing jurisdiction, type of tax, liability year(s), and tax liability amount the vendor failed to file/pay and the current status of the liability. b) file returns or pay New York State unemployment insurance? ☐ Yes ☐ No Indicate the years the vendor failed to file/pay the insurance and the current status of the liability. c) Property Tax ☐ Yes ☐ No Indicate the years the vendor failed to file.
19.	HAVE ANY BANKRUPTCY PROCEEDINGS BEEN INITIATED BY OR AGAINST THE VENDOR OR ITS AFFILIATES ¹ WITHIN THE PAST SEVEN (7) YEARS (WHETHER OR NOT CLOSED) OR IS ANY BANKRUPTCY PROCEEDING PENDING BY OR AGAINST THE VENDOR OR ITS AFFILIATES REGARDLESS OF THE DATE OF FILING? ☐ Yes ☐ No Indicate if this is applicable to the submitting vendor or affiliate. If it is an affiliate, include the affiliate's name and FEIN. Provide the court name, address and docket number. Indicate if the proceedings have been initiated, remain pending or have been closed. If closed, provide the date closed.
20.	IS THE VENDOR CURRENTLY INSOLVENT, OR DOES VENDOR CURRENTLY HAVE REASON TO BELIEVE THAT AN INVOLUNTARY BANKRUPTCY PROCEEDING MAY BE BROUGHT AGAINST IT? Provide financial information to support the vendor's current position, for example, Current Ration, Debt Ration, Age of Accounts Payable, Cash Flow and any documents that will provide the agency with an understanding of the vendor's situation. ☐ Yes ☐ No

21.	IN THE PAST FIVE (5) YEARS, HAS THE VENDOR OR ANY AFFILIATES ¹ :	☐ Yes	☐ No
	a) defaulted or been terminated on, or had its surety called upon to complete, any contract (public or private) awarded;		
Indicate if this is applicable to the submitting vendor or affiliate. Detail the situation(s) that gave rise to the negative action, any corrective action taken by the vendor and the name of the contracting agency.			

1 “Affiliate” meaning: (a) any entity in which the vendor owns more than 50% of the voting stock; (b) any individual, entity or group of principal owners or officers who own more than 50% of the voting stock of the vendor; or (c) any entity whose voting stock is more than 50% owned by the same individual, entity or group described in clause (b). In addition, if a vendor owns less than 50% of the voting stock of another entity, but directs or has the right to direct such entity’s daily operations, that entity will be an “affiliate” for purposes of this questionnaire.

**ALBANY COUNTY
VENDOR RESPONSIBILITY QUESTIONNAIRE**

FEIN #

State of:)
) ss:
County of:)

CERTIFICATION:

The undersigned: recognizes that this questionnaire is submitted for the express purpose of assisting the County of Albany in making a determination regarding an award of contract or approval of a subcontract; acknowledges that the County may in its discretion, by means which it may choose, verify the truth and accuracy of all statements made herein; acknowledges that intentional submission of false or misleading information may constitute a felony under Penal Law Section 210.40 or a misdemeanor under Penal Law Section 210.35 or Section 210.45, and may also be punishable by a fine and/or imprisonment of up to five years under 18 USC Section 1001 and may result in contract termination; and states that the information submitted in this questionnaire and any attached pages is true, accurate and complete.

The undersigned certifies that he/she:

- Has not altered the content of the questions in the questionnaire in any manner;
- Has read and understands all of the items contained in the questionnaire and any pages attached by the submitting vendor;
- Has supplied full and complete responses to each item therein to the best of his/her knowledge, information ad belief;
- Is knowledgeable about the submitting vendor's business and operations;
- Understands that Albany County will rely on the information supplied in the questionnaire when entering into a contract with the vendor;
- Is under duty to notify the Albany County Purchasing Division of any material changes to the vendor's responses.

Name of Business

Signature of Owner _____

Address

Printed Name of Signatory _____

City, State, Zip

Title

Sworn before me this ____ day of _____, 20__;

Notary Public

Printed Name

Signature

Date

Attachment "D"
Certification Pursuant to Section 103-g
Of the New York State
General Municipal Law

- A. By submission of this bid/proposal, each bidder/proposer and each person signing on behalf of any bidder/proposer certifies, and in the case of a joint bid, each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each bidder is not on the list created pursuant to paragraph (b) of subdivision 3 of Section 165-a of the New York State Finance Law.
- B. A Bid/Proposal shall not be considered for award, nor shall any award be made where the condition set forth in Paragraph A above has not been complied with; provided, however, that in any case the bidder/proposer cannot make the foregoing certification set forth in Paragraph A above, the bidder/proposer shall so state and shall furnish with the bid a signed statement which sets forth in detail the reasons therefor. Where Paragraph A above cannot be complied with, the Purchasing Unit to the political subdivision, public department, agency or official thereof to which the bid/proposal is made, or his designee, may award a bid/proposal, on a case by case business under the following circumstances:
1. The investment activities in Iran were made before April 12, 2012, the investment activities in Iran have not been expanded or renewed after April 12, 2012, and the Bidder/Proposer has adopted, publicized and is implementing a formal plan to cease the investment activities in Iran and to refrain from engaging in any new investments in Iran; or
 2. The political subdivision makes a determination that the goods or services are necessary for the political subdivision to perform its functions and that, absent such an exemption, the political subdivision would be unable to obtain the goods or services for which the contract is offered. Such determination shall be made in writing and shall be a public document.

Signature

Title

Date

Company Name



DANIEL P. McCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF GENERAL SERVICES
PURCHASING DIVISION
112 STATE STREET, ROOM 1000
ALBANY, NEW YORK 12207-2021
(518) 447-7140 - FAX (518) 447-5588

DAVID M. LATINA
COMMISSIONER OF GENERAL SERVICES

PAMELA O NEILL
PURCHASING AGENT

MEMORANDUM

TO: M. David Reilly
Commissioner

FROM: Pamela O Neill *Pamela*
Purchasing Agent

DATE: July 3, 2024

RE: RFP #2024-046
Technical And Professional Services Consisting Of Providing Title Searches On
Properties Subject To Albany County "In Rem" Delinquent Real Property Tax Lien
Foreclosure Proceedings

I am in receipt of your recommendation to award the aforementioned to Corning Abstract and Closing Services.

I have reviewed your scoring sheets and believe that you have performed a thorough evaluation of the proposal(s) submitted. I have no objection to the selection of Corning Abstract and Closing Services.

Please obtain the necessary contract approval of the County Legislature, so that we may issue a Notice of Award.

RESOLUTION NO. 280

AUTHORIZING AN AGREEMENT WITH CORNING ABSTRACT AND CLOSING SERVICES REGARDING REAL PROPERTY TITLE SEARCH SERVICES

Introduced: 7/14/25

By Audit and Finance Committee:

WHEREAS, The Commissioner of the Department of Management and Budget has requested authorization to enter into an agreement with Corning Abstract and Closing Services regarding real property title search services an amount not to exceed \$450,000 for the term commencing June 1, 2025 and ending December 31, 2026, and,

WHEREAS, The Commissioner indicated that Corning Abstract and Closing Services was the approved vendor on the prior contract and has performed adequately to allow effective enforcement of unpaid real property taxes, now, therefore, be it

RESOLVED, By the Albany County Legislature that the County Executive is authorized to enter into an agreement with Corning Abstract and Closing Services, Horseheads NY 14845 regarding real property title search services in an amount not to exceed \$450,000 for the term commencing June 1, 2025 and ending December 31, 2026, and, be it further

RESOLVED, That the County Attorney is authorized to approve said agreement as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.



DANIEL P. McCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
REAL PROPERTY TAX SERVICE AGENCY
112 SATE STREET, ROOM 1340
ALBANY, NEW YORK 12207
OFFICE: (518) 487-5291
FAX: (518) 447-2503
www.albanycountyny.gov

MICHAEL McGUIRE
DIRECTOR

May 27, 2025

Honorable Joanne Cunningham, Chairperson
Albany County Legislature
112 State Street, Rm 710
Albany, NY 12207

Re: Authorization to cancel and charge back unenforceable delinquent taxes
AHA South End II Housing Dev Fund Corp. – 74 Morton Ave, City of Albany – SBL 76.56-2-10
AHA South End II Housing Dev Fund Corp. – 86 Morton Ave, City of Albany – SBL 76.56-2-5

Dear Chairperson Cunningham,

The three-year time limit to use the ordinary correction of errors process has expired, therefore, enclosed for your review is a resolution. In reviewing the 2018 List of Delinquent Taxes, it became apparent that a property tax bill was imposed on two tax exempt Albany Housing Authority properties in error. Parcels number 76.56-2-10 and 76.56-2-5, in the City of Albany are properties owned by the Albany Housing Authority, a public housing authority organized and existing pursuant to §52 of the Public Housing Law.

In 2018 a waste collection fee was extended against both properties. Pursuant to Public Housing Law §52 the properties are exempt from taxation and the fee should not have been levied on the 2018 city tax bill. Albany County has legal means to enforce this erroneous tax.

I am requesting that the County Legislature adopt a resolution canceling the unenforceable property tax lien against 74 Morton Avenue and 86 Morton Avenue. Additionally, I am requesting that said resolution authorize a chargeback of all amounts credited or guaranteed to the City of Albany. The total amount of the chargeback is \$1,014.30.

Sincerely,

Michael McGuire, IAO, CCD
Real Property Tax Service Agency

CC: Dennis Feeny, Majority Leader
Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6622, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Authorization to cancel and charge back unenforceable delinquent tax on properties owned by the Albany Housing Authority

Date: 5/27/2025
Department: Real Property
Attending Meeting: Michael McGuire
Submitted By: Michael McGuire
Title: Director
Phone: 518-487-5292

Purpose of Request: Other (State if not Listed)
delinquent tax on Albany Housing Authority properties

Authorization to cancel and charge back unenforceable

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:
Enter text.

Term: (Start/end date or duration) Enter text.
Amount/Raise Schedule/Fee: Enter text.

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☐ No ☒
Anticipated in Budget: Yes ☐ No ☒
Spreadsheet attached: Yes ☐ No ☒

Source of Funding - (Percentages)

Federal: Enter text. County: Enter text.
State: Enter text. Local: Enter text.

County Budget Accounts:

Revenue Account and Line: Enter text.
Revenue Amount: Enter text.
Appropriation Account and Line: Enter text.
Appropriation Amount: Enter text.

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☒ No ☐

If Mandated, Cite Authority: PBG §52
Request for Bids / Proposals:
 Competitive Bidding Exempt: Yes ☒ No ☐
 # of Response(s): Enter text.
 # of MWBE: Enter text.
 # of Veteran Business: Enter text.
Bond Resolution No.: Enter text.
Apprenticeship Program Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: Enter text.

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

The three-year time limit to use the ordinary correction of errors process has expired, therefore, enclosed for your review is a resolution. In reviewing the 2018 List of Delinquent Taxes, it became apparent that a property tax bill was imposed on two tax exempt Albany Housing Authority properties in error. Parcels number 76.56-2-10 and 76.56-2-5, in the City of Albany are properties owned by the Albany Housing Authority, a public housing authority organized and existing pursuant to §52 of the Public Housing Law.

In 2018 a waste collection fee was extended against both properties. Pursuant to Public Housing Law §52 the properties are exempt from taxation and the fee should not have been levied on the 2018 city tax bill. Albany County has legal means to enforce this erroneous tax.

I am requesting that the County Legislature adopt a resolution canceling the unenforceable property tax lien against 74 Morton Avenue and 86 Morton Avenue. Additionally, I am requesting that said resolution authorize a chargeback of all amounts credited or guaranteed to the City of Albany. The total amount of the chargeback is \$1,014.30.

00/00/25

RESOLUTION NO. _____

AUTHORIZING CANCELLATION OF A CERTAIN UNENFORCEABLE DELINQUENT REAL PROPERTY TAX LIEN ON 74 MORTON AVENUE AND 86 MORTON AVENUE IN THE CITY OF ALBANY AND CHARGE BACK OF THE AMOUNT CREDITED IN CONNECTION WITH SAID LIEN

Introduced: 00/00/25

By Audit and Finance Committee:

WHEREAS, the Director of the County Real Property Tax Services Agency has advised the Commissioner of the County Department of Management and Budget as Enforcing Officer of the Albany County Tax District ("Tax District Enforcing Officer") that the three year time limit for use of the ordinary "correction of errors" process provided by Real Property Tax Law, §554 to cancel and charge back the amount credited or guaranteed by the Tax District in connection with the following unenforceable delinquent real property tax lien which was returned to the Tax District for enforcement,

<u>CITY OF ALBANY</u>				
<u>Parcel Location</u>	<u>Tax Map No.</u>	<u>Tax Lien Year(s)</u>	<u>Charge Back Amount</u>	<u>Legal Impediment</u>
74 MORTON AVENUE	76.56-2-10	2018 City Tax	507.15	This parcel is a real property tax exempt public housing authority property (PBG §52)
86 MORTON AVENUE	76.56-2-5	2018 City Tax	507.15	This parcel is a real property tax exempt public housing authority property (PBG §52)

, and

WHEREAS, the County Legislature in its capacity as the governing body of the Tax District has upon the advice of the Enforcing Officer determined that cancellation of said delinquent real property tax lien pursuant to RPTL §558 (subd, 1) would be effective to accomplish such cancellation and chargeback, now, therefore be it

RESOLVED, that pursuant to RPTL §558 (subd, 1) the above specified delinquent tax lien on said parcel is hereby cancelled and the Enforcing Officer is directed to charge back to the municipal corporation within which said parcel is located any and all amounts credited or guaranteed to such corporation[s] by the Tax District in connection with said cancelled delinquent tax lien, and, be it further

RESOLVED, That the Clerk of the County Legislature is hereby directed to file a copy of this Resolution with said Enforcing Officer and to forward certified copies thereof to the other appropriate County Officials.

CERTIFICATE OF WITHDRAWAL

(Real Property Tax Law, §1138 (subds. 1, 2, and 3))

Index No. 01927-19

TO THE ALBANY COUNTY CLERK:

PLEASE TAKE NOTICE that the following parcel of real property included on the List of Delinquent Taxes for the "In Rem" delinquent tax lien foreclosure proceeding filed by the County of Albany, New York, Tax District in your Office under the above Index No. on JULY 12, 2019 covering the CITY OF ALBANY in Albany County:

PARCEL No. 01927-19-001475
OWNER(S) AHA SOUTH END II HOUSING DEV FUND CORP
ADDRESS 74 MORTON AVE
CITY of ALBANY
TAX MAP No. 07605600020100000000

RECEIVED
ALBANY COUNTY CLERK
2025 MAY 21 PM 3:05

is hereby **WITHDRAWN** from said foreclosure proceeding by me for one or more of the following reasons (*check all that apply*):

☒ There is reason to believe that there may be a legal impediment to the enforcement of the tax lien(s) affecting said parcel.

☐ The tax lien(s) on said parcel has/have been canceled or is/are subject to cancellation pursuant to section 558 of the Real Property Tax Law.

☐ The enforcement of the tax lien(s) on said parcel has/have been stayed by the filing of a petition pursuant to the Bankruptcy Code of 1978 (Title 11 of the United States Code).

☐ If the Tax District were to acquire said parcel, there is significant risk that it might be exposed to a liability substantially in excess of the amount that could be recovered by enforcing said tax lien(s).

☐ The owner of said parcel has entered into an agreement to pay said tax lien(s) in installments pursuant to section 1184 of the Real Property Tax Law and has not defaulted thereon.

☐ Said parcel was included in the Petition for Foreclosure in said proceeding, but it has since been demonstrated to my satisfaction as Enforcing Officer that said parcel is residential or farm property, and as such, said parcel is not yet subject to inclusion of such a Petition.

The facts which render said parcel eligible for withdrawal from said proceeding are as follows: _____

§1138 LEGAL IMPEDIMENT

PLEASE TAKE FURTHER NOTICE that pursuant to Real Property Tax Law, §1138 (sub. 2 (b) you are therefore required and directed to upon the filing of this Certificate of Withdrawal in your Office enter on said List of Delinquent Taxes the word "withdrawn" and the date of such filing opposite the description of said parcel.

PLEASE TAKE FURTHER NOTICE that pursuant to Real Property Tax Law, §1138 (subd. 3)) the filing of this Certificate of Withdrawal has no effect upon the delinquent real property tax lien(s) against said parcel, or upon the notice of pendency of said proceeding as to said parcel, unless such lien(s) are canceled and, if said lien(s) are not canceled, said proceeding may be reinstated against said parcel.

DATED: May 19, 2025

**TAX ENFORCING OFFICER
COUNTY OF ALBANY, NEW YORK, TAX DISTRICT**



**M. DAVID REILLY Jr., Albany County
Commissioner of Management and Budget**

CERTIFICATE OF WITHDRAWAL

(Real Property Tax Law, §1138 (subds. 1, 2, and 3))

Index No. 01927-19

TO THE ALBANY COUNTY CLERK:

PLEASE TAKE NOTICE that the following parcel of real property included on the List of Delinquent Taxes for the "In Rem" delinquent tax lien foreclosure proceeding filed by the County of Albany, New York, Tax District in your Office under the above Index No. on JULY 12, 2019 covering the CITY OF ALBANY in Albany County:

PARCEL No. 01927-19-001474

OWNER(S) AHA SOUTH END II HOUSING DEV FUND CORP

ADDRESS 86 MORTON AVE

CITY of ALBANY

TAX MAP No. 07605600020050000000

RECEIVED
ALBANY COUNTY CLERK
2025 MAY 21 PM 3:05

is hereby **WITHDRAWN** from said foreclosure proceeding by me for one or more of the following reasons (*check all that apply*):

☒ There is reason to believe that there may be a legal impediment to the enforcement of the tax lien(s) affecting said parcel.

☐ The tax lien(s) on said parcel has/have been canceled or is/are subject to cancellation pursuant to section 558 of the Real Property Tax Law.

☐ The enforcement of the tax lien(s) on said parcel has/have been stayed by the filing of a petition pursuant to the Bankruptcy Code of 1978 (Title 11 of the United States Code).

☐ If the Tax District were to acquire said parcel, there is significant risk that it might be exposed to a liability substantially in excess of the amount that could be recovered by enforcing said tax lien(s).

☐ The owner of said parcel has entered into an agreement to pay said tax lien(s) in installments pursuant to section 1184 of the Real Property Tax Law and has not defaulted thereon.

☐ Said parcel was included in the Petition for Foreclosure in said proceeding, but it has since been demonstrated to my satisfaction as Enforcing Officer that said parcel is residential or farm property, and as such, said parcel is not yet subject to inclusion of such a Petition.

The facts which render said parcel eligible for withdrawal from said proceeding are as follows: _____

§1138 LEGAL IMPEDIMENT

PLEASE TAKE FURTHER NOTICE that pursuant to Real Property Tax Law, §1138 (sub. 2 (b) you are therefore required and directed to upon the filing of this Certificate of Withdrawal in your Office enter on said List of Delinquent Taxes the word "withdrawn" and the date of such filing opposite the description of said parcel.

PLEASE TAKE FURTHER NOTICE that pursuant to Real Property Tax Law, §1138 (subd. 3)) the filing of this Certificate of Withdrawal has no effect upon the delinquent real property tax lien(s) against said parcel, or upon the notice of pendency of said proceeding as to said parcel, unless such lien(s) are canceled and, if said lien(s) are not canceled, said proceeding may be reinstated against said parcel.

DATED: May 19, 2025

**TAX ENFORCING OFFICER
COUNTY OF ALBANY, NEW YORK, TAX DISTRICT**



**M. DAVID REILLY Jr., Albany County
Commissioner of Management and Budget**

RESOLUTION NO. 281

AUTHORIZING CANCELLATION OF CERTAIN UNENFORCEABLE DELINQUENT REAL PROPERTY TAX LIENS ON 74 MORTON AVENUE AND 86 MORTON AVENUE IN THE CITY OF ALBANY AND CHARGE BACK OF THE AMOUNT CREDITED IN CONNECTION WITH SAID LIENS

Introduced: 7/14/25

By Audit and Finance Committee:

WHEREAS, The Director of the Real Property Tax Services Agency has advised the Commissioner of the County Department of Management and Budget as Enforcing Officer of the Albany County Tax District (“Tax District Enforcing Officer”) that the three year time limit has expired for use of the ordinary “correction of errors” process provided by Real Property Tax Law § 554 to cancel and charge back the amount credited or guaranteed by the Tax District in connection with the following unenforceable delinquent real property tax liens which were returned to the Tax District for enforcement,

<u>CITY OF ALBANY</u>				
<u>Parcel Location</u>	<u>Tax Map No.</u>	<u>Tax Lien Year(s)</u>	<u>Charge Back Amount</u>	<u>Legal Impediment</u>
74 MORTON AVENUE	76.56-2-10	2018 City Tax	\$507.15	This parcel is a real property tax exempt public housing authority property PBG § 52
86 MORTON AVENUE	76.56-2-5	2018 City Tax	\$507.15	This parcel is a real property tax exempt public housing authority property PBG § 52

, and

WHEREAS, The County Legislature in its capacity as the governing body of the Tax District has upon the advice of the Enforcing Officer determined that cancellation of said delinquent real property tax liens pursuant to RPTL § 558 (1) would be effective to accomplish such cancellations and chargebacks, now, therefore be it

RESOLVED, By the Albany County Legislature, that pursuant to RPTL § 558 (1) the above specified delinquent tax liens on said parcels are hereby cancelled and the Enforcing Officer is directed to charge back to the municipal corporation within which said parcels are located any and all amounts credited or guaranteed to such corporation(s) by the Tax District in connection with said cancelled delinquent tax liens, and, be it further

RESOLVED, That the Clerk of the County Legislature is hereby directed to file a copy of this Resolution with said Enforcing Officer and to forward certified copies thereof to the other appropriate County Officials.



DANIEL P. McCOY
COUNTY EXECUTIVE

M. DAVID REILLY
COMMISSIONER

COUNTY OF ALBANY
DEPARTMENT OF MANAGEMENT AND BUDGET
DIVISION OF INFORMATION SERVICES
112 STATE STREET, ROOM 500
ALBANY, NEW YORK 12207
PHONE: (518) 447-7277 FAX: (518) 447-3000
www.albanycounty.com

PATRICK ALDERSON
CHIEF INFORMATION OFFICER

DAVID S. BERKUN
DEPUTY CHIEF INFORMATION OFFICER

May 28, 2025

Hon. Joanne Cunningham, Chairwoman
Albany County Legislature
Harold L. Joyce Albany County Office Building
112 State Street, Room 710
Albany, NY 12207

Dear Chairwoman Cunningham

The Division of Information Services is requesting additional funding authorization in the amount of \$650,000 for FY2025 to finance the “Core Switch, Telephone Replacement and Data Center” capital project, as presented in the 2025-2029 Capital Plan. Projects include telephone system replacement, data closet switches, server room infrastructure, core network equipment and other related hardware. To date, as authorized by Resolution 156 of 2024, \$1,250,000 in County financing has been approved for this project. Separately, an additional \$895,000 in Federal ARPA funds was approved for this capital project. Inclusive of this request, the total County financing made available for the “Core Switch, Telephone Replacement and Data Center” project will be \$1,900,000. With federal funding, the capital project total is \$2,795,000. Thank you for your consideration of this request. If you should have any questions, please don’t hesitate to contact me.

Sincerely,

Patrick Alderson
Patrick Alderson

cc: Hon. Dennis A. Feeney, Majority Leader
Hon. Frank A. Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6632, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Submission of the 2025 Funding Authorization to Finance "Core Switch, Telephone Replacement and Data Center" Capital Project

Date: 5/28/25
Department: Information Services
Attending Meeting: Patrick Alderson
Submitted By: Patrick Alderson
Title: Chief Information Officer
Phone: 518-447-7200

Purpose of Request: Bond Approval Bond Approval

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:
Enter text.

Term: (Start/end date or duration) Enter text.
Amount/Raise Schedule/Fee: Enter text.

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
 Anticipated in Budget: Yes ☒ No ☐
 Spreadsheet attached: Yes ☐ No ☐

Source of Funding - (Percentages)

Federal: Enter text. County: 100%
State: Enter text. Local: Enter text.

County Budget Accounts:

Revenue Account and Line: Enter text.
Revenue Amount: Enter text.
Appropriation Account and Line: HHY91680.22000E
Appropriation Amount: \$650,000

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒
If Mandated, Cite Authority: Enter text.

Request for Bids / Proposals:

Competitive Bidding Exempt: Yes ☐ No ☐

of Response(s): Enter text.

of MWBE: Enter text.

of Veteran Business: Enter text.

Bond Resolution No.: Enter text.

Apprenticeship Program Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: Resolution 156 (adopted 3/11/2024); Resolution 8 (adopted 2/13/23)

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

The Division of Information Services is requesting additional funding authorization in the amount of \$650,000 for FY2025 to finance the "Core Switch, Telephone Replacement and Data Center" capital project, as presented in the 2025-2029 Capital Plan. Projects include telephone system replacement, data closet switches, server room infrastructure, core network equipment and other related hardware. To date, as authorized by Resolution 156 of 2024, \$1,250,000 in County financing has been approved for this project. Separately, an additional \$895,000 in Federal ARPA funds was approved for this capital project. Inclusive of this request, the total County financing made available for the "Core Switch, Telephone Replacement and Data Center" project will be \$1,900,000. With federal funding, the capital project total is \$2,795,000.

A Fund Information Services Capital Projects

Core Switch and Telephone replacement and Data Closet Upgrade

Project Number: I2301

Debt Account:

Project Description:

The County is in need of replacing and upgrading some of our core IT equipment at various County owned sites. The priority projects at this time include, but are not limited to, our telephone system, data closet switches, switching equipment, server room infrastructure, core network switches and related equipment. These projects will support more efficient and faster data.

Amendment(s):

Project Status: New Existing Amended 1 Continual Completed

Authorized Funding	Pre-2024	2024	2025	2026	2027	2028	2029	Total
County	0.850	0.400	0.650	0.200				2.100
State								-
Federal		1.400						1.400
Other								-
Total Project Cost	0.850	1.800	0.650	0.200	-	-	-	3.500

Department of Information Services Capital Plan Summary: All Projects

Project Status: 0 New 0 Existing 0 Amended 1 Continual 0 Completed

Authorized Funding	Pre-2024	2024	2025	2026	2027	2028	2029	Total
County	0.850	0.400	0.650	0.200	-	-	-	2.100
State	-	-	-	-	-	-	-	-
Federal	-	1.400	-	-	-	-	-	1.400
Other	-	-	-	-	-	-	-	-
Total Project Cost	0.850	1.800	0.650	0.200	-	-	-	3.500

RESOLUTION NO. 156

SUPPLEMENTAL BOND RESOLUTION DATED MARCH 11, 2024. A RESOLUTION AMENDING CERTAIN TERMS OF BOND RESOLUTION NO. 8 OF THE COUNTY OF ALBANY, NEW YORK, ADOPTED FEBRUARY 13, 2023, AUTHORIZING UPGRADES TO CORE IT EQUIPMENT AT VARIOUS COUNTY OWNED SITES, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$850,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$850,000 OF SERIAL BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Introduced: 3/11/24

By Audit and Finance Committee:

THE COUNTY LEGISLATURE OF THE COUNTY OF ALBANY, NEW YORK, HEREBY RESOLVES AS FOLLOWS:

WHEREAS, on February 13, 2023, the County Legislature of the County of Albany, New York adopted Bond Resolution No. 8 of 2023 entitled:

BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, ADOPTED FEBRUARY 13, 2023, AUTHORIZING UPGRADES TO CORE IT EQUIPMENT AT VARIOUS COUNTY OWNED SITES, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$850,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$850,000 OF SERIAL BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

(the “Bond Resolution”); and

WHEREAS, on the date the County Legislature adopted the Bond Resolution the maximum estimated cost of the undertaking of the project described in Section 1 of the Bond Resolution (the “Project”) was estimated to equal an amount not to exceed \$850,000; and

WHEREAS, since the date of the Bond Resolution, the County Legislature has received additional information and revised cost estimates which indicate that the aggregate maximum estimated cost of the Project will be equal to an amount not to exceed \$1,250,000; and

WHEREAS, Section 32.00 of the New York Local Finance Law requires, among other things, that any bond resolution adopted by the finance board of a municipality contain a statement of the maximum estimated cost of the object or purpose for which obligations are being authorized and the maximum amount of the bonds authorized to be issued for such purpose; and

WHEREAS, the County Legislature desires to amend the Bond Resolution for the purpose of increasing the aggregate maximum estimated cost of the Project, and, in particular, Section 1 of the Bond Resolution, and the aggregate maximum principal amount of bonds authorized to be issued in the Bond Resolution;

NOW, THEREFORE, BE IT RESOLVED, by the County Legislature of the County of Albany, New York, as follows:

SECTION 1. The Bond Resolution is hereby amended as follows:

(A) Section 1 of the Bond Resolution is amended to read as follows:

The County of Albany, New York (the "County") is hereby authorized to undertake upgrades to core IT equipment, including a computer data acquisition improvements and computer upgrades project to various County owned sites, together with any necessary site work and the acquisition and installation of furnishings, equipment, machinery and apparatus for the foregoing purposes, as further described in the 2024 Capital Plan in the County's 2024-2028 Capital Program, as amended and supplemented (hereinafter referred to as the "Capital Program"). The estimated maximum cost of said class of objects or purposes, including preliminary costs and costs incidental thereto and the financing thereof (including costs relating to the issuance of the obligations authorized by this resolution), is an amount not to exceed \$1,250,000 and said amount is hereby appropriated therefor. The plan of financing is described as follows: (a) the issuance of an amount not to exceed \$1,250,000 of serial bonds (and bond anticipation notes in anticipation of the issuance of such serial bonds) in such series and amounts as may be necessary to pay the cost thereof, but in no event in excess of \$1,250,000 to pay the cost of the capital projects, (b) the receipt of various federal and state grants, and (c), subject to the discretion of the County, the application of moneys from the County's General Fund or such other moneys of the County that may be available to pay the costs thereof. In the event that such grants or other moneys become available, the County will issue obligations in a principal amount less than the \$1,250,000 amount authorized by this resolution, or pay down such obligations with the amount of such grants and other moneys.

The period of probable usefulness of the class of objects or purposes herein authorized and for which \$1,250,000 of said serial bonds are herein authorized to be issued, within the limitations of Section 11.00a.32 of the New York Local Finance Law (the "Law"), is five (5) years.

(B) Section 2 of the Bond Resolution is hereby amended to read as follows:

Serial bonds (and bond anticipation notes in anticipation of the issuance of such serial bonds) in the aggregate principal amount not to exceed \$1,250,000 to finance said appropriation are hereby authorized to be issued pursuant to the provisions of the Law.

SECTION 2. By separate resolutions, the County has complied with the provisions of the State Environmental Quality Review Act ("SEQRA") with respect to the capital projects described in this resolution pursuant to Section 8 of Bond Resolution No. 8 of 2023.

SECTION 3. The Bond Resolution adopted by the County Legislature on February 13, 2023, as amended by this Supplemental Bond Resolution, shall otherwise remain in full force and effect in accordance with its terms, and is hereby ratified and confirmed.

SECTION 4. The validity of said serial bonds or of any bond anticipation notes issued in anticipation of the sale of said serial bonds may be contested only if:

(1) (a) such obligations are authorized for an object or purpose for which said County is not authorized to expend money or

(b) the provisions of law which should be complied with at the date of publication of this resolution are not substantially complied with,

and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication; or

(2) said obligations are authorized in violation of the provisions of the Constitution of New York.

SECTION 5. This resolution shall take effect immediately.

On long roll call vote the following members voted in favor: Burgdorf, Cleary, Commisso, Conway, Cunningham, Domalewicz, Drake, Efekoro, Ethier, Feeney, Grimm, A. Joyce, R. Joyce, Kuhn, Laurilliard, Lekakis, Lockart, Mauriello, Mayo, McLaughlin, McLean Lane, Miller, Pedo, Perlee, Plotsky, Reidy, Reinhardt, Ricard, Robinson, Rosano, Smith, Ward and Willingham – 33

Those opposed – 0

Resolution was adopted – 3/11/24

State of New York
County of Albany

This is to certify that I, the undersigned, Clerk of the Albany County Legislature, have compared the foregoing copy of the resolution and/or local law with the original resolution and/or local law now on file in the office, and which was passed by the Legislature of said County on the 11th day of March, 2024, a majority of all members elected to the Legislature voting in favor thereof, and that the same is a correct and true transcript of such original resolution and/or local law and the whole thereof.



IN WITNESS THEREOF, I have hereunto set my hand and the official seal of the County Legislature this 13th day of March, 2024.

A handwritten signature in cursive script, reading "Nicole Chambers", is written over a horizontal line.

Clerk, Albany County Legislature

RESOLUTION NO. 8

BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, AUTHORIZING UPGRADES TO CORE IT EQUIPMENT AT VARIOUS COUNTY OWNED SITES, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$850,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$850,000 OF SERIAL BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Introduced: 2/13/23

By Audit and Finance Committee:

THE COUNTY LEGISLATURE OF THE COUNTY OF ALBANY, NEW YORK, HEREBY RESOLVES AS FOLLOWS:

Section 1. The County of Albany, New York (the "County") is hereby authorized to undertake upgrades to core IT equipment, including a computer data acquisition improvements and computer upgrades project to various County owned sites, together with any necessary site work and the acquisition and installation of furnishings, equipment, machinery and apparatus for the foregoing purposes, as further described in the 2023 Capital Plan in the County's 2023-2027 Capital Program, as amended and supplemented (hereinafter referred to as the "Capital Program"). The estimated maximum cost of said class of objects or purposes, including preliminary costs and costs incidental thereto and the financing thereof (including costs relating to the issuance of the obligations authorized by this resolution), is an amount not to exceed \$850,000 and said amount is hereby appropriated therefor. The plan of financing includes the issuance of an amount not to exceed \$850,000 of serial bonds (and bond anticipation notes in anticipation of the issuance of such serial bonds) in such series and amounts as may be necessary to pay the cost thereof, but in no event in excess of \$850,000 to pay the cost of the capital project.

The period of probable usefulness of the class of objects or purposes herein authorized and for which \$850,000 of said serial bonds are herein authorized to be issued, within the limitations of Section 11.00a.32 of the New York Local Finance Law (the "Law"), is five (5) years.

Section 2. Serial bonds (and bond anticipation notes in anticipation of the issuance of such serial bonds) in the aggregate principal amount not to exceed \$850,000 to finance said appropriation are hereby authorized to be issued pursuant to the provisions of the Law.

Section 3. The following additional matters are hereby determined and stated:

- (a) Current funds are not required by the Law to be provided as a down payment prior to the issuance of the serial bonds authorized by this

resolution or any bond anticipation notes issued in anticipation thereof in accordance with Section 107.00 of the Law.

(b) The proposed maturity of the bonds authorized by this resolution will not exceed five (5) years.

Section 4. The serial bonds authorized by this resolution and any notes issued in anticipation of the sale of such bonds shall contain the recital of validity prescribed by Section 52.00 of the Law and said serial bonds and any notes issued in anticipation of said bonds shall be general obligations of the County, payable as to both principal and interest by a general tax upon all the taxable real property within the County without limitation of rate or amount. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and provision shall be made annually in the budget of the County by appropriation for (a) the amortization and redemption of the bonds and any notes in anticipation thereof to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 5. Subject to the provisions of this resolution and of the Law, pursuant to the provisions of Section 30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals of said notes and of Section 21.00, Section 23.00, Section 50.00, Sections 56.00 to 60.00, Section 62.00, Section 63.00 and Section 164.00 of the Law, the powers and duties of the County Legislature pertaining or incidental to the sale and issuance of the obligations herein authorized, including but not limited to authorizing bond anticipation notes and prescribing the terms, form and contents and as to the sale and issuance of the bonds herein authorized and of any bond anticipation notes issued in anticipation of said bonds, and the renewals of said notes, are hereby delegated to the County Comptroller, the chief fiscal officer of the County.

Section 6. The County Comptroller is further authorized to take such actions and execute such documents as may be necessary to ensure the continued status of the interest on the bonds authorized by this resolution and any notes issued in anticipation thereof, as excludable from gross income for federal income tax purposes pursuant to Section 103 of the Internal Revenue Code of 1986, as amended (the "Code") and to designate the bonds authorized by this resolution and any notes issued in anticipation thereof, if applicable, as "qualified tax-exempt bonds" in accordance with Section 265(b)(3)(B)(i) of the Code.

Section 7. The County Comptroller is further authorized to enter into a continuing disclosure undertaking with the initial purchaser of the bonds or notes authorized by this resolution, containing provisions which are satisfactory to such purchaser in compliance with the provisions of Rule 15c2-12, promulgated by the Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934.

Section 8. Pursuant to Article 8 of the Environmental Conservation Law, Chapter 43-B of the Consolidated Laws of New York, as amended (the "SEQR Act") and the regulations adopted pursuant thereto by the Department of Environmental Conservation of the State of New York, being 6 NYCRR Part 617, as amended (the "Regulations" and collectively with the SEQR Act, "SEQRA"), the County must satisfy the requirements contained in SEQRA prior to making a final determination whether to proceed with the above referenced project. Based upon an examination of the project and a memorandum from the Albany County Department of Economic Development, Conservation and Planning, the County hereby makes the following determination: The project authorized by this resolution constitutes a "Type II action" pursuant to 6 NYCRR 617.5(c)(25), and therefore, pursuant to 6 NYCRR 617.6(a)(1)(i), the County has no further responsibilities under SEQRA with respect to the project.

Section 9. The County may initially use funds from the General Fund or such other funds that may be available to pay the cost of the specific objects or purposes authorized by this resolution, pursuant to Section 165.10 of the Law. The County then reasonably expects to reimburse such expenditure with the proceeds of the bonds or bond anticipation notes authorized by Section 2 of this resolution. This resolution shall constitute the declaration of the County's "official intent" to reimburse the expenditures authorized by Section 1 hereof with the proceeds of the bonds and notes authorized herein, as required by United States Treasury Regulation Section 1.150-2.

Section 10. The validity of the bonds authorized by this resolution, and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

(1) (a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

(b) the provisions of law which should be complied with at the date of the publication of such resolution are not substantially complied with,

and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or

(2) such obligations are authorized in violation of the provisions of the constitution.

Section 11. This bond resolution shall take effect immediately and the Clerk of the County Legislature is hereby authorized and directed to publish the foregoing resolution in full (or a summary as permitted by the Law), together with a notice attached in substantially the form as prescribed in Section 81.00 of the Law, in the Evangelist and the Times Union, newspapers designated as the official newspapers of the County for such publication.

On long roll call vote the following members voted in favor: Burgdorf, Clay, Cleary, Collins, Commisso, Cunningham, Drake, Efekoro, Ethier, Feeney, Grimm, A.

*Joyce, R. Joyce, Lekakis, Lockart, Mauriello, McLean Lane, Miller, Perlee, Plotsky,
Reidy, Reinhardt, Ricard, Simpson, Smith, Tunny, Ward and Whalen – 28*

Those opposed – 0

Resolution was adopted – 2/13/23

RESOLUTION NO. 308

ADOPTING THE ALBANY COUNTY CAPITAL PROGRAM FOR 2025-2029

Introduced: 5/13/24

By Audit and Finance Committee:

WHEREAS, The Albany County Charter, Art. 6, Sec. 609(b) requires the Albany County Legislature to adopt the Capital Program each year, and

WHEREAS, The County Executive has prepared and submitted a five-year Capital Program for 2025-2029 to this Honorable Body which includes a summary of projects, a list of all capital improvements proposed to be undertaken during the next five fiscal years, cost estimates and recommended time schedules for each improvement, and the proposed methods of financing the facilities to be constructed or acquired, and

WHEREAS, The Clerk of the Legislature published a summary of the Capital Program and public hearing notice in the official newspapers stating the times and locations where copies of the Capital Program have been available for inspection by the public, and

WHEREAS, This Honorable Body held said public hearing on April 23rd, 2024, as published, now, therefore, be it

RESOLVED, That the 2025-2029 Capital Program as set forth in the published summary is hereby adopted by this Honorable Body, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.

RESOLUTION NO. 282

SUPPLEMENTAL BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, DATED JULY 14, 2025, STATING THE ESTIMATED MAXIMUM COST OF THE “CORE SWITCH, TELEPHONE REPLACEMENT AND DATA CENTER PROJECT” IS \$2,795,000, APPROPRIATING AN ADDITIONAL \$650,000 FOR SAID PROJECT, AND AUTHORIZING THE ISSUANCE OF \$650,000 BONDS OF SAID COUNTY TO FINANCE SAID ADDITIONAL APPROPRIATION

Introduced: 7/14/25

By Audit and Finance Committee:

THE COUNTY LEGISLATURE OF THE COUNTY OF ALBANY, NEW YORK, HEREBY RESOLVES AS FOLLOWS:

Section 1. The County of Albany, New York (the “County”) has heretofore authorized the “Core Switch, Telephone Replacement and Data Center Project”, as further described in Resolution 156 of 2025 and in the County’s 2026-2030 Capital Program, as amended and supplemented (hereinafter referred to as the “Capital Program”). The estimated maximum cost of said specific object or purpose, including preliminary costs and costs incidental thereto and the financing thereof (including the costs relating to the issuance of the obligations authorized by this resolution), is an amount not to exceed \$2,795,000. The County hereby appropriates an additional \$650,000 for said project, which is in addition to the \$2,145,000 heretofore appropriated for said project pursuant to Resolution 156 of 2024 (\$1,250,000) and a resolution authorizing the use of Federal ARPA funds (\$895,000) to pay a part of the cost of the project. The plan of financing is described as follows: (a) the issuance of an aggregate amount not to exceed \$1,900,000 of bonds (and bond anticipation notes in anticipation of the issuance of such bonds) in such series and amounts as may be necessary to pay a part of the cost thereof, (b) the receipt of various federal and state grants, (c), subject to the discretion of the County, the application of moneys from the County’s General Fund or such other moneys of the County that may be available to pay the costs thereof, and/or (d) the use of Federal ARPA funds pay a part of the cost of the project. In the event that such grants or other moneys become available, the County will issue obligations in a principal amount less than the \$650,000 amount authorized by this resolution, or pay down such obligations with the amount of such grants and other moneys received by the County.

Section 2. In addition to the \$1,250,000 bonds authorized for the project pursuant to Resolution 156 of 2024, bonds (and bond anticipation notes in anticipation of the issuance of such bonds) in the aggregate principal amount not to exceed \$650,000 to finance said additional appropriation are hereby authorized to be issued pursuant to the provisions of the Law.

Section 3. The following additional matters are hereby determined and stated:

(a) The period of probable usefulness applicable to the specific object or purpose herein authorized and for which \$650,000 of said bonds are herein authorized to be issued, within the limitations of Section 11.00a.32 and 108 of the New York Local Finance Law (the "Law"), is five (5) years.

(b) Current funds are not required by the Law to be provided as a down payment prior to the issuance of the bonds authorized by this resolution or any bond anticipation notes issued in anticipation thereof in accordance with Section 107.00 of the Law.

(c) The proposed maturity of the bonds authorized by this resolution will not exceed five (5) years.

Section 4. The bonds authorized by this resolution and any notes issued in anticipation of the sale of such bonds shall contain the recital of validity prescribed by Section 52.00 of the Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County, payable as to both principal and interest by a general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and provision shall be made annually in the budget of the County by appropriation for (a) the amortization and redemption of the bonds and any notes in anticipation thereof to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 5. Subject to the provisions of this resolution and of the Law, pursuant to the provisions of Section 30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals of said notes and of Section 21.00, Section 23.00, Section 50.00, Sections 56.00 to 60.00, Section 62.00, Section 63.00, Section 164.00 and Section 168.00 of the Law, the powers and duties of the County Legislature pertaining or incidental to the sale and issuance of the obligations herein authorized, including but not limited to authorizing bond anticipation notes and prescribing the terms, form and contents and as to the sale and issuance of the bonds herein authorized and of any bond anticipation notes issued in anticipation of said bonds, and the renewals of said notes, are hereby delegated to the County Comptroller, the chief fiscal officer of the County.

Section 6. The County Comptroller is further authorized to take such actions and execute such documents as may be necessary to ensure the continued status of the interest on the bonds authorized by this resolution and any notes issued in anticipation thereof, as excludable from gross income for federal income tax purposes pursuant to Section 103 of the Internal Revenue Code of 1986, as amended (the "Code") and to designate the bonds authorized by this resolution and any notes

issued in anticipation thereof, if applicable, as “qualified tax-exempt bonds” in accordance with Section 265(b)(3)(B)(i) of the Code.

Section 7. The County Comptroller is further authorized to enter into a continuing disclosure undertaking with the initial purchaser of the bonds or notes authorized by this resolution, containing provisions which are satisfactory to such purchaser in compliance with the provisions of Rule 15c2-12, promulgated by the Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934.

Section 8. Pursuant to Article 8 of the Environmental Conservation Law, Chapter 43-B of the Consolidated Laws of New York, as amended (the “SEQR Act”) and the regulations adopted pursuant thereto by the Department of Environmental Conservation of the State of New York, being 6 NYCRR Part 617, as amended (the “Regulations” and collectively with the SEQR Act, “SEQRA”), the County must satisfy the requirements contained in SEQRA prior to making a final determination whether to proceed with the above referenced project. Based upon an examination of the projects and memoranda from the Albany County Department of Economic Development, Conservation and Planning, the County hereby makes the following determination: The project authorized by this resolution described in Section 1 constitutes a “Type II action” pursuant to 6 NYCRR 617.5(c)(1) and (5); and therefore that, pursuant to 6 NYCRR 617.6(a)(1)(i), the County has no further responsibilities under SEQRA with respect to the project.

Section 9. The County may initially use funds from the General Fund or such other funds that may be available to pay the cost of the specific objects or purposes authorized by this resolution, pursuant to Section 165.10 of the Law. If the County determines to issue bonds or bond anticipation notes to finance the specific objects or purposes authorized by this resolution, the County then reasonably expects to reimburse such expenditures with the proceeds of such bonds or bond anticipation notes. If the County determines to issue bonds or bond anticipation notes to finance the specific objects or purposes authorized by this resolution, then this resolution shall constitute the declaration of the County’s “official intent” to reimburse the expenditures authorized by Section 1 hereof with the proceeds of the bonds and notes authorized herein, as required by United States Treasury Regulation Section 1.150-2. The provisions of this section are expressly subject to the plan of finance as determined by the County as described in Section 1 above.

Section 10. The validity of the bonds authorized by this resolution, and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

- (1) (a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

- (b) the provisions of law which should be complied with at the date of the publication of such resolution are not substantially complied with,

and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or

- (2) such obligations are authorized in violation of the provisions of the constitution.

Section 11. This bond resolution shall take effect immediately and the Clerk of the County Legislature is hereby authorized and directed to publish the foregoing resolution in full (or a summary as permitted by the Law), together with a notice attached in substantially the form as prescribed in Section 81.00 of the Law, in the official newspaper(s) of the County for such publication.



Daniel P. McCoy
County Executive

Mark S. Olsen
Executive Director

April 29th, 2025

The Honorable Joanne Cunningham
Chairwoman, Albany County Legislature
Legislative Clerk's Office
112 State Street, Suite 710
Albany, New York 12207

Dear Chairwoman Cunningham:

Shaker Place Rehabilitation and Nursing Center (SPRNC) respectfully requests approval of bonding authorization for the Information Technology Infrastructure and Computer System Upgrades project of the 2026 – 2030 Albany County Capital Plan, Project N2601. The request is in the amount of \$495,000.00 for the 2025.

If any additional information is required, please do not hesitate to contact me.

Sincerely,

Mark S. Olsen
Executive Director

cc: Dennis Feeney, Majority Leader
Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
James Curran, Minority Counsel





County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-6576, Version: 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

BONDING AUTHORIZATION FOR THE FOOD SERVICE AND DISTRIBUTION EQUIPMENT PROJECT, PART OF THE 2026 - 2030 ALBANY COUNTY CAPITAL PLAN.

Date: 4/30/2025
Department: Shaker Place Rehabilitation and Nursing Center
Attending Meeting: Mark S. Olsen
Submitted By: Shawn Thelen
Title: Deputy Executive Director
Phone: 518-447-7108

Purpose of Request: Bond Approval Enter text.

CONTRACT TERMS/CONDITIONS:

Party Names and Addresses:
Enter text.

Term: (Start/end date or duration) Enter text.
Amount/Raise Schedule/Fee: Enter text.

BUDGET INFORMATION:

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Budget: Yes ☒ No ☐
Spreadsheet attached: Yes ☐ No ☒

Source of Funding - (Percentages)

Federal:	0	County:	100
State:	0	Local:	0

County Budget Accounts:

Revenue Account and Line: Enter text.
Revenue Amount: Enter text.
Appropriation Account and Line: Enter text.
Appropriation Amount: Enter text.

ADDITIONAL INFORMATION:

Mandated Program/Service: Yes ☐ No ☒
If Mandated, Cite Authority: Enter text.

Request for Bids / Proposals:

Competitive Bidding Exempt: Yes ☒ No ☐

of Response(s): Enter text.

of MWBE: Enter text.

of Veteran Business: Enter text.

Bond Resolution No.: Enter text.

Apprenticeship Program Yes ☐ No ☒

Previous requests for Identical or Similar Action:

Resolution/Law Number and Date: Enter text.

DESCRIPTION OF REQUEST: (state briefly why legislative action is requested)

Shaker Place Rehabilitation and Nursing Center (SPRNC) respectfully requests approval of bonding authorization for the Information Technology Infrastructure and Computer System Upgrades project of the 2026 - 2030 Albany County Capital Plan, Project N2601. The request is in the amount of \$495,000.00 for the 2025.

2026-2030 Capital Plan Summary

Project Status: 6 New 26 Existing 8 Amended 9 Continual 6 Completed

Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
Civic Center	14.506	0.600	1.000	2.000	2.000	0.000	0.000	20.106
General Services	57.289	14.241	6.203	9.665	9.121	9.077	-6.245	99.351
Information Services	1.250	1.850	2.825	2.200	1.500	1.000	0.875	11.500
AC Sheriff's Office	0.000	1.989	0.000	0.000	0.000	0.000	0.000	1.989
Public Works	90.723	22.216	13.573	12.125	3.925	2.700	2.700	147.962
Water Purification	0.250	22.900	60.500	78.000	18.486	0.000	0.000	180.136
Shaker Place	78.658	3.745	5.450	5.000	5.425	0.000	0.000	98.278
Total Project Cost	242.676	67.541	89.551	108.990	40.457	12.777	-2.670	559.322

All amounts listed in this plan are in millions of dollars.

Amounts listed in Pre-years are authorized amounts.

Amounts listed in out years are estimates.

A Fund Civic Center Capital Projects

Upper Level Seating Replacement									
Project Number:		C1501				Debt Account:		HKUJ	
Project Description:		The chairs in the upper level are 25 years old. The lower level seating was replaced in 2010. This plan was originally spread over two years utilizing Facility Fees. Combining the projects into the same year we would realize an approximate savings of \$100,000. Given lead time of the chairs, installation of chairs will begin spring of 2019, purchase of chairs will be in 2018.							
Amendment(s):									
Project Status:		New	1 Existing	Amended		Continual		Completed	
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total
County		3.375	-						3.375
State									
Federal									
Other									-
Total Project Cost		3.375	-	-	-	-	-	-	3.375

Main Arena Sound System											
Project Number: <u>C1801</u>			Debt Account: <u>HKUW</u>								
Project Description:			The intent is to design and install a new sound system. The existing system has been maintained for over 20 years. It has been maintained and still operates, but the sound quality is failing. The components are outdated and difficult to replace. There are few assisted listening devices active. The purchase of over 200 units will be needed to keep up with Americans With Disabilities Act (ADA) code.								
Amendment(s):											
Project Status: <u> </u> New			<u> </u> 1	Existing	<u> </u> Amended		<u> </u> Continual		<u> </u> Completed		
Authorized Funding			Pre-2025	2025	2026	2027	2028	2029	2030	Total	
County State Federal Other			1.800	-						1.800	
											-
Total Project Cost			1.800	-	-	-	-	-	-	1.800	

WiFi										
Project Number: C1802			Debt Account: HKUX							
Project Description:			The wireless network system in the building has been pieced together over the years. The system does not have the capacity for the growing needs of the shows, media and patrons. The wired network has been upgraded.							
			The awards of the National Collegiate Athletic Association (NCAA) Basketball Championship has put the need of this project to forefront to accommodate National Media needs.							
Amendment(s):										
Project Status:			New	1	Existing	Amended	Continual	Completed		
Authorized Funding			Pre-2025	2025	2026	2027	2028	2029	2030	Total
County State Federal Other			0.826							0.826
										-
Total Project Cost			0.826	-	-	-	-	-	-	0.826

A Fund Civic Center Capital Projects

Arena Equipment Replacement									
Project Number: <u>C1901</u>		Debt Account: <u>HKUY</u>							
Project Description:		All equipment is at least 15 years old. Replace staging that is old and degrading. Spotlights are in need of frequent repair and replacement parts. Existing barricade lacks step to assist patrons from GA floor. The turnstiles are needed for accurate counts of patrons entering. Forklifts are up in age. The West End curtains will cover the lights in the suites for end stage shows that production often have concerns about during shows.							
Amendment(s):									
Project Status: <u> </u> New		<u> </u> 1	<u> </u> Existing	<u> </u> Amended	<u> </u> Continual	<u> </u> Completed			
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total
County State Federal Other		0.597							0.597
									-
Total Project Cost		0.597	-	-	-	-	-	-	0.597

Replacement of Chiller, BMS Control, Concourse heat/Fan Coil Replacement, Lighting Upgrade												
Project Number: C1902			Debt Account: HKUZ									
Project Description:			Comfort Chiller is original to building construction and is past its useful life. The work for this project would also include replacement of motors, pumps, valves and suction diffusers. This work will offer significant energy reduction savings. This project is needed to run the building more efficiently and help accommodate the increased building load of the front atrium enclosure. Building sealing is needed to prevent loss of conditioned air. Concourse heat is needed rather than ambient from arena bowl. Additional BMS controls to automate more systems for energy conservation. Lighting upgrades are to replace high energy consumption bulbs.									
			Amendment(s):									
			Project Status: New		1	Existing		Amended		Continual		Completed
			Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total
			County		1.950			-	-	-	-	1.950
State												
Federal												
Other									-			
Total Project Cost		1.950	-	-	-	-	-	-	1.950			

Arena Floor, Kitchen Floor, Quad Stairs Refinishing and Atrium door patching										
Project Number:		C1903					Debt Account:		HKUZ	
Project Description:		The main arena floor has sustained several significant gouges. Rebar is showing in several areas. There have been several attempts to patch, but they continue to come out. The kitchen floor has had years of wear and tear. The existing floor covering is coming up in pieces and makes the kitchen look unsanitary. The Quad stairs going to the bathrooms stick out because it sits next to the refinished concourse and bathroom lobby floors.								
Amendment(s):										
Project Status:		New	1	Existing		Amended		Continual		Completed
Authorized Funding		Pre-2025	2025		2026	2027	2028	2029	2030	Total
County State Federal Other		0.500								0.500
										-
Total Project Cost		0.500	-		-	-	-	-	-	0.500

A Fund Civic Center Capital Projects

Loading Dock Renovation										
Project Number:		C1904				Debt Account:				HKUZ
Project Description:		Design and construction of loading dock platforms, doors and bays. Trucks have found it increasingly more difficult to back into the dock area. The dock plates are old and in constant need of adjustment. Weather proof doorways to keep the elements out.								
Amendment(s): 2023-2027 - Push out end date.										
Project Status:		New	1	Existing		Amended		Continual	Completed	
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total	
County		0.500	-	-					0.500	
State										
Federal										
Other									-	
Total Project Cost		0.500	-	-	-	-	-	-	0.500	
Additional Show Power and Transformer Replacement										
Project Number:		C2001				Debt Account:				HKUZ
Project Description:		Events are getting bigger and their expectations are higher. We have 2000 amps of show power. Shows often require more and they need to bring in a generator. This may make the building less desirable to put a show in than the next arena. There is available power in our switchgear, but work is needed to extend it and make it available. There are also several transformers that are over 20 years old and should be replaced before they fail.								
Amendment(s): 2022-2026 - increase cost. 2023-2027 - updatd funding needs.										
Project Status:		New	1	Existing		Amended		Continual	Completed	
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total	
County		0.400							0.400	
State										
Federal										
Other									-	
Total Project Cost		0.400	-	-	-	-	-	-	0.400	
Low Roof Replacement										
Project Number:		C2002				Debt Account:				HKUZ
Project Description:		The low roof is the last of the roofs that need replacement. There have been several leaks over renovated areas that need patching. The leaks seem to be coming from age, seals coming loose and general wear and tear.								
Amendment(s):										
Project Status:		New	1	Existing		Amended		Continual	Completed	
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total	
County		0.308							0.308	
State										
Federal										
Other									-	
Total Project Cost		0.308	-	-	-	-	-	-	0.308	

A Fund Civic Center Capital Projects

Stairwell Enclosure | Concourse | Flooring

Project Number: C2201

Debt Account:

Project Description: The stairwells on the East side of the arena has been unsightly due to being unable to keep wildlife out. There have been multiple attempts by several means with no success. Enclosing these stairwells will allow us to keep animals out. It will also provide an extra level of security and utility savings to the adjacent area. There are multiple railings that are determined due to street salt and weather damage. There are various concrete steps / facades that need reconstruction or replacing around the entire property. The concourse entrance from the MVP Arena garage could be utilized as a revenue generating event space. We are looking to add a cooler to the concourse level for concessions to restock the stands quicker. The stairs going from the concourse level to the bathroom lobbies are the only flooring area that has not been refinished and we are looking to have completed. Refinishing the kitchen floor will also be included in this project.

Amendment(s): 2023-2027 - Incorporating Concourse and Flooring project.

Project Status:	New	1	Existing	Amended	Continual	Completed			
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total	
County	2.500							2.500	
State									
Federal									
Other									
Total Project Cost	2.500	-	-	-	-	-	-	2.500	

Network Bandwidth Upgrade

Project Number: C2301

Debt Account:

Project Description: The arena network was upgraded in 2017. The capacity of the system can handle 1 gigabyte of bandwidth which was adequate at the time. Increased event needs have required the arena to order temporary internet services to run outside the system firewalls and protections.

Amendment(s): 2024-2028 - Amended to push out project date.

Project Status:	New	1	Existing	Amended	Continual	Completed			
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total	
County	0.250							0.250	
State									
Federal									
Other								-	
Total Project Cost	0.250	-	-	-	-	-	-	0.250	

Upper Level Curtain Replacement

Project Number: C2501

Debt Account:

Project Description: The existing curtains were installed in 1993 and have been maintained and operational since. The curtain motor, wires and material are starting to age and have been in need of more significant repair. Safety lines need to be added to the truss so lifts will not be needed to access the curtain areas for repairs or other needs.

Amendment(s):

Project Status:	New	1	Existing	Amended	Continual	Completed			
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total	
County	1.500	-						1.500	
State									
Federal									
Other								-	
Total Project Cost	1.500	-	-	-	-	-	-	1.500	

A Fund Civic Center Capital Projects

Various Building Improvements and Renovations

Project Number: C2601

Debt Account: _____

Project Description:

This project will address the long term improvements to any / all arena facilities. Improvements will include, but not limited to, various upgrades such as mechanicals, HVAC, chillers, electrical, roofs, painting/carpeting, energy management systems, plumbing and engineering, structural, & property evaluations. These projects will generally have a useful life of 15 – 20 years.

Amendment(s):

Project Status: <u>1</u> New		<u>Existing</u>		<u>Amended</u>		<u>Continual</u>		<u>Completed</u>	
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total
County State Federal Other				1.000	2.000	2.000			5.000
									-
Total Project Cost		-	-	1.000	2.000	2.000	-	-	5.000

Architectural and Engineering

Project Number: C2602

Debt Account: _____

Project Description:

Many of the MVP Arenas facilities are aged and would benefit from a structural and engineering evaluation. The proposed evaluation will allow the County to make the best use of its resources. This project will include evaluation and engineering fees starting in 2025, with any construction beginning in the out years.

Amendment(s):

Project Status: <u>1</u> New		<u>Existing</u>		<u>Amended</u>		<u>Continual</u>		<u>Completed</u>	
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total
County State Federal Other			0.600						0.600
									-
Total Project Cost		-	0.600	-	-	-	-	-	0.600

Civic Center Capital Plan Summary: All Projects

Project Status:		<u>2</u>	New	<u>12</u>	Existing	<u>0</u>	Amended	<u>0</u>	Continual	<u>0</u>	Completed
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total		
County State Federal Other		14.506	0.600	1.000	2.000	2.000	-	-	20.106		
		-	-	-	-	-	-	-	-		
		-	-	-	-	-	-	-	-		
		-	-	-	-	-	-	-	-		
Total Project Cost		14.506	0.600	1.000	2.000	2.000	-	-	20.106		

Vehicle and Truck Replacement Project**Project Number:** B1201**Debt Account:** HH07

This project would replace fleet pool vehicles in accordance with our Department Vehicle Replacement Plan.

Project Description: This plan would replace 11 +/- vehicles per year for the next 2 years and the vehicles being replaced are 10 years old or older. This project was amended to change the completion date to 2027.**Amendment(s):****Project Status:** New Existing Amended 1 Continual Completed

Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total
County State Federal Other	County	2.539	0.500	0.500	0.500	0.500	0.500	0.500	5.539
	State								-
	Federal								
	Other								-
Total Project Cost		2.539	0.500	0.500	0.500	0.500	0.500	0.500	5.539

County-wide Facilities Evaluation**Project Number:** B1501**Debt Account:** HKUV

Many of the County's facilities are aged and would benefit from a structural and engineering evaluation. The

Project Description: proposed evaluation will allow the County to make the best use of its resources. This project will include evaluation and engineering fees starting in 2015, with any construction beginning in the out years.**Amendment(s):****Project Status:** New Existing Amended 1 Continual Completed

Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total
County State Federal Other	County	1.350		0.500	0.500	0.500	0.500	0.500	3.850
	State								-
	Federal								
	Other								-
Total Project Cost		1.350	-	0.500	0.500	0.500	0.500	0.500	3.850

Youth Facility Renovation & Upgrade**Project Number:** B1902**Debt Account:** HHW0

The State of New York has stipulated that Albany County modify / renovate their youth facilities to be more conducive to both the age & sex of the child. Therefore, we must address revamping our facilities at DCYF as well as Family Court to comply. Initial cost estimate of the NYS project is \$22.3 million to be split 89% NYS and 11% of four municipalities. DASNY will bond \$16.120 million plus utilize Albany County previous bond of \$6.2 million according to Resolution No. 18-570. County shares are 6.73% Albany; 1.79% Schenectady; 1.79% Rensselaer and .89% Saratoga, plus NYS share of 88.8%.

Project Description: The scope of the makeover project shall include, but are not limited to, design fees, HVAC modification, renovation and fit-up of viewing rooms & common areas, electrical, plumbing & bathroom facilities, painting & carpeting as well as furniture & fixtures. Reimbursement will be long term, over approximately a 20 year period.

Amendment(s): 2023-20207 - Extended reimbursement time line.

2024-2028 - Included additional year of reimbursement.

2025-2029 - amend funding to show full project cost and expand scope.

Project Status: New Existing Amended Continual 1 Completed

Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total
County State Federal Other	County	22.320							22.320
	State	(1.352)	(0.759)	(0.797)	(0.835)	(0.879)	(0.923)	(14.245)	(19.790)
	Federal								
	Other	(0.068)							(0.068)
Total Project Cost		20.900	(0.759)	(0.797)	(0.835)	(0.879)	(0.923)	(14.245)	2.530

A Fund General Services Capital Projects

Albany County Green Capital Projects

Project Number: B2201

Debt Account: HHG4

Project Description:

This project will include the purchase of some electric vehicles and zero emission vehicles. It will also include some infrastructure improvements with renewable energy technology and energy efficient improvements. This year's request positions the County to make additional investments in renewable energy generation and clean heating and cooling of County facilities.

Amendment(s): 2024-2028 - Project amended to add funding to outer years and expand scope.
2025-2030 - Amended to add a year and funding.

Project Status: <u> </u> New		<u> </u> Existing	<u> </u> Amended	<u>1</u>	<u> </u> Continual	<u> </u> Completed			
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total
County State Federal Other		11.000	1.000	1.000	1.000	1.000	1.000	1.000	17.000
									-
									-
									-
Total Project Cost		11.000	1.000	1.000	1.000	1.000	1.000	1.000	17.000

Various Building Upgrades & Improvements

Project Number: B2301

Debt Account:

Project Description:

This project will address the long term improvements to any / all County owned and operated facilities. Improvements will include, but not limited to, various upgrades such as mechanicals, HVAC, chillers, electrical, roofs, painting/carpeting, energy management systems, department moving & relocation costs, and engineering, structural, & property evaluations. NOTE: this project replaces several projects including, but not limited to, the County Office Building project, Facility Improvement Project, and the Office Modernization Project. These projects will generally have a useful life of 15 – 20 years.

Amendment(s): 2024-2028 - Amended to clarify scope and increase funding needs.

Project Status: <u> </u> New		<u> </u> Existing	<u> </u> Amended	<u>1</u>	<u> </u> Continual	<u> </u> Completed			
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total
County State Federal Other		14.000	7.000	5.000	5.000	5.000	5.000	5.000	46.000
									-
									-
									-
Total Project Cost		14.000	7.000	5.000	5.000	5.000	5.000	5.000	46.000

County Facility Roof Renovations

Project Number: B2302

Debt Account:

Project Description:

The purpose of this project is to address the ongoing needed repair / restoration to the roofs of various County owned properties. We are proposing this plan to address the overall concern over the necessary maintenance.

Amendment(s): 2026-2030 -Amended to add another year to plan.

Project Status: <u> </u> New		<u> </u> Existing	<u>1</u>	<u> </u> Amended	<u> </u> Continual	<u> </u> Completed			
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total
County State Federal Other		1.500	0.500	-	0.500				2.500
									-
									-
									-
Total Project Cost		1.500	0.500	-	0.500	-	-	-	2.500

A Fund General Services Capital Projects

Various Mechanical Upgrades & Improvements

Project Number: B2303

Debt Account: _____

Project Description:

As part of our efforts to maintain any/all existing facilities, this project will address any mechanical repairs to our existing properties. These improvements will generally include, but not be limited to, all HVAC repairs, all energy management system improvements, all chiller repairs, and all electrical repairs. Improvements will generally have a useful life of 7 – 12 years.

Amendment(s): 2024-2028 - Amended to clarify scope and increase funding needs.

Project Status: _____		New		Existing		Amended		1		Continual		Completed	
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total				
County State Federal Other		6.000	3.000	-	3.000	3.000	3.000	1.000	19.000				
									-				
									-				
Total Project Cost		6.000	3.000	-	3.000	3.000	3.000	1.000	19.000				

Property Acquisition

Project Number: B2601

Debt Account: _____

Project Description:

A review of property owned by the County has shown a need to change in certain instances. In some cases departments need to be moved to other facilities and/or properties may need to be bought or sold so that the County is getting the highest and best usage out of its holdings while returning other, lesser needed properties to the Property tax rolls. This project will be utilized for purchases if and when necessary.

Amendment(s):

Project Status: <u>1</u> New		Existing		Amended		Continual		Completed	
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total
County State Federal Other			3.000						3.000
									-
									-
Total Project Cost		-	3.000	-	-	-	-	-	3.000

General Services Capital Plan Summary: All Projects

Project Status: 1 **New** 0 **Existing** 1 **Amended** 5 **Continual** 1 **Completed**

Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total
	County	58.709	15.000	7.000	10.500	10.000	10.000	8.000	111.209
	State	(1.352)	(0.759)	(0.797)	(0.835)	(0.879)	(0.923)	(14.245)	(19.790)
	Federal	-	-	-	-	-	-	-	
	Other	(0.068)	-	-	-	-	-	-	(0.068)
Total Project Cost		57.289	14.241	6.203	9.665	9.121	9.077	(6.245)	91.351

A Fund Information Services Capital Projects

Core Switch and Telephone replacement and Data Closet Upgrade									
Project Number: I2301			Debt Account: HHY9						
Project Description:		The County is in need of replacing and upgrading some of our core IT equipment at various County owned sites. The priority projects at this time include, but are not limited to, our telephone system, data closet switches, switching equipment, server room infrastructure, core network switches and related equipment. These projects will support more efficient and faster data.							
		Amendment(s): 2026-2030- Amended to increase costs and include ARPA funding.							
Project Status:		New	Existing		1	Amended	Continual		Completed
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total
County State Federal Other		2.145	1.350	0.825	0.700	1.000	0.500	0.500	7.020
									-
		(0.895)							(0.895)
									-
Total Project Cost		1.250	1.350	0.825	0.700	1.000	0.500	0.500	6.125

New Facilities

Project Number:	I2601	Debt Account:							
Project Description:	Albany County is intending to relocate operations to new facilities. Information Services has performed site visits of potential locations and determined initial needs in order to accommodate rapid relocation. IT projects required to ensure a successful relocation include: upgrading core switches; upgrading firewalls; upgrading fiber runs between sites and within buildings; improvements to internet service lines to increase resiliency; upgrading camera and security systems; and other related operational technology needs.								
Amendment(s):									
Project Status:	1	New	Existing	Amended	Continual	Completed			
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total
County			0.500	2.000	1.500	0.500	0.500	0.375	5.375
State									-
Federal									-
Other									-
Total Project Cost		-	0.500	2.000	1.500	0.500	0.500	0.375	5.375

Department of Information Services Capital Plan Summary: All Projects

Project Status:	<u>1</u> New	<u>0</u> Existing	<u>1</u> Amended	<u>0</u> Continual	<u>0</u> Completed			
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	2.145	1.850	2.825	2.200	1.500	1.000	0.875	12.395
State	-	-	-	-	-	-	-	-
Federal	(0.895)	-	-	-	-	-	-	(0.895)
Other	-	-	-	-	-	-	-	-
Total Project Cost	1.250	1.850	2.825	2.200	1.500	1.000	0.875	11.500

A Fund - Albany County Sheriff's Office Capital Projects

ACSO Network Upgrade

Project Number: S2601

Debt Account: _____

Project Description: This project is to implement a secure, agency specific, communications and productivity solution. Law Enforcement and Public Safety agencies are required to have a higher degree of security than most other network systems.

Amendment(s):

Project Status:	<u>1</u>	New	<u>Existing</u>	<u>Amended</u>	<u>Continual</u>	<u>Completed</u>			
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total	
County		1.500						1.500	
State								-	
Federal								-	
Other								-	
Total Project Cost	-	1.500	-	-	-	-	-	1.500	

Albany County Sheriff's Office Facilities Upgrade

Project Number: S2602

Debt Account: _____

Project Description: This project will address renovations and long term improvements to any/all County owned and operated facilities by the Albany County Sheriff. These improvements will include various upgrades including but not limited to moving & relocation costs, engineering, structural , construction and property evaluation services.

Amendment(s):

Project Status:	<u>1</u>	New	<u>Existing</u>	<u>Amended</u>	<u>Continual</u>	<u>Completed</u>			
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total	
County		0.489						0.489	
State								-	
Federal								-	
Other								-	
Total Project Cost	-	0.489	-	-	-	-	-	0.489	

Sheriff's Office Capital Plan Summary: All Projects

Project Status:	<u>2</u>	New	<u>0</u>	Existing	<u>0</u>	Amended	<u>0</u>	Continual	<u>0</u>	Completed			
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total					
County	-	1.989	-	-	-	-	-	1.989					
State	-	-	-	-	-	-	-	-					
Federal	-	-	-	-	-	-	-	-					
Other	-	-	-	-	-	-	-	-					
Total Project Cost	-	1.989	-	-	-	-	-	1.989					

D - DM Fund Public Works Capital Projects

CR 157, SR 155 Watervliet-Shaker Road (New Karner Road to Sand Creek Road) [Airport Area FGEIS]

Project Number: D0101

Debt Account: HHYB

Project Description:

This project is Phase 3 of the Watervliet-Shaker Road Realignment Project. The section of Watervliet-Shaker Road (WSR) included in this project extends from New Karner Rd. to Sand Creek Rd. The project includes reconstruction and widening of approximately 0.75 miles of CR157 which could include the addition of a center or two additional lanes (depending on traffic study outcome), a new traffic signal at the intersection of New Karner Rd. and WSR, drainage improvements and new pavement. This last phase will complete the Albany-Shaker Rd/WSR Airport Improvement Project started in 2001.

Amendment(s): 2022-2026 - reflect an updated construction cost and completed SEQOR.
2023-2027 - Add another year and increase costs.
2024-2028 - Amended to reflect a new construction completion date.
2025-2029 - Increase cost and push out completion date.

Project Status: _____		New	_____ Existing	_____ Amended	_____ Continual	_____ 1	Completed		
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total
County State Federal Other		10.072							10.072
									-
									-
									-
Total Project Cost		10.072	-	-	-	-	-	-	10.072

Highway Pavement Recycling Projects

Project Number: D1102

Debt Account: HHT8

Project Description:

Rehabilitation of several lane miles of County Roadways by Cold in Place Recycling pavement, re-establishing binder course and repaving entire road with 2" of top course asphalt . This pavement process is a very cost effective method of rehabilitating certain low traffic volume, rural County roadways.

Amendment(s):

Project Status: _____		New		Existing		Amended		1		Continual		Completed	
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total				
County		11.950	1.250	1.250	1.250	1.250	1.250	1.250	19.450				
NY State									-				
Federal									-				
Other													
Total Project Cost		11.950	1.250	1.250	1.250	1.250	1.250	1.250	19.450				

New Karner Road (NY 155) From US 20 to NY 5: Corridor Improvements

Project Number: D1103

Debt Account: HHT7

Project Description:

The project involves pavement rehabilitation, safety improvements, signal timing updates and addition of complete streets components along New Karner Road (NY 155) from US 20 to Watervliet Shaker Road. Added the inclusion of a potential roundabout or diverging left at the Washington Ave Extension Intersection and the costs are also included.

Amendment(s): 2023-2027 - Push out start date and increase costs.
2024-2028 - Increase costs and updated completion date.
2025-2029 - Push out completion date and increase costs.
2026-2030 - Amended to update construction completion date and costs.

Project Status:	<u> </u> New	<u> </u> Existing	<u> </u> 1	<u> </u> Amended	<u> </u> Continual	<u> </u> Completed		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	7.340	8.000	2.000	-				17.340
NY State								-
Federal	(0.422)	(0.664)	(6.254)	(7.250)				(14.590)
Other								-
Total Project Cost	6.918	7.336	(4.254)	(7.250)	-	-	-	2.750

D - DM Fund Public Works Capital Projects

Vehicle and Truck Replacement									
Project Number: <u> D1104 </u>				Debt Account: <u> HHYB </u>					
Project Description:				This project would replace heavy-duty trucks and equipment and light-duty pickup trucks and cars in accordance with our Department Vehicle and Equipment Replacement Plan.					
Amendment(s):									
Project Status: <u> </u> New				<u> </u> Existing	<u> </u> Amended	<u> 1 </u>	Continual	<u> </u> Completed	
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total
County		12.498	1.600	1.450	1.450	1.450	1.450	1.450	21.348
NY State									-
Federal									-
Other									-
Total Project Cost		12.498	1.600	1.450	1.450	1.450	1.450	1.450	21.348

Traffic Sign Compliance Project									
Project Number: <u> D1201 </u>			Debt Account: <u> HHSB </u>						
Project Description:			This project will ensure that the County of Albany complies with Federal and State regulations contained in the national Manual on Uniform Traffic Control Devices and the New York State Supplement to the Manual on Uniform Traffic Control Devices, primarily regarding traffic sign retro reflectivity (night-time visibility). All regulatory, warning and guide signs other than street name signs must meet minimum requirements for retro reflectivity as they are replaced. There are close to 8,000 signs on County roadways, in various conditions.						
Amendment(s):									
Project Status: <u> </u> New			<u> 1 </u> Existing	<u> </u> Amended		<u> </u> Continual		<u> </u> Completed	
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total
County		1.375	-						1.375
NY State									-
Federal									-
Other									-
Total Project Cost		1.375	-	-	-	-	-	-	1.375

DPW Facilities Assessment/Building/Salt Sheds/Fuel Monitoring System								
Project Number: <u> D1501 </u>			Debt Account: <u> </u>					

Krumkill Rd. Truss over Normanskill Bridge								
Project Number: <u> D1806 </u>			Debt Account: <u> </u>					

D - DM Fund Public Works Capital Projects

HHRT Bridge over State RTE 85

Project Number: D1904

Debt Account: HHT8

Project Description:

Replacement of the existing HHRT Bridge (BIN 7032650) over New Scotland Road (State RTE 85) with a pedestrian structure. The existing girder and floor beam structure was built approximately in 1912. It is located in the Town of Bethlehem. The bridge is not currently posted as it is used for pedestrian traffic only, however advanced section loss in the many of the steel columns combined with severe impact distortion to two columns makes this bridge a candidate for replacement. The bridge also has substandard vertical clearance of 12'-2", 14' is the required minimum. Emergency repairs were performed in January of 2019 to address structural issues with two support columns.

Amendment(s): 2022-2026 - new construction completion date
2023-2027 - Push out construction completion date and increase costs.
2024-2028 - Amended to increase costs.
2025-2029 - Push out completion date and increase State funding.

Project Status:	<u> </u> New	<u> </u> Existing	<u> </u> Amended	<u> </u> Continual	<u> </u> 1	<u> </u> Completed		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	4.166							4.166
NY State		(0.508)						(0.508)
Federal								-
Other		(0.756)						(0.756)
Total Project Cost	4.166	(1.264)	-	-	-	-	-	2.902

CR405 over 8 Mile Creek Culvert Replacement

Project Number: D2004

Debt Account: HHYB

Project Description:

This project replaces the existing triple, 60 foot long, 4 foot diameter corrugated metal pipe culverts with a single pre-cast concrete box culvert. The project is in the Town of Westerlo. Minor approach work and new railing will be included.

Amendment(s): 2022-2026 - New construction year and to update design and construction costs.
We applied for Bridge NY funding for this project. Funding for Bridge NY expected December 2021.
2023-2027 - Push out start date and increase costs.
2024-2028 - Push out start date and increase costs.
2025-2029 - Push out completion year and increase costs.

Project Status: _____	New	_____ 1 _____	Existing	_____ Amended _____	_____ Continual _____	_____ Completed _____		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	1.700							1.700
NY State								-
Federal								-
Other								-
Total Project Cost	1.700	-	-	-	-	-	-	1.700

CR 412 Culvert Replacement Project

Project Number: D2005

Debt Account: HHT7

Project Description:

This project was previously programmed as two separate projects in the capital plan. They were combined in an effort to save on construction costs. Two Culvert replacement projects on CR412 in the Town of Westerlo were combined into one project. Site 1 is located Just South of the Berne/Westerlo Line and Site 2 is located 0.25miles North of Slade Hill Rd. The existing corrugated metal pipes and reinforced concrete pipe culverts will be replaced with precast concrete box culverts.

Amendment(s): 2022-2026 - new construction completion date.
2023-2027 - Push out end date and increase costs.
2025-2029 - Pushout completion date.

Project Status: _____	New	_____ Existing	_____ Amended	_____ Continual	_____ 1	Completed		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	1.200							1.200
NY State								-
Federal								-
Other								-
Total Project Cost	1.200	-	-	-	-	-	-	1.200

D - DM Fund Public Works Capital Projects

Construction New Garage Buildings with Office Space for Various Subdivisions

Project Number: D2204

Debt Account: HHT8

Project Description:

The project description was amended to include design and construction of new garage buildings, fueling stations, truck washes, salt sheds, office space and outbuildings at VARIOUS subdivisions as well as update the project costs and completion date. This project will provide site plans, design, construction and furnishings for new highway garages, fueling stations, truck washes, salt sheds, office space and outbuildings at various subdivisions. The existing structures are beyond their useful life. The new structures will be large enough to house our plow trucks and heavy equipment and will save on future energy costs. Deficiencies noted in the "Department of Public Works Facility Assessment" report by C&S Companies in 2016, noted extensive structural, mechanical, and electrical system repairs are needed at both locations. The existing structures, are undersized and deteriorated

Amendment(s): 2023-2027 - Increase costs and combine with facilities project.
2024-2028 - Increase costs and expand scope to various substations.
2025-2029 - Expand scope of project and increase costs.
2026-2030 - Amended to expand scope of project.

Project Status: New Existing 1 Amended Continual Completed

Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	13.250		9.000	10.000				32.250
NY State	(0.380)							(0.380)
Federal								-
Other								-
Total Project Cost	12.870	-	9.000	10.000	-	-	-	31.870

Various Bridge Deck Replacements

Project Number: D2205

Debt Account: HHYB

Project Description:

This project will replace the deteriorated wooden bridge decks on three Ohio Truss structures in the Town of New Scotland. The bridges are as follows: BIN 3301340- Old Plank Rd. over Onesquethaw Creek, BIN 3301310 - Onesquethaw Creek Rd. over Onesquethaw Creek and BIN 3301300- Rowe Rd. over Onesquethaw Creek. Minor work will be done on approaches, railings bearings and substructures.

Amendment(s): 2023-2027 - Decreased design and CI costs, increased construction costs.
2024-2028 - Extend project timeline.
2025-2029 - Pushout completion date.

Project Status: New Existing Amended Continual 1 Completed

Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	1.450							1.450
NY State								-
Federal								-
Other								-
Total Project Cost	1.450	-	-	-	-	-	-	1.450

Albany County Culvert Replacements and Rehabilitation

Project Number: D2301

Debt Account: HHYB/HHTH

Project Description:

As part of maintenance operations, culverts on County roadways occasionally need to be rehabilitated or replaced. This project involves construction and reconstruction of various culverts in Albany County, as well as development of a county wide culvert inventory. Including, but not limited to, CR 111 over Unnamed Creek in Coeymans, CR 358 over Unknown Creek in the Town of Rensselaerville, CR 308 over Vlomankill in the Town of New Scotland, CR 411 over Silver Creek, in the Town of Westerlo, CR 53 over Coeymans Creek in the Town of Coeymans and CR 301 over a tributary to the Onesquethaw in the Town of New Scotland. This work also includes minor stream and approach work and installation of new guide rail where needed.

Amendment(s): 2024-2028 - Amended to increase costs and push out completion date.
2025-2029 - Amended to expand scope of projects.
2026-2030 - Amended to reflect new reimbursement year.

Project Status: New Existing Amended 1 Continual Completed

Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	5.545	0.500	3.000	0.750				9.795
NY State								-
Federal			(1.250)					(1.250)
Other								-
Total Project Cost	5.545	0.500	1.750	0.750	-	-	-	8.545

D - DM Fund Public Works Capital Projects

Albany County Bridge Replacements and Rehabilitation

Project Number: D2302

Debt Account: HHYB/HHTH

Project Description:

As part of maintenance operations, bridges on County roadways occasionally need to be rehabilitated or replaced. This project involves construction and reconstruction of various bridges in Albany County, including, but not limited to, CR 352 (Fox Creek Rd.) over Fox Creek in the Town of Rensselaerville (BIN 3301500), CR 2 over Fox Creek in the Town of Berne (BIN 3300860), CR 53 over Onesquethaw Creek in the Town of Bethlehem (BIN 3301020), CR 111 over Hannacroix Creek in the Town of Coeymans (BIN 3301090 & BIN 3301070), CR 106 over Hannacroix Creek in the Town of Coeymans (BIN 3301100), CR 406 over the Basic Creek in the Town of Westerlo (BIN 3301560), CR 201 over Black Creek in the Town of Guilderland (BIN 3301160), CR 357 over 10 Mile Creek in the Town of Rensselaerville (BIN 3301460), CR 102 over Onesquethaw Creek in the Town of Bethlehem (BIN 3301040). This work also includes minor stream and approach work, installation of new guide rail and acquisition of ROW when needed.

Amendment(s): 2024-2028 - Amended to increase costs and push out completion date.
2025-2029 - Increase cost and update funding schedule.
2026-2030 - Amended to update funding, reimbursement schedule and projects.

Project Status: _____		New		Existing		1		Amended		Continual		Completed	
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total				
County		3.500	3.000	5.000	4.000	3.600			19.100				
NY State			-	(2.473)	(2.375)	(2.375)			(7.223)				
Federal									-				
Other									-				
Total Project Cost		3.500	3.000	2.527	1.625	1.225	-	-	11.877				

Albany Shaker Road Corridor Enhancements

Project Number: D2303

Debt Account:

Project Description:

This project is part of the 2022-2027 TIP program. It would design the following: safety improvements at the intersection of Albany Shaker Rd. (ASR) and Everett Rd. (CR 155); safety and operational improvements at the intersection of ASR and Osborne Rd., left turn lanes at the Crossings/Emerich Rd. intersection and low cost changes at the Maxwell Rd. roundabout to reduce crashes. In addition, the project would design sidewalks along the corridor where practical and make ADA improvements.

Amendment(s): 2025-2029 - Push out completion date.

Project Status: _____	New	_____ 1	Existing	_____	Amended	_____	Continual	_____	Completed
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total	
County	0.780	-						0.780	
NY State	(0.624)	-						(0.624)	
Federal								-	
Other								-	
Total Project Cost	0.156	-	-	-	-	-	-	0.156	

Improvement and Acquisition of Albany County Recreational Facilities

Project Number: D2304

Debt Account: HHYA/HHYC

Project Description:

The purpose of the project is to improve and acquire recreational areas and facilities for the residents of Albany County, including, but not limited to the Albany County Rail Trail, Lawson Lake & Ann Lee Pond.

Amendment(s): 2024-2028 - Increase costs
2025-2029 - Increase project costs.

Project Status: _____	New	_____ 1 _____	Existing	_____ Amended _____	_____ Continual _____	_____ Completed _____			
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total
County		12.550	10.000						22.550
NY State									-
Federal									-
Other			(1.856)						(1.856)
Total Project Cost		12.550	8.144	-	-	-	-	-	20.694

D - DM Fund Public Works Capital Projects

Rail Trail Pedestrian Bridge Rehabilitation

Project Number: D2305

Debt Account: _____

Project Description: This project will design plans and investigate grant funding to rehabilitate various Albany County Rail Trail Bridges.

Amendment(s): 2025-2029 - Expand scope of project and increase costs.
2026-2030 - Amended to expand scope and increase costs.

Project Status:	<u> </u> New	<u> </u> Existing	<u> </u> 1	<u> </u> Amended	<u> </u> Continual	<u> </u> Completed		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County		0.250	0.500	0.300				1.050
NY State								-
Federal								-
Other								-
Total Project Cost	-	0.250	0.500	0.300	-	-	-	1.050

Potter Hollow Creek (CR 354) Stream Bank Stabilization

Project Number: D2306

Debt Account: _____

Project Description: This project will design stream bank stabilization along a ¼ mile length of Potter Hollow Creek in order to prevent washout of material behind our bridge wingwall on CR 354 over Potter Hollow Creek, (BIN 3301360). Included in the design will be grant writing to secure funding to construct the project.

Amendment(s): 2025-2029 - Pushout completion date and increase costs.

Project Status:	<u> </u> New	<u> </u> 1	<u> </u> Existing	<u> </u> Amended	<u> </u> Continual	<u> </u> Completed		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	0.250	0.250						0.500
NY State								-
Federal								-
Other								-
Total Project Cost	0.250	0.250	-	-	-	-	-	0.500

DPW Highway and Trail Improvement Projects

Project Number: D2401

Debt Account: HHYB

Project Description: This project will design and construct various highway and trail improvements. Improvements include but are not limited to repair of slope failures as well as intersection, pedestrian and bicycle safety improvements.

Amendment(s): 2025-2029 - Expand scope of project and increase costs.
2026-2030 - amended to expand scope and costs.

Project Status:	<u> </u> New	<u> </u> Existing	<u> </u> 1	<u> </u> Amended	<u> </u> Continual	<u> </u> Completed		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	0.500	0.900	0.500					1.900
NY State								-
Federal								-
Other								-
Total Project Cost	0.500	0.900	0.500	-	-	-	-	1.900

Albany Shaker Road Rehabilitation From CR155 to SR7

Project Number: D2501

Debt Account: _____

Project Description: This project includes rehabilitation of Albany Shaker Road between Watervliet Shaker Rad and SR7. Pavement rehabilitation, signal upgrades and drainage improvements are included in this project.

Amendment(s): 2026-2030 - amended to push out dates.

Project Status:	<u> </u> New	<u> </u> Existing	<u> </u> 1	<u> </u> Amended	<u> </u> Continual	<u> </u> Completed		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County			0.350	4.000				4.350
NY State								-
Federal								-
Other								-
Total Project Cost	-	-	0.350	4.000	-	-	-	4.350

D - DM Fund Public Works Capital Projects

Paving - Other than Roadways

Project Number: D2502

Debt Account: _____

Project Description: This project will pave County owned parking lots and other County owned areas in need of paving that are not roadways. The project also includes sidewalk and ADA improvements where necessary.

Amendment(s): 2026-2030 - amended push out dates and increase costs.

Project Status: _____	New	_____ Existing	_____ Amended	_____ 1	Continual	_____ Completed		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	1.000		0.500					1.500
NY State								-
Federal								-
Other								-
Total Project Cost	1.000	-	0.500	-	-	-	-	1.500

Various Traffic Signal Upgrades

Project Number: D2503

Debt Account: _____

Project Description: This project will evaluate existing County owned traffic and pedestrian signals and design, purchase and install any necessary upgrades.

Amendment(s): _____

Project Status: _____	New	_____ 1	Existing	_____ Amended	_____ Continual	_____ Completed		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County		0.250						0.250
NY State								-
Federal								-
Other								-
Total Project Cost	-	0.250	-	-	-	-	-	0.250

Public Works Capital Plan Summary of All Projects

Project Status:	<u>0</u>	New	<u>7</u>	Existing	<u>6</u>	Amended	<u>4</u>	Continual	<u>5</u>	Completed
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total	
County		92.149	26.000	23.550	21.750	6.300	2.700	2.700	175.149	
NY State		(1.004)	(0.508)	(2.473)	(2.375)	(2.375)	-	-	(8.735)	
Federal		(0.422)	(0.664)	(7.504)	(7.250)	-	-	-	(15.840)	
Other		-	(2.612)	-	-	-	-	-	(2.612)	
Total Project Cost		90.723	22.216	13.573	12.125	3.925	2.700	2.700	147.962	

G Fund Water Purification Capital Projects

North and South Plant HVAC Upgrades									
Project Number: <u>G2301</u>			Debt Account: _____						
Project Description:		Project to include the study, design, and construction of improvement to existing HVAC systems at both the North and South treatment plants. Existing HVAC systems at both facilities are at the end of their useful life.							
		Amendment(s): 2024-2028 - Increase Costs.							
Project Status:		<u> </u> New	<u> 1 </u> Existing	<u> </u> Amended	<u> </u> Continual	<u> </u> Completed			
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total
County State Federal Other			1.000	0.500					1.500
									-
									-
									-
Total Project Cost		-	1.000	0.500	-	-	-	-	1.500

North Plant Treatment Process Improvements										
Project Number: <u>G2401</u>			Debt Account: _____							
Project Description:			Project will include improvements to unit treatment process associated with the North Plant. Phase 1 of the project will include replacement of the mechanical screens, grit system, primary and secondary clarifiers, plant water pumps and upgrades to the high voltage electrical system. Upgrades have been identified in a 2023 Capital Study that is currently being finalized. Grouping projects together will allow the District to maximize the potential for grant opportunities in the coming years. Cost has been amended to \$72,594,000 to account for EFC fees that were incorporated into the EFC Intended Use Plan (IUP).							
Amendment(s): 2025-2029 - cost of project amended to account for EFC fees.										
Project Status:		<u> </u> New	<u> </u> 1	<u> </u> Existing	<u> </u> Amended	<u> </u> Continual	<u> </u> Completed			
Authorized Funding			Pre-2025	2025	2026	2027	2028	2029	2030	Total
County State Federal				10.000	25.000	35.000	2.444	-		72.444
										-
										-
Other Approp.			0.150							0.150
Total Project Cost			0.150	10.000	25.000	35.000	2.444	-	-	72.594

South Plant Treatment Process Improvements									
Project Number: <u>G2402</u>		Debt Account: _____							
Project Description:	Project will include improvements to unit treatment process associated with the North Plant. Phase 1 of the project will include replacement of grit system, primary and secondary clarifiers, plant water pumps and upgrades to the high voltage electrical system. Upgrades have been identified in a 2023 Capital Study that is currently being finalize. Grouping projects together will allow the District to maximize the potential for grant opportunities in the coming years. Project cost was amended to \$41,042,000 to incorporate administration fees from EFC that were included on the 2024 Intended Use Plan (IUP)								
	Amendment(s): 2025-2029 - cost of project amended to account for administration fees from EFC.								
Project Status: _____		New	Existing	1	Amended	Continual	Completed		
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total
County			5.000	15.000	15.000	6.042			41.042
State									-
Federal									-
Other Approp.									-
Total Project Cost		-	5.000	15.000	15.000	6.042	-	-	41.042

G Fund Water Purification Capital Projects

Sludge Processing Improvements

Project Number: G2501

Debt Account: _____

Project Description:

Project to include the study, design and construction of improvements to the existing North and South Plant sludge processing systems. Project was amended to eliminate the South Plant Sludge processing consolidation based on comments received from DEC in 2024. Project will now include improvements to the North and South Plant incinerators, sludge mixing and dewatering systems. The North Plant incinerators will be outfitted with anew emissions system. Project will also include a new Septage and FOG receiving station at the North Plant project. Project is expected to receive EFC funding and a \$25M Bipartisan Infrastructure Grant and 0% hardship financing for up to \$25M.

Amendment(s): 2026-2030 - amended to change scope of project.

Project Status:	<u> </u> New	<u> </u> Existing	<u> </u> 1	Amended	<u> </u> Continual	<u> </u> Completed			
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total
County State Federal Other			4.400	19.000	28.000	10.000			61.400
									-
									-
		0.100	2.500	1.000					3.600
Total Project Cost		0.100	6.900	20.000	28.000	10.000	-	-	65.000

Water Purification District Capital Plan Summary: All Projects

Project Status:	<u>0</u>	New	<u>2</u>	Existing	<u>2</u>	Amended	<u>0</u>	Continual	<u>0</u>	Completed
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total		
County	-	20.400	59.500	78.000	18.486	-	-	176.386		
State	-	-	-	-	-	-	-	-		
Federal	-	-	-	-	-	-	-	-		
Other	0.250	2.500	1.000	-	-	-	-	3.750		
Total Project Cost	0.250	22.900	60.500	78.000	18.486	-	-	180.136		

NH Fund Shaker Place Capital Projects

Albany County Nursing Common Areas Renovations

Project Number: N1501

Debt Account: HNN5

Project Description:

The modernization of common areas. This would include remodeling the main bathrooms and showers to a functional level. Modernizing outer restrooms to a more appealing look and increased functionality. Replacing tables, chairs and wall coverings in common areas and to a more up to date style. Also, the remodeling of an area to an ADL (Activities of Daily Living) apartment for increased therapy billings.

Amendment(s):

Project Status: _____		New	_____ 1 _____	Existing	_____ Amended _____	_____ Continual _____	_____ Completed _____		
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total
County State Federal Other		0.385							0.385
									-
Total Project Cost		0.385	-	-	-	-	-	-	0.385

Albany County Nursing Room Furnishings

Project Number: N1502

Debt Account: HNN5

Project Description:

The replacement of the current beds, bureaus, bedside stands, over-bed tables and high-back chairs in the resident's personal rooms. This replacement would be to modernize the current room furnishings as many are past the end of their useful life, in disrepair or damaged, mismatched and/or outdated.

Amendment(s):

Project Status: _____		New	_____ 1 _____	Existing	_____ Amended _____	_____ Continual _____	_____ Completed _____		
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total
County State Federal Other		0.571							0.571
									-
Total Project Cost		0.571	-	-	-	-	-	-	0.571

Albany County Nursing Unit Living Areas Replacement

Project Number: N1503

Debt Account: HNN5

Project Description:

The modernization of resident rooms and living areas. This would include new drapes, cubicles, HVAC units, a facelift for personal bathrooms and updating the flooring on the units. Two units would be started and completed in 2015 with the four other units being completed in 2016.

Amendment(s):

Project Status: _____		New	_____ 1	Existing	_____ Amended	_____ Continual	_____ Completed		
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total
County State Federal Other		1.500							1.500
									-
Total Project Cost		1.500	-	-	-	-	-	-	1.500

NH Fund Shaker Place Capital Projects

Albany County Nursing Home New Construction Project

Project Number: N1701

Debt Account: HNN8

Project Description:

The purpose of this project is to add an addition to the existing nursing home with approximately 125 new conforming beds, ancillary areas, resident dining and activity rooms, handicapped bathrooms and country style kitchens. This addition will be located on the same site as the existing nursing home. This project will require NYSDOH approval, architectural and engineering with drawings, land studies, surveys, subcontracting, construction management and will permit the current capital plan to be implemented that has been approved and funded by Albany County and the Legislature, however, this initiative will require additional funding. This construction project will require modifications to the existing high rise some mandated and others to accommodate alternate uses, since this part of the facility after the new construction will not be used by the nursing residents. This construction /renovation including the cost of architect, construction manager, sub-contractors, HVAC, moveable equipment and non-moveable equipment under the NYSDOH capital expenditure regulations is considered reimbursable through our Medicaid Rate. A Certificate of Need application will be filed with the NYSDOH. Redo 18-310 The purpose of this project is to add an addition to the existing nursing home with approximately 125 new conforming beds, ancillary areas, resident dining and activity rooms, handicapped bathrooms and country style kitchens. By creating this new facility that will be attached to the current nursing home we will meet the NYSDOH and ADA requirements. It has been determined that remediation of the Albany County Nursing Home is required. To perform this remediation which is outside of the original new construction and renovation project it will be necessary to remove in the existing nursing home all the of walls, partitions, showers, sinks and toilets. Through discussion with the New York State Department of Health they agreed since all resident rooms will be gutted that this new construction should be made handicap accessible and we agreed. This redesign will include the reconfiguration to accommodate a new medical record department with appropriate protected storage and a ADA staff bathing and locker facilities.

Amendments:

Project Status:	New	1	Existing	Amended	Continual	Completed		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	62.000							62.000
State								
Federal								
Other								-
Total Project Cost	62.000	-	-	-	-	-	-	62.000

Albany County Nursing Home Vehicle Replacement

Project Number: N1901

Debt Account: HNN0

Project Description:

Albany County Nursing Home is in need of replacing our current vehicle fleet. The vehicles have been in service many years without replacement and are at end of life. This would include, but not be limited to, stretcher capable vans for transporting our residents to off-site appointments.

Amendment(s): 2025-2029 - Amended to extend time line and increase costs.

Project Status:	New	1	Existing	Amended	Continual	Completed		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	0.350	0.250	-	-	0.250	-	-	0.850
State								
Federal								
Other								-
Total Project Cost	0.350	0.250	-	-	0.250	-	-	0.850

NH Fund Shaker Place Capital Projects

Shaker Place Garage and Parking Lot Extension

Project Number: N2201

Debt Account: HNNB

Project Description: To construct a new garage to house the vehicles of Shaker Place Rehabilitation and Nursing Center and to create additional parking facilities across the street at the current DPW worksite. These projects will require the demolishing of buildings on the Shaker Place property and DPW. The new structure will be utilized by both departments. And will include appropriate climate equipment, offices, storage compartments and the roadway to the location will require site work, paving, electric and plumbing. This facility will be utilized by the Department of Public Works as well as Shaker Place and reimbursement will be sought for the portion of the facility that will be utilized by Shaker Place.

Amendment(s): 2023-2027 - Increase costs.

2024-2028 - Pushout end date into 2024 and distribute needed funding over two years.

Project Status:	<u> </u> New	<u> </u> 1	<u> </u> Existing	<u> </u> Amended	<u> </u> Continual	<u> </u> Completed		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	9.295	2.000						11.295
State								
Federal								
Other								-
Total Project Cost	9.295	2.000	-	-	-	-	-	11.295

Day Care Center

Project Number: N2202

Debt Account: HNNA

Project Description: Shaker Place will be renovating/constructing the vacant Shaker Place Wing into a child day care center, following the requirements of the New York Office of Children and Family Services, Division of Child Care Services. This project will include the renovation of existing rooms to suite the child day care program, install and or update the sprinkler and fire alarm system, replace all windows, lighting, bathrooms, flooring and electrical panels and wiring, install HVAC system for air conditioning and heating, create a fenced in outdoor playground, conduct asbestos survey and remove as required by regulation, install security cameras and monitoring system, create new front entrance and furnishings.

Amendment(s): 2023-2027 - Update funding needs.

Project Status:	<u> </u> New	<u> </u> 1	<u> </u> Existing	<u> </u> Amended	<u> </u> Continual	<u> </u> Completed		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County	4.557	-	-	-	-	-	-	4.557
State								
Federal								
Other								-
Total Project Cost	4.557	-	-	-	-	-	-	4.557

Food Service and Distribution Equipment

Project Number: N2501

Debt Account: HNND

Project Description: Shaker Place provides meal service to the residents with a tray line consisting of transportation and storage equipment. This product line has come to the end of it's useful life and will need to be replaced. In addition to storage and transportation equipment and service equipment including small-wares that are used for distribution, conversion of space in the employee dining area to a multi-use cafe with equipment to prepare, store and sell food to the staff at the facility.

Amendment(s): 2026-2030 - amended to add additional costs to project.

Project Status:	<u> </u> New	<u> </u> Existing	<u> </u> 1	<u> </u> Amended	<u> </u> Continual	<u> </u> Completed		
Authorized Funding	Pre-2025	2025	2026	2027	2028	2029	2030	Total
County		1.000	0.300	-	-	-	-	1.300
State								
Federal								
Other								-
Total Project Cost	-	1.000	0.300	-	-	-	-	1.300

NH Fund Shaker Place Capital Projects

Information Technology Infrastructure and Computer System Upgrades

Project Number: N2601

Debt Account: _____

Project Description: This project is to modify the current systems at Shaker Place Rehabilitation and Nursing Center to allow for stand alone Internet service with firewall installation, switches and required back-end infrastructure. This will also allow for the upgrading of in-house desktop and laptop assets through the duration of the project as many of these items will reach end of life.

Amendment(s): _____

Project Status: <u>1</u> New		Existing		Amended		Continual		Completed	
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total
County State Federal Other			0.495	0.150	-	0.175	-	-	0.820
									-
Total Project Cost		-	0.495	0.150	-	0.175	-	-	0.820

High-Rise Infrastructure and Floor Remodeling

Project Number: N2602

Debt Account: _____

Project Description: This project is to refit the High-Rise floors of 2HR, 3HR and 4HR. These floors were decommissioned as resident units and this project would be used to return them to a usable form. The HVAC, plumbing and electrical are original to the facility build in the 1970's and will be replaced to fit the new use. The 2HR floor will be used by Shaker Place and appropriate capital reimbursement will be sought.

Amendment(s): _____

Project Status: <u>1</u> New		<u>Existing</u>		<u>Amended</u>		<u>Continual</u>		<u>Completed</u>	
Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total
County State Federal Other				5.000	5.000	5.000	-	-	15.000
									-
Total Project Cost		-	-	5.000	5.000	5.000	-	-	15.000

Albany County Nursing Home Capital Plan Summary: All Projects

Project Status: 2 New 7 Existing 1 Amended 0 Continual 0 Completed

Authorized Funding		Pre-2025	2025	2026	2027	2028	2029	2030	Total
County State Federal Other		78.658	3.745	5.450	5.000	5.425	-	-	98.278
		-	-	-	-	-	-	-	
		-	-	-	-	-	-	-	
		-	-	-	-	-	-	-	-
Total Project Cost		78.658	3.745	5.450	5.000	5.425	-	-	98.278

RESOLUTION NO. 283

BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, DATED JULY 14, 2025, AUTHORIZING INFORMATION TECHNOLOGY INFRASTRUCTURE AND COMPUTER SYSTEM UPGRADES AT SHAKER PLACE REHABILITATION AND NURSING CENTER, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$495,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$495,000 BONDS OF SAID COUNTY TO FINANCE SAID APPROPRIATION

Introduced: 7/14/25

By Audit and Finance Committee:

THE COUNTY LEGISLATURE OF THE COUNTY OF ALBANY, NEW YORK, HEREBY RESOLVES AS FOLLOWS:

Section 1. The County of Albany, New York (the “County”) is hereby authorized to undertake information technology infrastructure and computer system upgrades at Shaker Place Rehabilitation and Nursing Center, as further described in the County’s 2026-2030 Capital Program, as amended and supplemented (hereinafter referred to as the “Capital Program”). The estimated maximum cost of said specific object or purpose, including preliminary costs and costs incidental thereto and the financing thereof (including the costs relating to the issuance of the obligations authorized by this resolution), is an amount not to exceed \$495,000 and said amount is hereby appropriated therefor. The plan of financing is described as follows: (a) the issuance of an amount not to exceed \$495,000 of bonds (and bond anticipation notes in anticipation of the issuance of such bonds) in such series and amounts as may be necessary to pay the cost thereof, (b) the receipt of various federal and state grants, and/or (c), subject to the discretion of the County, the application of moneys from the County’s General Fund or such other moneys of the County that may be available to pay the costs thereof. In the event that such grants or other moneys become available, the County will issue obligations in a principal amount less than the \$495,000 amount authorized by this resolution, or pay down such obligations with the amount of such grants and other moneys received by the County.

Section 2. Bonds (and bond anticipation notes in anticipation of the issuance of such bonds) in the aggregate principal amount not to exceed \$495,000 to finance said appropriation are hereby authorized to be issued pursuant to the provisions of the Law.

Section 3. The following additional matters are hereby determined and stated:

(a) The period of probable usefulness applicable to the specific object or purpose herein authorized and for which \$495,000 of said bonds are herein

authorized to be issued, within the limitations of Section 11.00a. 32 and 108 of the New York Local Finance Law (the “Law”), is five (5) years.

(b) Current funds are not required by the Law to be provided as a down payment prior to the issuance of the bonds authorized by this resolution or any bond anticipation notes issued in anticipation thereof in accordance with Section 107.00 of the Law.

(c) The proposed maturity of the bonds authorized by this resolution will not exceed five (5) years.

Section 4. The bonds authorized by this resolution and any notes issued in anticipation of the sale of such bonds shall contain the recital of validity prescribed by Section 52.00 of the Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County, payable as to both principal and interest by a general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and provision shall be made annually in the budget of the County by appropriation for (a) the amortization and redemption of the bonds and any notes in anticipation thereof to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 5. Subject to the provisions of this resolution and of the Law, pursuant to the provisions of Section 30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals of said notes and of Section 21.00, Section 23.00, Section 50.00, Sections 56.00 to 60.00, Section 62.00, Section 63.00, Section 164.00 and Section 168.00 of the Law, the powers and duties of the County Legislature pertaining or incidental to the sale and issuance of the obligations herein authorized, including but not limited to authorizing bond anticipation notes and prescribing the terms, form and contents and as to the sale and issuance of the bonds herein authorized and of any bond anticipation notes issued in anticipation of said bonds, and the renewals of said notes, are hereby delegated to the County Comptroller, the chief fiscal officer of the County.

Section 6. The County Comptroller is further authorized to take such actions and execute such documents as may be necessary to ensure the continued status of the interest on the bonds authorized by this resolution and any notes issued in anticipation thereof, as excludable from gross income for federal income tax purposes pursuant to Section 103 of the Internal Revenue Code of 1986, as amended (the “Code”) and to designate the bonds authorized by this resolution and any notes issued in anticipation thereof, if applicable, as “qualified tax-exempt bonds” in accordance with Section 265(b)(3)(B)(i) of the Code.

Section 7. The County Comptroller is further authorized to enter into a continuing disclosure undertaking with the initial purchaser of the bonds or notes

authorized by this resolution, containing provisions which are satisfactory to such purchaser in compliance with the provisions of Rule 15c2-12, promulgated by the Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934.

Section 8. Pursuant to Article 8 of the Environmental Conservation Law, Chapter 43-B of the Consolidated Laws of New York, as amended (the “SEQR Act”) and the regulations adopted pursuant thereto by the Department of Environmental Conservation of the State of New York, being 6 NYCRR Part 617, as amended (the “Regulations” and collectively with the SEQR Act, “SEQRA”), the County must satisfy the requirements contained in SEQRA prior to making a final determination whether to proceed with the above referenced project. Based upon an examination of the projects and memoranda from the Albany County Department of Economic Development, Conservation and Planning, the County hereby makes the following determination: The project authorized by this resolution described in Section 1 constitutes a “Type II action” pursuant to 6 NYCRR 617.5(c)(1) and (5); and therefore that, pursuant to 6 NYCRR 617.6(a)(1)(i), the County has no further responsibilities under SEQRA with respect to the project.

Section 9. The County may initially use funds from the General Fund or such other funds that may be available to pay the cost of the specific objects or purposes authorized by this resolution, pursuant to Section 165.10 of the Law. If the County determines to issue bonds or bond anticipation notes to finance the specific objects or purposes authorized by this resolution, the County then reasonably expects to reimburse such expenditures with the proceeds of such bonds or bond anticipation notes. If the County determines to issue bonds or bond anticipation notes to finance the specific objects or purposes authorized by this resolution, then this resolution shall constitute the declaration of the County’s “official intent” to reimburse the expenditures authorized by Section 1 hereof with the proceeds of the bonds and notes authorized herein, as required by United States Treasury Regulation Section 1.150-2. The provisions of this section are expressly subject to the plan of finance as determined by the County as described in Section 1 above.

Section 10. The validity of the bonds authorized by this resolution, and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

- (1) (a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or
- (b) the provisions of law which should be complied with at the date of the publication of such resolution are not substantially complied with,

and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or

- (2) such obligations are authorized in violation of the provisions of the constitution.

Section 11. This bond resolution shall take effect immediately and the Clerk of the County Legislature is hereby authorized and directed to publish the foregoing resolution in full (or a summary as permitted by the Law), together with a notice attached in substantially the form as prescribed in Section 81.00 of the Law, in the official newspaper(s) of the County for such publication.

LOCAL LAW NO. “G” FOR 2025

A LOCAL LAW DESIGNATING THE DEVELOPMENT OF AFFORDABLE HOUSING AS A GOVERNMENTAL AND PUBLIC PURPOSE OF THE COUNTY OF ALBANY, NEW YORK

Introduced: 7/14/25

By Cunningham and Willingham:

BE IT ENACTED BY THE LEGISLATURE OF THE COUNTY OF ALBANY AS FOLLOWS:

Section 1. Title.

The Albany County Affordable Housing Initiatives, is hereby created.

Section 2. Legislative Findings and Intent.

Affordable housing is one of the greatest challenges throughout New York State, and Albany County is no different. It is traditionally accepted that people should pay no more than thirty percent (30%) of the area’s median income for housing. But nearly fifty percent (41%) of Albany County renters are spending over 30% of their income on housing. Annually, renters’ purchasing power fails to keep up with increasing rent. From 2022 to 2023, renter’s wages increased by an average of 3.3%, while apartment rents increased by an average of 8.8%. As rents continue to climb, this crisis continues to grow.

Beyond renting, individuals making the median income in Albany County cannot afford homeownership either. Single-income households with median incomes face a significant shortfall on their annual mortgage, even under generous interest and loan terms, a shortfall that wouldn’t be fully bridged in a multi-income household. Too many residents in Albany County are financially unable to purchase homes within the County. With homeownership directly tied to the growth of generational wealth, educational attainment, and family stability, it is imperative that this crisis is addressed.

An increase in housing supply, both in the rental and ownership markets, will aid in easing the financial burden on renters and owners in the County. However, affordable housing development faces substantial challenges. Interested parties struggle to secure the necessary investment to rehabilitate or construct housing in the urban and rural areas where housing is most needed and effective. Decades of residential flight, neglect, and blight have created a financing environment where predevelopment funding for architectural or engineering needs is hard to acquire. As investment continues to lag behind development, the crisis exacerbates.

To respond to these crises, and pursuant to Article IX of the New York State Constitution and Municipal Home Rule Law Section 10, Albany County may exercise its broad police powers to provide for comprehensive affordable housing initiatives.

Based upon the critical shortage of affordable housing confronting Albany County, the Albany County Legislature finds that creating affordable housing initiatives serves a valid governmental and public purpose.

Section 3. Definitions.

- A. Affordable Housing: shall be generally defined as housing in which the occupant is paying no more than thirty (30%) percent of their gross income for housing costs, including utilities for persons or families deemed to be or eligible to be deemed to be of extremely low, very low, low, or moderate income by any federal or state housing program. Nothing in the definition shall prevent the County from funding housing services either above or below this affordability level when such funding is in keeping with federal or state programs providing housing assistance or where any income restricted housing, whether intended for rental or homeownership, is subject to a regulatory agreement with a local, state, or federal governmental entity.
- B. Housing Accommodation: shall be defined as any building, structure, or portion thereof that is occupied as or intended for occupancy as a residency by one or more families and any vacant land that is offered for sale or lease for the construction thereon of any building, structure, or portion thereof intended to be so occupied.
- C. Housing: shall be defined as and include all Housing Accommodations as herein defined.

Section 4. Public Purpose.

- A. The Albany County Legislature hereby determines that the development, maintenance, management, and/or provision of Affordable Housing is a public purpose in accordance with the New York State Constitution Section IX and Municipal Home Rule Law Section 10.
- B. To address the housing supply, housing affordability, and housing development challenges in Albany County, this Local Law authorizes, pursuant to rules and regulations adopted by the Legislature:

- i. The creation of a Committee, housed within the Advance Albany County Alliance, empowered to address Affordable Housing;
- ii. The development of a revolving loan fund to connect developers with financial, advisory, or educational assistance for the development of Affordable Housing;
- iii. The undertaking and creation of grants, loans, and/or other support services tailored to expanding Affordable Housing;
- iv. Efforts to expand the housing supply by the provision of financial, educational, and advisory services to developers of Affordable Housing; and
- v. The establishment of a reserve fund and/or the use of a portion of the unassigned fund balance to support the provision of the above initiatives.

C. This Local Law and the designations set forth herein shall apply to all housing initiatives within Albany County.

Section 5. Procedure for Authorization.

Any grant, loan, support service or development fund initiative made by the County may be further conditioned by the County Legislature on its approval of a subsequent agreement as may be necessary or convenient to accomplish the purposes of this Local Law and set forth the terms and conditions of any such grant, loan, support service, or development fund initiative. The preparation, creation, negotiation, finalization, approval, execution, and delivery of any such agreement is hereby authorized by this Local Law. No such authorization shall provide for the funding of any such advance, grant, loan, subsidy, or contribution out of proceeds of obligations issued by the County pursuant to the New York State Finance Law.

Section 6. Severability.

If any clause, sentence, paragraph, subdivision, or part of this Local Law or the application thereof to any person, firm, corporation or circumstance, shall be adjudged by any court of competent jurisdiction to be invalid or unconstitutional, such order or judgment shall not affect, impair, or invalidate the remainder of the Local Law, but shall be confined in its operation to the clause, sentence, paragraph, subdivision, or part of the Local Law or in its application to the person, individual, firm, corporation or circumstance directly involved in the controversy in which such judgment or order may be rendered.

Section 7. SEQRA Compliance.

This County Legislature determines that this Local Law constitutes a “Type II action” pursuant to the provisions of the State Environmental Quality Review Act (SEQRA), and that no further action under SEQRA is required.

Section 8. Effective Date.

The Local Law shall take effect immediately upon its filing with the Secretary of State.

RESOLUTION NO. 284

**PUBLIC HEARING ON PROPOSED LOCAL LAW NO. “G” FOR 2025: A
LOCAL LAW DESIGNATING THE DEVELOPMENT OF AFFORDABLE
HOUSING AS A GOVERNMENTAL AND PUBLIC PURPOSE OF THE
COUNTY OF ALBANY, NEW YORK**

Introduced: 7/14/25

By Cunningham and Willingham:

RESOLVED, By the County Legislature of the County of Albany that a public hearing on proposed Local Law No. “G” for 2025, “A LOCAL LAW DESIGNATING THE DEVELOPMENT OF AFFORDABLE HOUSING AS A GOVERNMENTAL AND PUBLIC PURPOSE OF THE COUNTY OF ALBANY, NEW YORK” to be held by the Albany County Legislature at 7:00 p.m. on Tuesday, August 26, 2025, with participation information to be made available on the Albany County website, and the Clerk of the County Legislature is directed to cause notice of such hearing to be published containing the necessary information in accordance with the applicable provisions of law.

LOCAL LAW “B” FOR 2025

A LOCAL LAW OF THE COUNTY OF ALBANY, NEW YORK AMENDING CHAPTER 297 OF THE ALBANY COUNTY CODE, SETTING FORTH REQUIREMENTS THAT THE ALBANY COUNTY DEPARTMENT OF HEALTH NOTIFY LOCAL OFFICIALS REGARDING ELEVATED CONTAMINANT LEVELS FOUND IN DRINKING WATER IN ALBANY COUNTY

Introduced: 3/10/25

By Cunningham, Kuhn, Reinhardt, Miller, Feeney, Ricard, Plotsky, Lekakis, Perlee, Lockart, Alix, Beston, Cleary, Ethier, Gillespie, Lane, Laurilliard, McLaughlin, Pedo, Rosano, Whale, Willingham, Reidy, R. Joyce and Collins:

BE IT ENACTED BY THE LEGISLATURE OF THE COUNTY OF ALBANY AS FOLLOWS:

Section 1. Article Creation.

Chapter 297, Article III, Notification Requirements for Elevated Contaminant Levels in Drinking Water, is hereby created.

Section 2. § 25 – Legislative Purpose and Intent.

This legislation is to ensure that when the Albany County Department of Health has determined, either through its own testing or from notice provided by the State of New York or any federal agency, that elevated levels of contaminants found in soil or water, including but not limited to drinking water, are a threat to public health, that the Department of Health shall provide notice to the appropriate local officials and municipalities.

Section 3. § 26 - Definition of Terms.

“Albany County” – shall mean the geographic boundaries of the County of Albany, New York.

“Contaminants” – shall mean any and all amounts of chemicals, debris, bacteria, viruses, or any other such materials not native or naturally occurring in the water supply which in increased amounts could constitute a threat to public health.

“Elevated Levels” – shall mean any amount of contaminants which are in excess of limits established either by the Albany County Department of Health, or by those State of New York or federal agencies qualified to report to the Department of Health, and which at such levels represent a threat to public health.

“Local Representatives” – shall mean those elected officials responsible to the People of Albany County, including but not limited to County Legislator, and the Mayor, Supervisor, Councilmember, Board Member or Trustee of any City, Town or Village within Albany County.

“Threat to Public Health” – shall mean a determination by the Albany County Department of Health that consumption of drinking water with sufficient contaminants as to constitute a danger to individual health and wellbeing of the People of Albany County.

Section 4. § 27 - Drinking Water Notification Requirements.

A. Within two days of the Albany County Department of Health determining, either through its own testing methods or from notice provided by the State of New York or any federal agency, that elevated levels of contaminants have been found that could impact soil or water, including but not limited to drinking water, and that pose a threat to public health, the Department of Health shall provide written notice to local representatives as follows:

- 1) The Albany County Executive; and
- 2) The Albany County Legislator(s) who represents the legislative district(s) affected by the elevated levels of contaminants; and
- 3) The Albany County Legislator(s) who represents the legislative district(s) bordering the district(s) affected by the elevated levels of contaminants; and
- 4) Each City, Town, or Village Mayor or Supervisor who represents the municipality affected by the elevated levels of contaminants; and
- 5) Each City, Town, or Village Mayor or Supervisor who represents the bordering municipality affected by the elevated levels of contaminants; and
- 6) Each City, Town, or Village Council or Board member who represents the municipality affected by the elevated levels of contaminants; and
- 7) Each City, Town, or Village Council or Board member who represents the bordering municipality affected by the elevated levels of contaminants.

B. All notifications pursuant to this Section shall be sent via regular mail, with an electronic mail following which shall contain a digital version or electronic link to the notification along with all accompanying documents and information.

Section 5. § 28 - Authority to Promulgate Appropriate Rules and Regulations.

The Albany County Department of Health is hereby authorized and empowered to promulgate all rules and regulations necessary to effectuate the implementation of this Local Law. Within 90 days of the adoption of this Local Law, the Department of Health shall report to the Albany County Legislature and the Albany County Executive with said rules and regulations, or, in the event that such rules and regulations are not complete at such time, shall provide a status report of the reasons for such delay, and additionally, shall continue to report to both the County Legislature and County Executive every 30 days thereafter with an update until such rules and regulations are completed.

Section 5. Severability.

If any clause, sentence, paragraph, subdivision, or part of this Local Law or the application thereof to any person, firm, corporation or circumstance, shall be adjudged by any court of competent jurisdiction to be invalid or unconstitutional, such order or judgment shall not affect, impair, or invalidate the remainder of the Local Law, but shall be confined in its operation to the clause, sentence, paragraph, subdivision, or part of the Local Law or in its application to the person, individual, firm, corporation or circumstance directly involved in the controversy in which such judgment or order may be rendered.

Section 6. SEQRA Compliance.

This County Legislature determines that this local law constitutes a “Type II action” pursuant to the provisions of the State Environmental Quality Review Act (SEQRA), and that no further action under SEQRA is required.

Section 7. Effective Date.

This local law shall take effect immediately after its filing with the Secretary of State.

Referred to Law and Health Committees – 3/10/25

Favorable Recommendation Law Committee – 5/28/25

Favorable Recommendation Health Committee – 6/26/25

LOCAL LAW NO. “D” FOR 2025

A LOCAL LAW OF THE COUNTY OF ALBANY, NEW YORK [AUTHORIZING APPROPRIATION OF FUNDS TO] MAKING A DETERMINATION THAT PROMOTING OR ENCOURAGING THE UNDERTAKING OF CONVENTION FACILITY PROJECTS BY THE ALBANY CONVENTION CENTER AUTHORITY IS A GOVERNMENTAL AND PUBLIC PURPOSE OF THE COUNTY OF ALBANY, NEW YORK

Introduced: 5/12/25

By: Cunningham, Feeney, Willingham, and Domalewicz:

BE IT ENACTED BY THE LEGISLATURE OF THE COUNTY OF ALBANY AS FOLLOWS:

Section 1. Article Creation.

Chapter 135, Article 2, **Albany County Convention Center Authority Project**, is hereby created.

Section 2. § 135-6 – Legislative Intent and Purpose.

It is declared to be the intent and purpose of this local law (the “Local Law”) to enable Albany County, New York (the “County”), as one of its public or municipal purposes, to publicize the advantages of the County and the greater Capital District Region by promoting or encouraging the undertaking of certain projects (each a “Convention Facility Project”) in the County involving the acquisition, construction, reconstruction, renovation, improvement, maintenance, equipment and/or furnishment of new and/or existing facilities for a convention center (each, a “Convention Facility”). Convention Facilities may include, but not be limited to, facilities consisting of trade exhibition, hotel accommodations, transportation infrastructure, parking, tourism, theatre facilities, retail business, commercial office space or sports facilities, including services for the operation and maintenance thereof.

Section 2. § 135-7 – Public Purposes.

The promotion or encouragement of Convention Facility Projects in the County constitutes a governmental and public purpose for the benefit of the people of the County and the greater Capital District Region for which the County shall have the power to appropriate public funds of the County for the purpose of making, and shall have the power to make advances, loans, subsidies, or contributions to the Albany Convention Center Authority (the “Authority”) for any of the following objects or

purposes, each of which is found and determined to be a governmental and public purpose of the County:

- (a) The undertaking of various Convention Facility Projects; and
- (b) The planning, design, development, siting, effectuation, financing, operation, maintenance, administration or other implementation of Convention Facility Projects.

The promotion or encouragement of any Convention Facility Project assisted by the County under this Local Law shall be deemed to be the performance of an essential governmental function by the County acting in its governmental capacity.

Section 3. § 135-8 – Procedure for Authorization.

Each advance, loan, subsidy, or contribution to be made by the County to the Authority pursuant to this Local Law shall be conditioned upon approval by the County Legislature of the terms and conditions of such advance, loan, subsidy, or contribution. Each advance, loan, subsidy, or contribution to be made by the County to the Authority pursuant to this Local Law may further be conditioned by the County Legislature upon the approval by the County Legislature of such agreement as may be necessary or convenient to accomplish the purposes of this Local Law and set forth, among other things, the terms and conditions of any such advance, loan, subsidy, or contribution. The preparation, creation, negotiation, finalization, approval and execution and delivery of any such agreement is hereby authorized by this Local Law. The County may determine pursuant to such agreement if the monies appropriated pursuant to this Local Law shall be subject to offset by the County to the Authority [repayment by the Authority to the County and, in such event, the manner and time or times for such repayment]. The approval of such agreement shall be evidenced by a resolution adopted by the County Legislature indicating its approval of the terms and conditions contained in such agreement. No such authorization shall provide for the funding of any such advance, loan, subsidy, or contribution out of proceeds of obligations issued by the County pursuant to the Local Finance Law.

Section 4. Severability.

If any clause, sentence, paragraph, subdivision, or part of this Local Law or the application thereof to any person, firm, corporation or circumstance, shall be adjudged by any court of competent jurisdiction to be invalid or unconstitutional, such order or judgment shall not affect, impair, or invalidate the remainder of the Local Law, but shall be confined in its operation to the clause, sentence, paragraph, subdivision, or part of the Local Law or in its application to the person, individual, firm, corporation or circumstance directly involved in the controversy in which such judgment or order may be rendered.

Section 5. Inconsistent Enactments.

All ordinances, local laws and parts thereof inconsistent with this Local Law are hereby repealed.

Section 6. Effective Date

This Local Law shall take effect immediately upon its filing with the Secretary of State.

LOCAL LAW NO. “D” FOR 2025

A LOCAL LAW OF THE COUNTY OF ALBANY, NEW YORK MAKING A DETERMINATION THAT PROMOTING OR ENCOURAGING THE UNDERTAKING OF CONVENTION FACILITY PROJECTS BY THE ALBANY CONVENTION CENTER AUTHORITY IS A GOVERNMENTAL AND PUBLIC PURPOSE OF THE COUNTY OF ALBANY, NEW YORK

Introduced: 5/12/25

By Cunningham, Feeney, Willingham, Domalewicz, Alix, Beston, Cleary, Ethier, Gillespie, Laurilliard, Lekakis, McLaughlin, Pedo, Plotsky, Ricard, Rosano and Whalen:

BE IT ENACTED BY THE LEGISLATURE OF THE COUNTY OF ALBANY AS FOLLOWS:

Section 1. Article Creation.

Chapter 135, Article 2, **Albany County Convention Center Authority Project**, is hereby created.

Section 2. § 135-6 – Legislative Intent and Purpose.

It is declared to be the intent and purpose of this local law (the “Local Law”) to enable Albany County, New York (the “County”), as one of its public or municipal purposes, to publicize the advantages of the County and the greater Capital District Region by promoting or encouraging the undertaking of certain projects (each a “Convention Facility Project”) in the County involving the acquisition, construction, reconstruction, renovation, improvement, maintenance, equipment and/or furnishment of new and/or existing facilities for a convention center (each, a “Convention Facility”). Convention Facilities may include, but not be limited to, facilities consisting of trade exhibition, hotel accommodations, transportation infrastructure, parking, tourism, theatre facilities, retail business, commercial office space or sports facilities, including services for the operation and maintenance thereof.

Section 2. § 135-7 – Public Purposes.

The promotion or encouragement of Convention Facility Projects in the County constitutes a governmental and public purpose for the benefit of the people of the County and the greater Capital District Region for which the County shall have the power to appropriate public funds of the County for the purpose of making, and shall have the power to make advances, loans, subsidies, or contributions to the Albany Convention Center Authority (the “Authority”) for any of the following objects or

purposes, each of which is found and determined to be a governmental and public purpose of the County:

- (a) The undertaking of various Convention Facility Projects; and
- (b) The planning, design, development, siting, effectuation, financing, operation, maintenance, administration or other implementation of Convention Facility Projects.

The promotion or encouragement of any Convention Facility Project assisted by the County under this Local Law shall be deemed to be the performance of an essential governmental function by the County acting in its governmental capacity.

Section 3. § 135-8 – Procedure for Authorization.

Each advance, loan, subsidy, or contribution to be made by the County to the Authority pursuant to this Local Law shall be conditioned upon approval by the County Legislature of the terms and conditions of such advance, loan, subsidy, or contribution. Each advance, loan, subsidy, or contribution to be made by the County to the Authority pursuant to this Local Law may further be conditioned by the County Legislature upon the approval by the County Legislature of such agreement as may be necessary or convenient to accomplish the purposes of this Local Law and set forth, among other things, the terms and conditions of any such advance, loan, subsidy, or contribution. The preparation, creation, negotiation, finalization, approval and execution and delivery of any such agreement is hereby authorized by this Local Law. The County may determine pursuant to such agreement if the monies appropriated pursuant to this Local Law shall be subject to offset by the County to the Authority. The approval of such agreement shall be evidenced by a resolution adopted by the County Legislature indicating its approval of the terms and conditions contained in such agreement. No such authorization shall provide for the funding of any such advance, loan, subsidy, or contribution out of proceeds of obligations issued by the County pursuant to the Local Finance Law.

Section 4. Severability.

If any clause, sentence, paragraph, subdivision, or part of this Local Law or the application thereof to any person, firm, corporation or circumstance, shall be adjudged by any court of competent jurisdiction to be invalid or unconstitutional, such order or judgment shall not affect, impair, or invalidate the remainder of the Local Law, but shall be confined in its operation to the clause, sentence, paragraph, subdivision, or part of the Local Law or in its application to the person, individual, firm, corporation or circumstance directly involved in the controversy in which such judgment or order may be rendered.

Section 5. Inconsistent Enactments.

All ordinances, local laws and parts thereof inconsistent with this Local Law are hereby repealed.

Section 6. Effective Date

This Local Law shall take effect immediately upon its filing with the Secretary of State.

LOCAL LAW NO. “G” FOR 2025

A LOCAL LAW DESIGNATING THE DEVELOPMENT OF AFFORDABLE HOUSING AS A GOVERNMENTAL AND PUBLIC PURPOSE OF THE COUNTY OF ALBANY, NEW YORK

Introduced: 7/14/25

By Cunningham and Willingham:

BE IT ENACTED BY THE LEGISLATURE OF THE COUNTY OF ALBANY AS FOLLOWS:

Section 1. Title.

The Albany County Affordable Housing Initiatives, is hereby created.

Section 2. Legislative Findings and Intent.

Affordable housing is one of the greatest challenges throughout New York State, and Albany County is no different. It is traditionally accepted that people should pay no more than thirty percent (30%) of the area’s median income for housing. But nearly fifty percent (41%) of Albany County renters are spending over 30% of their income on housing. Annually, renters’ purchasing power fails to keep up with increasing rent. From 2022 to 2023, renter’s wages increased by an average of 3.3%, while apartment rents increased by an average of 8.8%. As rents continue to climb, this crisis continues to grow.

Beyond renting, individuals making the median income in Albany County cannot afford homeownership either. Single-income households with median incomes face a significant shortfall on their annual mortgage, even under generous interest and loan terms, a shortfall that wouldn’t be fully bridged in a multi-income household. Too many residents in Albany County are financially unable to purchase homes within the County. With homeownership directly tied to the growth of generational wealth, educational attainment, and family stability, it is imperative that this crisis is addressed.

An increase in housing supply, both in the rental and ownership markets, will aid in easing the financial burden on renters and owners in the County. However, affordable housing development faces substantial challenges. Interested parties struggle to secure the necessary investment to rehabilitate or construct housing in the urban and rural areas where housing is most needed and effective. Decades of residential flight, neglect, and blight have created a financing environment where predevelopment funding for architectural or engineering needs is hard to acquire. As investment continues to lag behind development, the crisis exacerbates.

To respond to these crises, and pursuant to Article IX of the New York State Constitution and Municipal Home Rule Law Section 10, Albany County may exercise its broad police powers to provide for comprehensive affordable housing initiatives.

Based upon the critical shortage of affordable housing confronting Albany County, the Albany County Legislature finds that creating affordable housing initiatives serves a valid governmental and public purpose.

Section 3. Definitions.

- A. Affordable Housing: shall be generally defined as housing in which the occupant is paying no more than thirty (30%) percent of their gross income for housing costs, including utilities for persons or families deemed to be or eligible to be deemed to be of extremely low, very low, low, or moderate income by any federal or state housing program. Nothing in the definition shall prevent the County from funding housing services either above or below this affordability level when such funding is in keeping with federal or state programs providing housing assistance or where any income restricted housing, whether intended for rental or homeownership, is subject to a regulatory agreement with a local, state, or federal governmental entity.
- B. Housing Accommodation: shall be defined as any building, structure, or portion thereof that is occupied as or intended for occupancy as a residency by one or more families and any vacant land that is offered for sale or lease for the construction thereon of any building, structure, or portion thereof intended to be so occupied.
- C. Housing: shall be defined as and include all Housing Accommodations as herein defined.

Section 4. Public Purpose.

- A. The Albany County Legislature hereby determines that the development, maintenance, management, and/or provision of Affordable Housing is a public purpose in accordance with the New York State Constitution Section IX and Municipal Home Rule Law Section 10.
- B. To address the housing supply, housing affordability, and housing development challenges in Albany County, this Local Law authorizes, pursuant to rules and regulations adopted by the Legislature:

- i. The creation of a Committee, housed within the Advance Albany County Alliance, empowered to address Affordable Housing;
- ii. The development of a revolving loan fund to connect developers with financial, advisory, or educational assistance for the development of Affordable Housing;
- iii. The undertaking and creation of grants, loans, and/or other support services tailored to expanding Affordable Housing;
- iv. Efforts to expand the housing supply by the provision of financial, educational, and advisory services to developers of Affordable Housing; and
- v. The establishment of a reserve fund and/or the use of a portion of the unassigned fund balance to support the provision of the above initiatives.

C. This Local Law and the designations set forth herein shall apply to all housing initiatives within Albany County.

Section 5. Procedure for Authorization.

Any grant, loan, support service or development fund initiative made by the County may be further conditioned by the County Legislature on its approval of a subsequent agreement as may be necessary or convenient to accomplish the purposes of this Local Law and set forth the terms and conditions of any such grant, loan, support service, or development fund initiative. The preparation, creation, negotiation, finalization, approval, execution, and delivery of any such agreement is hereby authorized by this Local Law. No such authorization shall provide for the funding of any such advance, grant, loan, subsidy, or contribution out of proceeds of obligations issued by the County pursuant to the New York State Finance Law.

Section 6. Severability.

If any clause, sentence, paragraph, subdivision, or part of this Local Law or the application thereof to any person, firm, corporation or circumstance, shall be adjudged by any court of competent jurisdiction to be invalid or unconstitutional, such order or judgment shall not affect, impair, or invalidate the remainder of the Local Law, but shall be confined in its operation to the clause, sentence, paragraph, subdivision, or part of the Local Law or in its application to the person, individual, firm, corporation or circumstance directly involved in the controversy in which such judgment or order may be rendered.

Section 7. SEQRA Compliance.

This County Legislature determines that this Local Law constitutes a “Type II action” pursuant to the provisions of the State Environmental Quality Review Act (SEQRA), and that no further action under SEQRA is required.

Section 8. Effective Date.

The Local Law shall take effect immediately upon its filing with the Secretary of State.