

County of Albany

Harold L. Joyce
Albany County Office Building
112 State Street - Albany, NY 12207



Meeting Agenda

Thursday, April 25, 2024

6:30 PM

**Harold L. Joyce Albany County Office Building
Room 730**

Audit and Finance Committee

PREVIOUS BUSINESS:

1. APPROVING PREVIOUS MEETING MINUTES
2. AUTHORIZING A MEMORANDUM OF UNDERSTANDING WITH THE CITY OF ALBANY REGARDING THE CARES OF NY INC. HOUSING RESOURCES CONNECTION PROGRAM AND AMENDING THE 2024 ALBANY COUNTY BUDGET
3. AUTHORIZING AN AGREEMENT WITH UNITED WAY OF THE GREATER CAPITAL REGION REGARDING RELOCATION OF AN ADDICTION AND RECOVERY CENTER

CURRENT BUSINESS:

4. AMENDING THE RULES AND REGULATIONS FOR ALBANY COUNTY EMPLOYEES REGARDING HEALTH INSURANCE BENEFITS FOR RETIREES
5. PUBLIC HEARING ON PROPOSED LOCAL LAW NO. "F" FOR 2024: A LOCAL LAW OF THE COUNTY OF ALBANY, NEW YORK AMENDING CHAPTER 270 OF THE ALBANY COUNTY CODE TO PROVIDE A REAL PROPERTY TAX EXEMPTION FOR LIVING QUARTERS FOR PARENT OR GRANDPARENT
6. LOCAL LAW NO. "F" FOR 2024: A LOCAL LAW OF THE COUNTY OF ALBANY, NEW YORK AMENDING CHAPTER 270 OF THE ALBANY COUNTY CODE TO PROVIDE A REAL PROPERTY TAX EXEMPTION FOR LIVING QUARTERS FOR PARENT OR GRANDPARENT
7. AUTHORIZING THE SUBMISSION OF A GRANT APPLICATION TO EMPIRE STATE DEVELOPMENT REGARDING RESTORE NEW YORK COMMUNITIES INITIATIVE FUNDING
8. AMENDING RESOLUTION NO. 176 FOR 2022, AS AMENDED, REGARDING AMERICAN RESCUE PLAN ACT ADVISORY SERVICES AND AMENDING THE 2024 ALBANY COUNTY BUDGET

9. AUTHORIZING CANCELLATION OF CERTAIN UNENFORCEABLE DELINQUENT REAL PROPERTY TAX LIENS ON 450 CENTRAL AVENUE IN THE CITY OF ALBANY AND CHARGE BACK OF THE AMOUNTS CREDITED IN CONNECTION WITH SAID CANCELLED LIENS
10. AUTHORIZING CANCELLATION OF CERTAIN UNENFORCEABLE DELINQUENT REAL PROPERTY TAX LIENS ON 76 OSBORNE STREET IN THE CITY OF ALBANY AND CHARGE BACK OF THE AMOUNTS CREDITED IN CONNECTION WITH SAID CANCELLED LIENS
11. AUTHORIZING CANCELLATION OF A CERTAIN UNENFORCEABLE DELINQUENT REAL PROPERTY TAX LIENS ON 17 AND 17A CORPORATE WOODS BOULEVARD IN THE TOWN COLONIE AND CHARGE BACK OF THE AMOUNT CREDITED IN CONNECTION WITH SAID LIENS
12. AUTHORIZING THE CONVEYANCE OF VARIOUS PARCELS OF REAL PROPERTY LOCATED IN THE TOWNS OF BERNE AND RENSSELAERVILLE
13. BOND AUTHORIZATION FOR THE SHAKER PLACE GARAGE AND PARKING LOT EXTENSION CAPITAL PROJECT
14. BOND AUTHORIZATION REGARDING IMPROVEMENTS TO CR 157 WATERVLIET SHAKER ROAD RECONSTRUCTION PROJECT
15. BOND AUTHORIZATION FOR HVAC UPGRADES AT THE WATER PURIFICATION DISTRICT NORTH AND SOUTH PLANTS
16. BOND AUTHORIZATION FOR SLUDGE PROCESSING IMPROVEMENTS AT THE WATER PURIFICATION DISTRICT
17. BOND AUTHORIZATION FOR TREATMENT PROCESS IMPROVEMENTS AT THE WATER PURIFICATION DISTRICT NORTH PLANT
18. BOND AUTHORIZATION FOR TREATMENT PROCESS IMPROVEMENTS AT THE WATER PURIFICATION DISTRICT SOUTH PLANT

19. AMENDING MULTIPLE BOND RESOLUTIONS FOR THE COUNTY OF ALBANY NEW YORK REGARDING VARIOUS TECHNICAL AMENDMENTS
20. AMENDING THE RULES AND REGULATIONS FOR ALBANY COUNTY EMPLOYEES REGARDING CHANGES TO ACCRUALS AND HEALTH COVERAGE

County of Albany

*Harold L. Joyce
Albany County Office Building
112 State Street - Albany, NY 12207*



Meeting Minutes

Thursday, March 28, 2024

6:00 PM

**Harold L. Joyce Albany County Office Building
Room 730**

Audit and Finance Committee

PREVIOUS BUSINESS:**1. APPROVING PREVIOUS MEETING MINUTES**

A motion was made that the previous meeting minutes be approved. The motion carried by a unanimous vote.

2. AUTHORIZING AN AGREEMENT WITH UNITED WAY OF THE GREATER CAPITAL REGION REGARDING RELOCATION OF AN ADDICTION AND RECOVERY CENTER

This proposal was tabled at the request of the Committee.

3. AUTHORIZING THE CONVEYANCE OF REAL PROPERTY LOCATED AT 10 LEXINGTON AVENUE (TAX MAP NO. 65.64-6-69) IN THE CITY OF ALBANY

A motion was made to move the proposal forward with a positive recommendation. The motion carried by a unanimous vote.

CURRENT BUSINESS:**4. AMENDING THE 2024 COMPTROLLER'S OFFICE BUDGET: STAFF ADJUSTMENTS**

A motion was made to move the proposal forward with a positive recommendation. The motion carried by a unanimous vote.

5. FINALIZING THE ACCOUNTING OF THE 2023 ALBANY COUNTY BUDGET

A motion was made to move the proposal forward with a positive recommendation. The motion carried by a unanimous vote.

6. AUTHORIZING AN AGREEMENT WITH INCLUSION SOLUTIONS, LLC REGARDING THE PURCHASE OF VOTER PRIVACY BOOTHS

A motion was made to move the proposal forward with a positive recommendation. The motion carried by a unanimous vote.

7. AUTHORIZING A MEMORANDUM OF UNDERSTANDING WITH THE CITY OF ALBANY REGARDING THE CARES OF NY INC. HOUSING RESOURCES CONNECTION PROGRAM AND AMENDING THE 2024 ALBANY COUNTY BUDGET

This proposal was tabled at the request of the Committee.

8. REAUTHORIZING THE ACCEPTANCE OF HAZARD MITIGATION GRANT FUNDING FOR THE DIVISION OF INFORMATION SERVICES AND AMENDING THE 2024 ALBANY COUNTY BUDGET

A motion was made to move the proposal forward with a positive recommendation. The motion carried by a unanimous vote.

9. AUTHORIZING THE REFUND OF REAL PROPERTY TAXES IN THE CITY OF ALBANY

A motion was made to move the proposal forward with a positive recommendation. The motion carried by a unanimous vote.

10. AUTHORIZING A CORRECTION TO THE TAX ROLL FOR THE CITY OF ALBANY

A motion was made to move the proposal forward with a positive recommendation. The motion carried by a unanimous vote.

11. DECLARING A PORTION OF THE ALBANY COUNTY HIGHWAY SYSTEM KNOWN AS OLD COUNTY ROUTE 401 LOCATED IN THE TOWN OF WESTERLO NO LONGER NECESSARY FOR PUBLIC USE

A motion was made to move the proposal forward with a positive recommendation. The motion carried by a unanimous vote.

12. AUTHORIZING THE CONVEYANCE OF REAL PROPERTY LOCATED AT 1168 BROADWAY (TAX MAP NO. 65.44-1-55) IN THE CITY OF ALBANY

A motion was made to move the proposal forward with a positive recommendation. The motion carried by a unanimous vote.

13. RESCINDING THE CONVEYANCE OF REAL PROPERTY PURSUANT TO RESOLUTION NO. 271 FOR 2018 AND AUTHORIZING THE CONVEYANCE OF REAL PROPERTY LOCATED AT 139 LARK STREET (TAX MAP NO. 65.72-4-29) IN THE CITY OF ALBANY

A motion was made to move the proposal forward with a positive recommendation. The motion carried by a unanimous vote.

14. AUTHORIZING THE CONVEYANCE OF REAL PROPERTY LOCATED AT 556 COUNTY ROUTE 360 (TAX MAP NO. 148.-1-43) IN THE TOWN OF RENSSELAERVILLE

A motion was made to move the proposal forward with a positive recommendation. The motion carried by a unanimous vote.

15. AMENDING THE 2024 ALBANY COUNTY BUDGET: DEVELOPING AND IMPLEMENTING THE COUNTY CLIMATE ACTION PLAN

A motion was made to move the proposal forward with a positive recommendation. The motion carried by a unanimous vote.

16. PUBLIC HEARING ON THE PROPOSED 2025-2029 ALBANY COUNTY CAPITAL PROGRAM

A motion was made to move the proposal forward with a positive recommendation. The motion carried by a unanimous vote.

17. ADOPTING 2025-2029 ALBANY COUNTY CAPITAL PROGRAM

This proposal was tabled at the request of the Committee.



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
OFFICE OF THE EXECUTIVE
112 STATE STREET
ALBANY, NEW YORK 12207-2021
(518) 447-7040 - FAX (518) 447-5589
WWW.ALBANYCOUNTY.COM

MICHAEL P. McLAUGHLIN, JR.
DEPUTY COUNTY EXECUTIVE

February 28, 2024

Honorable Joanne Cunningham, Chair
Albany County Legislature
112 State Street, Room 710
Albany, New York 12207

Dear Chairwoman Cunningham,

I respectfully request the Albany County Legislature's authorization of an MOU between Albany County and the City of Albany and the associated budget amendment to accept \$900,000 from the City, which will match Albany County's investment in the CARES of NY Housing Resource Connection Program. This is a three-year contract connecting homeless individuals with housing assistance and mental health and addiction services. The County has agreed to provide a matching \$900,000 to support the program, for a combined \$1.8 million over a three-year period.

Thank you for your time and consideration on this matter. If you have any questions or concerns, please do not hesitate to reach out to my office.

Sincerely,

Daniel P. McCoy
Albany County Executive

cc: Hon. Dennis A. Feeney, Majority Leader
Hon. Frank A. Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
Arnis Zilgme, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-5225, **Version:** 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Authorizing an MOU with the City of Albany and budget amendment to accept funding for joint homeless outreach and resource connection.

Date: February 28, 2024
Submitted By: Cameron Sagan
Department: County Executive's Office
Title: Director of Policy
Phone: 518-447-7040
Department Rep.
Attending Meeting: Cameron Sagan

Purpose of Request:

- ☐ Adopting of Local Law
- ☐ Amendment of Prior Legislation
- ☐ Approval/Adoption of Plan/Procedure
- ☐ Bond Approval
- ☒ Budget Amendment
- ☒ Contract Authorization
- ☐ Countywide Services
- ☐ Environmental Impact/SEQR
- ☐ Home Rule Request
- ☐ Property Conveyance
- ☐ Other: (state if not listed) Click or tap here to enter text.

CONCERNING BUDGET AMENDMENTS

Increase/decrease category (choose all that apply):

- ☐ Contractual
- ☐ Equipment
- ☐ Fringe
- ☐ Personnel

- ☐ Personnel Non-Individual
☐ Revenue

Increase Account/Line No.: Click or tap here to enter text.
Source of Funds: Click or tap here to enter text.
Title Change: Click or tap here to enter text.

CONCERNING CONTRACT AUTHORIZATIONS

Type of Contract:

- ☐ Change Order/Contract Amendment
☐ Purchase (Equipment/Supplies)
☐ Lease (Equipment/Supplies)
☐ Requirements
☒ Professional Services
☐ Education/Training
☐ Grant

Choose an item.

Submission Date Deadline Click or tap to enter a date.

- ☐ Settlement of a Claim
☐ Release of Liability
☒ Other: (state if not listed)

Acceptance of funding for joint initiatives

Contract Terms/Conditions:

Party (Name/address):

City of Albany
24 Eagle Street, Albany, NY 12207

Additional Parties (Names/addresses):

Click or tap here to enter text.

Amount/Raise Schedule/Fee: \$1 million

Scope of Services: Joint funding for homeless outreach and resource connection, as well as the relocation of the Camino Nuevo addiction treatment center.

Bond Res. No.: Click or tap here to enter text.

Date of Adoption: Click or tap here to enter text.

CONCERNING ALL REQUESTS

Mandated Program/Service: Yes ☐ No ☒
If Mandated Cite Authority: Click or tap here to enter text.

Is there a Fiscal Impact: Yes ☒ No ☐

Anticipated in Current Budget: Yes ☐ No ☒

County Budget Accounts:

Revenue Account and Line: Housing Resource Connection Pg / A2 8020 02372

Revenue Amount: \$900,000

Appropriation Account and Line: Click or tap here to enter text.

Appropriation Amount: Click or tap here to enter text.

Source of Funding - (Percentages)

Federal: 0
State: 0
County: 0
Local: 100%

Original Awarding Agency / Funder:

Click or tap here to enter text.

New York State Pass-Through Agency (if applicable):

Click or tap here to enter text.

Term

Term: (Start and end date) Three years

Length of Contract: Click or tap here to enter text.

Impact on Pending Litigation Yes ☐ No ☒

If yes, explain: Click or tap here to enter text.

Previous requests for Identical or Similar Action:

Resolution/Law Number: Click or tap here to enter text.

Date of Adoption: Click or tap here to enter text.

Justification: (state briefly why legislative action is requested)

Requesting the approval of an MOU between Albany County and the City of Albany and the associated budget amendment to accept \$900,000 from the City that will match Albany County's investment in the CARES of NY Housing Resource Connection Program. This is a three-year contract connecting homeless individuals with housing assistance and mental health and addiction services, with the County contributing a matching \$900,000, for a combined total of \$1.8 million over a three-year period.

APPROPRIATIONS									
BUDGET LINE					DESCRIPTION	INCREASE	DECREASE	UNIT COST	DEPARTMENT NAME
FUND	ORG	OBJ	PROJECT	FOR POSITIONS ONLY					
				STATE POS. CODE	POSITION CONTROL				
A	8020	44976	00000	000	000000	Albany County Improvement Init	\$900,000		
TOTAL APPROPRIATIONS							\$900,000	\$0	
ESTIMATED REVENUES									
BUDGET LINE					DESCRIPTION	DECREASE	INCREASE	UNIT COST	DEPARTMENT NAME
FUND	ORG	OBJ	PROJECT	FOR POSITIONS ONLY					
				STATE POS. CODE	POSITION CONTROL				
A	8020	02372				Housing Resource Connection Pg	\$900,000		
TOTAL REVENUES							\$0	\$900,000	
GRAND TOTAL							\$900,000	\$900,000	



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
OFFICE OF THE EXECUTIVE
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MICHAEL P. McLAUGHLIN, JR.
DEPUTY COUNTY EXECUTIVE

February 5th, 2024

Hon. Joanne Cunningham
Albany County Legislature
112 State St., Rm. 710
Albany, NY 12207

Dear Chairwoman Cunningham:

I respectfully request the County Legislature's authorization to enter into a contract between Albany County and CARES of NY, Inc. for the Housing Resource Connection Program.

The Albany County Department of Social Services is seeking contract authorization with CARES of NY Inc. to address unsheltered homelessness in the City of Albany and the County of Albany by connecting unsheltered individuals with substance abuse treatment, mental health treatment, and housing assistance. The Department of Social Services seeks to bring services directly to place unsheltered persons spend their time, including but not limited to breakfast programs, warming centers, on the street, and other locations. The program will serve all parts of Albany County, with particular focus on South Pearl Street, Lark Street, Henry Johnson Blvd., and the Central Avenue Corridor of the City of Albany.

CARES of NY, Inc. will be providing a program of addressing homelessness through the connection of housing resources and mental health programs. Albany County will provide \$300,000 annually over a three-year period, with matching funding from the City of Albany for this program, for a combined \$600,000 annually over a three-year period.

Sincerely,

Daniel P. McCoy
Albany County Executive

cc: Dennis Feeney, Majority Leader
Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
Arnis Zilgne, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-5147, **Version:** 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Authorization to enter into a contract with CARES of NY, Inc. for the Housing Resource Connection Program

Date: 2/5/2024
Submitted By: Christina Rust
Department: County Executive's Office
Title: Policy Analyst
Phone: (518) 447-7040
Department Rep.
Attending Meeting: Christina Rust

Purpose of Request:

- ☐ Adopting of Local Law
- ☐ Amendment of Prior Legislation
- ☐ Approval/Adoption of Plan/Procedure
- ☐ Bond Approval
- ☐ Budget Amendment
- ☒ Contract Authorization
- ☐ Countywide Services
- ☐ Environmental Impact/SEQR
- ☐ Home Rule Request
- ☐ Property Conveyance
- ☐ Other: (state if not listed) [Click or tap here to enter text.](#)

CONCERNING BUDGET AMENDMENTS

Increase/decrease category (choose all that apply):

- ☐ Contractual
- ☐ Equipment
- ☐ Fringe
- ☐ Personnel

- ☐ Personnel Non-Individual
☐ Revenue

Increase Account/Line No.: Click or tap here to enter text.
Source of Funds: Click or tap here to enter text.
Title Change: Click or tap here to enter text.

CONCERNING CONTRACT AUTHORIZATIONS

Type of Contract:

- ☐ Change Order/Contract Amendment
☐ Purchase (Equipment/Supplies)
☐ Lease (Equipment/Supplies)
☐ Requirements
☒ Professional Services
☐ Education/Training
☐ Grant

Choose an item.

Submission Date Deadline Click or tap to enter a date.

- ☐ Settlement of a Claim
☐ Release of Liability
☐ Other: (state if not listed) Click or tap here to enter text.

Contract Terms/Conditions:

Party (Name/address):

CARES of NY, Inc.
5 Pine West Plaza, Suite 503 Albany, NY 12205

Additional Parties (Names/addresses):

Click or tap here to enter text.

Amount/Raise Schedule/Fee: \$900,000

Scope of Services: CARES of NY, Inc. will be working directly with the unsheltered population within Albany County to connect them to resources (behavioral support, addiction support, housing support, etc.), document barriers to success, and document outcomes from working with unsheltered persons.

Bond Res. No.: Click or tap here to enter text.
Date of Adoption: Click or tap here to enter text.

CONCERNING ALL REQUESTS

Mandated Program/Service: Yes ☐ No ☒
If Mandated Cite Authority: Click or tap here to enter text.

Is there a Fiscal Impact: Yes ☒ No ☐

Anticipated in Current Budget: Yes ☒ No ☐

County Budget Accounts:

Revenue Account and Line: Click or tap here to enter text.

Revenue Amount: Click or tap here to enter text.

Appropriation Account and Line: A 8020 44976

Appropriation Amount: \$900,000

Source of Funding - (Percentages)

Federal: Click or tap here to enter text.

State: Click or tap here to enter text.

County: 100%

Local: Click or tap here to enter text.

Original Awarding Agency / Funder:

Albany County

New York State Pass-Through Agency (if applicable):

Click or tap here to enter text.

Term

Term: (Start and end date) TBD

Length of Contract: Three years, with eligibility to renew

Impact on Pending Litigation Yes ☐ No ☒

If yes, explain: Click or tap here to enter text.

Previous requests for Identical or Similar Action:

Resolution/Law Number: Click or tap here to enter text.

Date of Adoption: Click or tap here to enter text.

Justification: (state briefly why legislative action is requested)

The Albany County Department of Social Services is seeking contract authorization with CARES of NY Inc. to address unsheltered homelessness in the City of Albany and the County of Albany by connecting unsheltered individuals with substance abuse treatment, mental health treatment, and housing assistance. The Department of Social Services seeks to bring services directly to place unsheltered persons spend their time, including but not limited to breakfast programs, warming centers, on the street, and other locations. The program will serve all parts of Albany County, with particular focus on South Pearl Street, Lark Street, Henry Johnson Blvd., and the Central Avenue Corridor of the City of Albany.

CARES of NY, Inc. will be providing a program of addressing homelessness through the connection of housing resources and mental health programs. Albany County will provide \$300,000 annually over a three-year period, with matching funding from the City of Albany for this program, for a combined \$600,000 annually over a three-year period.

Title: Housing Resource Connection Program
RFP Number: 2024-009
Name of the Agency: CARES of NY, Inc.
Address: 5 Pine West Plaza, Suite 503 Albany, NY 12205
Telephone Number: 518-421-1205
Contact Person: Nancy Harrington, Executive Director

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Section II: Qualification / Experience

This section must address proposer's qualifications and experience to carry out the requested service, inclusive of, but not limited to qualification to do business in NYS, number of years in business and length of experience.

2.1 Provide the name, a brief history and description of your firm.

CARES of NY, Inc. (CARES) headquartered in Albany, NY was established in 1990 with the mission to collaborate with and support our communities towards the creation of systems that prevent and end homelessness. CARES is qualified to do business in NY registered as a 501C (3).

CARES is the HUD designated homeless service planning lead in Albany County and in twenty-seven additional counties in NYS. CARES is funded to coordinate the implementation of a housing and service system which establishes, monitors and measures performance targets. CARES' role is to collaborate with local communities to ensure the homeless service system is having a positive impact on preventing and reducing homelessness.

In addition to the system planning and administration role, CARES has expertise as a direct service provider, via the Coordinated Entry and Supportive Housing programs in Albany County. CARES partners with HATAS to deliver the Albany Coordinated Entry Program (CE Program). The CE Program manages supportive housing in the community and effectively connects persons experiencing unsheltered and sheltered homelessness to available units to rapidly end their homelessness. The CE Program relies on community partners, in addition to its housing partners, to help prevent and end homelessness. These partners include Capital Area Council of Churches, Capital City Rescue Mission, Community Maternity Services, Equinox, Family Promise of the Capital Region, Interfaith Partnership for the Homeless (IPH), Joseph's House, St. Catherine's Center for Children, Schuyler Inn, Albany Damien Center, Albany Housing Coalition (AHC), Capital Area Peer Services (CAPS), Homeless and Travelers Aid Society (HATAS), Hope House, Rehabilitation Support Services (RSS), St. Peter's Addiction Recovery Services (SPARC), and Legal Aid Society (LAS).

CARES' Supportive Housing Program's portfolio consists of the following:

- 1)Owning and operating 57 units of supportive housing for singles and families who are formerly homeless and living with a HUD defined disabling condition including serious mental illness (SMI), HIV/AIDS, substance use disorder (SUD), and a population of youth and parenting youth.
- 2)Managing a scattered-site tenant-based rental assistance program of 70 units for formerly homeless persons living with varying HUD-defined disabilities.
- 3)Providing contracted case management services to homeless persons living in Albany Housing Authority units.

2.2 Identify your firm's professional staff members who will be involved in the County engagement and the experience each possesses and the location of the office from which each work.

Below are the identified CARES Staff members involved in this project along with a summary of their professional experience and the role they will hold in it. All staff members will be located at 5 Pine West, Suite 503, Albany NY 12205.

- Elizabeth Mosier is the Proposed Project Coordinator. She earned a master's degree in social and public policy in December 2020 and has worked with the homeless population for the last 10 years. She spent the first of those working in shelter before she moved into a management role where she supervised HUD funding programming and frontline staff in the City of Schenectady. As an employee of CARES, the lead administrative agency for the proposed project Elizabeth Mosier will provide program coordination, administration, and oversight, managing contracts for all funded partners, coordinate meetings, and measure and report outcomes with data.
- Megan Dolan is the proposed Coordinated Entry Staff for the project. Megan has been at CARES since 2020, beginning as an intern then becoming Coordinated Entry (CE) Systems Data Analyst managing data in HMIS, facilitating CE case review meetings, collaborating with providers from multiple counties, coordinating the Emergency Housing Voucher process for two counties and presenting CE trainings. As the Coordinated Entry Specialist for this project Megan will work to connect participants with Coordinated Entry and other safe and affordable housing options in and around Albany County.
- Michelle Sandoz Dennis is CARES Deputy Director and will oversee the proposed project. Michelle has been with CARES for fifteen years and has expertise in the development of homeless services programs and program oversight and supervision.

In addition to the noted staff members CARES is hiring for the following three positions.

- Street Outreach Case Manager position will be responsible for 1) coordination with Street Outreach team to provide direct client services; 2) coordination with the Behavioral Health Systems Care Coordinator to ensure connect and tracking of behavioral health services for client; and 3) coalition building with system partners. The Outreach Case Manager position provides effective coordination of client services and engages and encourages participation of key systems partners.
- Behavioral Health Systems Coordinator position will be responsible for 1) coordination with Street Outreach and Street Outreach Case Management team; 2) coordination of behavioral health services for individual client; and 3) coalition building with behavioral health care system partners. The Behavioral Health Systems Coordinator position provides effective coordination of service to ensure the provision of appropriate and high-quality services for program participants.
- Housing Stability Case Manager position will be responsible for 1) coordination with participants when they have obtained a permanent housing unit to ensure stable housing 2) Coordinates with Behavioral Health Systems Coordinator to ensure treatment plan is communicated 3) Will continue case management for no less than one year.

Subcontracted Staff, through subcontract, Joseph's House, and Shelter and the Homeless and Traveler's Aid Society (HATAS) will have staff involved in the project (conducting Street Outreach and Landlord Engagement services).

- Joseph's House and Shelter, Inc. (JH) is a community-based, not-for-profit corporation that provides a continuum of homeless services to residents of the Capital Region including emergency shelter, street outreach, and support services. This contract will allow Joseph's House to expand upon its existing Albany Street Outreach program to meet the growing need.

- HATAS, located in Albany NY, provides immediate relief and long-term solutions for the most vulnerable members of our community by preventing, combating, and reducing homelessness within the Capital Region. CARES will collaborate with HATAS' Landlord Navigator position specifically relying on this program to identify available affordable rental units to move housing ready clients off the streets and into permanent housing. CARES will fund this program when the current funding source, City of Albany ARPA, expires.

2.3 Name and title of person(s) authorized to bind the Proposer, together with the main office address, and telephone number (including area code).

Nancy Harrington
Executive Director
CARES of NY, Inc.
5 Pine West Plaza, Suite 503
Albany, New York 12205
518-421-1205

2.4 Detail your firm's experience with housing services that pertains to the scope of services requested in this RFP.

CARES and its proposed funded partners, Joseph's House and Shelter (JH) and Traveler's Aid Society (HATAS) have decades of experience providing services in Albany County. These services include A. Outreach and Engagement, B. System Partner Coordination, C. Direct Housing Services D. Landlord Engagement Services E. Coordinated Entry Administration and F. Grant Administration and Oversight. Please see sections below for experience details.

A. Outreach and Engagement Services

CARES proposed funded partner, Joseph's House and Shelter (JH), is a local housing expert providing outreach and engagement services to unsheltered individuals needing substance abuse and mental health treatment and housing assistance in Albany and Troy. JH currently administers a successful program which will mirror the services needed for this proposed program. Outreach Team members currently engage persons who are unsheltered and provide services to lead to stable housing including but not limited to connections to behavioral health services and housing connections.

JH outreach program participates within the Albany County Coordinated Entry Program directly connecting clients to supportive housing resources. While waiting for housing opportunities, JH refers unsheltered persons to emergency shelters while engaging clients to address barriers to entering shelter such as lack of identification.

B. System Partner Coordination

CARES, in its role as the Coordinated Entry Program partner to HATAS, is the lead planning entity for the Albany County Coalition on Homelessness. The Planning team at CARES Coordinates the implementation of a housing and service system by, facilitating the development of Strategic Plans within the Continuum of Care, establish performance target, monitor performance and evaluate outcomes.

CARES is an owner and operator of Supportive Housing for persons living with has a working relationship for referrals with the following behavioral and mental health Providers in the community: Addition Care Center of Albany

- Albany County Department of Mental Health

- Alliance for Positive Health
- Capital Area Peer Services, Inc.
- CDPHP / BHO
- Equinox
- Rehabilitative Support Services
- St. Peter's Addiction Recovery Center (SPARC)
- Albany Medical Center
- Whitney M. Young

C. Housing Service

CARES, JH and HATAS each have over 20 years of experience in this area, specifically through the operations of their supportive housing programs. In total the combined three agencies own or manage and operate over 200 supportive housing units serving formerly homeless persons. An essential component of housing case management is making and managing referrals for its clients including scheduling, warm handoff to a known provider, assisting with transportation, implementing treatment including encouraging medication compliance, and necessary follow up. All three entities have a direct connection to existing behavioral health providers in Albany.

D. Landlord Engagement

CARES of NY, Inc. designed and funded a landlord engagement program with the City of Albany ARPA funding. CARES contracts with HATAS to provide this service for the contract period of March 2023 to March 2025. The program developed strong landlord relationships over the last year to prevent evictions within the City of Albany. The program measured goals are:

- Develop strong landlord relationships through landlord specific engagement/training to prevent tenant evictions.
- Pilot a new project focused on eviction prevention with the Landlord community.
- Coordinate eviction prevention services with community-based organizations.
- Coordinate with landlords to quickly fill site-based vacancies.

E. Coordination Entry Administration

CARES, in partnership with HATAS, over the last 7 years have developed and manage the Albany County by-name list of homeless persons who applied for supportive housing. This program requires CARES/HATAS to assess clients seeking housing assistance, assist clients with completing the application for services, prioritize persons on the list to ensure the most vulnerable are first in line for housing, and make referrals for housing and housing assistance. Persons on this "waitlist" for housing assistance are provided case management to ensure no one falls off the list and misses the opportunity to be housed. This list is managed in the CARES managed Homeless Management Information System (HMIS).

F. Grant Administration and Oversight

CARES has experience serving as a lead administrative agency. Below is CARES portfolio of administrative lead projects.

- 1) City of Albany American Rescue Plan Act Funds – CARES funded \$1.9 million and manages four subcontracts with this grant for a three-year period.
- 2) NYS Empire State Poverty Reduction Initiative – City of Albany funded CARES to lead this program in the amount of \$1.5 million.

- 3)NYS OTDA funded CARES to administer CARES Act Emergency Solutions Grant (ESG) for three counties in NYS for a total \$400,000.
- 4)US Department of Housing and Urban Development Funding – CARES holds and administers contracts on behalf of HUD for 4 Continuums of Care in NYS and manages a total of \$11 million in funds annually.

G. Additional information that would distinguish CARES in its service to Albany County

CARES has the unique qualification as the HUD funded and community chosen lead agency for the Albany County Continuum of Care to collaborate with its community partners to design and implement a homeless service system to prevent and end homelessness. This experience will lead to the success of this proposed pilot program. CARES will pull on the known community experts and its current system stakeholders to participate in the project and work to ensure the learned best practices and positive outcomes will continue past the three-year funding.

H. Resumes

Please see the resumes for the CARES lead staff under the attachments section.

1. Michelle Sandoz-Dennis
2. Elizabeth Mosier
3. Megan Dolan

SECTION III: References

The References section must include references from similar type projects.

Ability to serve in Lead Administrative Role Reference

- 1) Faye Andrews
Commissioner
City of Albany Neighborhood and Community Services
Phone: 518-434-2489
Email: fandrews@albanyny.gov

Program Expertise in Homeless Services

- 2) Chiquita D'Arbeau – Board Co-Chair for Albany County Continuum of Care
Executive Director
Albany Housing Authority
518-542-0444
cdarbeau@albanyhousing.org
- 3) Perry Junjulus – Board Co-Chair for Albany County Continuum of Care
Executive Director
Albany Damien Center
518-449-7119
perryj@albanydamiencenter.org

SECTION IV: Plan Implementation

The Plan Implementation Section must address the Scope of Services in terms of the proposer's plan to carry out the requested service.

4.1 The County of Albany is seeking proposals to address unsheltered homelessness in the City of Albany and the County of Albany by connecting unsheltered individuals with substance abuse and mental health treatment and housing assistance as requested by the Albany County Department of Social Services.

The focus of this project is to develop a system of care that prioritizes healthy and safe communities where all can live in dignity is key. The proposed program will provide intensive services to connect unsheltered persons with substance abuse and mental health treatment and housing. CARES has created a flow that assists participants on the street and then stays with them through various stages of progress; starting with street outreach and then moving into street outreach case management. Once a participant is willing and able to attend appointments, the Behavioral Health Systems coordinator will schedule appointments and track all progress for them. The participant will also begin collecting documents for eligibility for all housing options. The participant will be supported through Coordinated Entry program and when housing opportunities are identified, they will be moved into housing and connected to Housing Case Managers that will work with them for a year after move in.

4.2 Albany County Department of Social Services is seeking a proactive approach, bringing services directly to places unsheltered persons spend their time, including but not limited to breakfast programs, warming centers, on the street and other locations.

This program brings a proactive approach to service delivery. Services will be delivered in places unsheltered persons are including but not limited to; breakfast programs, warming centers and directly on the street. The program will serve all parts of Albany County, with particular focus on South Pearl Street, Lark Street, Henry Johnson Blvd., and the Central Avenue Corridor of the City of Albany. The program will be proactive with a Street Outreach team and Street Outreach Case management bringing services out of an office setting and to places where unsheltered people spend their time. Street Outreach team will have non-traditional work hours beginning at 5am and ending at 11pm hour. This allows for proactive contact with participants at places including breakfast programs, warming centers and on the street.

4.3 The provision of housing and service connection and delivery will happen simultaneously by focusing on direct connections to mental health and substance abuse treatment and to supportive housing, through the Coordinated Entry (CE) System.

This program will simultaneously provide service connection delivery and housing stability. The program will focus on ensuring direct connection to mental health and substance abuse treatment and housing search and location through the CE system. Participants will work with Street Outreach case manager and the Behavioral Health systems coordinator simultaneously to ensure appointments are being kept. Documents will be collected as needed for housing programs eligibility requirement. The Behavioral Health Systems coordinator will work with the CE specialist to ensure all documents are received and the participant is reviewed for housing eligibility and services. The CE specialist will continue to seek additional affordable housing options for participants seeking housing. Once a participant is housed the Housing Case manager will work with the participant for no less than one year to ensure barriers are eliminated.

4.4 The pilot will fund staff to work directly with unsheltered persons, connect them to needed and available resources, document barriers to success, including lack of resources, and document outcomes to inform resource investment for this population.

The program will address the unprecedented increase in unsheltered homeless with barriers to services due to a lack of funding for community case managers for this population. This pilot program will fund 2.5 Street Outreach staff members who will work directly with unsheltered persons by providing outreach services on the street. The Street Outreach team will connect people to needed resources including but not limited to shelter, food, and clothing. The Street outreach team will attend case review meetings and system coordination meetings to become aware of and informed of available resources and new initiatives. The street outreach team will document barriers, success and outcomes into Homeless Management Information System (HMIS) for reporting purposes. CARES will work to create open communication amongst similar programs and encourage teamwork with other programs to create services that are meeting the needs of the unsheltered in the community without duplication of services.

4.5 Outreach and engagement services will include traditional and non-traditional hours (hours outside of 9-5) and on weekends.

The proposed project will operate outreach and engagement services during both traditional and non-traditional hours and on the weekend to meet the current need. Joseph's House Street Outreach team staff will be present on the street beginning at or around 5am and then again in the late hours after regular business hours and ending at 11pm. The street outreach team will provide immediate assistance and work with participants to get into shelter and off the street. The street outreach team will then refer participants to the Joseph's House Street Outreach Case manager for further ongoing assistance.

4.6 This program will fund a full-time Street Outreach Case Manager to engage systems partners who interact with the unsheltered population (i.e., hospitals, jails, businesses, City Mission and IPH's Community Connections Center). This staff will work with newly enrolled clients, maintain constant contact and be responsible for warm handoffs to the Behavioral Health Systems Coordinator who will ensure care coordination for substance abuse and mental health treatment.

The proposed project will fund a full-time Street Outreach Case Manager who will focus on system partner engagement while ensuring newly enrolled clients receive effective support. The Street Outreach Case Manager will maintain constant contact and be responsible for warm handoffs to the staff member who will ensure care coordination for substance abuse and mental health services. The Street Outreach Case Manager will be employed by CARES. This case manager will attend all internal case review meetings and Systems Coordination meetings where partners will be invited to the table to discuss concerns and possible resources for the Street Case Manager to use in their work.

4.7 This program will fund a full-time Behavioral Health Systems Coordinator whose role is to manage the referral, enrollment, and care coordination of clients with existing treatment programs.

The proposed project will fund a full-time Behavioral Health Systems Coordinator. This staff member will track and record participants' appointments schedule, appointments successfully attended, reasons for not making appointments and progress with treatment and medication adherence. The Behavioral Health System Coordinator will work with the Street Outreach Case Manager to obtain a warm hand off for client rapport building for best outcomes and open communication of services that may be required.

4.8 This pilot program will fund positions to work with landlords to create an increased supply of rental units for this population and to ensure clients are connected to all housing options in the community.

In Year 3, the proposed project will fund a full-time Landlord Engagement Specialist focused on increasing the supply of rental units; specially for the homeless population and to ensure clients are connected to all housing options. Currently, and for the next 2 years the City of Albany ARPA program funds this position. Extending the funding to continue this position will allow staff to continue to develop landlord relationships and to increase the supply of rental units for this population.

4.9 This program will support an employee who will create and manage a by-name list of clients seeking housing (both affordable and supportive) and match housing options. As the single point of entry for supportive housing and Rapid Rehousing programs, this staff will dedicate time to enroll clients on the waitlist for all housing options.

This program will support a .70 employee as the Coordinated Entry Specialist this position will directly connected to the Albany County Coordinated Entry team and will work to create and manage a list of landlords and property owners who offer affordable housing options. Additionally, this position will create and manage a by-name list of participants seeking housing and match them to available housing options.

4.10 The proposer serves as the lead administrative agency and provide program coordination, administration, and oversight, managing contracts for all funded partners, coordinate meetings, and measure and report outcomes with data.

CARES will serve as the lead administrative agency and will oversee program coordination, administration, manage contracts, coordinate, and facilitate meetings, as the lead administrator agency CARES will measure and report outcomes with data. The program will support a full-time program administrator who will be responsible for coordinating and overseeing the activities detailed above.

SECTION V: Cost Proposal Section

The Cost Proposal Section must include all costs associated with the proposer's plan to carry out the requested service.

The following page is the excel spreadsheet budget in the amount of \$1.8 million. The below provides a narrative of the budget. This page will provide a narrative of the proposed expenses in the budget.

Budget for CARES staff and services = \$1,262,000 total over three years

CARES is proposing to staff the following positions to successfully implement and manage the project. The attached budget details the following program positions to be hired by CARES.

Program Staffing

1. Coordinated Entry Staff at 70% FTE
2. Project Coordinator, one full-time position to manage the project.
3. Engagement Specialist – one full-time position to
4. Behavioral Specialist – one full-time position to
5. Housing Stability Case Managers - One full-time position to begin in year one in month six, and an additional full-time position to begin in year two.

Administrative Lead Staffing

1. Michelle Sandoz-Denis, Deputy Director – 3% of her time over three years
2. Denise Doin, Director Partner Services – 5% of her time to supervise staff
3. Asia Casino, Director Grants and Contracts – 2% of her time
4. Staff Accountant – 5% of his time

Fringe

1. CARES fringe rate is 21%

Non-Personnel

1. Equipment and supplies for new staff = \$3200 total spend over three years
2. Mileage for Staff in field to meet with community agencies and partners = \$3000
3. Training and related expenses = \$10,560 total spend over three years to learn best practices on services for this population as well as to present on model at appropriate conferences in an effort to secure additional funding for sustainability.
4. Consulting Services = \$11,000 total spend over three years to hire experts to lend support to the program. For example, hire an RN to assist with completing Long-term Care applications.

Budget for Subcontractors = \$538,000 total over three years

This project will fund Joseph's House and Shelter for three years w/a subcontract of \$480,000. This funding will support 2.5 FTE to conduct the street outreach and to purchase a vehicle for the workers to meet with unsheltered homeless persons at the geographic targeted locations.

CARES will fund HATAS at \$58,000 for a one-year contract, in year three of the grant to continue funding the Landlord Navigator position when the City of Albany ARPA funding ceases for this position.

Job Title for Program Staff	Salary	FTE	Year One	Year Two	Year 3	Total Award
Coordinated Entry Staff	\$ 62,000.00	70.0%	\$ 43,400.00	\$ 43,400.00	\$ 43,400.00	\$ 130,200.00
Project Coordinator	\$ 72,000.00	100.0%	\$ 72,000.00	\$ 72,000.00	\$ 72,000.00	\$ 216,000.00
Engagement Specialist	\$ 57,000.00	100.0%	\$ 52,250.00	\$ 57,000.00	\$ 57,000.00	\$ 166,250.00
Behavioral Health Connection Specialist	\$ 65,000.00	100.0%	\$ 59,583.00	\$ 65,000.00	\$ 65,000.00	\$ 189,583.00
Housing Stability Case Manager	\$ 62,000.00	100.0%	\$ 31,000.00	\$ 62,000.00	\$ 62,000.00	\$ 155,000.00
Housing Stability Case Manager	\$ 62,000.00	100.0%		\$ 62,000.00	\$ 62,000.00	\$ 124,000.00
Total Salaries			\$ 258,233.00	\$ 361,400.00	\$ 361,400.00	\$ 981,033.00
Fringe 21%			\$ 54,229.00	\$ 75,894.00	\$ 75,894.00	\$ 206,017.00
Equipment /Supplies New Staff			\$ 2,400.00	\$ 800.00	\$ -	\$ 3,200.00
Mileage for Staff in Fielded			\$ 1,000.00	\$ 1,000.00	\$ 1,000.00	\$ 3,000.00
Training & related travel			\$ 9,000.00	\$ -	\$ -	\$ 10,560.00
Consulting for Experts			\$ 11,000.00	\$ -	\$ -	\$ 11,000.00
Subtotal Program Costs			\$ 335,862.00	\$ 439,094.00	\$ 438,294.00	\$ 1,214,810.00
Administrative:						
Director of Partner Services	\$ 83,000.00	5.0%	\$ 4,150.00	\$ 4,150.00	\$ 4,150.00	\$ 12,450.00
Deputy Director	\$ 125,000.00	3%	\$ 3,750.00	\$ 3,750.00	\$ 3,750.00	\$ 11,250.00
Director of Grants and Contracts	\$ 80,000.00	2%	\$ 1,600.00	\$ 1,600.00	\$ 1,600.00	\$ 4,800.00
Staff Accountant	\$ 70,000.00	5%	\$ 3,500.00	\$ 3,500.00	\$ 3,500.00	\$ 10,500.00
Fringe 21%			\$ 2,730.00	\$ 2,730.00	\$ 2,730.00	\$ 8,190.00
Total Budget			\$ 351,592.00	\$ 454,824.00	\$ 454,024.00	\$ 1,262,000.00
					JH	\$ 480,000.00
					HATAS	\$ 58,000.00
					Total	\$ 1,800,000.00

Joseph's House and Shelter will receive a total of \$480,000 for three years and HATAS will receive \$58,000 in year three.

Attachment 1- Staff Resumes

This attachment contains the resumes for the following CARES staff members who will lead the project:

- a. Michelle Sandoz-Dennis
- b. Elizabeth Mosier
- c. Megan Dolan

EMPLOYMENT EXPERIENCE

- May 2019 - Present
- CARES of NY, Inc., Deputy Director, CARES of NY, Inc.**
- Supports Executive Director in day-to-day organization operations and program management and compliance
 - Manage team of 43 providing technical assistance and planning services to 14 Continuums of Care
 - Oversees grant writing and grant consultation services to non-profit entities
- May 2009 - Present
- CARES of NY, Inc., Director, Continuum of Care Unit**
- Managed a team providing technical assistance and planning services to NYS CoCs
 - Conducted monitoring visits for New York State Office of Mental Health Shelter Plus Care programs
 - Provided grant writing and grant consultation services to non-profit entities
 - Organized and facilitated coalition meetings for local homeless service providers
- April 2007 - March 2009
- Creighton Manning Engineering, Transportation Planner**
- Collected and analyzed transportation data for traffic impact studies
 - Prepared public participation plans
 - Organized and facilitated committee and public meetings
- 2004 – April 2007
- NYS Child Care Coordinating Council, Data Services Specialist**
- Collected and analyzed various statewide/countywide child care data
 - Assisted coordinators with the dissemination and analysis of data
 - Organized and conducted various trainings
- 2005 – 2006
- Family & Children Services of the Capital Region, Data Specialist**
- Collected data for Capital Region 211 11 county area
 - Assisted in the implementation of organizational collaboration plan
- 2003 - 2004
- Cornell Urban Scholars Program, Graduate Assistant**
- Assisted Director of community service program with program coordination
 - Assisted first year graduate students with research projects
- Summer 2003
- Cornell Urban Scholars Program, Research Intern**
- Explored child care utilization patterns in Brooklyn
 - Utilized mixed method research design to create report and presentation
- 2001-2002
- Mellon Fellowship Research Project/ Hunter College, CUNY, Fellow**
- Explored social mobility of NYC Public Housing residents
 - Utilized qualitative and quantitative methods to create report and presentation

EDUCATION

Cornell University, City and Regional Planning, Ithaca, NY
Masters of Regional Planning, May 2004 GPA 3.5

Hunter College, CUNY, NY, NY
Major: Urban Affairs/Black and Puerto Rican Studies
Bachelor of Arts Degree, June 2002 GPA 3.5

HONORS

Cornell Fellowship, City and Regional Planning Department -
National Capital Area Chapter Scholarship - Mellon Fellowship

SKILLS

Microsoft Word – Excel – PowerPoint - Access – AWARDS

Elizabeth A. Mosier



Work Experience:

1/2023- Present American Plan Rescue Act Program Specialist

CARES of NY, Inc, Albany, NY

As a Program Specialist I lead and manage the ARPA funded programs and spending. APRA is a government funded program that was granted to communities across the United States. Many communities use the funds in various ways to best serve the population. CARES used the funds to assist the households that were in active eviction court after all other funding services available during COVID were depleted. As the program manager I oversaw all of the spending in the community not only for Eviction Prevention, but also for the agencies that partnered with CARES to serve a population of Homeless households during time in shelter. I conducted site visits and monitoring of funds and services that were provided.

8/2020- 1/2023 Child Protective Caseworker

Saratoga County, Saratoga, NY

As a CPS caseworker I investigated reports received in Saratoga County by the NYS State Central Registry. I work with families from day one of the reports of abuse or maltreatment of children. I gathered collateral information for my investigation to develop the facts to determine if a report is founded or unfounded. I work with other community providers to collect facts and provide services that best suit the families I was working with.

10/2016 - 8/2020 Housing Coordinator

Schenectady Community Action Program (SCAP), Schenectady, NY

As the housing coordinator I had additional responsibilities to the below supervisor description. The additions were 3 HUD permanent supportive housing programs. I supervise two front line supervisors that are also supervising front line staff for the 3 HUD Permanent Supportive Housing Programs. These 3 programs have a combined caseload of 200 families and individuals in permanent and transitional housing. I conducted weekly and monthly supervisions with each supervisor. I conducted housing inspections for our Permanent Housing Program of 28 units in the community. I worked directly with the Department of Social Services to ensure the quality of case management and housing safety were met on a daily basis. I attended community trainings and information meetings to build relationships and contacts to better serve my customers. I conducted a 9 month 9 credit college course, Family Development Credential class for my peers within my agency as well as other community members. I monitor the data quality for the Homeless Management Information System for our agency to ensure data quality is accurate for all reporting. I complete and submit the Quarterly reports for programs known as NYSSHP and STEHP for the housing programs I oversaw. I sit on the Coordinated Entry Committee to ensure all homeless families and individuals are connected to supportive housing programs in the community.

01/2015 - 10/2016 Sojourn House Case Manager & Site Supervisor

Schenectady Community Action Program (SCAP), Schenectady, NY

In addition to the below description of the Case Manager Position, I was the Site Supervisor. As the site Supervisor I managed the House Staff schedule and conducted weekly supervision with staff and monthly full staff meetings. I created and managed the Staff on call schedule and was the main on call provider. I oversaw the purchasing and ordering of food and household supplies. I oversaw house maintenance and scheduled all need repairs with the maintenance department. I also attended outside agency meeting to learn more about available resources for my residents.

03/2014 - 01/2015 Sojourn House Case Manager

Schenectady Community Action Program (SCAP), Schenectady, NY

Sojourn House is transitional housing for homeless women and children. As the Case manager I was responsible for the management of their case while they were residents. I was the contact person for the Department of Social Services, I assisted with apartment searches required by the department of social services. I advocated for my customers every need while they are staying at Sojourn House as well as for up to one year after they discharged into permanent housing.

04/2013 - 03/2014 Residential Operations Specialist

Schenectady Community Action Program (SCAP), Schenectady, NY

As an ROS staff member in the Sojourn House my duties include assisting in maintaining a safe and healthy residential setting for homeless women and children, overseeing all activities within the residence. ROS staff prepared three meals per day for residents and completed cleaning of the house on a regular daily maintenance schedule.

09/2012 - 09/2014 Private Home Health Aid

Angelina's Home Care, Rotterdam, NY

This position is made up of many tasks. I assist clients with many things to help them stay in their homes longer as they age. My primary tasks have been but are not limited to, assisting with transport to the bathroom, bathing, house cleaning, laundry, grocery shopping, and companionship We kept records of our daily activities and we set goals with our clients and their families to help us all stay on task in the assistance of the elderly without taking their independence away. Most of my clients have had dementia and/or some mild mental health challenges.

05/2005 - 03/2014 Assistant / Child Care Professional / Logistics Coordinator

Dr. Hee-Joo Cheon-Schingo & Dr. Victor Schingo Niskayuna, NY

There is no true job description for what I did. My domestic duties ranged from cleaning the house to shopping for groceries. I manage an organized calendar of events from scheduling home maintenance to Children's extracurricular activities. I also assisted children with homework and social interaction as well as preparing them with the tools to develop their own life skills. I was and still teaching them with positive reinforcement. I point out choices and consequences for any and all of their choices.

Education:

12/2020 SUNY Empire State College, Saratoga, NY
Master of Arts in Social and Public Policy

9/2016 Certified Instructor of the Family Development Credential, University of Connecticut

8/2015 Family Development Credential, University of Connecticut

9/2004 –12/2007 Sage College of Albany, Albany, NY
Bachelor of Science in Psychology and Children in Society.

9/2001 – 5/2004 Sage College of Albany, Albany, NY
Associate of the Art of Education.

9/1994 – 6/2001 Schenectady High School, Schenectady, NY

Megan J. Dolan

EXPERIENCE

CARES OF NY, INC.

Coordinated Entry Specialist | January 2024-Present

- Serve as CE Specialist for the Pilot Street Outreach Project, connecting people experiencing unsheltered homelessness to HUD funded housing opportunities and housing opportunities that exist outside of the CoC.
- Provide data management to Albany's Coordinated Entry system and support the Coordinated Entry lead with providing reports, referrals, and guidance.

Coordinated Entry Systems Data Analyst | May 2021-Present

- Collaborate with team as Coordinated Entry (CE) leads for multiple Continuums of Care.
- Manage data in Homeless Management Information System (HMIS) for multiple CoCs in their CE programs.
- Facilitate CE Case Review meetings where we review client level information, HUD regulations and best practices.
- Present CE trainings for mainstream and prevention CE.
- Coordinate the Emergency Housing Voucher process for Schenectady and Albany.

Intern | September 2020-May 2021

- Assist in implementing Health & Wellness Program for clients of the housing program.
- Research and gather information on the issue of youth homelessness for the Capital Region Advisory Board on Youth Homelessness subcommittee in an effort to develop a youth-specific coordinated entry tool.
- Develop an understanding of New York State & HUD policies and practices.

CONTACT INFORMATION

Schenectady, NY 12306

UNIVERSITY AT ALBANY

Intern | May 2020-August 2020

- Develop exit survey for students leaving the University at Albany.
- Completed courses on research from the Collaborative Institutional Training Initiative.

WILKINSON RESIDENTIAL HEALTH CARE FACILITY

Social Work Intern | September 2019-February 2020

- Create and maintain positive relationships with residents in the long term care and short term rehabilitation settings while advocating for their needs and desires.
- Conduct psychosocial assessments, initial interview assessments, as well as mood and cognition assessments using the Long Term Care Minimum Data Set (MDS) screening tool.

MOHAWK OPPORTUNITIES INC.

Service Coordinator | February 2018- February 2020

- Provide restorative services for adults with a mental illness diagnosis living in independent apartments throughout Schenectady.
- Administer supportive counseling, crisis intervention, medication supervision, while teaching daily living skills and socialization skills.
- Support clients in their homes and in the community through individualized goals that prepare them for the next level of independence.
- Develop an awareness of resources in the community such as the Department of Social Services, local food pantries, shelters, clinics, and doctors.
- Recipient of the Spirit of Caring Award

THELMA P. LALLY EARLY LEARNING CENTER

Lead Teacher/Assistant Teacher | June 2016- February 2018

- Manage Toddler Two classroom of two-year-olds by nurturing and teaching toddlers through structured play.
- Write and execute weekly lesson plans, as well as goals for each child using the Creative Curriculum.
- Maintain relationships with parents through constant communication with Tadpoles system and annual parent-teacher conferences.

CENTER FOR PREVENTION OF CHILD ABUSE

Personal Safety Program Intern | January 2016- May 2016

- Led classes and conducted presentations regarding sexual abuse prevention to children from Pre-K to 6th grade.
- Educated children on internet safety and body empowerment.

GRACE SMITH HOUSE: DOMESTIC VIOLENCE AGENCY

Advocacy and Counseling Intern | January 2015- May 2015

- Completed 12-hour domestic violence training program.
- Observe and participate in support groups and one-on-one counseling sessions.

EDUCATION

University at Albany

School of Social Welfare

Masters of Social Work

Received: May 2021; GPA: 4.0

State University at

New Paltz

B.A. Sociology

& Human Services

Minor in Women, Gender, &

Sexuality Studies

Received: May 2016

GPA: 3.88

Deans List Scholar All Semesters

Attachment 2- Proposal Forms

This attachment includes the proposal form required by the County of Albany.

COUNTY OF ALBANY

PROPOSAL FORM

PROPOSAL IDENTIFICATION:

Title: Housing Resource Connection Program

RFP Number: 2024-009

THIS PROPOSAL IS SUBMITTED TO:

Pamela O Neill, Purchasing Agent
Albany County Department of General Services
Purchasing Division
112 State Street, Room 1000
Albany, NY 12207

1. The undersigned Proposer proposes and agrees, if this Proposal is accepted, to enter into a Contract with the owner in the form included in the Contract Documents to complete all Work as specified or indicated in the Contract Documents for the Contract Price and within the Contract Time indicated in this Proposal and in accordance with the Contract Documents.
2. Proposer accepts all of the terms and conditions of the Instructions to Proposers, including without limitation those dealing with the Disposition of Proposal Security. This Proposal may remain open for ninety (90) days after the day of Proposal opening. Proposer will sign the Contract and submit the Contract Security and other documents required by the Contract Documents within fifteen days after the date of County's Notice of Award.
3. In submitting this Proposal, Proposer represents, as more fully set forth in this Contract, that:

- (a) Proposer has examined copies of all the Contract Documents and of the following addenda: (If none, so state)

Date

Number

(receipt of all of which is hereby acknowledges) and also copies of the Notice to Proposers and the Instructions to Proposers;

- (b) Proposer has examined the site and locality where the Work is to be performed, the legal requirements (federal, state and local laws, ordinances, rules and regulations) and the conditions affecting cost, progress or performance of the Work and has made such independent investigations as Proposer deems necessary;

- (c) This Proposal is genuine and not made in the interest of or on behalf of any undisclosed person, firm or corporation and is not submitted in conformity with any agreement or rules of any group, association, organization or corporation; Proposer has not directly or indirectly induced or solicited any other Proposer to submit a false or sham Proposal; PROPOSER has not solicited or induced any person, firm or a corporation to refrain from Proposing; and Proposer has not sought by collusion to obtain for himself any advantage over any other Proposer or over the owner.
4. Proposer will complete the Work for the following prices(s): (Attach Proposal)
5. Proposer agrees to commence the Work within the number of calendar days or by the specific date indicated in the Contract. Proposer agrees that the Work will be completed within the number of Calendar days or by the specific date indicated in the contract.
6. The following documents are attached to and made a condition of this Proposal:
- (a) Non-Collusive Bidding Certificate (Attachment "A")
 - (b) Acknowledgment by Bidder (Attachment "B")
 - (c) Vendor Responsibility Questionnaire (Attachment "C")
 - (d) Iranian Energy Divestment Certification (Attachment "D")
 - (e) Proposer Qualification Questionnaire (Attachment "E")
7. Communication concerning this Proposal shall be addressed to:
- Nancy Harrington, Executive Director
- 5 Pine West Plaza, Suite 503
- Albany, NY 12205
- Phone: 518-421-1205
8. Terms used in this Proposal have the meanings assigned to them in the Contract and General Provisions.

COUNTY OF ALBANY

COST PROPOSAL FORM

PROPOSAL IDENTIFICATION:

Title: Housing Resource Connection Program
RFP Number: 2024-009

Submit a cost proposal as described in Section 4 Scope of Services.

COMPANY: CARES of NY, Inc.

ADDRESS: 5 Pine West Plaza, Suite 503

CITY, STATE, ZIP: Albany, NY 12205

TEL. NO.: 518-425-1205

FAX NO.: 518-430-2071

FEDERAL TAX ID NO.: 14-1731746

REPRESENTATIVE: Nancy Harrington

E-MAIL: nharrington@caresny.org

SIGNATURE AND TITLE Nancy Harrington, Executive Director

DATE January 24, 2024

ATTACHMENT "A"
NON-COLLUSIVE BIDDING CERTIFICATE PURSUANT TO
SECTION 103-D OF THE NEW YORK STATE GENERAL MUNICIPAL LAW

A. By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid, each party thereto certifies as to its own organizations, under penalty of perjury, that to the best of knowledge and belief:

(1) The prices in this bid have been arrived at independently without collusion, consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor.

(2) Unless otherwise required by law, the prices which have been quoted in this bid have not knowingly been disclosed by the bidder and will not knowingly be disclosed by the bidder, directly or indirectly, prior to opening, to any bidder or to any competitor.

(3) No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.

A bid shall not be considered for award nor shall any award be made where (1), (2), and (3) above have not been complied with; provided, however, that in any case the bidder cannot make the foregoing certification, the bidder shall so state and shall furnish with the bid a signed statement which sets forth in detail the reasons thereof. Where (1), (2), and (3) above have not been complied with, the bid shall not be considered for any award nor shall any award be made unless the head of the Purchasing Unit to the political subdivision, public department, agency or official thereof to which the bid is made, or his designee, determines that such disclosure was not made for the purpose of restricting competition.

The fact that a bidder (a) has published price lists, rates, or tariffs covering items being procured, (b) has informed prospective customer of proposed or pending publication of new or revised price lists for such items, or (c) has sold the same items to other customers at the same prices being bid, does not constitute, without more, a disclosure within the meaning of paragraph "A" above.

B. Any bid hereafter made to any political subdivision of the state or any public department, agency or official thereof by a corporate bidder for work or services performed or to be performed or goods sold or to be sold, where competitive bidding is required by statute, rule, regulation, local law, and where such bid contains the certification referred to in paragraph "A" of this section, shall be deemed to have been authorized by the Board of Directors of the bidder, and such authorization shall be deemed to include the submission of the bid and the inclusion therein of the certificate as to non-collusion as the act and deed of the corporation


Signature

Executive Director

Title

CARES of NY, Inc.

Company Name

January 24, 2024

Date

ATTACHMENT "B"
ACKNOWLEDGMENT BY PROPOSER

If Individual or Individuals:

STATE OF _____)
COUNTY OF _____) SS.:

On this _____ day of _____, 20____, before me personally appeared _____ to me known and known to me to be the same person(s) described in and who executed the within instrument, and he (or they severally) acknowledged to me that he (or they) executed the same.

Notary Public, State of _____

Qualified in _____

Commission Expires _____

If Corporation:

STATE OF New York)
COUNTY OF Albany) SS.:

On this 24 day of January, 2024, before me personally appeared Nancy Harrington to me known, who, being by me sworn, did say that he resides at (give address) 5 Pine West Plaza Albany, NY; that he is the (give title) Executive Director of the (name of corporation) Cares of NY Inc, the corporation described in and which executed the above instrument; that he knows the seal of the corporation, and that the seal affixed to the instrument is such corporate seal; that it was so affixed by order of the board of directors of the corporation, and that he signed his name thereto by like order.



Rachel Bradt
Notary Public, State of New York
Qualified in Albany County
Commission Expires 12/19/26

If Partnership:

STATE OF _____)
COUNTY OF _____) SS.:

On the _____ day of _____, 20____, before me personally came _____ to me known to be the individual who executed the foregoing, and who, being duly sworn, did depose and say that he / she is a partner of the firm of _____ and that he / she has the authority to sign the same, and acknowledged that he / she executed the same as the act and deed of said partnership.

Notary Public, State of _____

Qualified in _____

Commission Expires _____

ATTACHMENT "C"
ALBANY COUNTY
VENDOR RESPONSIBILITY QUESTIONNAIRE

1. VENDOR IS: ☒ PRIME CONTRACTOR			
2. VENDOR'S LEGAL BUSINESS NAME CARES of NY, Inc.		3. IDENTIFICATION NUMBERS a) FEIN # 14-1731746 b) DUNS # 070919852	
4. D/B/A – Doing Business As (if applicable) & COUNTY FIELD:		5. WEBSITE ADDRESS (if applicable) www.caresny.org	
6. ADDRESS OF PRIMARY PLACE OF BUSINESS/EXECUTIVE OFFICE 5 Pine West Plaza, Suite 503 Albany, NY 12205		7. TELEPHONE NUMBER 518-421-1205	8. FAX NUMBER
9. ADDRESS OF PRIMARY PLACE OF BUSINESS/EXECUTIVE OFFICE <i>IN NEW YORK STATE, if different from above</i>		10. TELEPHONE NUMBER	11. FAX NUMBER
12. AUTHORIZED CONTACT FOR THIS QUESTIONNAIRE Name Nancy Harrington Title Executive Director Telephone Number 518-421-1205 Fax Number e-mail nharrington@caresny.org			
13. LIST ALL OF THE VENDOR'S PRINCIPAL OWNERS.			
a) NAME	TITLE	b) NAME	TITLE
c) NAME	TITLE	d) NAME	TITLE
A DETAILED EXPLANATION IS REQUIRED FOR EACH QUESTION ANSWERED WITH A "YES," AND MUST BE PROVIDED AS AN ATTACHMENT TO THE COMPLETED QUESTIONNAIRE. YOU MUST PROVIDE ADEQUATE DETAILS OR DOCUMENTS TO AID THE COUNTY IN MAKING A DETERMINATION OF VENDOR RESPONSIBILITY. PLEASE NUMBER EACH RESPONSE TO MATCH THE QUESTION NUMBER.			
14. DOES THE VENDOR USE, OR HAS IT USED IN THE PAST FIVE (5) YEARS, ANY OTHER BUSINESS NAME, FEIN, or D/B/A OTHER THAN THOSE LISTED IN ITEMS 2-4 ABOVE? List all other business name(s), Federal Employer Identification Number(s) or any D/B/A names and the dates that these names or numbers were/are in use. Explain the relationship to the vendor. ☐ Yes ☒ No 			
15. ARE THERE ANY INDIVIDUALS NOW SERVING IN A MANAGERIAL OR CONSULTING CAPACITY TO THE VENDOR, INCLUDING PRINCIPAL OWNERS AND OFFICERS, WHO NOW SERVE OR IN THE PAST ONE (1) YEARS HAVE SERVED AS:			
a) An elected or appointed public official or officer? <i>List each individual's name, business title, the name of the organization and position elected or appointed to, and dates of service</i> ☐ Yes ☒ No 			
b) An officer of any political party organization in Albany County, whether paid or unpaid? <i>List each individual's name, business title or consulting capacity and the official political position held with applicable service dates.</i> ☐ Yes ☒ No 			

16.	WITHIN THE PAST (5) YEARS, HAS THE VENDOR, ANY INDIVIDUALS SERVING IN MANAGERIAL OR CONSULTING CAPACITY, PRINCIPAL OWNERS, OFFICERS, MAJOR STOCKHOLDER(S) (10% OR MORE OF THE VOTING SHARES FOR PUBLICLY TRADED COMPANIES, 25% OR MORE OF THE SHARES FOR ALL OTHER COMPANIES), AFFILIATE OR ANY PERSON INVOLVED IN THE BIDDING OR CONTRACTING PROCESS: a) 1. been suspended, debarred or terminated by a local, state or federal authority in connection with a contract or contracting process; ☐ Yes ☒ No 2. been disqualified for cause as a bidder on any permit, license, concession franchise or lease; 3. entered into an agreement to a voluntary exclusion from bidding/contracting; 4. had a bid rejected on an Albany County contract for failure to comply with the MacBride Fair Employment Principles; 5. had a low bid rejected on a local, state or federal contract for failure to meet statutory affirmative action or M/WBE requirements on a previously held contract; 6. had status as a Women's Business Enterprise, Minority Business Enterprise or Disadvantaged Business Enterprise, de-certified, revoked or forfeited; 7. been subject to an administrative proceeding or civil action seeking specific performance or restitution in connection with any local, state or federal government contract; 8. been denied an award of a local, state or federal government contract, had a contract suspended or had a contract terminated for non-responsibility; or 9. had a local, state or federal government contract suspended or terminated for cause prior to the completion of the term of the contract. b) been indicted, convicted, received a judgment against them or a grant of immunity for any business-related conduct constituting a crime under local, state or federal law including but not limited to, fraud extortion, bribery, racketeering, price-fixing, bid collusion or any crime related to truthfulness and/or business conduct? ☐ Yes ☒ No c) been issued a citation, notice, violation order, or are pending an administrative hearing or proceeding or determination of violations of: ☐ Yes ☒ No 1. federal, state or local health laws, rules or regulations.
17.	IN THE PAST THREE (3) YEARS, HAS THE VENDOR OR ITS AFFILIATES HAD ANY CLAIMS, JUDGMENTS, INJUNCTIONS, LIENS, FINES OR PENALTIES SECURED BY ANY GOVERNMENTAL AGENCY? ☐ Yes ☒ No Indicate if this is applicable to the submitting vendor or affiliate. State whether the situation(s) was a claim, judgment, injunction, lien or other with an explanation. Provide the name(s) and address(es) of the agency, the amount of the original obligation and outstanding balance. If any of these items are open, unsatisfied, indicate the status of each item as "open" or "unsatisfied."
18.	DURING THE PAST THREE (3) YEARS, HAS THE VENDOR FAILED TO: a) file returns or pay any applicable federal, state or city taxes? ☐ Yes ☒ No <i>Identify the taxing jurisdiction, type of tax, liability year(s), and tax liability amount the vendor failed to file/pay and the current status of the liability.</i> b) file returns or pay New York State unemployment insurance? ☐ Yes ☒ No <i>Indicate the years the vendor failed to file/pay the insurance and the current status of the liability.</i> c) Property Tax ☐ Yes ☒ No <i>Indicate the years the vendor failed to file.</i>
19.	HAVE ANY BANKRUPTCY PROCEEDINGS BEEN INITIATED BY OR AGAINST THE VENDOR OR ITS AFFILIATES WITHIN THE PAST SEVEN (7) YEARS (WHETHER OR NOT CLOSED) OR IS ANY BANKRUPTCY PROCEEDING PENDING BY OR AGAINST THE VENDOR OR ITS AFFILIATES REGARDLESS OF THE DATE OF FILING? ☐ Yes ☒ No Indicate if this is applicable to the submitting vendor or affiliate. If it is an affiliate, include the affiliate's name and FEIN. Provide the court name, address and docket number. Indicate if the proceedings have been initiated, remain pending or have been closed. If closed, provide the date closed.
20.	IS THE VENDOR CURRENTLY INSOLVENT, OR DOES VENDOR CURRENTLY HAVE REASON TO BELIEVE THAT AN INVOLUNTARY BANKRUPTCY PROCEEDING MAY BE BROUGHT AGAINST IT? Provide financial information to support the vendor's current position, for example, Current Ratio, Debt Ratio, Age of Accounts Payable, Cash Flow and any documents that will provide the agency with an understanding of the vendor's situation. ☐ Yes ☒ No

21. IN THE PAST FIVE (5) YEARS, HAS THE VENDOR OR ANY AFFILIATES¹ :

☐ Yes ☒ No

a) defaulted or been terminated on, or had its surety called upon to complete, any contract (public or private) awarded;

Indicate if this is applicable to the submitting vendor or affiliate. Detail the situation(s) that gave rise to the negative action, any corrective action taken by the vendor and the name of the contracting agency.

¹ "Affiliate" meaning: (a) any entity in which the vendor owns more than 50% of the voting stock; (b) any individual, entity or group of principal owners or officers who own more than 50% of the voting stock of the vendor; or (c) any entity whose voting stock is more than 50% owned by the same individual, entity or group described in clause (b). In addition, if a vendor owns less than 50% of the voting stock of another entity, but directs or has the right to direct such entity's daily operations, that entity will be an "affiliate" for purposes of this questionnaire.

**ALBANY COUNTY
VENDOR RESPONSIBILITY QUESTIONNAIRE**

FEIN # 14-1731746

State of:)
) ss:
County of:)

CERTIFICATION:

The undersigned: recognizes that this questionnaire is submitted for the express purpose of assisting the County of Albany in making a determination regarding an award of contract or approval of a subcontract; acknowledges that the County may in its discretion, by means which it may choose, verify the truth and accuracy of all statements made herein; acknowledges that intentional submission of false or misleading information may constitute a felony under Penal Law Section 210.40 or a misdemeanor under Penal Law Section 210.35 or Section 210.45, and may also be punishable by a fine and/or imprisonment of up to five years under 18 USC Section 1001 and may result in contract termination; and states that the information submitted in this questionnaire and any attached pages is true, accurate and complete.

The undersigned certifies that he/she:

- Has not altered the content of the questions in the questionnaire in any manner;
- Has read and understands all of the items contained in the questionnaire and any pages attached by the submitting vendor;
- Has supplied full and complete responses to each item therein to the best of his/her knowledge, information ad belief;
- Is knowledgeable about the submitting vendor's business and operations;
- Understands that Albany County will rely on the information supplied in the questionnaire when entering into a contract with the vendor;
- Is under duty to notify the Albany County Purchasing Division of any material changes to the vendor's responses.

Name of Business CARES of NY, Inc.

Signature of Owner

Nancy Harrington

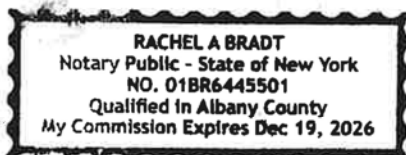
Address 5 Pine West Plaza, Suite 503

Printed Name of Signatory Nancy Harrington

City, State, Zip Albany, NY 12205

Title Executive Director

Sworn before me this 24 day of January, 2024.
Notary Public



Rachel Bradt

Printed Name

Rachel Bradt

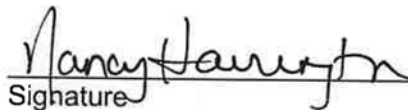
Signature

January 24, 2024

Date

Attachment "D"
Certification Pursuant to Section 103-g
Of the New York State
General Municipal Law

- A. By submission of this bid/proposal, each bidder/proposer and each person signing on behalf of any bidder/proposer certifies, and in the case of a joint bid, each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each bidder is not on the list created pursuant to paragraph (b) of subdivision 3 of Section 165-a of the New York State Finance Law.
- B. A Bid/Proposal shall not be considered for award, nor shall any award be made where the condition set forth in Paragraph A above has not been complied with; provided, however, that in any case the bidder/proposer cannot make the foregoing certification set forth in Paragraph A above, the bidder/proposer shall so state and shall furnish with the bid a signed statement which sets forth in detail the reasons therefor. Where Paragraph A above cannot be complied with, the Purchasing Unit to the political subdivision, public department, agency or official thereof to which the bid/proposal is made, or his designee, may award a bid/proposal, on a case by case business under the following circumstances:
1. The investment activities in Iran were made before April 12, 2012, the investment activities in Iran have not been expanded or renewed after April 12, 2012, and the Bidder/Proposer has adopted, publicized and is implementing a formal plan to cease the investment activities in Iran and to refrain from engaging in any new investments in Iran; or
 2. The political subdivision makes a determination that the goods or services are necessary for the political subdivision to perform its functions and that, absent such an exemption, the political subdivision would be unable to obtain the goods or services for which the contract is offered. Such determination shall be made in writing and shall be a public document.



Signature

Executive Director

Title

January 24, 2024

Date

CARES of NY, Inc.

Company Name

County of Albany
Article SC19- Affirmative Action Plan

STATEMENT OF POLICY

The following is taken from Resolution No. 495 adopted by the Albany County Legislature on October 9, 2018.

Resolved, That the Albany County Legislature hereby approves and adopts the updates to the Affirmative Action Policy as reflected in the document annexed hereto, and be it further that the updated Affirmative Action Plan shall take effect immediately, and that the Commissioner of Human Resources and Director of the Division of Affirmative Action are directed to implement the policies reflected in the updated language of the Affirmative Action policy immediately and on a County-wide basis.

The following is taken from Resolution No. 26 adopted by the Albany County Legislature on June 10, 1996.

Resolved, By the Albany County Legislature that the Affirmative Action Plan so endorsed by the Albany County Executive and which is currently on file with the Clerk of the County Legislature, shall be the official plan of the County of Albany including the objectives, procedures and goals so stipulated.

It is the policy of the County of Albany that Minority Business Enterprises (MBE) and Woman Business Enterprises (WBE) are afforded the maximum opportunity to participate in the performance of contracts, in excess of \$100,000, let by the County and its several agencies and authorities. The County commits itself to a goal oriented Contract Compliance Program which assures that Minority Business Enterprises and Woman Business Enterprises are considered in awarding contracts for goods, services and construction. Furthermore, it is the policy of the County of Albany that contractors and subcontractors utilize minority and women labor to the greatest extent feasible.

In bidding on this contract, the contractor acknowledges an understanding of this policy. The contractor shall carry out the policy by making every reasonable effort to award contracts and subcontracts to MBEs and WBEs and utilizing minority and women labor in the performance of this contract.

ANTI-DISCRIMINATION CLAUSE 220-E - NYS Labor Law. Provisions in contracts prohibiting discrimination on account of race, creed, color or national origin in employment of citizens upon public works. Every contract for or on behalf of the state or a municipality for the construction, alteration or repair of any public building or public work or for the manufacture, sale or distribution of materials, equipment or supplies shall contain provisions by which the contractor with the state or municipality agrees: (a) That in the hiring of employees for the performance of work under this contract or any subcontract hereunder, no contractor, subcontractor, nor any person acting on behalf of such contractor or subcontractor, shall by reason of race, creed, color, disability, sex or national origin discriminate against any citizen of the state of New York who is qualified and available to perform the work to which the employment relates; (b) That no contractor, subcontractor, nor any person on his behalf shall, in any manner, discriminate against or intimidate any employee hired for the performance of work under this contract on account of race, creed, color, disability, sex or national origin; (c) That there may be deducted from the amount payable to the contractor by the state or municipality under this contract a penalty of fifty dollars for each person for each calendar day during which such person was discriminated against or intimidated in violation of the provisions of the contract; (d) That this contract may be cancelled or terminated by the state or municipality, and all moneys due or to become due hereunder may be forfeited, for a second or any subsequent violation of the terms or conditions of this section of the contract; and (e) The aforesaid provisions of this section covering every contract for or on behalf of the state or a municipality for the manufacture, sale or distribution of materials, equipment or supplies shall be limited to operations performed within the territorial limits of the state of New York.

ADMINISTRATION

The County's Division of Affirmative Action is charged with the responsibility of monitoring Affirmative Action in all contracts. All County procurements will be made with an understanding that the complete participation of bona fide MBE and WBE shall be assured by balanced and equitable contract involvement.

The **subcontracting participation goals** for County public procurements are:

- to award 7% of the total dollar value of the contract to a certified MBE.
- to award 5% of the total dollar value of the contract to a certified WBE.

The **workforce goals** for County public procurements are as follows:

- 7% of the total workforce should be minorities.
- 5% of the total workforce should be women.

• CONTRACTOR'S RESPONSIBILITIES

The Contractor's responsibilities include, but are not limited to, the following. The Contractor **must:**

- 1) Submit to the Division of Affirmative Action a completed Schedule of MBE/WBE and Labor Performance or Request for Waiver within **fifteen (15) days** of receiving the Notice of Award.
- 2) Prior to being issued a Notice to Proceed, submit evidence of MBE/WBE contracts proposed to the Division of Affirmative Action.
- 3) Submit monthly utilization reports to the Division of Affirmative Action for review.
- 4) Immediately notify the Division of Affirmative Action of any changes during the project, especially if the change affects the Schedule of MBE/WBE and Labor Performance submitted for the project.
- 5) Make good faith efforts to replace an MBE/WBE subcontractor that is unable to perform successfully with another MBE/WBE.
- 6) Notify the Division of Affirmative Action of any suspected instances of companies fraudulently claiming MBE/WBE status.
- 7) If possible, provide any needed technical assistance to MBE/WBE firms under subcontract.
- 8) If possible, design payment schedules to minimize cash flow problems faced by MBEs/WBEs.
- 9) Maintain for three years such records as are necessary to determine compliance with MBE/WBE obligations and to submit regular reports to enable the Albany County MBE Officer to monitor this compliance.

• DEVELOPING A SCHEDULE OF MBE/WBE AND LABOR PERFORMANCE

The Schedule of MBE/WBE and Labor Performance must detail:

1. The contractor's name, address, phone number, federal identification number and the total dollar value of the contract.
2. Whether the contract is a joint venture.
3. The MBE and WBE goal for the contract.
4. A brief description of each proposed subcontractor, including the name, address, phone number, federal identification number and the total dollar amount of each subcontractor.
5. An estimate of the total number of hours to be worked on the project.

• COMPLIANCE

Each contractor must furnish monthly utilization reports while working on the project. The reports must detail the total number of hours worked, total minority /female labor hours and payments made to MBE and WBE firms.

- **WAIVER REQUEST FOR SUBCONTRACTING AND/OR LABOR PERFORMANCE**

Contractors which determine that the subcontracting and/or labor participation goals must cannot be achieved **must** request a waiver within **fifteen (15) days** of receiving the Notice of Award. The request must justify why the firm cannot accomplish the subcontracting and/or labor participation goals established for the project. The justification must detail actions taken to solicit MBE/WBE subcontractors, minority or female labor participation and the impediments encountered. Each waiver request will be evaluated individually. Submission of the request for waiver does **not** guarantee the requirements will be waived. Additional information or supporting documentation may be required to determine a contractor's good faith effort.

- **MBE/WBE RESPONSIBILITIES**

Each Minority Business Enterprise/Woman Business Enterprise shall:

1. Establish through certification that the company is a bona fide MBE/WBE. The Division of Affirmative Action reviews MBE/WBE eligibility status for contractors and subcontractors.
2. Exhibit an interest in bidding a particular project by attending pre-bid conferences and/or by responding timely to contract solicitations for bid quotations prior to bid date.
3. Be responsible for entering into all necessary contractual agreements.
4. Arrange for and supervise contract performance.
5. Secure equipment, materials and crew sufficient to complete their contract or subcontract.
6. Provide bonding, insurance and collateral as required for surety in contract performance.
7. Authorize payrolls, payments and reports as required for routine compliance.

The County will accept MBE/WBE Certifications made by other governmental agencies which are in compliance with our DBE policy.

SANCTIONS

SC-19.5.1

If **CONTRACTOR** cannot meet the WBE/MBE participation goals, he must document to the Albany County MBE Officer, that he has made all positive efforts to achieve it. Failure to meet the goals or to document that all positive efforts have been made to achieve it may result in the County invoking any legal or equitable remedy available to the County for breach of contract including withholding future payments under the **CONTRACT** involved; disqualification of the **CONTRACTOR** from future contracting opportunities for a period not to exceed two years; and cancellation of the contract and declaration of forfeiture of the **PERFORMANCE BOND**.

A decision by the Albany County MBE Officer to invoke the above sanctions shall be issued in writing by registered mail. The **CONTRACTOR** shall have ten (10) days from receipt of the decision to appeal the MBE Officer's decision to the Grievance committee of the Albany County Legislature. Both sides of the dispute shall have the opportunity to be heard at a meeting of the Grievance Committee to be held within ten (10) days of the receipt of an appeal, and the Committee shall send a final decision to both sides within ten (10) days by registered mail (or hand delivery in the case of the MBE Officer's copy).

STANDARDS

A **Minority Business Enterprise (MBE)** shall be any business enterprise which is at least fifty-one percent (51%) owned or in that case of a publicly-owned business, at least fifty-one percent (51%) of the common stock of which is owned, by a minority person(s), and such ownership interest is real, substantial and continuing. The minority ownership must have and exercise the authority to independently control the business decisions of the entity.

A **Woman Business Enterprise (WBE)** shall be any business enterprise which is at least fifty-one percent (51%) owned or in the case of a publicly-owned business, at least fifty-one percent (51%) of the common stock of which is owned, by a woman (women), and such ownership interest is real, substantial and continuing. The woman ownership must have and exercise the authority to independently control the business decisions of the entity. WBEs shall not be considered as MBEs unless 51% of the assets of the company is held by a minority person(s).

A Disadvantaged Business Enterprise (DBE) mean a business enterprise controlled by one or more socially or economically disadvantaged individuals and whose management and daily business operations are controlled by one or more socially and economically disadvantaged individuals who own it. Such disadvantaged may arise from cultural, racial, chronic economic circumstances or background or other similar cause. Such persons include, but are not limited to citizens of the United States (or lawfully admitted permanent residents) and who are African Americans, Puerto Ricans, Hispanic Americans, Asian-Pacific Americans, American Indians, Eskimos, Aleuts, Asian Indians and Women.

Minority: A person who is a member of one or more of the following groups:

- A) Black (not of Hispanic origin) – a person having origins in any of the Black racial group of Africa.
- B) Hispanic -- a person of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish culture or origin, regardless of race.
- C) Asian or Pacific Islander – a person having origins in any of the original peoples of the Far East, Southeast Asia, the Indian subcontinent, or the Pacific Islands. This area includes, for example, China, Japan, Korea, the Philippine Islands and Samoa.
- D) Native American or Alaskan Natives – a person having origins in any of the original peoples of North America, and who maintains cultural identification through tribal affiliation or community recognition.

Woman: A person who is of the feminine gender who are not otherwise classified as a minority. For

assistance or additional information, contact

County of Albany

Division of Affirmative Action

112 State Street, Room 900, Albany, NY 12207

Phone: (518) 447-7010

Fax: (518) 447-5586

County of Albany
Division of Affirmative Action
MBE/WBE Compliance Forms



Daniel P. McCoy
Albany County Executive

County of Albany
Schedule of MBE/WBE and Labor Performance

The Division of Affirmative Action monitors subcontracting and labor participation for contracts let by agencies and authorities of Albany County. The Schedule of MBE/WBE and Labor Performance must be completed and submitted within 15 days of receiving the Notice of Award. The figures represent the contractor's best estimate of workforce needs and minority/female representation of that workforce.

Questions regarding completion of this form can be directed to the County of Albany, Division of Affirmative Action at (518) 447-7010.

Contractor: _____ Address: _____

City: _____ State: _____ Zip: _____

Telephone: _____ Fax Number: _____ Federal ID No.: _____

Project Name: _____ Project Cost: _____ Completion Date: _____

Contract Description: _____

Bidder is an approved ☐ MBE ☐ WBE

If yes, specify agency: _____

Joint Venture

MBE/WBE participation is broken down into Joint Ventures with Bidder, Subcontracting Construction, Trucking or Services, and Materials or Supplies. Joint ventures between the Prime Bidder and MBE/WBE firms are shown below. Joint Ventures with Bidder (check one):

No MBE/WBE joint ventures
with Bidder on this Contract.

Bidder is joint venturing with the following firm(s)
(Attach a copy of joint venture agreements to this form)

Name: _____ Address: _____

City: _____ State: _____ Zip: _____

Telephone: _____ Federal ID No.: _____

MBE Share of Joint Venture: _____ % x Total Bid Amount = \$ _____

WBE Share of Joint Venture: _____ % x Total Bid Amount = \$ _____

Sub-contractor Performance

MBE Goal: 7% x Total Bid Amount = \$ _____

WBE Goal: 5% x Total Bid Amount = \$ _____

Please provide the information requested for **all** subcontractors participating on this project (include MBE/WBE firms).

Sub-contractor Name, Address, Phone	MBE, WBE or N/A	Amount of Sub- contract & Award Date	Description of Work (Trade)	Start Date Completion Date	Contracted Payment Schedule
	Select				
	Select				
	Select				
	Select				
	Select				
	Select				
	Select				
	Select				
	Select				

I, _____, representative of _____
 declare that the information provided is true and represents accurately my firm's efforts to comply with the Affirmative Action
 Policy. We shall continue to make every effort to ensure that MBE/WBE firms have the maximum opportunity to compete for, and
 perform contracts let by the County of Albany.

SUBMIT MONTHLY**County of Albany MBE/WBE and
Labor Performance
Monthly Utilization Report**

This report must be completed by each firm working on the site and submitted to the General Contractor on a monthly basis. The General Contractor forwards the reports to the County of Albany, Division of Affirmative Action, 112 State Street, Room 900, Albany, NY 12207. Fax (518) 447-5560. For assistance, call (518) 447-7010.

Contractor: _____ Address: _____

City: _____ State: _____ Zip: _____

Telephone: _____ Fax Number: _____ Federal ID No.: _____

Project Name: _____ Project Cost: _____ Completion Date: _____

Reporting Period: _____ Month _____ Year

Trade	Number of Hours Worked by Minorities	Number of Hours Worked by Women	Number of Hours Worked by Non-Minorities	Total Hours Worked
				0.00
				0.00
				0.00
				0.00
				0.00
				0.00
				0.00
Total(s):	0.00	0.00	0.00	0.00

Information Provided By _____

Date _____

MBE/WBE Payments

MBE/WBE Firm(s) Participating on the Project	Payments Made this Month	Payments Made to Date

INSTRUCTIONS

This form must be completed and submitted by the Contractor/Vendor by the **10th** of each month for the duration of the contract. The form must be accompanied by copies of checks (front and back) made payable to MBE/WBE subcontractor and suppliers.

This form is required pursuant to the contract specifications. Failure to submit will result in non-compliance.

County of Albany
Department of Human Resources Division of
Affirmative Action
112 State Street, Room 900, Albany, NY 12207
Phone:(518) 447-7010

NOTE: IF THERE IS NO ACTIVITY FOR THE REPORTING PERIOD, PLEASE NOTE ACCORDINGLY.

County of Albany
Waiver Request for MBE/WBE Subcontracting and/or Labor Performance

If your firm has determined that it is not feasible to meet the subcontracting and/or labor performance goals specified in the contract, complete and return this form within fifteen days of the Intent to Award. The request must identify reasons why the firm cannot reach the labor and subcontractor goals applicable. The Division of Affirmative Action will evaluate each waiver individually. Please be advised that sub- mission of this request does not guarantee waiver of the requirements. Attach additional sheets if necessary. Please refer to the County of Albany Criteria for Establishing Good Faith Effort.

Contractor: _____ Address: _____

City: _____ State: _____ Zip: _____

Telephone: _____ Fax Number: _____ Federal ID No.: _____

Contract Type/Number: _____ Project Cost: _____

(☐) **Request Waiver of Minority/Women Labor Participation Goal. Please explain:**

Actions taken to include minority/women labor _____

(☐) **Request Waiver of Minority Subcontractor Participation Goal. Please explain:**

Actions taken to include MBE and/or WBE Subcontractor(s) _____

Signature

Name (Printed) Title

County Of Albany
Criteria for Establishing Good Faith Effort

The following list of the good faith efforts criteria complies with NYS Executive Law, Article 15-A which should be considered for determining whether a contractor has documented good faith efforts:

1. Was a completed, acceptable utilization plan submitted in accordance with applicable requirements to meet goals for participation of certified minority and women-owned business enterprises established in the same contract?
2. Were advertisements placed in appropriate trade, general circulation and minority and women- oriented publications in a timely fashion?
3. Were written solicitations made in a timely fashion of certified minority and women-owned business enterprises listed in the directory of certified business?
4. Were timely responses to any such advertisements and solicitations provided by certified minority and women-owned business enterprises?
5. Did the contractor attend pre-bid, pre-award, or other meetings, if any, scheduled by the agency awarding the contract, with certified minority or women-owned business enterprises which the State or County agency determined were capable of performing the contract scope of work, for purposes of complying with goal requirements?
6. What efforts were undertaken by the contractor to reasonably structure the contract scope of work for purposes of subcontracting with certified minority and women-owned business enterprises?
7. How many minority and women-owned business enterprises in the directories of certified businesses could perform work required by the contract scope of work in your region?
8. What actions were taken to contact and assess the financial ability of certified minority and women- owned businesses enterprises to participation on the contract, and which enterprises are located out- side of the region in which the contract scope of work was or will be performed?
9. Were relevant plans, specification or terms and conditions of the contract, necessary to prepare an informed response to a contractor solicitation, provided in a timely fashion to certified minority or women-owned business enterprises?
10. What subcontract terms and conditions were offered to certified minority and women- owned business enterprises, and how do those subcontract terms and conditions compare to those offered in the ordinary course of the contractor's business and to other subcontractors of the contractor?
11. Has the contractor made payments for work performed by certified minority and women- owned business enterprises in a timely fashion so as to facilitate continued performance by certified minority or women-owned business enterprises?
12. Has the contractor offered to make up any inability to comply with the minority and women-owned business enterprise goals established in a contract, in other contracts being performed or to be awarded to the contractor?



DANIEL P. MCCOY
County Executive

COUNTY OF ALBANY
OFFICE OF THE EXECUTIVE
112 STATE STREET, ROOM 825
ALBANY, NEW YORK 12207-2021
(518) 447-7040 - FAX (518) 447-5589
WWW.ALBANYCOUNTY.COM

MICHAEL P. MCLAUGHLIN
Deputy County Executive

TO: Pamela O'Neill, Purchasing Division

FROM: Christina Rust, Policy Analyst

RE: Housing Resource Connection Program RFP

DATE: February 1st, 2024

I am recommending that CARES of NY, Inc. be awarded the Housing Resource Connection Program contract in the maximum amount of \$900,000.00. CARES of NY, Inc. was the only agency to respond to RFP#2024-009. Their response met the specifications required and budget criteria as outlined in said RFP. Thank you.



DANIEL P. McCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF GENERAL SERVICES
PURCHASING DIVISION
112 STATE STREET, ROOM 1000
ALBANY, NEW YORK 12207-2021
(518) 447-7140 - FAX (518) 447-5588

DAVID M. LATINA
COMMISSIONER OF GENERAL SERVICES

PAMELA O NEILL
PURCHASING AGENT

MEMORANDUM

TO: Christina Rust, Policy Analyst
County Executive Office

FROM: Pamela O Neill *Pamela*
Purchasing Agent

DATE: February 7, 2024

RE: RFP#2024-009 Housing Resource Connection Program

I am in receipt of your recommendation to award the aforementioned to CARES of NY Inc. in the amount of \$900,000.00

As CARES of NY, Inc. was the only proposal received and you have reviewed their proposal and feel they met the specification requirement and budget, I have no objection to the selection of CARES of NY Inc.

Please obtain the necessary contract approval of the County Legislature, so that we may issue a Notice of Award.



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
OFFICE OF THE EXECUTIVE
112 STATE STREET
ALBANY, NEW YORK 12207-2021
(518) 447-7040 - FAX (518) 447-5589
WWW.ALBANYCOUNTY.COM

MICHAEL P. McLAUGHLIN, JR.
DEPUTY COUNTY EXECUTIVE

January 10, 2024

Honorable Joanne Cunningham, Chair
Albany County Legislature
112 State Street, Room 710
Albany, New York 12207

Dear Chairwoman Cunningham,

I respectfully request the Albany County Legislature's authorization for the \$100,000 appropriation to United Way of the Greater Capital Region to assist with the sale of their former facility's location at 1 United Way, Albany, NY 12205 to Camino Nuevo. This will allow Camino Nuevo to relocate their addiction treatment and recovery facility from their current location at 175 Central Avenue, Albany, NY 12206.

As a non-profit organization in the State of New York, United Way is required to sell their property at full market value, which is reported at \$900,000. With the City of Albany contributing \$100,000 as well, the combined \$200,000 between the City and the County would allow Camino Nuevo to purchase the property and continue their operations and important work on behalf of Albany County residents.

Sincerely,

Daniel P. McCoy
Albany County Executive

cc: Hon. Dennis A. Feeney, Majority Leader
Hon. Frank A. Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
Arnis Zilgme, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-5028, **Version:** 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Authorizing the appropriation of \$100,000 to United Way for the sale of property to Camino Nuevo

Date: January 10, 2024
Submitted By: Cameron Sagan
Department: County Executive's Office
Title: Director of Policy
Phone: 518-447-7040
Department Rep.
Attending Meeting: Cameron Sagan

Purpose of Request:

- ☐ Adopting of Local Law
- ☐ Amendment of Prior Legislation
- ☒ Approval/Adoption of Plan/Procedure
- ☐ Bond Approval
- ☐ Budget Amendment
- ☐ Contract Authorization
- ☐ Countywide Services
- ☐ Environmental Impact/SEQR
- ☐ Home Rule Request
- ☐ Property Conveyance
- ☒ Other: (state if not listed) Appropriation

CONCERNING BUDGET AMENDMENTS

Increase/decrease category (choose all that apply):

- ☐ Contractual
- ☐ Equipment
- ☐ Fringe
- ☐ Personnel
- ☐ Personnel Non-Individual

☐ Revenue

Increase Account/Line No.: Click or tap here to enter text.

Source of Funds: Click or tap here to enter text.

Title Change: Click or tap here to enter text.

CONCERNING CONTRACT AUTHORIZATIONS

Type of Contract:

☐ Change Order/Contract Amendment

☐ Purchase (Equipment/Supplies)

☐ Lease (Equipment/Supplies)

☐ Requirements

☐ Professional Services

☐ Education/Training

☒ Grant

Choose an item.

Submission Date Deadline Click or tap to enter a date.

☐ Settlement of a Claim

☐ Release of Liability

☐ Other: (state if not listed) Click or tap here to enter text.

Contract Terms/Conditions:

Party (Name/address):

United Way of the Greater Capital Region

1 Steuben St, Albany, NY 12207

Additional Parties (Names/addresses):

Click or tap here to enter text.

Amount/Raise Schedule/Fee: \$100,000

Scope of Services: Appropriation

Bond Res. No.: Click or tap here to enter text.

Date of Adoption: Click or tap here to enter text.

CONCERNING ALL REQUESTS

Mandated Program/Service: Yes ☐ No ☒

If Mandated Cite Authority: Click or tap here to enter text.

Is there a Fiscal Impact: Yes ☒ No ☐

Anticipated in Current Budget: Yes ☒ No ☐

County Budget Accounts:

Revenue Account and Line: Click or tap here to enter text.

Revenue Amount: Click or tap here to enter text.

Appropriation Account and Line: A8020 44976

Appropriation Amount: Click or tap here to enter text.

Source of Funding - (Percentages)

Federal:	0
State:	0
County:	100
Local:	0

Original Awarding Agency / Funder:

Click or tap here to enter text.

New York State Pass-Through Agency (if applicable):

Click or tap here to enter text.

Term

Term: (Start and end date) 2/15/2024 to 2/14/2025

Length of Contract: 1 year

Impact on Pending LitigationYes ☐ No ☒

If yes, explain: Click or tap here to enter text.

Previous requests for Identical or Similar Action:

Resolution/Law Number: Click or tap here to enter text.

Date of Adoption: Click or tap here to enter text.

Justification: (state briefly why legislative action is requested)

Albany County seeks authorization of a \$100,000 appropriation to United Way of the Greater Capital Region to assist with the sale of their former facility's location at 1 United Way, Albany, NY 12205 to Camino Nuevo. This will allow Camino Nuevo to relocate their addiction treatment and recovery facility from their current location at 175 Central Avenue, Albany, NY 12206. As a non-profit organization in the State of New York, United Way is required to sell their property at full market value, which is reported at \$900,000. With the City of Albany contributing \$100,000 as well, the combined \$200,000 between the City and the County would allow Camino Nuevo to purchase the property and continue their operations and important work.

RESOLUTION NO. 68

AUTHORIZING AN AGREEMENT WITH UNITED WAY OF THE GREATER CAPITAL REGION REGARDING RELOCATION OF AN ADDICTION AND RECOVERY CENTER

Introduced: 2/12/24

By Audit and Finance Committee:

WHEREAS, The County Executive has requested authorization to convey \$100,000 to United Way of the Greater Capital Region in support of its sale of real property to Camino Nuevo, and

WHEREAS, Camino Nuevo is seeking to relocate its addiction treatment and recovery facility to the former United Way of the Greater Capital Region location at 1 United Way, Albany NY 12205, and

WHEREAS, The County Executive has indicated that said funding will be used to support Camino Nuevo's mission and allow them to relocate its addiction treatment and recovery facility, now, therefore, be it

RESOLVED, By the Albany County Legislature, that the County Executive is hereby authorized to enter into an agreement with United Way of the Greater Capital Region, Albany, NY 12207 in support of the relocation of Camino Nuevo in an amount not to exceed \$100,000, and, be it further

RESOLVED, That such funding is conditioned on both the successful conveyance of said parcel and actual relocation of Camino Nuevo to 1 United Way, and if both parts are not completed then said funding must be returned, and, be it further

RESOLVED, That the County Attorney is authorized to approve said agreement as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.

Referred to Audit and Finance Committee – 2/12/24

RESOLUTION NO. 216

AMENDING THE RULES AND REGULATIONS FOR ALBANY COUNTY EMPLOYEES REGARDING HEALTH INSURANCE BENEFITS FOR RETIREES

Introduced: 4/8/24

By Mayo:

WHEREAS, By Resolution No. 136-b for 1999, this Honorable Body adopted employee rules and regulations which are applicable to all non-union employees of Albany County, and

WHEREAS, By Resolution No. 217 for 2019, this Honorable Body amended these rules to reflect that employees hired after the adoption of these rules were eligible for health insurance benefits for retirees after fifteen (15) years of qualifying County service that is continuous and uninterrupted, and

WHEREAS, It is the view of this Honorable Body that fifteen (15) years of service to Albany County is sufficient to vest employees with health insurance benefits in retirement, and that the additional requirement of continuous and uninterrupted service is no longer consistent with the changing labor markets of Albany County, and

WHEREAS, This change in vesting for health insurance will allow Albany County to remain competitive and recruit more seasoned professionals to return to County service, benefiting numerous departments and the individuals they serve, and

WHEREAS, The Albany County Legislature intends this policy be modified to allow for total aggregate years of service rather than continuous and uninterrupted years of service to control eligibility for health insurance benefits and to apply to all current and former employees of Albany County who have not yet retired from the New York State Retirement System, now, therefore, be it

RESOLVED, By the Albany County Legislature that the Albany County Employee Rules and Regulations, adopted pursuant to Resolution No. 136-b for 1999, as amended, are hereby amended as follows:

Article IX, Section A(1)(a)(2) shall read: "The service indicated in eligibility criterion one (1), (above), need not be continuous and uninterrupted. [if reinstatement to County service following a period of separation was within twelve calendar months following the date of separation. In such circumstances, an employee's benefits date (as

defined by these Rules)] The aggregate total time of service shall be used to determine length of service achieved by the employee.”

Article IX, Section A(1)(a)(4)(c) shall replace “twenty” with “fifteen”

[Article IX Retiring Part Time Employees, Group “A” part (b) shall read:

“(b) Eligibility Criteria. On the day of separation from County service, the employee must meet all of the following criteria:

- 5 [calendar] aggregate total years of County service.
- Age 55 or older.
- Enrolled in County health insurance”

Article IX Retiring Part Time Employees, Group “B” part (b) shall read:

“(b) Eligibility Criteria. On the day of separation from County service, the employee must meet all of the following criteria:

- 10 [calendar] aggregate total years of County service.
- Age 55 or older.
- Enrolled in County health insurance”

Article IX Retiring Part Time Employees, Group “C” part (b) shall read:

“(b) Eligibility Criteria. On the day of separation from County service, the employee must meet all of the following criteria:

- 5 [calendar] aggregate total years of County service.
- Age 55 or older.
- Enrolled in County health insurance”

Article IX Retiring Part Time Employees, Group “D” part (b) shall read:

“(b) Eligibility Criteria. On the day of separation from County service, the employee must meet all of the following criteria:

- Have completed 10 aggregate total years of equivalent full-time County service.
- Age 55 or older.
- Enrolled in County health insurance”

Article IX Retiring Part Time Employees, Group “E” part (b) shall read:

“(b) Eligibility Criteria. On the day of separation from County service, the employee must meet all of the following criteria:

- Have completed 10 aggregate total years of equivalent full-time County service.
- Age 55 or older.
- Enrolled in County health insurance”

Article IX Retiring Part Time Employees, Group “F” part (b) shall read:

“(b) Eligibility Criteria:

- Employees must have completed fifteen (15) aggregate total years of equivalent full-time County service. Calculation of full time service uses a standard work week of not less than thirty five (35) hours per week.
- Age 55 or older.
- Enrolled in County health insurance”]

and, be it further

RESOLVED, That such changes to the rule shall be effective immediately for all current employees of Albany County, and, be it further

RESOLVED, That former employees of Albany County, who have not yet retired with the New York State Retirement System, shall be eligible to return to County service and thereafter apply their previous aggregate time of service when calculating eligibility for health insurance benefits consistent with these Rules, provided, however, that such former employees shall be required to complete three-years of continuous and uninterrupted service before becoming eligible for such benefits and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.

Referred to Personnel and Audit and Finance Committees – 4/8/24

LOCAL LAW NO. F FOR 2024

A LOCAL LAW OF THE COUNTY OF ALBANY, NEW YORK AMENDING CHAPTER 270 OF THE ALBANY COUNTY CODE TO PROVIDE A REAL PROPERTY TAX EXEMPTION FOR LIVING QUARTERS FOR PARENT OR GRANDPARENT

Introduced: 4/8/24

By Cunningham:

BE IT ENACTED by the Legislature of the County of Albany as follows:

SECTION I. Article Creation.

Chapter 270, Article XX, **Auxiliary Dwelling Unit Exemption**, is hereby created

SECTION II. § 270-166 Legislative Intent and Purpose.

a. The Albany County Legislature recognizes the role of the family in the care of the aging and the contribution to family life that can be made by encouraging the aging to share their decades of wisdom and experience with the youth of their families.

b. The Legislature finds and determines that it is desirous for parents and grandparents to age in place and remain in the care of their family.

c. The Legislature further finds and determines that it is the best social and economic interest of the County of Albany to encourage the care of the aging be provided within the family, where appropriate.

d. The Legislature further finds and determines that real property tax exemptions are an appropriate way to assist with the financial burden of caring for a parent or grandparent in the comfort of one's own home.

e. The purpose of this Local Law is to legislatively adopt the real property tax exemption provided for the living quarters of a parent or grandparent in accordance with Section 469 of the Real Property Tax Law to read as follows:

SECTION III. § 270-167 Definitions.

For the purposes of this Local Law, the term "parent or grandparent" shall be deemed to include the birth or adoptive grandparents and parents of the owner or the spouse of the owner.

SECTION IV. § 270-168 Real Property Tax Exemption.

The County of Albany does hereby provide for an exemption from taxation to the extent of any increase in assessed value of residential property resulting from the construction or reconstruction of such property for the purpose of providing living quarters for a parent or grandparent, who is sixty-two years of age or older.

SECTION V. § 270-169 Computation of Exemption.

Such exemption shall not exceed (a) the increase in assessed value resulting from construction or reconstruction of such property, or (b) twenty percent of the total assessed value of such property as improved, or (c) twenty percent of the median sale price of residential property as reported in the most recent sales statistical summary published by the New York State Commissioner of Taxation and Finance for the county in which the property is located, whichever is less.

Such exemption shall be applicable only to construction or reconstruction which occurred subsequent to January 1, 2023 and shall only apply during taxable years during which at least one such parent or grandparent maintains a primary place of residence in such living quarters.

SECTION VI. § 270-170 Conditions on Exemption.

No such exemption shall be granted unless:

- (1) The property is within the geographical area in which such construction or reconstruction is permitted; and
- (2) The residential property so constructed or reconstructed is the principal place of residence of the owner; and
- (3) The construction or reconstruction was certified as complete through the issuance of a certificate of occupancy or a certificate of completion by the municipal building department with responsibility for town or city in which the construction or reconstruction has occurred; and
- (4) The certificate of occupancy or certificate of completion was issued on or after January 1, 2023.

SECTION VII. § 270-171 Application for Exemption.

Such exemption from taxation shall be granted upon an application made annually, upon a form promulgated by the New York State Commissioner of Taxation and Finance, by the owner of such property to the assessor of the city, town, or village having the power to assess property for taxation on or before the appropriate taxable status date of such city, town or village. If the assessor is satisfied that the property is entitled to an exemption pursuant to real property tax law section 469, they shall approve the application and such residential improvements shall be exempt from taxation and special ad valorem levies as provided by this Local Law.

Any conviction of having made any willful false statement in the application for such exemption shall result in the revocation thereof, be punishable by a civil penalty of not more than one hundred dollars and shall disqualify the applicant or applicants from further exemption for a period of five years.

SECTION VIII. § 270-172 Effective Date.

This Local Law shall take effect on January 1, 2025 and shall apply to taxable status dates occurring on or after such date.

Referred to Elder Care, Law and Audit and Finance Committees – 4/8/24

RESOLUTION NO. 245

PUBLIC HEARING ON PROPOSED LOCAL LAW NO. “F” FOR 2024

Introduced: 4/8/24

By Cunningham:

RESOLVED, By the County Legislature of the County of Albany that a public hearing on proposed Local Law No. “F ” for 2024, “A LOCAL LAW OF THE COUNTY OF ALBANY, NEW YORK AMENDING CHAPTER 270 OF THE ALBANY COUNTY CODE TO PROVIDE A REAL PROPERTY TAX EXEMPTION FOR LIVING QUARTERS FOR PARENT OR GRANDPARENT” be held by the County Legislature in the William J. Conboy II Legislative Chambers, Albany County Courthouse, Albany, New York at 7:15 p.m. on Tuesday, May 28, 2024, and the Clerk of the County Legislature is directed to cause notice of such hearing to be published containing the necessary information in accordance with the applicable provisions of law.

Referred to Elder Care, Law and Audit and Finance Committees – 4/8/24

LOCAL LAW NO. F FOR 2024

A LOCAL LAW OF THE COUNTY OF ALBANY, NEW YORK AMENDING CHAPTER 270 OF THE ALBANY COUNTY CODE TO PROVIDE A REAL PROPERTY TAX EXEMPTION FOR LIVING QUARTERS FOR PARENT OR GRANDPARENT

Introduced: 4/8/24

By Cunningham:

BE IT ENACTED by the Legislature of the County of Albany as follows:

SECTION I. Article Creation.

Chapter 270, Article XX, **Auxiliary Dwelling Unit Exemption**, is hereby created

SECTION II. § 270-166 Legislative Intent and Purpose.

a. The Albany County Legislature recognizes the role of the family in the care of the aging and the contribution to family life that can be made by encouraging the aging to share their decades of wisdom and experience with the youth of their families.

b. The Legislature finds and determines that it is desirous for parents and grandparents to age in place and remain in the care of their family.

c. The Legislature further finds and determines that it is the best social and economic interest of the County of Albany to encourage the care of the aging be provided within the family, where appropriate.

d. The Legislature further finds and determines that real property tax exemptions are an appropriate way to assist with the financial burden of caring for a parent or grandparent in the comfort of one's own home.

e. The purpose of this Local Law is to legislatively adopt the real property tax exemption provided for the living quarters of a parent or grandparent in accordance with Section 469 of the Real Property Tax Law to read as follows:

SECTION III. § 270-167 Definitions.

For the purposes of this Local Law, the term "parent or grandparent" shall be deemed to include the birth or adoptive grandparents and parents of the owner or the spouse of the owner.

SECTION IV. § 270-168 Real Property Tax Exemption.

The County of Albany does hereby provide for an exemption from taxation to the extent of any increase in assessed value of residential property resulting from the construction or reconstruction of such property for the purpose of providing living quarters for a parent or grandparent, who is sixty-two years of age or older.

SECTION V. § 270-169 Computation of Exemption.

Such exemption shall not exceed (a) the increase in assessed value resulting from construction or reconstruction of such property, or (b) twenty percent of the total assessed value of such property as improved, or (c) twenty percent of the median sale price of residential property as reported in the most recent sales statistical summary published by the New York State Commissioner of Taxation and Finance for the county in which the property is located, whichever is less.

Such exemption shall be applicable only to construction or reconstruction which occurred subsequent to January 1, 2023 and shall only apply during taxable years during which at least one such parent or grandparent maintains a primary place of residence in such living quarters.

SECTION VI. § 270-170 Conditions on Exemption.

No such exemption shall be granted unless:

- (1) The property is within the geographical area in which such construction or reconstruction is permitted; and
- (2) The residential property so constructed or reconstructed is the principal place of residence of the owner; and
- (3) The construction or reconstruction was certified as complete through the issuance of a certificate of occupancy or a certificate of completion by the municipal building department with responsibility for town or city in which the construction or reconstruction has occurred; and
- (4) The certificate of occupancy or certificate of completion was issued on or after January 1, 2023.

SECTION VII. § 270-171 Application for Exemption.

Such exemption from taxation shall be granted upon an application made annually, upon a form promulgated by the New York State Commissioner of Taxation and Finance, by the owner of such property to the assessor of the city, town, or village having the power to assess property for taxation on or before the appropriate taxable status date of such city, town or village. If the assessor is satisfied that the property is entitled to an exemption pursuant to real property tax law section 469, they shall approve the application and such residential improvements shall be exempt from taxation and special ad valorem levies as provided by this Local Law.

Any conviction of having made any willful false statement in the application for such exemption shall result in the revocation thereof, be punishable by a civil

penalty of not more than one hundred dollars and shall disqualify the applicant or applicants from further exemption for a period of five years.

SECTION VIII. § 270-172 Effective Date.

This Local Law shall take effect on January 1, 2025 and shall apply to taxable status dates occurring on or after such date.



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
OFFICE OF THE EXECUTIVE
112 STATE STREET
ALBANY, NEW YORK 12207-2021
(518) 447-7040 - FAX (518) 447-5589
WWW.ALBANYCOUNTY.COM

MICHAEL P. McLAUGHLIN, JR.
DEPUTY COUNTY EXECUTIVE

April 4, 2024

Honorable Joanne Cunningham, Chair
Albany County Legislature
112 State Street, Room 710
Albany, New York 12207

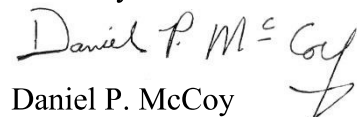
Dear Chairwoman Cunningham:

The Office of the Albany County Executive is requesting authorization to apply for funding through Empire State Development's Restore New York Communities Initiative ("Restore NY"). The Restore NY program provides municipalities with financial assistance for the revitalization of commercial and residential properties. The program encourages community development and neighborhood growth through the elimination and redevelopment of blighted structures.

After significant efforts were made to rehabilitate the property, it is apparent that demolition is the only viable option to remove this prominent eyesore and encourage new activity at the site. The County is applying in the 'Special Project' category which can provide up to \$10 million toward a demolition project. After demolition and following appropriate environmental evaluation the property will be returned to the County or Advance Albany County Alliance to facilitate positive development at the property.

If you should have any questions, please do not hesitate to contact me.

Sincerely


Daniel P. McCoy
Albany County Executive

cc: Hon. Dennis Feeney, Majority Leader
Hon. Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
Arnis Zilgme, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-5386, **Version:** 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):
Requesting Authorization to Apply for Restore NY Grant funding

Date: April 4, 2024
Submitted By: Lucas Rogers
Department: CEO
Title: Economic Development Coordinator
Phone: 518-447-5566
Department Rep.
Attending Meeting: Lucas Rogers or Cam Sagan

Purpose of Request:

- ☐ Adopting of Local Law
- ☐ Amendment of Prior Legislation
- ☐ Approval/Adoption of Plan/Procedure
- ☐ Bond Approval
- ☐ Budget Amendment
- ☒ Contract Authorization
- ☐ Countywide Services
- ☐ Environmental Impact/SEQR
- ☐ Home Rule Request
- ☐ Property Conveyance
- ☐ Other: (state if not listed) Click or tap here to enter text.

CONCERNING BUDGET AMENDMENTS

Increase/decrease category (choose all that apply):

- ☐ Contractual
- ☐ Equipment
- ☐ Fringe
- ☐ Personnel
- ☐ Personnel Non-Individual

☐ Revenue

Increase Account/Line No.: Click or tap here to enter text.

Source of Funds: Click or tap here to enter text.

Title Change: Click or tap here to enter text.

CONCERNING CONTRACT AUTHORIZATIONS

Type of Contract:

☐ Change Order/Contract Amendment

☐ Purchase (Equipment/Supplies)

☐ Lease (Equipment/Supplies)

☐ Requirements

☐ Professional Services

☐ Education/Training

☒ Grant

New

Submission Date Deadline 5/22/2024

☐ Settlement of a Claim

☐ Release of Liability

☐ Other: (state if not listed) Click or tap here to enter text.

Contract Terms/Conditions:

Party (Name/address):

Empire State Development

625 Broadway,

Albany, NY 12207

Additional Parties (Names/addresses):

Click or tap here to enter text.

Amount/Raise Schedule/Fee: \$10,000,000

Scope of Services: Requesting funding to support the demolition of the Central Warehouse

Bond Res. No.: Click or tap here to enter text.

Date of Adoption: Click or tap here to enter text.

CONCERNING ALL REQUESTS

Mandated Program/Service: Yes ☐ No ☒

If Mandated Cite Authority: Click or tap here to enter text.

Is there a Fiscal Impact: Yes ☐ No ☒

Anticipated in Current Budget: Yes ☐ No ☐

County Budget Accounts:

Revenue Account and Line: Click or tap here to enter text.

Revenue Amount: Click or tap here to enter text.

Appropriation Account and Line: Click or tap here to enter text.

Appropriation Amount: Click or tap here to enter text.

Source of Funding - (Percentages)

Federal: Click or tap here to enter text.

State: Click or tap here to enter text.

County: Click or tap here to enter text.

Local: Click or tap here to enter text.

Original Awarding Agency / Funder:

Click or tap here to enter text.

New York State Pass-Through Agency (if applicable):

Click or tap here to enter text.

Term

Term: (Start and end date) Click or tap here to enter text.

Length of Contract: Click or tap here to enter text.

Impact on Pending Litigation

Yes ☐ No ☒

If yes, explain: Click or tap here to enter text.

Previous requests for Identical or Similar Action:

Resolution/Law Number: Click or tap here to enter text.

Date of Adoption: Click or tap here to enter text.

Justification: (state briefly why legislative action is requested)

The Office of the Albany County Executive is requesting authorization to apply for funding through Empire State Development's Restore New York Communities Initiative ("Restore NY"). The Restore NY program provides municipalities with financial assistance for the revitalization of commercial and residential properties. The program encourages community development and neighborhood growth through the elimination and redevelopment of blighted structures.

After significant efforts were made to rehabilitate the property, it is apparent that demolition is the only viable option to remove this prominent eyesore and encourage new activity at the site. The County is applying in the Special Project category which can provide up to \$10 million toward a demolition project. After demolition and following appropriate environmental evaluation the property will be returned to the County or Advance Albany County Alliance to facilitate positive development at the property.

Round 8

RESTORE NY COMMUNITIES INITIATIVE

Municipal Grant Program

February 22, 2024

**Empire State Development (ESD)
Request for Funding Proposals**

GUIDELINES

Proposal Due Date: 3:00 PM – Wednesday May 22, 2024

Restore NY Guidelines Table of Contents

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- Attachment: Restore NY Application

Section 1 – Intent to Apply for Restore NY Funding

If a Municipality is intending to apply for Restore NY funding, a letter of intent must be submitted by the leading municipal official **no later than 5:00 PM on March 25, 2024**.

All municipalities are eligible to submit two letters of intent for normal projects. Eligible municipalities can also submit one additional letter of intent for a special project as defined in Section 7 of the guidelines. Municipalities may only select **ONE** regular project and **ONE** special project, if applicable, to move forward with an application. Applications from municipalities that do not submit this form will not be accepted.

The letter of intent form can be found at <https://esd.ny.gov/restore-new-york>.

On the form, please provide a brief project description that includes how the project meets Restore NY goals (e.g., that the project will demolish/deconstruct and/or rehabilitate/reconstruct vacant, abandoned, surplus and/or condemned residential, commercial and/or mixed-use buildings). The description should include the size of the project (e.g., number of and square feet of building(s) in the project). It should also include the intended reuse of the properties and other salient information such as its location in a target area of the community, or that it is part of a local revitalization or urban development plan. The description should not exceed 150 words. Please also enter information on each property that will be included in the project. If the project has more than five properties, please fill out the first five. The contact entered in the document will be prompted via email to submit the remaining properties following submission of the form.

You may not submit an application for a project that was not the subject of an approved letter of intent.

Once submitted, the contact person identified in the document will receive two emails:

1. Email from DocuSign with a link to electronically sign the completed form.
2. A confirmation email from **formrouter@esd.ny.gov** that the submission has been received. If applicable, this email will also include a link that allows additional properties to be added to the form.

It is the responsibility of the municipality to ensure that the letter of intent has been received by ESD. If an e-mail confirmation notice is not received the municipality should email restoreny@esd.ny.gov.

Section 2 – Program Description and Goals

The 2023-24 State Budget provided new funding for the Restore New York Communities Initiative and gave Empire State Development the responsibility of implementing this program for the sole purpose of revitalizing urban and rural areas, disadvantaged communities, and stabilizing neighborhoods.

Municipalities, defined as counties, cities, towns, and villages, are invited to submit a Request for Funding Proposal for projects to demolish, deconstruct, rehabilitate and/or reconstruct vacant, abandoned, condemned, and/or surplus properties. Additionally, funds can be used for site development needs related to the project including, but not limited to water, sewer, and parking.

Projects should be architecturally consistent with nearby and adjacent properties or in a manner consistent with the municipality's local revitalization or urban development plan. Rehabilitation of government buildings and properties for government purposes is not eligible for Restore NY funding. Greenfield development is also ineligible.

All projects require no less than ten percent of the aggregated award or awards amount in matching contributions. Cash and in-kind contributions are allowed. Section 7 further explains the match requirements.

An important goal of Restore NY is to revitalize urban centers, rural areas, and disadvantaged communities. It is anticipated that upon completion, the projects funded by Restore NY grants will attract individuals, families, and industry and commercial enterprises to the municipality. It is further anticipated that the improved community and business climate will result in an increased tax base thereby improving municipal finances and the wherewithal to further grow the municipality's tax and resource base, lessening its dependence on state aid.

Awards will be made to qualified applicants based on statutory criteria and, to the fullest extent possible, in a geographically proportionate manner throughout the state. As such, funds may not be awarded to some applications with higher scores.

Strong emphasis will be placed on projects from economically distressed communities as described in the statute. Priority will also be given to projects that leverage other state or federal redevelopment, remediation, or planning programs including but not limited to the Brownfield Opportunity Areas program and Investment Zones.

Strong emphasis will also be placed on project feasibility and readiness. Projects will score higher when they demonstrate that a majority of the criteria in Section 6 of the Guidelines have been satisfied. This includes the following: Project Feasibility (e.g. market feasibility, business plan, letters of commitment on financing, etc.); Project Readiness (e.g. conformance with local planning and zoning, federal and state permits, etc.); Transportation and Utility Readiness (e.g. transportation analysis, utility evaluations, etc.); and Environmental Readiness (e.g. SEQRA and SHPO consultation, etc.). Applicants that can demonstrate that plans are in place, project financing has been committed, and that the project is expected to start within a year of a Restore NY award will be considered more competitive.

Section 3 – Funding Proposal Submission Package Requirements

Intent to Apply Deadline	No later than 5:00 PM EST on Monday March 25th, 2024
Application Deadline	No later than 3:00 PM EST on Wednesday May 22nd, 2024
Eligible Applicants	▪ All New York State Counties, Cities, Towns, and Villages ▪ Cities over one million, and counties therein, may only apply in distressed communities ▪ Applications are limited to one application per project ▪ Not-for-profit and private entities are not eligible
Submission Package	The application will be submitted entirely through the CFA portal. Please complete the CFA, and confirm the below documents are uploaded as attachments to the CFA application: ▪ Property Data Sheet(s) and Attachments ▪ Written commitment(s) of matching funds ▪ Proof of Notice of Public Hearing ▪ Proof of publication of the Property Assessment List ▪ A true and complete copy of the Municipal Resolution ▪ Application Fee All due at application deadline
Application Fee	A non-refundable wire transfer to Empire State Development Corporation in the amounts indicated below: ▪ \$2,000 for cities of 100,000 population and over ▪ \$1,000 for towns of 100,000 population and over ▪ \$1,000 for cities, towns, and villages of 40,000–99,999 population ▪ \$500 for municipalities of 39,999 population and under Empire State Development Wire Information: Bank Name: JPMorgan Chase Bank Account Name: ESD ED Income ABA Number: 021 000 021 Account Number: 007004796 Reference: “[Municipality Name] - Restore NY Round 8” For county applicants, the application fee shall be based on the city, town, or village wherein the project is located. If a community applies for a special project in addition to a normal project, it is required to pay the same fee as indicated above for that application as well. Proof of payment must be provided within the CFA application.
Questions	See Section 10 for contact information ▪ For questions regarding this application, e-mail restoreny@esd.ny.gov or contact your local ESD Regional Office ▪ For specific questions regarding SEQR, contact the NYS Department of Environmental Conservation ▪ For specific questions regarding SHPO, contact the NYS Office of Parks, Recreation, and Historic Preservation, or ESD’s Planning and Environmental Review Office

Section 4 – Funding Proposal Instructions

If the Municipality intends to apply for Restore NY funding, an Intent to Apply form must be submitted by the chief executive of the municipality by **March 25th, 2024** (see Section 1). All municipalities are eligible to submit two letters of intent for normal projects and one additional letter of intent for a special project, if eligible. **Applications from municipalities that do not submit an Intent to Apply form will not be accepted.**

Following receipt of the Intent to Apply Form, the contact entered on that form will receive an email from ESD with an eligibility determination. The applicant will then need to proceed to the Restore NY website to access the link to the CFA application as well as the Property Data Sheet.

Use of the State’s Consolidated Funding Application (CFA) online portal is required. This application portal will collect all necessary information for the Restore NY application.

CONSOLIDATED FUNDING APPLICATION

[HELP](#) [PRINT PAGE](#) [REGIONAL ECONOMIC DEVELOPMENT COUNCILS](#) [CFA PROJECT INFO](#)

WELCOME

As part of New York's efforts to improve the business climate and expand economic growth, the NYS Consolidated Funding Application (CFA) was created to support the Regional Economic Development Council (REDC) Initiative. The CFA has streamlined and expedited the grant application process marking a fundamental shift in the way state resources are allocated, ensuring less bureaucracy and greater efficiency to fulfill local needs. The CFA allows applicants to access multiple state funding sources through one application, making the process quicker, easier, and more productive.

As statewide programs and local needs have evolved, so has the CFA which is now host to a multitude of programs and initiatives. These programs change throughout the year so be sure to check back periodically to see what programs are available.

To proceed with your CFA, please use the [Log In](#) or [Register](#) buttons.

New - Cloning an Application

To save time you can now clone an application from the previous year.
[Click here to learn how.](#)

[Log In](#)

[Register](#)

The Restore NY Application must be certified by the leading municipal official that it is complete, true, and accurate. Incomplete applications will not be considered. However, ESD, at its sole discretion, reserves the right to accept minor amendments and additions to this application.

The following documents must be included with your application:

- Property Data Sheet for each property
- Project Proposal from lead entity conducting project
- Credentials and Qualifications/Resume of lead entity conducting project
- Site Map with each property clearly marked
- Letter from local planning/zoning officials stating project is compatible with local ordinances
- 3rd Party Project Cost Estimates
- 5 (five) years of Operating Pro Forma
- Letter of matching fund commitments
- Publication proof of Property Assessment List*
- Publication proof of Notice of Public Hearing**
- Municipal Resolution

*A Property Assessment List (as defined in Section 7) must be published in a local daily newspaper either in print or online for three consecutive days. Where the proposed project is located in a municipality that has no publication that can reasonably be considered a “local daily newspaper,” and a weekly publication is in print form only, ESD will accept publication of the Property Assessment List in the weekly publication for three consecutive weeks. Evidence of the publication must be included in the application. Acceptable documentation is: (1) photocopies of all three advertisements showing the dates of publication; and/or (2) a signed receipt from the newspaper. **Please note: The Property Assessment List is no longer a separate document and is now in the Consolidated Funding Application (CFA).** Publications must include all information captured in the Property Assessment List, but this information does not need to be in chart form.

**A public hearing must be held to discuss the Restore NY application and the Property Assessment List. Proof of the publication of the Notice of a Public Hearing to discuss the Restore NY application and the Property Assessment List must be included in the application.

Individual properties must be bundled into a definable “project” (as defined in Section 7). The project may include the demolition, deconstruction, rehabilitation, and/or reconstruction of a building or group of buildings that furthers the goals of revitalizing a rural or urban center, encouraging commercial investment, or adding value to the local housing stock. To constitute a coherent and cohesive project, a group of properties shall be selected because their revitalization is inter-related and will collectively advance a strategic objective of the local revitalization or urban development plan. A site map must be included for each project clearly identifying all targeted properties. If the buildings are not proximate to each other, an explanation of their strategic interrelationship should be included in the letter of intent and application.

All municipalities may apply for one project subject to the normal limits defined in Section 5. In addition, however, eligible municipalities may also compete for one of a very limited number of special project awards to be made statewide. **Special projects with funding requests under the normal project funding cap will not be considered.**

Individual Property Data Sheets and budgets must be completed for each property submitted. Attach a photograph of the building façade for each property. Complete the Site Control Affidavit for non-municipally owned properties.

The legislative body of the local municipality that maintains land use control over the proposed project site must pass a resolution finding that the proposed project is consistent with the municipality’s local revitalization or urban development plan; that the proposed financing is appropriate for the specific project; that the project facilitates effective and efficient use of existing and future public resources so as to promote both economic development and preservation of community resources; and the project develops and enhances infrastructure and/or other facilities in a manner that will attract, create, and sustain employment opportunities where applicable. **A true and complete copy of the resolution must be included in the application.**

Section 5 – Funding and Project Limits

Applicant Funding and Project Limits	# of Projects	Funding Per Project
Cities of 100,000 population and over based on the last census	1 Project	\$4 million
Cities and villages between 40,000 and 99,999 population based on the last census	1 Project	\$3 million
All other municipalities	1 Project	\$2 million
ESD may grant a limited number of special awards. Municipalities with populations of 100,000 or less and Counties with populations of 400,000 or less, based on the last U.S. Census, may apply for an additional \$10 million to put toward a second separate project. The project must meet the definition of a “special project,” as defined in Section 7 of the Restore NY Guidelines.	1 Project	\$10 million

The above funding per project is the maximum possible, but as projects are also subject to the caps set forth below a municipality may not be awarded the maximum. For county applicants, funding limits shall be based on the city, town, or village wherein the project is located. Commercial project funding is determined by square foot caps and may not exceed the calculated amount unless there is a need for lead and/or asbestos removal. In such case the two numbers can be combined for a total funding cost. Residential project funding is determined by the activity occurring, i.e., demolition and reconstruction, and may not exceed caps per property unless there is a need for lead and/or asbestos removal. In such case the two numbers can be combined for a total funding cost. Funding maximums for an apartment building will be on a per unit basis, unless the project is for affordable housing units (as defined in Section 7), in which case the project may receive an award of up to \$150,000 for the property and additional award funding per apartment unit, provided at least 25% of units will be affordable housing. Where an apartment building has 10 or fewer units, at least three units must be affordable housing to qualify for an additional award of up to \$150,000 for the property.

While the maximum grant levels have remained the same for most communities, funds in this round are more limited. Applicants requesting an award amount below the maximum will have priority and be considered more competitive. Applicants are encouraged to review their municipality and project caps in order to determine the amount that will make the project feasible.

Residential Property	Per Property Maximum Allowance
Demolition/Deconstruction	\$30,000
Rehabilitation/Reconstruction	\$150,000

Residential Apartment Units	Per Unit Maximum Allowance
Apartment Allowance	\$70,000

Affordable Housing	Additional Maximum Allowance Per Property
Affordable Housing Allowance – Property	\$150,000

Maximum Commercial Building DEMOLITION Allowance Per Square Foot					
Source: Marshall Valuation Service					
ESD Region	Class A Structural Steel	Class B Reinforced Concrete	Class C Masonry Walls	Class D Wood or Steel	Class S Metal bents or Columns
Capital Region	\$11.856	\$14.734	\$9.6884	\$8.774	\$8.1375
Central NY	\$12.198	\$15.429	\$10.054	\$8.856	\$8.525
Finger Lakes	\$12.312	\$15.708	\$10.054	\$8.856	\$8.4475
Long Island	\$15.048	\$18.765	\$12.4304	\$11.234	\$10.695
Mid-Hudson	\$12.768	\$15.846	\$10.4196	\$9.594	\$8.835
Mohawk Valley	\$11.514	\$14.595	\$9.5056	\$8.61	\$7.905
New York City	\$15.048	\$19.043	\$12.4304	\$11.316	\$10.695
North Country	\$11.40	\$14.178	\$9.14	\$8.282	\$7.6725
Southern Tier	\$11.40	\$14.178	\$9.0486	\$8.118	\$7.6725
Western NY	\$12.312	\$15.151	\$10.1454	\$9.02	\$8.4475

Maximum Commercial Building CONSTRUCTION Allowance Per Square Foot										
Source: Marshall Valuation Service										
	OFFICE				LIGHT MANUFACTURING				RETAIL*	
	Class A	Class B	Class C	Class D	Class A	Class B	Class C	Class D	Class C	Class D
ESD Region	Structural Steel	Reinforced Concrete	Masonry or Concret	Wood /Steel I	Structural Steel	Reinforced Concrete	Masonry Walls	Wood /Steel Studs	Masonry or Concret	Wood or Steel Studs
Capital Region	\$420.16	\$394.32	\$336.02	\$324.14	\$112.32	\$102.29	\$97.52	\$91.485	\$210.94	\$204.37
Central NY	\$432.28	\$412.92	\$348.70	\$326.16	\$115.56	\$107.115	\$101.20	\$92.34	\$218.90	\$206.28
Finger Lakes	\$436.32	\$420.36	\$348.70	\$326.16	\$116.64	\$109.045	\$101.20	\$92.34	\$218.90	\$206.28
Long Island	\$533.28	\$502.20	\$431.12	\$413.74	\$142.56	\$130.275	\$125.12	\$117.135	\$270.64	\$261.67
Mid-Hudson	\$452.48	\$424.08	\$361.38	\$353.34	\$120.96	\$110.01	\$104.88	\$100.035	\$226.86	\$223.47
Mohawk Valley	\$408.04	\$390.60	\$329.68	\$317.10	\$109.08	\$101.325	\$95.68	\$89.775	\$206.96	\$200.55
NYC	\$533.28	\$509.64	\$431.12	\$416.76	\$142.56	\$132.205	\$125.12	\$117.99	\$270.64	\$263.58
North Country	\$404.00	\$379.44	\$317.00	\$305.02	\$108.00	\$98.43	\$92.00	\$86.355	\$199.00	\$192.91
Southern Tier	\$404.00	\$379.44	\$313.83	\$298.98	\$108.00	\$98.43	\$91.08	\$84.645	\$197.01	\$189.09
Western NY	\$436.32	\$405.48	\$351.87	\$332.20	\$116.64	\$105.185	\$102.12	\$94.05	\$220.89	\$210.01

* Retail includes retail mixed with office or residential.

Maximum Allowance Where Certain HAZARDOUS MATERIALS are Present in the Demolition or Reconstruction Per Square Foot Source: Marshall Valuation Service		
ESD Region	Asbestos Abatement	Lead Removal
Capital Region	\$92.96	\$33.02
Central NY	\$95.23	\$33.9725
Finger Lakes	\$96.12	\$34.29
Long Island	\$117.48	\$41.91
Mid-Hudson	\$99.68	\$35.56
Mohawk Valley	\$89.89	\$32.0675
New York City	\$117.48	\$41.91
North Country	\$89.00	\$31.75
Southern Tier	\$89.00	\$31.75
Western NY	\$96.12	\$34.29

Section 6 – Scoring Criteria

Applications will be scored based on how they address the goals of the Restore NY Communities Initiative and statutory criteria. Awards will be made, to the fullest extent possible, in a geographically proportionate manner throughout the state. As such, funds may not be awarded to some applications with higher scores.

A maximum of 105 points can be achieved based the categories defined in the table below.

Points	Criteria	Scale
Up to 15	Economic Distress	15 – High 10 – Moderate 5 – Slight
Up to 25	Other Statutory Priorities	5 – Mostly in an Investment Zone –or– 5 – Mostly in a Brownfield Opportunity Area Up to 20 – Leverage of other State and Federal Programs
Up to 30	Program Goals	Up to 20 – Induce Commercial Investment Up to 10 – Improve Local Housing
Up to 20	Project Readiness / Feasibility	Up to 20
Up to 15	President/CEO Commissioner Discretion	Up to 15
Maximum: 105		

Section 7 – Definitions

AFFORDABLE HOUSING UNITS shall mean permanent housing that is affordable to low- and moderate-income households, such that the new housing achieves income averaging at or below fifty percent of the area median income, with residents' eligibility capped at a maximum of eighty percent of the area median income at the start of their lease.

BROWNFIELD shall mean any real property, the redevelopment or reuse of which may be complicated by the presence or potential presence of a contaminant.

BROWNFIELD OPPORTUNITY AREA (BOA) shall mean an area of the municipality that has been approved for funding under the Brownfield Opportunity Area Program for the purpose of establishing a community-based revitalization plan and implementation strategy to achieve brownfield redevelopment in a proactive and systematic way.

BUILDING CATEGORY shall mean the following:

- **ABANDONED** shall mean a wrecked or derelict building that has been left abandoned and unprotected.
- **CONDEMNED** shall mean a building declared by official order to be unfit for use.
- **SURPLUS** shall mean a municipally owned building deemed surplus or no longer required.
- **VACANT** shall mean having no occupants or is mostly unoccupied.

BUILDING TYPE shall mean the following:

- **COMMERCIAL** shall mean real estate zoned for business or industrial use including retail, and that is at least 85% used for business, industrial and/or retail purposes.
- **RESIDENTIAL** shall mean real estate that is zoned for single-family homes, multi-family apartments, townhouses, condominiums, and co-ops, and that is at least 85% used for residential purposes.
- **MIXED USE** shall mean buildings that include a combination of commercial, office, retail, and residential uses.

ECONOMICALLY DISTRESSED COMMUNITY shall mean the following based on the city*, town, or village where the project is located:

- **HIGHLY DISTRESSED COMMUNITIES** shall have at least 25 households receiving public assistance income in the 2022 ACS 5-year estimate and meet at least seven of the criteria listed below:
- **MODERATELY DISTRESSED COMMUNITIES** shall have at least 25 households receiving public assistance income in the 2022 ACS 5-year estimate and meet at least five of the criteria listed below:
- **SLIGHTLY DISTRESSED COMMUNITIES** shall have at least 100 households receiving public assistance income in the 2022 ACS 5-year estimate and meet at least four of the criteria listed below:
 - Population loss between the 2022 ACS 5-year estimate and the 2018 ACS 5-year estimate – an absolute loss in population.
 - Unemployment rate (2022 ACS 5-year estimate) higher than the State's rate.
 - Private sector employment growth rate (2022 ACS 5-year estimate) over the preceding 5 years was lower than the State's OR private sector employment (2022 ACS 5-year estimate) as a percentage of total employment was less than the State's.
 - Percentage of households receiving public assistance (2022 ACS 5-year estimate) was greater than the

- statewide percentage.
- Poverty rate (2022 ACS 5-year estimate) was greater than the State's poverty rate.
- Per Capita Income change (2022 ACS 5-year estimate) over the preceding five years was less than the growth in the consumer price index (CPI) for all urban consumers nationally OR per capita income was less than the State's per capita income.
- Full Value Assessment of Taxable Property growth over the preceding 5 years was less than the statewide growth.
- Taxable sales growth rate between the period of 2018 through 2022 in the county was less than the statewide growth in taxable sales.

*Per statute, cities with a population of one million or more may apply for funding through the Restore New York Communities Initiative for a project located in a severely economically distressed community as determined by the commissioner of ESD. Cities with a population of one million or more are eligible to apply for a project located in the census tracts listed on the Restore NY website determined using the subset of criteria listed above where data is available at the sub-municipal level or may apply for a project located in any other census tract that is located in an Urban Renewal Area or Urban Development Action Area as defined by New York General Municipal Law Articles 15 and 16. Cities with a population of one million or more are not eligible for a "Special Project" award as defined in this section.

ELIGIBLE APPLICANT shall mean any New York State County, City, Town, or Village. Cities over one million, and Counties therein, may only apply in distressed areas.

GREENFIELD shall mean a piece of property that is undeveloped, or a previously developed site that has been cleaned up and is ready for redevelopment. Greenfield projects are not eligible for Restore funding.

IN-KIND shall mean a contribution, service or administrative cost associated with the project including funds from other federal, state or local governments and private contributions. For Restore NY purposes, in-kind contributions will be allowed retroactive to the RFP release date (February 22, 2024).

HISTORIC AND/OR CULTURAL PLACE OR PROPERTY shall mean any building, structure, district, area, site or object, including an underground and underwater site, that is of significance in the history, architecture, archeology or culture of the state, community or nation.

LEAD AGENCY shall mean a public entity principally responsible for undertaking, funding or approving a project. Examples of lead agencies are county industrial development agencies; municipal planning agencies, boards, and councils; health departments; and zoning boards.

MATCH shall mean cash (which is encouraged) or the value of in-kind services, contributions or administrative costs dedicated to this project, including funds from federal, state (other than Restore NY funds), and local government sources, and funds from private contributions. Match amounts must be "firmly committed" and will support the proposed Restore NY project. "Firmly committed" shall mean there must be a signed, written agreement to provide the resources and services. The written agreement may be contingent upon an applicant receiving a Restore NY award.

MUNICIPALITY shall mean a municipal subdivision that is a county, city, town, or village, except a city having a population of one million or more, unless such area is in an economically distressed community as defined above.

PROJECT shall mean the demolition, deconstruction, rehabilitation, or reconstruction of a building or group of buildings that furthers the goal of revitalizing an urban center, encouraging commercial investment or adding value to the local housing stock. To constitute a coherent and cohesive project, a group of properties shall be selected because their reconstruction, rehabilitation, deconstruction, or

demolition are inter-related and will collectively advance a strategic objective of the local revitalization or urban development plan.

PROJECT TYPE shall mean the following:

- DEMOLITION shall mean to completely tear down or raze a building.
- DECONSTRUCTION shall mean the careful disassembly of a building of architectural or historic significance with the intent to rehabilitate or reconstruct the building or salvage the disassembled material from the building for reuse.
- REHABILITATION shall mean structural repairs, mechanical systems repair or replacement, repairs related to deferred maintenance, emergency repairs, energy efficiency upgrades, accessibility improvements, mitigation of lead-based hazards, and other repairs that result in a significant improvement to the property, provided however, that to the extent possible, such rehabilitation shall be architecturally consistent with nearby and adjacent properties, or done in a manner consistent with a local revitalization or urban development plan.
- RECONSTRUCTION shall mean the construction of a new building, which is similar in architectural style, size, and purpose to a previously existing building at such location, provided however, that to the extent possible such reconstruction is architecturally consistent with nearby and adjacent properties, or in a manner consistent with a local revitalization or urban development plan.

PROPERTY ASSESSMENT LIST shall mean a list compiled by a municipality, after it conducts an assessment of vacant, abandoned, surplus or condemned buildings within its jurisdiction, and based upon that assessment: (i) sets forth for each property a description of each building that includes the location, size and residential or commercial natures of each building, and whether the building is proposed to be demolished, deconstructed, rehabilitated or reconstructed; (ii) that has been published in a local daily newspaper for no less than three consecutive days; and (iii) that has been the subject of public hearings in the municipalities where the buildings are located.

RESIDENTIAL APARTMENT UNIT shall mean a multiple dwelling consisting of one or more rooms containing at least one bathroom, which room or rooms are separated and set apart from all other rooms within a multiple dwelling.

RESIDENTIAL PROPERTY shall mean a separately assessed lot, parcel, piece, or portion of real property used, or that is to be used, as a private dwelling.

SITE CONTROL shall mean (i) ownership by the municipal applicant or (ii) written consent from the ownership entity and, if different, the entity that has legal control of the site consenting to the application for Restore NY funding and, if awarded, agreeing to use Restore NY funds as outlined in the application.

SOFT COSTS shall mean costs related to those items in a project that are necessary to prepare and complete the non-construction needs of the project. Soft costs include such items as architecture, design, engineering, permits, inspections, consultants, environmental studies, and regulatory demands needing approval before construction begins. Soft costs do not include construction, telecommunications, furnishings, fixed equipment, and expenditures for any other permanent components of the project.

SPECIAL PROJECT shall mean a project that results from a severe economic injury to the community, leaving

a highly visible and blighted property or properties in the central business district of a distressed community which has a depressing effect on the overall economic development potential of the community. This is limited to municipalities that are classified as moderately or highly distressed according to ESD's definitions in Section 7 and listed on the Restore NY website.

Section 8 – Terms and Conditions

A municipality that is granted an award or awards shall provide a matching contribution of no less than ten percent of the aggregated Restore NY award or awards amount. Such matching contribution may be cash or the value of in-kind services, contributions or administrative costs dedicated to this project, including funds from federal, state (other than Restore NY funds), and local government sources and funds from private contributions. Match amounts must be “firmly committed” to support the proposed Restore NY project. “Firmly committed” shall mean there must be a signed, written agreement to provide the resources and services. The written agreement may be contingent upon an applicant receiving a Restore NY award.

In-kind contributions may include, but shall not be limited to, the efforts of municipalities to conduct an inventory and assessment of vacant, abandoned, surplus, condemned, and deteriorated buildings, and to manage and administer grants awarded to the municipality from the Restore NY Communities Initiative.

Final funding awards will be subject to approval by ESDC Board of Directors following project selection and the anticipated availability of funds. A one percent commitment fee based on the grant amount awarded (not to be less than \$100) will be assessed to all awardees. The commitment fee will be due upon Board approval.

ESD reserves the right to offer project awards to sponsors in different amounts and under different terms than requested. ESD reserves the right to review and reconsider project and property selections in the event of material changes in the project plans or circumstances. Expenditures incurred prior to the application due date (May 22, 2024) are not eligible for reimbursement by Restore NY grant funds.

Applications shall be reviewed by the ESD Office of Contractor and Supplier Diversity (OCSD), which shall, in consultation with the applicant and/or proposed recipient of Restore NY assistance and any other relevant interested parties, develop appropriate goals, in compliance with applicable law (including Section 2879 of the Public Authorities Law, Article 15-A of the Executive Law and Section 6254 (11) of the Unconsolidated Laws) and the policy of ESD, for participation by minority group members and women. Compliance with laws and the policy of ESD prohibiting discrimination in employment on the basis of age, race, creed, color, national origin, gender, sexual preference, disability or marital status shall be required.

A municipality that is granted an award shall make best efforts to ensure that NYS certified minority-owned and women-owned businesses enterprises are given the opportunity for maximum feasible participation in any municipal contracting opportunities.

A municipality that is granted an award for affordable housing units shall be solely responsible for enforcement and verification of compliance with the requirements set forth herein. The municipality shall be required to file an annual declaration confirming that the property containing affordable housing units has been inspected and has been found to have been maintained in good operating order and condition. Such declaration shall further state that incomes of tenants of affordable housing units have been verified and have been found to qualify for such housing in accordance with the requirements set forth herein. Additional requirements for affordable housing units shall be further defined in the Grant Disbursement Agreement. ESD reserves the right to full or partial recapture of affordable housing awards should the municipality fail to comply with such requirements.

Final funding awards will be subject to ESD Board of Directors approval; approval by the New York State Office of Parks, Recreation and Historic Preservation (if applicable); a public hearing; and approval by the Public Authorities Control Board.

It is expected the project will proceed in the time frame set forth by the applicant. If the implementation of a project fails to proceed as planned and is delayed for a significant period of time and there is, in the exclusive judgment of ESD, doubt as to its viability, ESD reserves the right to cancel its funding commitment to such project.

ESD encourages the environmentally sustainable practice of recycling construction/demolition (C&D) debris rather than disposition in a landfill. As this is an emerging practice that may not yet be available or commercially feasible in every region of the state, ESD's Environmental Division will survey municipalities receiving Restore NY awards and their deconstruction/demolition contractors to learn what options they had for C&D debris disposition and whether any of the material was recycled. As a condition of award, the municipality and its contractors must provide the information requested in this survey.

NOTE: While C&D recycling is encouraged, it is not required.

Section 9 – State Historic Preservation Office (SHPO) Consultation Instructions

Under the New York State Historic Preservation Act, Section 14.09 and its associated rules and regulations, State funded (in whole or in part) activities that have the potential to affect historic properties, either directly or indirectly, must be evaluated by the State Historic Preservation Office (SHPO) of the New York State Office of Parks, Recreation and Historic Preservation (OPRHP). Regulations associated with this law define a Historic and/or Cultural Place or Property as “any building, structure, district, area, site or object including underground and underwater sites, that is of significance in the history, architecture, archeology or culture of this state, its community or the nation.”

The SHPO process does not need to be completed prior to the submission of the Restore NY application; however, it MUST be completed prior to the approval by ESD Board of Directors. No Restore NY grant funds can be disbursed prior to ESD Board approval.

In order to expedite the SHPO review process, the applicant must provide the information outlined below for the appropriate project category. Project information should be provided to OPRHP via their Cultural Resource Information System (CRIS). This interactive, web-based system offers the public and government partners convenient and extensive access to the agency’s historic records while streamlining the agency’s delivery of historic preservation programs. The system is available at <https://cris.parks.ny.gov/>. If you and/or your consultant have not already used NY-CRIS, please go to <https://cris.parks.ny.gov/crishelp/>, which provides answers to frequently asked questions, along with detailed instructions for the log-in and project submission process. OPRHP suggests that if you have not previously accessed the CRIS system that you sign up for an NY.GOV account when prompted to. This will give you more comprehensive access to the system. CRIS related questions can be submitted to CRISHelp@parks.ny.gov.

All new project entries into the CRIS program should enter the Project Name beginning with “Restore NY” then the name of the project and then the number of involved buildings.

Example: Restore NY/Smith Road Rehabilitation/12 Buildings

Demolition Projects

For a demolition project involving a single building (parcel) or a grouping of contiguous buildings (parcels) the information should be entered into CRIS as a single project. In Step 3 of CRIS you will be asked if your project includes one or multiple parcels. If your project is a single property, you will select that option in this step. If the project involves multiple adjacent buildings and parcels select the multiple parcel option and draw a boundary around the project area in CRIS Step 3.

Next, in CRIS Step 4 (Built Resources) you will be asked to add specific information about the building associated with your project. Complete this data and add current photographs (jpg.) of the building and any other building specific information. Please be sure to only link building specific photographs and data in this section. Project specific data will be added in a later step.

Once you complete a building’s information you will be brought to the Built Resource grid where you can add another property if your project contains more than one building (multiple parcels). To add another building, you will select the “Enter Built Resource” tab and provide the requested information for the property (parcel). You will repeat this process for each building involved in your project.

For demolition projects of discontinuous buildings (parcels) the submission process follows the same guidance as above. However, in CRIS Step 3 when asked if the project includes multiple properties you will need to select the single property option. This will bypass the need to enter each individual building (parcel) site as a separate and new project in CRIS.

Complete CRIS Step 4 as outlined above. Once again, in CRIS Step 4 ONLY attach photographs and historic information for the individual building that you are recording at that time. Do not link project level information at this step, such as site plans or project scopes of work.

In CRIS Step 5 you will need to add a project map or maps (pdf) locating all the involved properties to be included in the project. This is accomplished by selecting the “Attachment” tab and linking a copy of the map (pdf). Additional information about the overall project or individual projects can also be attached here. Be sure to label them carefully when uploading the files. Additional project level photographs can also be added in this step under the “Photos” tab.

Rehabilitation Projects

For individual rehabilitation projects please follow the same CRIS project entry information provided above.

New Construction Projects

For new construction projects, please follow the same CRIS project entry information provided above.

However, in CRIS Step 2 you will need to select the “Will this project involve ground disturbance” option and respond. Documentation of prior ground disturbance will need to be linked in CRIS Step 5. Please be aware that standard farming activities are not classified as ground disturbing activities for the purposes of archaeological assessment.

Under CRIS Step 5 be sure to include photographs of the site to be built on and views looking from the site under the “Photos” tab. Under the “Attachments” tab please add a site plan and any elevations floor plans that are available that depict what is proposed for construction.

It is recommended that the municipality contact NYSHPO’s regional staff associated with its area during the application process. Regional staff contact information can be found at <https://parks.ny.gov/shpo/contact/>. Scroll down and click on “View Map” under the “Survey & National Register” heading. Staff members are shown by the counties they service. Help with the CRIS system can be found by contacting CRISHelp@parks.ny.gov.

To check for National Register listed or known eligible properties, historic districts and archaeologically sensitive areas that may include or involve a project, please go to <https://cris.parks.ny.gov/> and use the SEARCH function.

Section 10 – ESD Regional Offices and Other Resources

General Restore NY Questions: restoreny@esd.ny.gov

EMPIRE STATE DEVELOPMENT REGIONAL OFFICES AND COUNTIES SERVED

Capital – Albany, Columbia, Greene, Rensselaer, Saratoga, Schenectady, Warren and Washington

Empire State Development
Hedley Park Place
433 River Street, Suite 1003
Troy, NY 12180
P: 518-270-1130

Central NY – Cayuga, Cortland, Madison, Onondaga, and Oswego Empire

State Development
620 Erie Boulevard West, Suite 112
Syracuse, NY 13204
P: 315-425-9110

Finger Lakes – Genesee, Livingston, Monroe, Ontario, Orleans, Seneca, Wayne, Wyoming and Yates

Empire State Development
400 Andrews Street, Suite 710
Rochester, NY 14604
P: 585-399-7050

Long Island – Nassau and Suffolk

Empire State Development
nys-longisland@esd.ny.gov
P: 631-435-0717

Mid-Hudson – Dutchess, Orange, Putnam, Rockland, Sullivan, Ulster and Westchester

Empire State Development
33 Airport Center Drive, Suite 201
New Windsor, NY 12553
P: 845-567-4882

Mohawk Valley – Fulton, Herkimer, Montgomery, Oneida, Otsego, and Schoharie

Empire State Development
207 Genesee Street
Utica, NY 13501
P: 315-793-2366

North Country East – Clinton, Essex and Franklin and Hamilton

Empire State Development
401 West Bay Plaza
Plattsburgh, NY 12901
P: 518-561-5642

North Country West – Jefferson, Lewis and St. Lawrence Empire

State Development
Dulles State Office Bldg.
317 Washington Street, 2nd Floor
Watertown, NY 13601
P: 315-785-7941

New York City – Bronx, Kings, New York, Queens and Richmond

Empire State Development
New York City Regional Office
633 Third Avenue
New York, NY 10017
P: 212-803-3130

Southern Tier – Broome, Chenango, Chemung, Delaware, Steuben, Schuyler, Tioga and Tompkins

Empire State Development
Binghamton Office State Office Building 44
Hawley Street, Room 1508
Binghamton, NY 13901
P: 607-721-8605

Western NY – Allegany, Cattaraugus, Chautauqua, Erie and Niagara

Empire State Development
95 Perry Street, 5th Floor
Buffalo, NY 14204
P: 716-846-8200

Empire State Development Planning and Environmental Review Office

- (212) 803-3252 or 3253

NYS Department of Environmental Conservation

- <http://www.dec.ny.gov>

NYS OPRHP Office of State Historic Preservation Office

- <https://parks.ny.gov/shpo/>

File

Message

Help

Nuance PDF

Tell me what you want to do

FW: ESD: RESTORE NY: APPROVAL: (Possible Action Required) - Message (HTML)

Ignore

Junk

Delete

Archive

Reply

Reply All

Forward

IM

More

Meeting

Move

Rules

OneNote

Actions

Mark Unread

Categorize

Follow Up

Translate

Find Related

Select

Speech

Read Aloud

Zoom

Phish Alert

KnowBe4

MD

Wed 3/20/2024 11:25 AM

Wolfgang, Melissa on behalf of McCoy, Daniel

FW: ESD: RESTORE NY: APPROVAL: (Possible Action Required)

To Rogers, Lucas

From: formrouter@esd.ny.gov <formrouter@esd.ny.gov>

Sent: Wednesday, March 20, 2024 9:59 AM

To: McCoy, Daniel <Daniel.McCoy@albanycountyny.gov>

Subject: ESD: RESTORE NY: APPROVAL: (Possible Action Required)

Dear Daniel McCoy,

Thank you for submitting your Intent to Apply form for Round 8 of the Restore New York Communities Initiative ("Restore NY"). After reviewing your form, we are notifying your municipality of its eligibility to apply for Restore NY. Please begin gathering the materials for the submission package as detailed in Section 3 of the program guidelines. As a reminder, the application is due on **Wednesday May 22nd, 2024 at 5:00 PM**. To access program documents and for additional information on the Restore NY program please visit our website at <https://esd.ny.gov/restore-new-york>. If you have any questions or concerns regarding the application or the program, please feel free to contact us at restoreny@esd.ny.gov and we will respond as soon as possible.

IMPORTANT: This e-mail message and any attachments contain information intended for the exclusive use of the individual(s) or entity to whom it is addressed and may contain information that is proprietary, privileged, confidential and/or exempt from disclosure under applicable law. If you are not the intended recipient, you are hereby notified that any viewing, copying, disclosure or distribution of this information may be subject to legal restriction or sanction. Please immediately notify the sender by electronic mail or notify the System Administrator by telephone (518)292-5180 or e-mail (administrator@esd.ny.gov) and delete the message. Thank you.

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DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
OFFICE OF THE EXECUTIVE
112 STATE STREET, ROOM 1200
ALBANY, NEW YORK 12207-2021
(518) 447-7040 - FAX (518) 447-5589
WWW.ALBANYCOUNTY.COM

DANIEL C. LYNCH, ESQ.
DEPUTY COUNTY EXECUTIVE

April 2, 2024

Honorable Joanne Cunningham, Chairwoman
Albany County Legislature
112 State Street, Room 710
Albany, New York 12207

Dear Chairwoman Cunningham:

Subject: Request Budget Modification of Resolution no. 176

Albany County's Department of Management & Budget is requesting to extend Albany County's contract with Capital Markets Advisors (CMA) for one additional year (4/15/24-4/14/25) to provide technical assistance to Albany County with administration and management of ARPA SLFRF funding. The amount of this contract is not to exceed \$90,000. The original contract was awarded to the highest scoring bidder (RFP 2022-029) and allows for a two-year extension, in two consecutive one-year intervals, of which we are requesting one year. There is no cost to Albany County, it's 100% federally funded.

The budget for the projects related to ARPA will not be affected by this modification.

If you have any questions about this request, please do not hesitate to contact me.

Sincerely,

Daniel P. McCoy
Albany County Executive

cc: Hon. Dennis A. Feeney, Majority Leader
Hon. Frank A. Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
Arnis Zilgme, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-5307, **Version:** 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Request to extend Albany County's contract with Capital Market Advisors (CMA) for one additional year of ARPA services.

Date:	March 20, 2024
Submitted By:	Kate Pauly
Department:	Management & Budget
Title:	Grants Specialist
Phone:	518-447-7029
Department Rep.	
Attending Meeting:	David Reilly, Lucas Rogers, or Alex Araujo

Purpose of Request:

- ☐ Adopting of Local Law
- ☐ Amendment of Prior Legislation
- ☐ Approval/Adoption of Plan/Procedure
- ☐ Bond Approval
- ☒ Budget Amendment
- ☒ Contract Authorization
- ☐ Countywide Services
- ☐ Environmental Impact/SEQR
- ☐ Home Rule Request
- ☐ Property Conveyance
- ☐ Other: (state if not listed) [Click or tap here to enter text.](#)

CONCERNING BUDGET AMENDMENTS

Increase/decrease category (choose all that apply):

- ☒ Contractual
- ☐ Equipment
- ☐ Fringe
- ☐ Personnel

- ☐ Personnel Non-Individual
☒ Revenue

Increase Account/Line No.: CM45001C 04089Z ARP24; CM95001C.44046ZARP24
Source of Funds: US Department of Treasury - ARPA
Title Change: Click or tap here to enter text.

CONCERNING CONTRACT AUTHORIZATIONS

Type of Contract:

- ☐ Change Order/Contract Amendment
☐ Purchase (Equipment/Supplies)
☐ Lease (Equipment/Supplies)
☐ Requirements
☒ Professional Services
☐ Education/Training
☐ Grant

Choose an item.

Submission Date Deadline Click or tap to enter a date.

- ☐ Settlement of a Claim
☐ Release of Liability
☐ Other: (state if not listed) Click or tap here to enter text.

Contract Terms/Conditions:

Party (Name/address):

Capital Markets Advisors, LLC (CMA)
1 Great Neck Ave
Great Neck, NY 11021

Additional Parties (Names/addresses):

Click or tap here to enter text.

Amount/Raise Schedule/Fee: \$90,000
Scope of Services: ARPA services including (1) Public Engagement; (2) Project Prioritization and Determination of Eligibility; (3) Plan Development; (4) Project Management and Compliance Support.

Bond Res. No.: Click or tap here to enter text.
Date of Adoption: Click or tap here to enter text.

CONCERNING ALL REQUESTS

Mandated Program/Service: Yes ☐ No ☒
If Mandated Cite Authority: Click or tap here to enter text.

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Current Budget: Yes ☒ No ☐

County Budget Accounts:

Revenue Account and Line: CM45001C 04089Z ARP24
Revenue Amount: \$90,000

Appropriation Account and Line: CM95001C.44046ZARP24
Appropriation Amount: \$90,000

Source of Funding - (Percentages)

Federal: 100%
State: Click or tap here to enter text.
County: Click or tap here to enter text.
Local: Click or tap here to enter text.

Original Awarding Agency / Funder: US Department of Treasury

New York State Pass-Through Agency (if applicable):
Click or tap here to enter text.

Term

Term: (Start and end date) 04/15/2024-04/14/2025
Length of Contract: one year

Impact on Pending Litigation

Yes ☐ No ☒
If yes, explain: Click or tap here to enter text.

Previous requests for Identical or Similar Action:

Resolution/Law Number: Res. No. 176; 22-512
Date of Adoption: May 9, 2022; December 5, 2022

Justification: (state briefly why legislative action is requested)

Request to extend Albany County's contract with Capital Markets Advisors (CMA) for one additional year (4/15/24-4/14/25) to provide technical assistance to Albany County with administration and management of ARPA SLFRF funding. The amount of this contract is not to exceed \$90,000. The original contract was awarded to the highest scoring bidder (RFP 2022-029) and allows for a two-year extension, in two consecutive one-year intervals, of which we are requesting one year. There is no cost to Albany County, it's 100% federally funded.

APPROPRIATIONS											
USE WHOLE NUMBERS ONLY											
BUDGET LINE				DESCRIPTION	INCREASE	DECREASE	UNIT COST	DEPARTMENT NAME			
FUND	ORG	OBJ	PROJECT	FOR POSITIONS ONLY							
				STATE POS. CODE	POSITION	CONTROL					
CM	95001C	44046Z	ARP24	000	000000		Fees for Services (CMA)	\$90,000			
TOTAL APPROPRIATIONS								\$90,000		\$0	
ESTIMATED REVENUES											
USE WHOLE NUMBERS ONLY											
BUDGET LINE				DESCRIPTION	DECREASE	INCREASE	UNIT COST	DEPARTMENT NAME			
FUND	ORG	OBJ	PROJECT	FOR POSITIONS ONLY							
				STATE POS. CODE	POSITION	CONTROL					
CM	45001C	04089Z	ARP24	000	000000		Federal Aid (CMA)	\$90,000			
TOTAL REVENUES								\$0		\$90,000	
GRAND TOTAL								\$90,000		\$90,000	

PROFESSIONAL SERVICE AGREEMENT
BETWEEN THE COUNTY OF ALBANY
AND CAPITAL MARKETS ADVISORS, LLC
FOR ADVISORY SERVICES REGARDING AMERICA RESCUE PLAN ACT FUNDS

PURSUANT TO RES. NO. 176 FOR 2022, ADOPTED MAY 9, 2022

This Agreement is made by and between the County of Albany, a municipal corporation, acting by and through its County Executive, with its principal place of business located at the Albany County Office Building, 112 State Street, Albany, New York 12207-2021 (hereinafter, the "County") and Capital Markets Advisors, LLC, a New York limited liability company, with its principal place of business located at 11 Grace Avenue, Suite 308, Great Neck, New York 11021 (hereinafter, the "[C]onsultant," and with the County, may be referred to herein individually as a "[P]arty" or together as the "[P]arties").

WHEREAS, the County has a need for America Rescue Plan Act (hereinafter, "ARPA") advisory services, including, but not limited to, Public Engagement, Project Prioritization and Determination of Eligibility, Plan Development, Project Management and Compliance Support; and

WHEREAS, the Albany County Purchasing Division has issued a request for proposals for the aforesaid ARPA advisory services, said request having been designated RFP # 2022-029, issued on February 24, 2022 and published on March 3, 2022 (hereinafter, the "RFP"); and

WHEREAS, the Consultant has submitted a proposal on March 18, 2022 for the aforesaid ARPA advisory services (hereinafter, the "Proposal"); and

WHEREAS, the County has accepted the Proposal of the Consultant to provide the aforesaid ARPA advisory services; and

WHEREAS, the Albany County Legislature has authorized the Albany County Executive to enter into an agreement with the Consultant regarding the aforesaid ARPA advisory services via Resolution No. 176 for 2022, adopted May 9, 2022; and

WHEREAS, this Agreement sets forth the understanding reached by the parties herein; and

NOW, THEREFORE THE PARTIES HERETO DO MUTUALLY COVENANT AND AGREE AS FOLLOWS:

ARTICLE 1. THE CONTRACT DOCUMENTS: INTERPRETATION

1.1 The Contract Documents consist of the following: this Agreement; the RFP, incorporated by reference and made a part hereof; and the Proposal, the Scope of Work of which is attached hereto as Schedule A and made a part hereof (collectively, may be referred to herein as "the Agreement").

1.2 In the event of any discrepancy, disagreement or ambiguity among the Contract Documents, the documents shall be given preference in the following order to interpret and to resolve such discrepancy, disagreement or ambiguity: 1) this Agreement; 2) the RFP; 3) the Proposal.

ARTICLE 2. SCOPE OF SERVICES

2.1 The Consultant shall provide the ARPA advisory services described in the RFP at SECTION 4: SCOPE OF SERVICES, pages RFP-3 and RFP-4. The Consultant shall comply with all of the requirements, provisions, terms and conditions, etc. contained/described in the RFP.

2.2 In addition to the Project Scope of Services described in Paragraph 2.1, above, the Consultant shall also provide the services described in the Proposal, in a manner consistent with the requirements, provisions, terms and conditions, etc. contained in the RFP.

2.3 The Consultant shall not perform any services beyond those described in the Proposal (hereinafter, the "extra service(s)") unless it has obtained prior written approval from the County.

2.4 The Consultant shall render all services in a professional manner.

ARTICLE 3. COMPENSATION

3.1 In consideration of the terms and obligations of this Agreement, the County agrees to pay, and the Consultant agrees to accept, an amount not to exceed ONE HUNDRED EIGHTY THOUSAND AND 00/100 DOLLARS (\$180,000.00) as full compensation for all services rendered under this Agreement, and shall bill according to the hourly rates described in the Proposal.

3.2 The parties agree that the dollar amount identified in Paragraph 3.1 of this Agreement includes all expenses incurred providing the services and all travel costs, parking fees, overhead costs, profit and any other ancillary fees and costs including, but not limited to, permits, licenses and insurance.

ARTICLE 4. PAYMENT

Payment shall be made to the Consultant by the County upon the Consultant's submission of a properly executed Albany County Claim Form, plus all supporting documentation, to the Albany County Executive's Office. Upon review and approval of the claim form, payment shall be rendered promptly.

ARTICLE 5. TERM OF AGREEMENT

The term of this Agreement shall commence on April 15, 2022 and shall continue in effect through April 14, 2024.

ARTICLE 6. TERMINATION OF AGREEMENT; REMEDY FOR BREACH

6.1 This Agreement may be terminated by the County or the Consultant as follows:

6.1.1 The County may terminate the Agreement if the Consultant if the Consultant is substantially in breach of any of its provisions. Additionally, the County may, without cause, order the Consultant in writing, to suspend, delay or interrupt the services in whole or in part for such period of time as the County may determine.

6.1.2 The Consultant may terminate the Agreement if the County is substantially in breach of it.

6.2 In the event of a breach by the Consultant, the Consultant shall pay to the County all direct and consequential damages caused by such breach, including, but not limited to, all sums expended by the County to procure a substitute consultant to satisfactorily complete the services, together with the County's own costs incurred in procuring a substitute consultant.

ARTICLE 7. ASSIGNMENT

7.1 The Consultant specifically agrees as required by Section 109 of the N.Y. GENERAL MUNICIPAL LAW that the Consultant is prohibited from assigning, transferring, conveying, subcontracting or otherwise disposing of this Agreement, or of the Consultant's right, title, or interest therein, without the prior written consent of the County.

7.2 The Consultant shall not subcontract for any portion of the services required under this Agreement without the prior written approval of the County. Any such subcontractor shall be subject to the terms and conditions of this Agreement and any additional terms and conditions the County may deem necessary or appropriate.

ARTICLE 8. AVAILABLE DATA

All technical or other data relative to this Agreement in the possession of the County or in the possession of the Consultant shall be made available to the other party to this Agreement without expense to the other party.

ARTICLE 9. COOPERATION

The Consultant shall cooperate with representatives, agents and employees of the County and the County shall cooperate with representatives, agents and employees of the Consultant to the end that work may proceed expeditiously and economically.

ARTICLE 10. NON-DISCRIMINATION

In accordance with Article 15 of N.Y. EXECUTIVE LAW (also known as the Human Rights Law) and all other State and Federal statutory and constitutional non-discrimination provisions, the Consultant agrees that neither it nor any of its County approved sub-consultants shall, by reason of age, race, creed, color, national origin, sexual orientation, gender identity or expression, military status, sex, disability, predisposing genetic characteristics, familial status, marital status, or status as a victim of domestic violence, refuse to hire or employ or to bar or to discharge from employment such individual or to discriminate against such individual in compensation or in terms, conditions or privileges of employment.

ARTICLE 11. RELATIONSHIP

The Consultant is, and shall function as, an independent consultant under the terms of this Agreement and shall not be considered an agent or employee of the County for any purpose. The employees and agents of the Consultant shall not in any manner be, or be held out to be, agents or employees of the County.

ARTICLE 12. INDEMNIFICATION

The Consultant shall defend, indemnify and save harmless the County, its employees and agents, from and against all claims, damages, losses and expenses (including, without limitation,

reasonable attorney's fees) arising out of, or in consequence of, any negligent or intentional act or omission of the Consultant, its employees or agents, to the extent of its responsibility for such claims, damages, losses and expenses.

ARTICLE 13. NON-APPROPRIATIONS

Notwithstanding anything contained herein to the contrary, no default shall be deemed to occur in the event no funds or insufficient funds are appropriated and budgeted by or are otherwise unavailable to the County for payment under this Agreement. The County will immediately notify the Consultant of such occurrence and this Agreement shall terminate on the last day of the fiscal period for which appropriations were received without penalty or expense to the County of any kind whatsoever, except as to those portions herein agreed upon for which funds shall have been appropriated and budgeted.

ARTICLE 14. APPLICABLE LAW

The laws of the State of New York shall govern this Agreement. The designated venue is Albany, New York.

ARTICLE 15. RECORDS

Proper and full accounting records shall be maintained by the Consultant which records shall clearly identify the costs of the services/work performed. The records shall be subject to periodic and final audit by the County upon request. Such records shall be accessible to the County for a period of six (6) years following the date of final payment.

ARTICLE 16. INSURANCE

16.1 The Consultant shall procure and maintain for the entire term of this Agreement, without additional expense to the County, insurance policies of the kinds and in the amounts provided in the Schedule B attached hereto and made a part hereof. The insurance policies shall name the County as an additional insured. Such policies may only be changed upon 30 days prior written approval by the County.

16.2 The Consultant shall, prior to commencing any of the services outlined herein, furnish the County with Certificates of Insurance showing that the requirements of this article have been met. The Consultant shall also provide the County with updated Certificates of Insurance prior to the expiration of any previously-issued certificate. No work shall be commenced under this Agreement until the Consultant has delivered the Certificates of Insurance to the County. Upon failure of the Consultant to furnish, deliver and maintain such insurance certificates as provided above, the County may declare this Agreement suspended, discontinued or terminated.

16.3 As required by Section 108 of the N.Y. GENERAL MUNICIPAL LAW, this Agreement shall be of no force and effect unless the Consultant shall secure compensation for the benefit of, and keep insured during the life of this Agreement, all employees engaged thereon in compliance with the provisions of the N.Y. WORKERS' COMPENSATION LAW. The Consultant shall require any subcontractor authorized by the County to do likewise for all of their employees engaged thereon, all in compliance with the provisions of the N.Y. WORKERS' COMPENSATION LAW and of Schedule B of this Agreement.

ARTICLE 17. NO WAIVER OF PERFORMANCE

Failure of the County to insist upon strict and prompt performance of the provisions of this Agreement, or any of them, and the acceptance of such performance thereafter shall not constitute or be construed as a waiver or relinquishment of the County's right thereafter to enforce the same strictly according to the tenor thereof in the event of a continuous or subsequent default on the part of the Consultant.

ARTICLE 18. MODIFICATION

This Agreement may only be modified by a formal written amendment executed by the parties.

ARTICLE 19. EXECUTION OF DOCUMENTS

This Agreement may be executed in one or more counterparts, each of which shall constitute an original Agreement, but all of which together shall constitute one and the same instrument.

ARTICLE 20. HEADINGS - CONSTRUCTION

The headings appearing in this Agreement are for the purpose of easy reference only and shall not be considered a part of this Agreement or in any way to modify, amend or affect the provisions hereof.

ARTICLE 21. ENTIRE AGREEMENT

This Agreement constitutes the entire Agreement between the parties and no representations or promises have been made except as herein expressly set forth.

ARTICLE 22. COMPLIANCE WITH MacBRIDE PRINCIPLES

The Consultant hereby represents that it is in compliance with the MacBride Principles of Fair Employment as set forth in Albany County Local Law No. 3 for 1993, in that the Consultant either (a) has no business operations in Northern Ireland or (b) shall take lawful steps in good faith to conduct any business operations in Northern Ireland in accordance with the MacBride Principles, and shall permit independent monitoring of its compliance with such principles. In the event of a violation of this stipulation, the County reserves all rights to take remedial measures as authorized under section 4 of Local Law No. 3 in 1993, including, but not limited to, imposing sanctions, seeking compliance, recovering damages, declaring the Consultant in default and/or seeking debarment or suspension of the Consultant.

ARTICLE 23. EXTRA SERVICES/WORK

If the Consultant is of the opinion that any services/work it has been directed to perform is beyond the scope of this Agreement and constitutes extra services/work, the Consultant shall promptly notify the County of that opinion. The County shall be the sole judge as to whether or not such services/work is in fact beyond the scope of this Agreement and whether or not it constitutes extra services/work. In the event the County determines such services/work does constitute extra services/work, it shall provide extra compensation to the Consultant on a negotiated basis.

ARTICLE 24. IRANIAN ENERGY SECTOR DIVESTMENT

The Consultant hereby represents that said consultant is in compliance with N.Y. GEN. MUN. LAW § 103-g entitled "Iranian Energy Sector Divestment," in that said consultant has not:

- a. Provided goods or services of \$20 Million or more in the energy sector of Iran including but not limited to the provision of oil or liquefied natural gas tankers or products used to construct or maintain pipelines used to transport oil or liquefied natural gas for the energy sector of Iran; or
- b. Acted as a financial institution and extended \$20 Million or more in credit to another person for 45 days or more, if that person's intent was to use the credit to provide goods or services in the energy sector in Iran.

ARTICLE 25. STORMWATER MANAGEMENT PROGRAM

25.1 The Consultant understands that Albany County is a regulated entity subject to the SPDES General Permit for Stormwater Discharges from Municipal Separate Storm Sewer Systems (GP-0-15-003), and must comply with the terms and conditions of the aforementioned Permit. Proposer further understands that under the New York State Environmental Conservation Law, it is unlawful for any person to directly or indirectly cause or contribute to a violation of water quality standards, and that Albany County adopted Local Law 7 of 2007 enabling the County to take action against any discharges that cause or contribute to a violation of water quality standards. The Consultant agrees to comply with the terms and conditions of the SPDES General Permit for Stormwater Discharges from Municipal Separate Storm Sewer Systems (GP-0-15-003) as well as Albany County Local Law No. 7 for 2007 and any Best Management Practices developed pursuant to the foregoing, as established in Albany County's Stormwater Management Program Plan. The Consultant also agrees to implement any corrective actions identified by Albany County or a representative pursuant to the above regulations, and further understands that any non-compliance by the County will not diminish, eliminate, or lessen Consultant's own liability.

25.2 The Consultant shall execute and deliver to the County a certification statement acknowledging the above provisions prior to providing any services and/or commencing any work.

ARTICLE 26. MISCELLANEOUS PROVISIONS

26.1 In addition to the Iranian Energy Sector Divestment (per N.Y. GEN. MUN. LAW § 103-9) described above, the Consultant also acknowledges that it shall follow and all of the other policies and procedures described in the RFP.

26.2 During the term of this Agreement, the Consultant agrees that, in the event of its reorganization or dissolution as a business entity or change in business, the Consultant shall give the County 30 days written notice in advance of such event.

26.3 The Consultant shall at all times obtain and maintain all licenses and/or certifications required by New York State, or other relevant regulating body, to perform the services required under this Agreement.

26.4 If any term, part, provision, section, subdivision or paragraph of this Agreement shall be held to be unconstitutional, invalid or ineffective, in whole or in part, such determination shall not be deemed to invalidate the remaining terms, parts, provisions, sections, subdivisions or

paragraphs.

26.5 The County shall bear no responsibility other than that set forth in this Agreement.

26.6 All notices, consents, waivers, directions, requests or other instruments or communications provided for under this Agreement shall be deemed properly given if, and only if, delivered personally, sent by registered or certified United States mail, postage prepaid, or, with the prior consent of the receiving party, dispatched via facsimile transmission.

IN WITNESS WHEREOF, the parties hereto have caused this Agreement to be signed the day and year first indicated below.

COUNTY OF ALBANY

DATED: _____

BY: _____

Daniel P. McCoy
County Executive

or

Daniel C. Lynch
Deputy County Executive

CAPITAL MARKETS ADVISORS, LLC

DATED: _____

BY: Richard Tortora

Name

President

Title

STATE OF NEW YORK)
COUNTY OF ALBANY) SS:

On the _____ day of _____, 2022, before me, the undersigned, personally appeared Daniel P. McCoy, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his capacity, and that by his signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.

Notary Public

STATE OF NEW YORK)
COUNTY OF ALBANY) SS.:

On the _____ day of _____, 2022, before me, the undersigned, personally appeared Daniel C. Lynch, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his capacity, and that by his signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.

Notary Public

STATE OF NEW YORK)
COUNTY OF Erie) SS.:

On the 21st day of June, 2022, before me, the undersigned, personally appeared Richard Tortora, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his capacity, and that by his signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.

Linda Lorentz
Notary Public

Linda Lorentz
NOTARY PUBLIC, STATE OF NEW YORK
Registration No. 01LO6134119
Qualified in Erie County
Commission Expires September 26, 2025

**SCHEDULE A
INSURANCE COVERAGE**

The kinds and amounts of insurance to be provided are as follows:

1. **Workers' Compensation and Employers Liability Insurance:** A policy or policies providing protection for employees in the event of job related injuries or a waiver of the requirements of this insurance with such waiver to be issued by New York State.
2. **Automobile Liability Insurance:** A policy or policies with the limits of not less than \$500,000 for each accident because of bodily injury, sickness or disease, including death at any time, resulting therefrom, sustained by any person caused by accident, and arising out of the ownership, maintenance or use of any automobiles; and with the limits of \$500,000 for damage because of injury to or destruction of property, including the loss of the use thereof, caused by accident and arising out of the ownership, maintenance or use of any automobiles.
3. **General Liability Insurance:** A policy or policies of comprehensive all-risk insurance including coverage for demolition of structures with limits of not less than:

Liability For:	Combined Single Limit
Property Damage	\$1,000,000
Bodily Injury	\$1,000,000
Personal Injury	\$1,000,000.



DANIEL P. McCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
REAL PROPERTY TAX SERVICE AGENCY
112 STATE STREET, ROOM 1340
ALBANY, NEW YORK 12207
OFFICE: (518) 487-5291
FAX: (518) 447-2503
www.albanycounty.com

MICHAEL McGUIRE
DIRECTOR

March 21, 2024

Honorable Joanne Cunningham, Chairperson
Albany County Legislature
112 State Street, Rm 710
Albany, NY 12207

Re: Request authorizing the cancellation of certain unenforceable delinquent tax liens
450 Central Avenue, City of Albany – SBL 65.45-1-7

Dear Chairperson Cunningham,

The three-year time limit to use the ordinary correction of errors process has expired, therefore, enclosed for your review is a resolution. In reviewing the 2018 List of Delinquent Taxes, it became apparent that a property tax bill was imposed on a property owned by the United States Postal Service in error. Parcel 65.45-1-7 were conveyed to the United States Postal Service by deed 2380-1137. The deed was recorded with the Albany County Clerk on 12/29/1988. Pursuant to RPTL §400 (1) property owned by an agency of the government of the United States is exempt from real property taxation. As a matter of law the properties should have been wholly exempt starting with the 1989 assessment roll.

Given the information provided, I am requesting that the County Legislature adopt a resolution canceling the unenforceable liens on 450 Central Avenue pursuant to RPTL §1138 (6). Additionally, I am requesting that said resolution authorize a chargeback of all amounts credited or guaranteed to the City of Albany.

Sincerely,

Michael McGuire, IAO, CCD
Real Property Tax Service Agency

Enclosures:

CC: Dennis Feeny, Majority Leader
Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
Arnis Zilgme, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-5311, **Version:** 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

REQUESTING THE AUTHORIZATION TO CANCEL CERTAIN UNENFORCEABLE DELINQUENT TAX LIENS AGAINST 450 CENTRAL AVENUE, LOCATED WITHIN THE CITY OF ALBANY, TAX IDENTIFICATION NUMBER 65.45-1-7

Date: 3/21/2024
Submitted By: Michael McGuire
Department: Real Property Tax Service Agency
Title: Director
Phone: 518-487-5292
Department Rep.
Attending Meeting: Michael McGuire

Purpose of Request:

- ☐ Adopting of Local Law
- ☐ Amendment of Prior Legislation
- ☐ Approval/Adoption of Plan/Procedure
- ☐ Bond Approval
- ☐ Budget Amendment
- ☐ Contract Authorization
- ☐ Countywide Services
- ☐ Environmental Impact/SEQR
- ☐ Home Rule Request
- ☐ Property Conveyance
- ☒ Other: (state if not listed) Adopt resolution to cancel unenforceable taxes pursuant to RPTL §1138

CONCERNING BUDGET AMENDMENTS

Increase/decrease category (choose all that apply):

- ☐ Contractual
- ☐ Equipment
- ☐ Fringe

- ☐ Personnel
☐ Personnel Non-Individual
☐ Revenue

Increase Account/Line No.: Click or tap here to enter text.
Source of Funds: Click or tap here to enter text.
Title Change: Click or tap here to enter text.

CONCERNING CONTRACT AUTHORIZATIONS

Type of Contract:

- ☐ Change Order/Contract Amendment
☐ Purchase (Equipment/Supplies)
☐ Lease (Equipment/Supplies)
☐ Requirements
☐ Professional Services
☐ Education/Training
☐ Grant

Choose an item.

Submission Date Deadline Click or tap to enter a date.

- ☐ Settlement of a Claim
☐ Release of Liability
☐ Other: (state if not listed) Click or tap here to enter text.

Contract Terms/Conditions:

Party (Name/address):
Click or tap here to enter text.

Additional Parties (Names/addresses):
Click or tap here to enter text.

Amount/Raise Schedule/Fee: Click or tap here to enter text.
Scope of Services: Click or tap here to enter text.

Bond Res. No.: Click or tap here to enter text.
Date of Adoption: Click or tap here to enter text.

CONCERNING ALL REQUESTS

Mandated Program/Service: Yes ☐ No ☒
If Mandated Cite Authority: Click or tap here to enter text.

Is there a Fiscal Impact: Yes ☐ No ☒

Anticipated in Current Budget: Yes ☐ No ☒

County Budget Accounts:

Revenue Account and Line: Click or tap here to enter text.

Revenue Amount: Click or tap here to enter text.

Appropriation Account and Line: Click or tap here to enter text.

Appropriation Amount: Click or tap here to enter text.

Source of Funding - (Percentages)

Federal: Click or tap here to enter text.

State: Click or tap here to enter text.

County: Click or tap here to enter text.

Local: Click or tap here to enter text.

Original Awarding Agency / Funder:

Click or tap here to enter text.

New York State Pass-Through Agency (if applicable):

Click or tap here to enter text.

Term

Term: (Start and end date) Click or tap here to enter text.

Length of Contract: Click or tap here to enter text.

Impact on Pending Litigation

Yes ☐ No ☒

If yes, explain: Click or tap here to enter text.

Previous requests for Identical or Similar Action:

Resolution/Law Number: Click or tap here to enter text.

Date of Adoption: Click or tap here to enter text.

Justification: (state briefly why legislative action is requested)

The three-year time limit to use the ordinary correction of errors process has expired, therefore, enclosed for your review is a resolution. In reviewing the 2018 List of Delinquent Taxes, it became apparent that a property tax bill was imposed on a property owned by the United States Postal Service in error. Parcel 65.45-1-7 were conveyed to the United States Postal Service by deed 2380-1137. The deed was recorded with the Albany County Clerk on 12/29/1988. Pursuant to RPTL §400 (1) property owned by an agency of the government of the United States is exempt from real property taxation. As a matter of law the properties should have been wholly exempt starting with the 1989 assessment roll.

Given the information provided, I am requesting that the County Legislature adopt a resolution canceling the unenforceable liens on 450 Central Avenue pursuant to RPTL §1138 (6). Additionally, I am requesting that said resolution authorize a chargeback of all amounts credited or guaranteed to the City of Albany.

CERTIFICATE OF WITHDRAWAL

(Real Property Tax Law, §1138 (subds. 1, 2, and 3))

Index No. 01927-19

TO THE ALBANY COUNTY CLERK:

PLEASE TAKE NOTICE that the following parcel of real property included on the List of Delinquent Taxes for the "In Rem" delinquent tax lien foreclosure proceeding filed by the County of Albany, New York, Tax District in your Office under the above Index No. on JULY 12, 2019 covering the CITY OF ALBANY in Albany County:

PARCEL No. 01927-19-000423
OWNER(S) UNITED STATE POSTAL SERVICE
ADDRESS 450 CENTRAL AVE
CITY of ALBANY
TAX MAP No. 06504500010070000000

RECEIVED
ALBANY COUNTY CLERK
2024 MAR 12 PM 4:07

is hereby **WITHDRAWN** from said foreclosure proceeding by me for one or more of the following reasons (*check all that apply*):

☒ There is reason to believe that there may be a legal impediment to the enforcement of the tax lien(s) affecting said parcel.

☐ The tax lien(s) on said parcel has/have been canceled or is/are subject to cancellation pursuant to section 558 of the Real Property Tax Law.

☐ The enforcement of the tax lien(s) on said parcel has/have been stayed by the filing of a petition pursuant to the Bankruptcy Code of 1978 (Title 11 of the United States Code).

☐ If the Tax District were to acquire said parcel, there is significant risk that it might be exposed to a liability substantially in excess of the amount that could be recovered by enforcing said tax lien(s).

☐ The owner of said parcel has entered into an agreement to pay said tax lien(s) in installments pursuant to section 1184 of the Real Property Tax Law and has not defaulted thereon.

☐ Said parcel was included in the Petition for Foreclosure in said proceeding, but it has since been demonstrated to my satisfaction as Enforcing Officer that said parcel is residential or farm property, and as such, said parcel is not yet subject to inclusion of such a Petition.

The facts which render said parcel eligible for withdrawal from said proceeding are as follows: _____

§1138 withdrawn for legal impediment

PLEASE TAKE FURTHER NOTICE that pursuant to Real Property Tax Law, §1138 (sub. 2 (b) you are therefore required and directed to upon the filing of this Certificate of Withdrawal in your Office enter on said List of Delinquent Taxes the word "withdrawn" and the date of such filing opposite the description of said parcel.

PLEASE TAKE FURTHER NOTICE that pursuant to Real Property Tax Law, §1138 (subd. 3)) the filing of this Certificate of Withdrawal has no effect upon the delinquent real property tax lien(s) against said parcel, or upon the notice of pendency of said proceeding as to said parcel, unless such lien(s) are canceled and, if said lien(s) are not canceled, said proceeding may be reinstated against said parcel.

DATED: March 6, 2024

**TAX ENFORCING OFFICER
COUNTY OF ALBANY, NEW YORK, TAX DISTRICT**



**M. DAVID REILLY Jr., Albany County
Commissioner of Management and Budget**

COUNTY OF ALBANY



Real Estate Tax Statement

Parcel: 06504500010070000000

Location: 450 CENTRAL AVE

Owner:

UNITED STATES POSTAL
SERVICE
6 GRIFFIN ROAD NORTH
WINDSOR CT 06006-0330

Status:

Square 0

Land Valuation: 1,480,500

Building Valuation: 0

Exemptions: 0

Taxable Valuation: 1,480,500

Interest Per Diem: 744.57

Legal Description:

Deed Date:

Book/Page:

Interest Date: 03/04/2024

Year	Type	Bill
2018	TL-1	1695

Inst	Charge	Billed	Principal Due	Interest Due	Total Due
1	ALB LIENS	607.25	607.25	467.58	1,074.83
		607.25	607.25	467.58	1,074.83
Year Totals		607.25	607.25	467.58	1,074.83

Year	Type	Bill
2015	TL-1	650

Inst	Charge	Billed	Principal Due	Interest Due	Total Due
1	ALB LIENS	10.38	10.38	11.73	22.11
		10.38	10.38	11.73	22.11
Year Totals		10.38	10.38	11.73	22.11

Year	Type	Bill
2014	RE-1	6980

Inst	Charge	Billed	Principal Due	Interest Due	Total Due
1	ALBANY WAT	108.85	108.85	136.06	244.91
	MAILING CH	1.00	1.00	0.00	1.00
	LEGAL CHAR	0.00	0.00	0.00	0.00
	5 PERCENT	5.44	5.44	0.00	5.44
		115.29	115.29	136.06	251.35
Year Totals		115.29	115.29	136.06	251.35

Year	Type	Bill
2013	TL-1	2456

Inst	Charge	Billed	Principal Due	Interest Due	Total Due
1	ALB LIENS	82.49	82.49	113.01	195.50
	TITLE SEAR	290.00	290.00	0.00	290.00
		372.49	372.49	113.01	485.50
Year Totals		372.49	372.49	113.01	485.50

Grand Totals		1,105.41	1,105.41	728.38	1,833.79
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** End of Report - Generated by Neal, Jeffrey **

A. Internal
Revenue Service
Advised

P 684-Quitclaim deed, ind. or corp.

70 301777
JULIUS ROSENBERG, INC. - LAW BLANK PUBLISHERS

LIBER 2380 PAGE 1137

This Indenture

made December 28 1988

Notarized
Tax-Exempt
31805 (b)(6)
Tax Law

Between PINE QUAIL ASSOCIATES, a New York general partnership with its principal office and place of business at 89 Cascade Terrace, Schenectady, New York 12309

004002

UNITED STATES POSTAL SERVICE, an independent establishment of the Executive Branch of the Government of the United States, c/o United States Postal Service, Windsor Facilities Service Center, 6 Griffin Road North, Windsor, Connecticut, 06006-0330

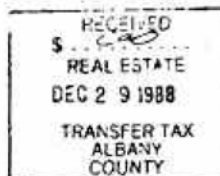
party of the second part,

Witnesseth that the party of the first part, in consideration of -----
---ONE MILLION FOUR HUNDRED THOUSAND----- Dollars (\$1,400,000.)
lawful money of the United States, and other good and valuable consideration
paid by the party of the second part, does hereby remise, release and quitclaim unto the party of the
second part, the heirs or successors and assigns of the party of the second part forever, all

THOSE CERTAIN PIECES OR PARCELS OF LAND, with buildings and improvements thereon, situate, lying and being in the City of Albany, County of Albany, State of New York, and more particularly bounded and described in Schedule "A" annexed hereto and made a part hereof.

Being the same premises conveyed to the party of the first part herein by deed dated June 18, 1985 and recorded in the Albany County Clerk's Office on June 21, 1985 in Liber 2285 of Deeds at Page 745.

Subject to all easements, covenants, conditions and restrictions of record, if any.



Dec 29 12 05 PM '88

LIBER 2380 PAGE 1138

Together with the appurtenances and all the estate and rights of the party of the first part in and to said premises.

To have and to hold the premises herein granted unto the party of the second part, the heirs or successors and assigns of the party of the second part forever.

And, the party of the first part, in compliance with Section 13 of the Lien Law, covenants that the party of the first part will receive the consideration for this conveyance and will hold the right to receive such consideration as a trust fund to be applied first for the purpose of paying the cost of the improvement and will apply the same first to the payment of the cost of the improvement before using any part of the total of the same for any other purpose.

The word "party" shall be construed as if it read "parties" whenever the sense of this indenture so requires.

In Witness Whereof, the party of the first part has duly executed this deed the day and year first above written.

In Presence of

PINE QUAIL ASSOCIATES
 BY: *[Signature]* L.S.
 Joseph W. Tessitore, Partner
[Signature] L.S.
 THOMAS J. PRATICO, SR., Partner
[Signature] L.S.
 THOMAS J. PRATICO, JR., Partner
 L.S.

STATE OF NEW YORK, COUNTY OF

On 19 1988, before me personally came who, being by me duly sworn, did depose and say that deponent is of the corporation described in and which executed, the foregoing instrument; deponent knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said corporation; deponent signed deponent's name thereto by like order.

STATE OF NEW YORK, COUNTY OF ALBANY

On December 29 1988, before me personally came JOSEPH W. TESSITORE, THOMAS J. PRATICO, JR. and THOMAS J. PRATICO, SR., to me known and known to me to be the individuals described in and who executed the foregoing instrument, and they each duly acknowledged to me that they executed the same for an on behalf of said form of PINE QUAIL ASSOCIATES, and they were authorized so to do.

NOTARY PUBLIC

NICHOLAS J. GIESLER
 Notary Public, State of New York
 Qualified in Albany County
 Commission Expires 3-31-90

Deed

QUITCLAIM WITH LIEN COVENANT

PINE QUAIL ASSOCIATES

TO

UNITED STATES POSTAL SERVICE

19 88
 DECEMBER
 STATE OF NEW YORK
 County of Albany ss.

RECORDED ON THE

day of 19 1988
 at o'clock M.
 in Liber of Deeds
 at Page and examined

PLEASE RECORD AND RETURN

LIBER 2380 PAGE 1139

SCHEDULE "A"

DESCRIPTION

PARCEL I

ALL THAT certain lot, piece or parcel of land situate in the City and County of Albany, State of New York being further bounded and described as follows:

BEGINNING at a point formed by the intersection of the south line of Central Avenue with the west line of Partridge Street (66' ROW) and running thence:

Southerly along the said west line of Partridge Street, South $32^{\circ} 18' 20''$ West, a distance of 268.98' to a point.

Running thence westerly, North $57^{\circ} 41' 40''$ West, a distance of 98.95' to a point.

Running thence North $32^{\circ} 17' 01''$ East, a distance of 124.24' (123.44' Deed) to a point.

Thence continuing in a generally northerly direction, North $32^{\circ} 24' 01''$ East, a distance of 71.74' to a point;

Thence, still in a generally northerly direction, North $32^{\circ} 15' 06''$ East a distance of 93.49' to a point located on the south side of Central Avenue;

Running thence in a southeasterly direction along the south line of Central Avenue South $46^{\circ} 00'$ East, a distance of 101.05' to the point or place of beginning.

Together with the right, title and interest, if any, of the party of the first part in and to that certain easement granted by the City of Albany to Pine Quail Associates, dated October 3, 1986 and recorded in the Office of the Clerk of the County of Albany on October 15, 1986 in Liber 2321 of Deeds at Page 267.

LIBER 2380 PAGE 1140

SCHEDULE "A" continued

DESCRIPTION

PARCEL II

ALL THAT certain lot, piece, or parcel of land situate, in the City and County of Albany, State of New York being further bounded and described as follows:

BEGINNING at a point formed by the intersection of the south line of Central Avenue with the east line of Partridge Street (66' ROW) and running thence;

Southerly along the east side of Partridge Street, South 32° 18' 20" West, a distance of 163.39 feet to the North side of Bradford Street

RUNNING thence southeasterly, along the north side of Bradford Street south 46° 00' East, 62.38' to a point. Running thence northeasterly, North 44° 00' East, 160' to the south side of Central Avenue.

RUNNING thence northwesterly and along the south line of Central Avenue North 46° 00' West a distance of 95.50' to the point or place of beginning.

Parcels I and II heretofore described being and intending to be the same premises as were conveyed to Pine Quail Associates, a New York General Partnership by deed made by Pratesco Associates dated June 18, 1985 and recorded in the Office of the Clerk of Albany County on June 21, 1985 in Liber 2285 of Deeds at page 745.

STATE OF NEW YORK)
COUNTY OF ALBANY)

Recorded in DEEDS
As Shown Hereon and
Examined
THOMAS G. CLINGAN
ALBANY COUNTY CLERK

BC 11/19/88
RECORD AND RETURN TO
DAVID S. KELSON, ESQ.
UNITED STATES POSTAL SERVICE
NEW YORK FACILITIES SERVICE OFFICE
90 CHURCH STREET - ROOM 1516
NEW YORK, NEW YORK 10007-9991

00/00/24

RESOLUTION NO. _____

AUTHORIZING CANCELLATION OF CERTAIN UNENFORCEABLE DELINQUENT REAL PROPERTY TAX LIENS ON 450 CENTRAL AVENUE IN THE CITY OF ALBANY AND CHARGE BACK OF THE AMOUNTS CREDITED IN CONNECTION WITH SAID CANCELLED LEINS

Introduced: 00/00/24

By Audit and Finance Committee:

WHEREAS, the Commissioner of the County Department of Management and Budget as Enforcing Officer ("Enforcing Officer") of the County of Albany, New York, Tax District ("Tax District") acting upon the advice of the County Department of Law has pursuant to RPTL 1138 (subds. 1 and 2) duly issued and filed with the County Clerk a Certificate of Withdrawal of the following parcel of real property from a proceeding brought by the Tax District pursuant to RPTL Article Eleven, Title 3, to foreclose the following delinquent real property tax liens affecting said parcel held by the Tax District on the ground there is a legal impediment to the enforcement of said liens:

<u>CITY OF ALBANY</u>				
<u>Parcel Location</u>	<u>Tax Map No.</u>	<u>Tax Lien Year and Type</u>	<u>Charge Back Amount</u>	<u>Legal Impediment</u>
450 Central Avenue, City of Albany	65.45-1-7	2013 Property Tax	\$485.50	This parcel is owned by the United States Postal Service, an agency of the government of the United States. Pursuant to RPTL §400 (subd.1) the property is exempt from real property taxation.
450 Central Avenue, City of Albany	65.45-1-7	2014 Property Tax	\$251.35	This parcel is owned by the United States Postal Service, an agency of the government of the United States. Pursuant to RPTL

				§400 (subd.1) the property is exempt from real property taxation.
450 Central Avenue, City of Albany	65.45-1-7	2015 Property Tax	\$22.11	This parcel is owned by the United States Postal Service, an agency of the government of the United States. Pursuant to RPTL §400 (subd.1) the property is exempt from real property taxation.
450 Central Avenue, City of Albany	65.45-1-7	2018 Property Tax	\$1,074.83	This parcel is owned by the United States Postal Service, an agency of the government of the United States. Pursuant to RPTL §400 (subd.1) the property is exempt from real property taxation.

, and

WHEREAS, the County Legislature in its capacity as the governing body of the Tax District has upon the advice of the Enforcing Officer determined as required by RPTL 1138 (subd.6 (a)) that under the circumstances there is no practical method to enforce the collection of said liens against said parcel, and that a supplementary proceeding to enforce their collection would not be effective, now, therefore be it

RESOLVED, that pursuant to RPTL 1138 (subd.6 (a)) said liens on said parcel are hereby cancelled and the Enforcing Officer is directed to issue and within 10 days thereafter file with the County Clerk a Certificate of Cancellation of said liens, and, be it further

RESOLVED, that the Enforcing Officer is pursuant to RPTL 1138 (subd. 6(c)) hereby authorized to upon filing said Certificate of Cancellation of said liens with the County Clerk charge back to those municipal corporations within which said parcel is

located any and all amounts credited or guaranteed to such corporations by the Tax District in connection with said cancelled liens, and, be it further

RESOLVED, That the Clerk of the County Legislature is hereby directed to file a copy of this Resolution with said Enforcing Officer and to forward certified copies thereof to the other appropriate County Officials.



DANIEL P. McCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
REAL PROPERTY TAX SERVICE AGENCY
112 STATE STREET, ROOM 1340
ALBANY, NEW YORK 12207
OFFICE: (518) 487-5291
FAX: (518) 447-2503
www.albanycounty.com

MICHAEL McGUIRE
DIRECTOR

March 22, 2024

Honorable Joanne Cunningham, Chairperson
Albany County Legislature
112 State Street, Rm 710
Albany, NY 12207

Re: Request authorizing the cancellation of certain unenforceable delinquent tax liens
76 Osborne Street, City of Albany – SBL 76.56-4-5

Dear Chairperson Cunningham,

The three-year time limit to use the ordinary correction of errors process has expired, therefore, enclosed for your review is a resolution. In reviewing the 2018 List of Delinquent Taxes, it became apparent that a property tax bill was imposed on a property owned by the Secretary of Housing and Urban Development in error. Parcel 76.56-4-5 was conveyed to the Secretary of Housing and Urban Development by deed 2683-585. The deed was recorded with the Albany County Clerk on 06/18/2001. Pursuant to RPTL §400 (1) property owned by an agency of the government of the United States is exempt from real property taxation. As a matter of law the properties should have been wholly exempt starting with the 1989 assessment roll.

Given the information provided, I am requesting that the County Legislature adopt a resolution canceling the unenforceable liens on 76 Osborne Street pursuant to RPTL §1138 (6). Additionally, I am requesting that said resolution authorize a chargeback of all amounts credited or guaranteed to the City of Albany.

Sincerely,

Michael McGuire, IAO, CCD
Real Property Tax Service Agency

Enclosures:

CC: Dennis Feeny, Majority Leader
Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
Arnis Zilgme, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-5314, **Version:** 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

REQUESTING THE AUTHORIZATION TO CANCEL CERTAIN UNENFORCEABLE DELINQUENT TAX LIENS AGAINST 76 OSBORNE STREET, LOCATED WITHIN THE CITY OF ALBANY, TAX IDENTIFICATION NUMBER 76.56-4-5

Date: 3/22/2024
Submitted By: Michael McGuire
Department: Real Property
Title: Director
Phone: 518-487-5292
Department Rep.
Attending Meeting: Michael McGuire

Purpose of Request:

- ☐ Adopting of Local Law
- ☐ Amendment of Prior Legislation
- ☐ Approval/Adoption of Plan/Procedure
- ☐ Bond Approval
- ☐ Budget Amendment
- ☐ Contract Authorization
- ☐ Countywide Services
- ☐ Environmental Impact/SEQR
- ☐ Home Rule Request
- ☐ Property Conveyance
- ☒ Other: (state if not listed) Adopt resolution to cancel unenforceable taxes pursuant to RPTL §1138

CONCERNING BUDGET AMENDMENTS

Increase/decrease category (choose all that apply):

- ☐ Contractual
- ☐ Equipment
- ☐ Fringe

- ☐ Personnel
- ☐ Personnel Non-Individual
- ☐ Revenue

Increase Account/Line No.: Click or tap here to enter text.
Source of Funds: Click or tap here to enter text.
Title Change: Click or tap here to enter text.

CONCERNING CONTRACT AUTHORIZATIONS

Type of Contract:

- ☐ Change Order/Contract Amendment
- ☐ Purchase (Equipment/Supplies)
- ☐ Lease (Equipment/Supplies)
- ☐ Requirements
- ☐ Professional Services
- ☐ Education/Training
- ☐ Grant

Choose an item.

Submission Date Deadline Click or tap to enter a date.

- ☐ Settlement of a Claim
- ☐ Release of Liability
- ☐ Other: (state if not listed) Click or tap here to enter text.

Contract Terms/Conditions:

Party (Name/address):
Click or tap here to enter text.

Additional Parties (Names/addresses):
Click or tap here to enter text.

Amount/Raise Schedule/Fee: Click or tap here to enter text.
Scope of Services: Click or tap here to enter text.

Bond Res. No.: Click or tap here to enter text.
Date of Adoption: Click or tap here to enter text.

CONCERNING ALL REQUESTS

Mandated Program/Service: Yes ☐ No ☒
If Mandated Cite Authority: Click or tap here to enter text.

Is there a Fiscal Impact: Yes ☐ No ☒

Anticipated in Current Budget: Yes ☐ No ☒

County Budget Accounts:

Revenue Account and Line: Click or tap here to enter text.

Revenue Amount: Click or tap here to enter text.

Appropriation Account and Line: Click or tap here to enter text.

Appropriation Amount: Click or tap here to enter text.

Source of Funding - (Percentages)

Federal: Click or tap here to enter text.

State: Click or tap here to enter text.

County: Click or tap here to enter text.

Local: Click or tap here to enter text.

Original Awarding Agency / Funder:

Click or tap here to enter text.

New York State Pass-Through Agency (if applicable):

Click or tap here to enter text.

Term

Term: (Start and end date) Click or tap here to enter text.

Length of Contract: Click or tap here to enter text.

Impact on Pending Litigation

Yes ☐ No ☒

If yes, explain: Click or tap here to enter text.

Previous requests for Identical or Similar Action:

Resolution/Law Number: Click or tap here to enter text.

Date of Adoption: Click or tap here to enter text.

Justification: (state briefly why legislative action is requested)

The three-year time limit to use the ordinary correction of errors process has expired, therefore, enclosed for your review is a resolution. In reviewing the 2018 List of Delinquent Taxes, it became apparent that a property tax bill was imposed on a property owned by the Secretary of Housing and Urban Development in error. Parcel 76.56-4-5 was conveyed to the Secretary of Housing and Urban Development by deed 2683-585. The deed was recorded with the Albany County Clerk on 06/18/2001. Pursuant to RPTL §400 (1) property owned by an agency of the government of the United States is exempt from real property taxation. As a matter of law the properties should have been wholly exempt starting with the 1989 assessment roll.

Given the information provided, I am requesting that the County Legislature adopt a resolution canceling the unenforceable liens on 76 Osborne Street pursuant to RPTL §1138 (6). Additionally, I am requesting that said resolution authorize a chargeback of all amounts credited or guaranteed to the City of Albany.

00/00/24

RESOLUTION NO. _____

AUTHORIZING CANCELLATION OF CERTAIN UNENFORCEABLE DELINQUENT REAL PROPERTY TAX LIENS ON 76 OSBORNE STREET IN THE CITY OF ALBANY AND CHARGE BACK OF THE AMOUNTS CREDITED IN CONNECTION WITH SAID CANCELLED LEINS

Introduced: 00/00/24

By Audit and Finance Committee:

WHEREAS, the Commissioner of the County Department of Management and Budget as Enforcing Officer ("Enforcing Officer") of the County of Albany, New York, Tax District ("Tax District") acting upon the advice of the County Department of Law has pursuant to RPTL 1138 (subds. 1 and 2) duly issued and filed with the County Clerk a Certificate of Withdrawal of the following parcel of real property from a proceeding brought by the Tax District pursuant to RPTL Article Eleven, Title 3, to foreclose the following delinquent real property tax liens affecting said parcel held by the Tax District on the ground there is a legal impediment to the enforcement of said liens:

<u>CITY OF ALBANY</u>				
<u>Parcel Location</u>	<u>Tax Map No.</u>	<u>Tax Lien Year and Type</u>	<u>Charge Back Amount</u>	<u>Legal Impediment</u>
76 Osborne Street, City of Albany	76.56-4-5	2006 Property Tax	\$679.11	This parcel is owned by the Secretary of Housing and Urban Development, an agency of the government of the United States. Pursuant to RPTL §400 (subd.1) the property is exempt from real property taxation.
76 Osborne Street, City of Albany	76.56-4-5	2008 Property Tax	\$737.41	This parcel is owned by the Secretary of Housing and Urban Development, an agency of the

				government of the United States. Pursuant to RPTL §400 (subd.1) the property is exempt from real property taxation.
76 Osborne Street, City of Albany	76.56-4-5	2010 Property Tax	\$326.13	This parcel is owned by the Secretary of Housing and Urban Development, an agency of the government of the United States. Pursuant to RPTL §400 (subd.1) the property is exempt from real property taxation.
76 Osborne Street, City of Albany	76.56-4-5	2011 Property Tax	\$14,945.22	This parcel is owned by the Secretary of Housing and Urban Development, an agency of the government of the United States. Pursuant to RPTL §400 (subd.1) the property is exempt from real property taxation.
76 Osborne Street, City of Albany	76.56-4-5	2012 Property Tax	\$1,146.15	This parcel is owned by the Secretary of Housing and Urban Development, an agency of the government of the United States. Pursuant to RPTL §400 (subd.1) the property is exempt from real property taxation.

76 Osborne Street, City of Albany	76.56-4-5	2013 Property Tax	\$1,468.66	This parcel is owned by the Secretary of Housing and Urban Development, an agency of the government of the United States. Pursuant to RPTL §400 (subd.1) the property is exempt from real property taxation.
76 Osborne Street, City of Albany	76.56-4-5	2014 Property Tax	\$1,277.67	This parcel is owned by the Secretary of Housing and Urban Development, an agency of the government of the United States. Pursuant to RPTL §400 (subd.1) the property is exempt from real property taxation.
76 Osborne Street, City of Albany	76.56-4-5	2015 Property Tax	\$1,335.17	This parcel is owned by the Secretary of Housing and Urban Development, an agency of the government of the United States. Pursuant to RPTL §400 (subd.1) the property is exempt from real property taxation.
76 Osborne Street, City of Albany	76.56-4-5	2016 Property Tax	\$1,516.46	This parcel is owned by the Secretary of Housing and Urban Development, an agency of the government of the

				United States. Pursuant to RPTL §400 (subd.1) the property is exempt from real property taxation.
76 Osborne Street, City of Albany	76.56-4-5	2017 Property Tax	\$193.06	This parcel is owned by the Secretary of Housing and Urban Development, an agency of the government of the United States. Pursuant to RPTL §400 (subd.1) the property is exempt from real property taxation.
76 Osborne Street, City of Albany	76.56-4-5	2018 Property Tax	\$193.06	This parcel is owned by the Secretary of Housing and Urban Development, an agency of the government of the United States. Pursuant to RPTL §400 (subd.1) the property is exempt from real property taxation.
76 Osborne Street, City of Albany	76.56-4-5	2019 Property Tax	\$897.94	This parcel is owned by the Secretary of Housing and Urban Development, an agency of the government of the United States. Pursuant to RPTL §400 (subd.1) the property is exempt from real property taxation.

76 Osborne Street, City of Albany	76.56-4-5	2020 Property Tax	\$1,257.11	This parcel is owned by the Secretary of Housing and Urban Development, an agency of the government of the United States. Pursuant to RPTL §400 (subd.1) the property is exempt from real property taxation.
76 Osborne Street, City of Albany	76.56-4-5	2021 Property Tax	\$796.74	This parcel is owned by the Secretary of Housing and Urban Development, an agency of the government of the United States. Pursuant to RPTL §400 (subd.1) the property is exempt from real property taxation.
76 Osborne Street, City of Albany	76.56-4-5	2022 Property Tax	\$484.55	This parcel is owned by the Secretary of Housing and Urban Development, an agency of the government of the United States. Pursuant to RPTL §400 (subd.1) the property is exempt from real property taxation.
76 Osborne Street, City of Albany	76.56-4-5	2023 Property Tax	\$2,203.53	This parcel is owned by the Secretary of Housing and Urban Development, an agency of the government of the

				United States. Pursuant to RPTL §400 (subd.1) the property is exempt from real property taxation.
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, and

WHEREAS, the County Legislature in its capacity as the governing body of the Tax District has upon the advice of the Enforcing Officer determined as required by RPTL 1138 (subd.6 (a)) that under the circumstances there is no practical method to enforce the collection of said liens against said parcel, and that a supplementary proceeding to enforce their collection would not be effective, now, therefore be it

RESOLVED, that pursuant to RPTL 1138 (subd.6 (a)) said liens on said parcel are hereby cancelled and the Enforcing Officer is directed to issue and within 10 days thereafter file with the County Clerk a Certificate of Cancellation of said liens, and, be it further

RESOLVED, that the Enforcing Officer is pursuant to RPTL 1138 (subd. 6(c)) hereby authorized to upon filing said Certificate of Cancellation of said liens with the County Clerk charge back to those municipal corporations within which said parcel is located any and all amounts credited or guaranteed to such corporations by the Tax District in connection with said cancelled liens, and, be it further

RESOLVED, That the Clerk of the County Legislature is hereby directed to file a copy of this Resolution with said Enforcing Officer and to forward certified copies thereof to the other appropriate County Officials.

2024 MAR 26 PM 1:14

CERTIFICATE OF WITHDRAWAL

(Real Property Tax Law, §1138 (subds. 1, 2, and 3))

Index No. 03032-18

TO THE ALBANY COUNTY CLERK:

PLEASE TAKE NOTICE that the following parcel of real property included on the List of Delinquent Taxes for the "In Rem" delinquent tax lien foreclosure proceeding filed by the County of Albany, New York, Tax District in your Office under the above Index No. on JULY 6, 2018 covering the CITY OF ALBANY in Albany County:

PARCEL No. 03032-18-002850OWNER(S) SEC OF HOUSING & URBAN DEVADDRESS 76 OSBORNE STCITY ALBANY of ALBANYTAX MAP No. 07605600040050000000

is hereby **WITHDRAWN** from said foreclosure proceeding by me for one or more of the following reasons (*check all that apply*):

☒ There is reason to believe that there may be a legal impediment to the enforcement of the tax lien(s) affecting said parcel.

☐ The tax lien(s) on said parcel has/have been canceled or is/are subject to cancellation pursuant to section 558 of the Real Property Tax Law.

☐ The enforcement of the tax lien(s) on said parcel has/have been stayed by the filing of a petition pursuant to the Bankruptcy Code of 1978 (Title 11 of the United States Code).

☐ If the Tax District were to acquire said parcel, there is significant risk that it might be exposed to a liability substantially in excess of the amount that could be recovered by enforcing said tax lien(s).

☐ The owner of said parcel has entered into an agreement to pay said tax lien(s) in installments pursuant to section 1184 of the Real Property Tax Law and has not defaulted thereon.

☐ Said parcel was included in the Petition for Foreclosure in said proceeding, but it has since been demonstrated to my satisfaction as Enforcing Officer that said parcel is residential or farm property, and as such, said parcel is not yet subject to inclusion of such a Petition.

The facts which render said parcel eligible for withdrawal from said proceeding are as follows: _____

§1138 WITHDRAWN FOR LEGAL IMPEDIMENT

PLEASE TAKE FURTHER NOTICE that pursuant to Real Property Tax Law, §1138 (sub. 2 (b) you are therefore required and directed to upon the filing of this Certificate of Withdrawal in your Office enter on said List of Delinquent Taxes the word "withdrawn" and the date of such filing opposite the description of said parcel.

PLEASE TAKE FURTHER NOTICE that pursuant to Real Property Tax Law, §1138 (subd. 3)) the filing of this Certificate of Withdrawal has no effect upon the delinquent real property tax lien(s) against said parcel, or upon the notice of pendency of said proceeding as to said parcel, unless such lien(s) are canceled and, if said lien(s) are not canceled, said proceeding may be reinstated against said parcel.

DATED: March 21, 2024

**TAX ENFORCING OFFICER
COUNTY OF ALBANY, NEW YORK, TAX DISTRICT**



**M. DAVID REILLY Jr., Albany County
Commissioner of Management and Budget**

COUNTY OF ALBANY



Real Estate Tax Statement

Parcel: 07605600040050000000

Location: 76 OSBORNE ST

Owner:
SEC OF HOUSING & URBAN DEV
3 ADVANTAGE CT
BORDENTOWN NJ 08505

Status:
Square 0

Land Valuation: 3,700

Building Valuation: 0

Exemptions: 0

Taxable Valuation: 3,700

Interest Per Diem: 36,321.14

Legal Description:

Deed Date:

Book/Page:

Interest Date: 03/13/2024

Year	Type	Bill
2023	RE-1	7162

Inst	Charge	Billed	Principal Due	Interest Due	Total Due
1	ALBANY WAT	394.67	394.67	67.09	461.76
	OTHER CHAR	1,703.93	1,703.93	289.67	1,993.60
	5 PERCENT	104.93	104.93	0.00	104.93
		2,203.53	2,203.53	356.76	2,560.29
Year Totals		2,203.53	2,203.53	356.76	2,560.29

Year	Type	Bill
2022	RE-1	5847

Inst	Charge	Billed	Principal Due	Interest Due	Total Due
1	OTHER CHAR	460.52	460.52	133.55	594.07
	MAILING CH	1.00	1.00	0.00	1.00
	5 PERCENT	23.03	23.03	0.00	23.03
		484.55	484.55	133.55	618.10
Year Totals		484.55	484.55	133.55	618.10

Year	Type	Bill
2021	RE-1	6972

Inst	Charge	Billed	Principal Due	Interest Due	Total Due
1	ALBANY WAT	174.64	174.64	71.60	246.24
	OTHER CHAR	584.16	584.16	239.51	823.67
	5 PERCENT	37.94	37.94	0.00	37.94
		796.74	796.74	311.11	1,107.85
Year Totals		796.74	796.74	311.11	1,107.85

Year	Type	Bill
2020	RE-1	6253

Inst	Charge	Billed	Principal Due	Interest Due	Total Due
1	ALBANY WAT	183.46	183.46	97.23	280.69
	OTHER CHAR	799.50	799.50	423.74	1,223.24
	LEGAL CHAR	225.00	225.00	0.00	225.00
	5 PERCENT	49.15	49.15	0.00	49.15
		1,257.11	1,257.11	520.97	1,778.08
Year Totals		1,257.11	1,257.11	520.97	1,778.08

COUNTY OF ALBANY



Real Estate Tax Statement

Year	Type	Bill			
2019	RE-1	6692			
Inst	Charge	Billed	Principal Due	Interest Due	Total Due
1	ALBANY WAT	182.91	182.91	118.89	301.80
	OTHER CHAR	671.32	671.32	436.36	1,107.68
	MAILING CH	1.00	1.00	0.00	1.00
	5 PERCENT	42.71	42.71	0.00	42.71
		897.94	897.94	555.25	1,453.19
Year Totals		897.94	897.94	555.25	1,453.19
Year	Type	Bill			
2018	TL-1	1591			
Inst	Charge	Billed	Principal Due	Interest Due	Total Due
1	ALB LIENS	192.06	192.06	147.89	339.95
		192.06	192.06	147.89	339.95
Year Totals		192.06	192.06	147.89	339.95
Year	Type	Bill			
2017	TL-1	2074			
Inst	Charge	Billed	Principal Due	Interest Due	Total Due
1	ALB LIENS	193.06	193.06	171.82	364.88
		193.06	193.06	171.82	364.88
Year Totals		193.06	193.06	171.82	364.88
Year	Type	Bill			
2016	TL-1	1235			
Inst	Charge	Billed	Principal Due	Interest Due	Total Due
1	ALB LIENS	1,366.46	1,366.46	1,380.12	2,746.58
	LEGAL CHAR	150.00	150.00	0.00	150.00
		1,516.46	1,516.46	1,380.12	2,896.58
Year Totals		1,516.46	1,516.46	1,380.12	2,896.58
Year	Type	Bill			
2015	TL-1	921			
Inst	Charge	Billed	Principal Due	Interest Due	Total Due
1	ALB LIENS	1,335.17	1,335.17	1,508.74	2,843.91
		1,335.17	1,335.17	1,508.74	2,843.91
Year Totals		1,335.17	1,335.17	1,508.74	2,843.91
Year	Type	Bill			
2014	RE-1	8450			
Inst	Charge	Billed	Principal Due	Interest Due	Total Due
1	ALBANY WAT	182.91	182.91	228.64	411.55
	OTHER CHAR	890.11	890.11	1,112.64	2,002.75
	MAILING CH	1.00	1.00	0.00	1.00
	LEGAL CHAR	150.00	150.00	0.00	150.00
	5 PERCENT	53.65	53.65	0.00	53.65
		1,277.67	1,277.67	1,341.28	2,618.95
Year Totals		1,277.67	1,277.67	1,341.28	2,618.95

COUNTY OF ALBANY



Real Estate Tax Statement

Year	Type	Bill				
2013	TL-1	2368				
Inst	Charge	Billed	Principal Due	Interest Due	Total Due	
1	ALB LIENS	1,028.66	1,028.66	1,409.26	2,437.92	
	LEGAL CHAR	150.00	150.00	0.00	150.00	
	TITLE SEAR	290.00	290.00	0.00	290.00	
		1,468.66	1,468.66	1,409.26	2,877.92	
Year Totals		1,468.66	1,468.66	1,409.26	2,877.92	
Year	Type	Bill				
2012	TL-1	1632				
Inst	Charge	Billed	Principal Due	Interest Due	Total Due	
1	ALB LIENS	996.15	996.15	1,484.26	2,480.41	
	LEGAL CHAR	150.00	150.00	0.00	150.00	
		1,146.15	1,146.15	1,484.26	2,630.41	
	Year Totals		1,146.15	1,146.15	1,484.26	2,630.41
Year	Type	Bill				
2011	TL-1	2957				
Inst	Charge	Billed	Principal Due	Interest Due	Total Due	
1	ALB LIENS	14,795.22	14,795.22	23,820.30	38,615.52	
	LEGAL CHAR	150.00	150.00	0.00	150.00	
		14,945.22	14,945.22	23,820.30	38,765.52	
	Year Totals		14,945.22	14,945.22	23,820.30	38,765.52
Year	Type	Bill				
2010	TL-1	2441				
Inst	Charge	Billed	Principal Due	Interest Due	Total Due	
1	ALB LIENS	176.13	176.13	304.70	480.83	
	LEGAL CHAR	150.00	150.00	0.00	150.00	
		326.13	326.13	304.70	630.83	
	Year Totals		326.13	326.13	304.70	630.83
Year	Type	Bill				
2008	TL-1	1140				
Inst	Charge	Billed	Principal Due	Interest Due	Total Due	
1	ALB LIENS	587.41	587.41	1,157.20	1,744.61	
	LEGAL CHAR	150.00	150.00	0.00	150.00	
		737.41	737.41	1,157.20	1,894.61	
	Year Totals		737.41	737.41	1,157.20	1,894.61
Year	Type	Bill				
2006	TL-1	568				
Inst	Charge	Billed	Principal Due	Interest Due	Total Due	
1	ALB LIENS	529.11	529.11	1,169.33	1,698.44	
	LEGAL CHAR	150.00	150.00	0.00	150.00	
		679.11	679.11	1,169.33	1,848.44	
	Year Totals		679.11	679.11	1,169.33	1,848.44
Grand Totals		29,456.97	29,456.97	35,772.54	65,229.51	

COUNTY OF ALBANY
Real Estate Tax Statement



** End of Report - Generated by Neal, Jeffrey **

FORM 361 N.Y. DEED - EXECUTION'S

NATIONAL LEGAL SUPPLY, INC.
61 BEAVER STREET ALBANY N.Y. 12207

This Indenture.

117328

June 12th day of
Between Gary E. Ashley, 375 Shaver Rd., West Sand Lake, NY 12196

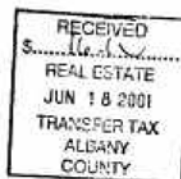
as Executor of the estate of
~~XXXXXXXXXXXXXXX~~ Steven F. Ashley
Letters of Administration by virtue of
late of , late of

deceased, Steven F. Ashley, Secretary of Housing and Urban Development, 100 Penn Square 12th Floor, Philadelphia, Pennsylvania, party of the first part, and

Witnesseth, That the party of the first part, by virtue of the power and authority to Gary P. Ashley given in and by the saided ~~document~~ ^{document} of the ~~United States~~ ^{United States} and in consideration of Three Thousand Seven Hundred Fifty and 00/100 Dollars, (\$ 3,750.00) lawful money of the United States

SCHEDULE A

ALL THAT CERTAIN LOT, PIECE OR PARCEL OF LAND situate, lying and being on the north side of Delaware Street, between Eagle and Hawk Streets, City and County of Albany, State of New York known as Lot No. 262 and being 33 feet front and 160 feet in depth more or less; and is bounded on the north by Osborn Street; south by Delaware Street; east by premises now or formerly owned by one Clark and west by premises now or formerly owned by one Greig.



LIBER 2683 PAGE 586

Together with the appurtenances, and also all the estate which the said Testator had at the time of his decease, in said premises, And also the estate therein, which the party of the first part has or has power to convey or dispose of, whether individually, or by virtue of said Will or otherwise.

To have and to hold the premises herein granted unto the party of the second part, Secretary of Housing and Urban Development and assigns forever.

SUBJECT to the Trust Fund provisions of Section Thirteen of the Lien Law.

And the party of the first part covenant that he has not done or suffered anything whereby the said premises have been incumbered in any way whatever.

In Witness Whereof, the party of the first part has hereunto set his hand and seal on the day and year first above written.

My S. Ashley on POA for Susan C. Ashley
Notar F. Steven F. Ashley

As Executor(s) under the Last Will and Testament of
 Letters of Administration
 for Steven F. Ashley, Deceased

State of New York
 County of Albany

) ss.:

On the 12th day of June in the year 2001 before me, the undersigned, a Notary Public in and for said State, appeared Gary P. Ashley, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his capacity, and that by his signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.

Joel P. Peller
 Notary Public

JOEL P. PELLER
 Notary Public, State of New York
 No. 02PE0003046
 Qualified in Saratoga County
 Commission Expires July 11, 2002

STATE OF NEW YORK
 COUNTY OF ALBANY

Recorded in DEEDS
 As Shown Hereon and
 Examined
 THOMAS G. CLUGAN
 ALBANY COUNTY CLERK

Deed

Gary P. Ashley on behalf of
 The Estate of Steven F. Ashley

TO

Secretary of Housing and
 Urban Development

Dated June 13, 2001 x888x

Record and Return:
 BLOCK COLUCCI, Spellman
 PELLER, LLP
 Joel P. Peller, Esq.
 9 Executive Park Drive
 Clifton Park, NY 12065



DANIEL P. McCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
REAL PROPERTY TAX SERVICE AGENCY
112 STATE STREET, ROOM 1340
ALBANY, NEW YORK 12207
OFFICE: (518) 487-5291
FAX: (518) 447-2503
www.albanycounty.com

MICHAEL McGUIRE
DIRECTOR

March 25, 2024

Honorable Joanne Cunningham, Chairperson
Albany County Legislature
112 State Street, Rm 710
Albany, NY 12207

Re: Request authorizing the cancellation of certain unenforceable delinquent tax liens
17 and 17A Corporate Woods Boulevard, Town of Colonie – SBLs 54.3-1-35.7-1 & 54.3-1-35.7-2

Dear Chairperson Cunningham,

The New York State Police purchased 17 & 17A Corporate Woods Boulevard on 11/2/2023. Pursuant to NYS RPTL §404 property owned by an agency of the State of New York is exempt from real property taxation. The statutory exemption granted by RPTL §404 renders the unpaid 2024 property tax unenforceable. RPTL §558 empowers the County Legislature to cancel the unpaid tax and authorize the charge back of said taxes.

Given the information provided, I am requesting that the County Legislature adopt a resolution canceling the unenforceable liens held against 17 & 17A Corporate Woods Boulevard pursuant to RPTL §558. Additionally, I am requesting that said resolution authorize a chargeback of all amounts credited or guaranteed to the Town of Colonie.

Sincerely,

Michael McGuire, IAO, CCD
Real Property Tax Service Agency

Enclosures:

CC: Dennis Feeny, Majority Leader
Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
Arnis Zilgme, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-5322, **Version:** 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

REQUESTING THE AUTHORIZATION TO CANCEL CERTAIN UNENFORCEABLE DELINQUENT TAX LIENS AGAINST 17 AND 17A CORPORATE WOODS BOULEVARD, LOCATED WITHIN THE TOWN OF COLONIE, TAX IDENTIFICATION NUMBERS 54.3-1-35.7-1 AND 54.3-1-35.7-2

Date: 3/25/2024
Submitted By: Michael McGuire
Department: Real Property Tax Service Agency
Title: Director
Phone: 518-487-5292
Department Rep.
Attending Meeting: Michael McGuire

Purpose of Request:

- ☐ Adopting of Local Law
- ☐ Amendment of Prior Legislation
- ☐ Approval/Adoption of Plan/Procedure
- ☐ Bond Approval
- ☐ Budget Amendment
- ☐ Contract Authorization
- ☐ Countywide Services
- ☐ Environmental Impact/SEQR
- ☐ Home Rule Request
- ☐ Property Conveyance
- ☒ Other: (state if not listed)

Adopt a resolution to cancel unenforceable taxes pursuant to RTPL §558

CONCERNING BUDGET AMENDMENTS

Increase/decrease category (choose all that apply):

- ☐ Contractual
- ☐ Equipment
- ☐ Fringe

- ☐ Personnel
- ☐ Personnel Non-Individual
- ☐ Revenue

Increase Account/Line No.: Click or tap here to enter text.
Source of Funds: Click or tap here to enter text.
Title Change: Click or tap here to enter text.

CONCERNING CONTRACT AUTHORIZATIONS

Type of Contract:

- ☐ Change Order/Contract Amendment
- ☐ Purchase (Equipment/Supplies)
- ☐ Lease (Equipment/Supplies)
- ☐ Requirements
- ☐ Professional Services
- ☐ Education/Training
- ☐ Grant

Choose an item.

Submission Date Deadline Click or tap to enter a date.

- ☐ Settlement of a Claim
- ☐ Release of Liability
- ☐ Other: (state if not listed) Click or tap here to enter text.

Contract Terms/Conditions:

Party (Name/address):
Click or tap here to enter text.

Additional Parties (Names/addresses):
Click or tap here to enter text.

Amount/Raise Schedule/Fee: Click or tap here to enter text.
Scope of Services: Click or tap here to enter text.

Bond Res. No.: Click or tap here to enter text.
Date of Adoption: Click or tap here to enter text.

CONCERNING ALL REQUESTS

Mandated Program/Service: Yes ☐ No ☒
If Mandated Cite Authority: Click or tap here to enter text.

Is there a Fiscal Impact: Yes ☐ No ☒

Anticipated in Current Budget: Yes ☐ No ☒

County Budget Accounts:

Revenue Account and Line: Click or tap here to enter text.

Revenue Amount: Click or tap here to enter text.

Appropriation Account and Line: Click or tap here to enter text.

Appropriation Amount: Click or tap here to enter text.

Source of Funding - (Percentages)

Federal: Click or tap here to enter text.

State: Click or tap here to enter text.

County: Click or tap here to enter text.

Local: Click or tap here to enter text.

Original Awarding Agency / Funder:

Click or tap here to enter text.

New York State Pass-Through Agency (if applicable):

Click or tap here to enter text.

Term

Term: (Start and end date) Click or tap here to enter text.

Length of Contract: Click or tap here to enter text.

Impact on Pending Litigation

Yes ☐ No ☐

If yes, explain: Click or tap here to enter text.

Previous requests for Identical or Similar Action:

Resolution/Law Number: Click or tap here to enter text.

Date of Adoption: Click or tap here to enter text.

Justification: (state briefly why legislative action is requested)

The New York State Police purchased 17 & 17A Corporate Woods Boulevard on 11/2/2023. Pursuant to NYS RPTL §404 property owned by an agency of the State of New York is exempt from real property taxation. The statutory exemption granted by RPTL §404 renders the unpaid 2024 property tax unenforceable. RPTL §558 empowers the County Legislature to cancel the unpaid tax and authorize the charge back of said taxes.

Given the information provided, I am requesting that the County Legislature adopt a resolution canceling the unenforceable liens held against 17 & 17A Corporate Woods Boulevard pursuant to RPTL §558. Additionally, I am requesting that said resolution authorize a chargeback of all amounts credited or guaranteed to the Town of Colonie.

TOWN OF COLONIE TAX OFFICE - TOWN & COUNTY 2024

FISCAL YEAR: 1/1/2024 to 12/31/2024

WARRANT DATE: 12/29/2023

STATE AID: CNTY \$111,509,350 TWN \$4,340,325

MAKE CHECKS PAYABLE TO:

Kimberly J. Cuva, Receiver of Taxes

MEMORIAL TOWN HALL

534 NEW LOUDON RD.

LATHAM, NY 12110

BANK

BILL
10416

TAX MAP NUMBER
012689 54.3-1-35.7-1

TO PAY IN PERSON:

Memorial Town Hall

534 New Loudon Rd.

Latham, NY 12110

Mon. - Fri. 8:30 am - 4:30 pm

PROPERTY INFORMATION:

ACCOUNT#:

DIMENSION: 2.40 Acres

ROLL SECTION: 1 CLASS: 464 - OFFICE BLDG.

LOCATION: 17 Corporate Woods Blvd

MUNICIPALITY: Town of Colonie

SCHOOL: 012605 North Colonie

FULL MARKET VALUE: As of 1/1/23 8,910,800

UNIFORM % OF VALUE: 51

LAND ASSESSMENT: 600,000

TOTAL ASSESSMENT: 4,544,500

PROPERTY OWNER:

The State Of New York The Peop

1220 Washington Ave Bldg 22

Albany, NY 12226

EXEMPTION	VALUE	FULL VALUE	TAX PURPOSE
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PROPERTY TAX PAYERS BILL OF RIGHTS:

If you feel your assessment is too high, you have the right to seek a reduction in the future. A publication entitled "Contesting Your Assessment in New York State" is available at the assessor's office and on-line: www.tax.ny.gov. Please note that the period for filing complaints on the above assessment has passed.

LEVY DESCRIPTION	TOTAL TAX LEVY	% CHANGE FROM PRIOR YEAR LEVY	TAXABLE VALUE OR UNITS	RATE	TAX AMOUNT
ALBANY COUNTY TAX	34,904,671	-1.0	4,544,500.00	5.58104	25,363.04
COLONIE TOWN TAX	27,622,315	3.4	4,544,500.00	4.402789	20,008.47
WEST ALBANY FD	780,000	3.2	4,544,500.00	2.117461	9,622.80
SEWER A LAND PAYMENT	0	0.0	7.00	29.109246	203.76
SEWER D DEBT PAYMENT	0	0.0	6.00	0.1	0.60
SEWER OPER & MAINT	0	0.0	3.00	69.677573	209.03
SEWER A DEBT PAYMENT	0	0.0	3.00	29.109246	87.33
LATHAM WATER DIST	1,584,890	0.0	4,544,500.00	0.291841	1,326.27

TOTAL TAX AMOUNT: \$56,821.30

FULL PAYMENT OPTION

Paid From	Paid To	Tax Amount	Penalty	Total Due
12/29/2023	01/31/2024	56,821.30		56,821.30
02/01/2024	02/29/2024	56,821.30	568.21	57,389.51
03/01/2024	04/01/2024	56,821.30	1,136.43	57,957.73

TOWN OF COLONIE TAX OFFICE - TOWN & COUNTY 2024

MUNICIPALITY: Town of Colonie

SCHOOL: 012605 NORTH COLONIE

LOCATION: 17 CORPORATE WOODS BLVD

RECEIVER'S STUB

BILL NUMBER:

10416

Tax Map:

012689 54.3-1-35.7-1

BANK:

The State Of New York The Peop

1220 Washington Ave Bldg 22

Albany, NY 12226

TOTAL BASE TAX:

\$56,821.30

AMOUNT ENCLOSED:

\$

☐ CHECK THIS BOX TO REQUEST A RECEIPT

RECEIVER STUB MUST BE RETURNED WITH PAYMENT

Printed On: 03/21/2024 At: 09:39:01 AM

TOWN OF COLONIE TAX OFFICE - TOWN & COUNTY 2024

FISCAL YEAR: 1/1/2024 to 12/31/2024	WARRANT DATE: 12/29/2023	STATE AID: CNTY \$111,509,350 TWN \$4,340,325
MAKE CHECKS PAYABLE TO: Kimberly J. Cuva, Receiver of Taxes MEMORIAL TOWN HALL 534 NEW LOUDON RD. LATHAM, NY 12110	BANK 10422	BILL 10422

TAX MAP NUMBER
012689 54.3-1-35.7-2

TO PAY IN PERSON:

Memorial Town Hall
534 New Loudon Rd.
Latham, NY 12110
Mon. - Fri. 8:30 am - 4:30 pm

PROPERTY INFORMATION:

ACCOUNT#: 11.60 Acres
DIMENSION: 11.60 Acres
ROLL SECTION: 1 CLASS: 464 - OFFICE BLDG.
LOCATION: 17A Corporate Woods Blvd
MUNICIPALITY: Town of Colonie
SCHOOL: 012601 South Colonie
FULL MARKET VALUE: As of 1/1/23 825,300
UNIFORM % OF VALUE: 51
LAND ASSESSMENT: 382,500
TOTAL ASSESSMENT: 420,900

PROPERTY OWNER:

The State Of New York The Peop
1220 Washington Ave Bldg 22
Albany, NY 12226

EXEMPTION	VALUE	FULL VALUE	TAX PURPOSE
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PROPERTY TAX PAYERS BILL OF RIGHTS:

If you feel your assessment is too high, you have the right to seek a reduction in the future. A publication entitled "Contesting Your Assessment in New York State" is available at the assessor's office and on-line: www.tax.ny.gov. Please note that the period for filing complaints on the above assessment has passed.

LEVY DESCRIPTION	TOTAL TAX LEVY	% CHANGE FROM PRIOR YEAR LEVY	TAXABLE VALUE OR UNITS	RATE	TAX AMOUNT
ALBANY COUNTY TAX	34,904,671	-1.0	420,900.00	5.58104	2,349.06
COLONIE TOWN TAX	27,622,315	3.4	420,900.00	4.402789	1,853.13
WEST ALBANY FD	780,000	3.2	420,900.00	2.117461	891.24
SEWER A LAND PAYMENT	0	0.0	2.00	29.109246	58.22
SEWER D DEBT PAYMENT	0	0.0	44.00	0.1	4.40
SEWER OPER & MAINT	0	0.0	3.00	69.677573	209.03
SEWER A DEBT PAYMENT	0	0.0	3.00	29.109246	87.33
LATHAM WATER DIST	1,584,890	0.0	420,900.00	0.291841	122.84

TOTAL TAX AMOUNT: \$5,575.25

FULL PAYMENT OPTION

Paid From	Paid To	Tax Amount	Penalty	Total Due
12/29/2023	01/31/2024	5,575.25		5,575.25
02/01/2024	02/29/2024	5,575.25	55.75	5,631.00
03/01/2024	04/01/2024	5,575.25	111.51	5,686.76

TOWN OF COLONIE TAX OFFICE - TOWN & COUNTY 2024

MUNICIPALITY: Town of Colonie
SCHOOL: 012601 SOUTH COLONIE
LOCATION: 17A CORPORATE WOODS BLVD

RECEIVER'S STUB

The State Of New York The Peop
1220 Washington Ave Bldg 22
Albany, NY 12226

BILL NUMBER: 10422
Tax Map: 012689 54.3-1-35.7-2
BANK:

TOTAL BASE TAX:

\$5,575.25

AMOUNT ENCLOSED:

\$

☐ CHECK THIS BOX TO REQUEST A RECEIPT

RECEIVER STUB MUST BE RETURNED WITH PAYMENT

Printed On: 03/21/2024 At: 09:39:38 AM

INSTRUCTIONS(RP-5217-PDF-INS): www.orps.state.ny.us

FOR COUNTY USE ONLY

C1. SMS Code

012689

C2. Date Deed Recorded

11/2/23

C3. Book

R2023

C4. Page

19845

New York State Department of
Taxation and Finance

Office of Real Property Tax Services

RP- 5217-PDF

Real Property Transfer Report (8/10)

PROPERTY INFORMATION

1. Property Location 17 & 17A Corporate Woods Boulevard
 * STREET NUMBER * STREET NAME
 Colonie 12211
 * CITY OR TOWN * ZIP CODE

2. Buyer Name The People of the Division of
 * LAST NAME/COMPANY * FIRST NAME
 State of New York State Police
 * LAST NAME/COMPANY * FIRST NAME

3. Tax Billing Address Indicate where future Tax Bills are to be sent
 if other than buyer address(at bottom of form)
 * LAST NAME/COMPANY * FIRST NAME
 * STREET NUMBER AND NAME * CITY OR TOWN * STATE * ZIP CODE

4. Indicate the number of Assessment Roll parcels transferred on the deed 2 # of Parcels OR ☐ Part of a Parcel (Only if Part of a Parcel) Check as they apply:
 4A. Planning Board with Subdivision Authority Exists ☐
 4B. Subdivision Approval was Required for Transfer ☐
 4C. Parcel Approved for Subdivision with Map Provided ☐

5. Deed Property Size * FRONT FEET * DEPTH OR 14.00 * ACRES
 * FRONT FEET * DEPTH * ACRES

6. Seller Name 17 Corporate Woods LLC
 * LAST NAME/COMPANY * FIRST NAME
 * LAST NAME/COMPANY * FIRST NAME

7. Select the description which most accurately describes the use of the property at the time of sale:
 F. Commercial

Check the boxes below as they apply:
 8. Ownership Type is Condominium ☐
 9. New Construction on a Vacant Land ☐
 10A. Property Located within an Agricultural District ☐
 10B. Buyer received a disclosure notice indicating that the property is in an Agricultural District ☐

SALE INFORMATION

11. Sale Contract Date 09/07/2023

* 12. Date of Sale/Transfer 11/02/2023

* 13. Full Sale Price 12,000,000.00
 (Full Sale Price is the total amount paid for the property including personal property. This payment may be in the form of cash, other property or goods, or the assumption of mortgages or other obligations.) Please round to the nearest whole dollar amount.

14. Indicate the value of personal property included in the sale 0.00

15. Check one or more of these conditions as applicable to transfer:
☐ A. Sale Between Relatives or Former Relatives
☐ B. Sale between Related Companies or Partners in Business.
☐ C. One of the Buyers is also a Seller
☒ D. Buyer or Seller is Government Agency or Lending Institution
☐ E. Deed Type not Warranty or Bargain and Sale (Specify Below)
☐ F. Sale of Fractional or Less than Fee Interest (Specify Below)
☐ G. Significant Change in Property Between Taxable Status and Sale Dates
☐ H. Sale of Business is Included in Sale Price
☐ I. Other Unusual Factors Affecting Sale Price (Specify Below)
☐ J. None

Comment(s) on Condition:

ASSESSMENT INFORMATION - Data should reflect the latest Final Assessment Roll and Tax Bill

16. Year of Assessment Roll from which Information taken(YY) 23 * 17. Total Assessed Value 9,736,100

* 18. Property Class 464 * 19. School District Name 012601 & 012605

* 20. Tax Map Identifier(s)/Roll Identifier(s) (If more than four, attach sheet with additional Identifier(s))
 54.3-1-35.7-1 54.3-1-35.7-2

CERTIFICATION

I Certify that all of the items of information entered on this form are true and correct (to the best of my knowledge and belief) and I understand that the making of any willful false statement of material fact herein subject me to the provisions of the penal law relative to the making and filing of false instruments.

SELLER SIGNATURE: John P. Rottler 10/19/2023
 BUYER SIGNATURE: Amanda W. Cox 10/19/23

BUYER CONTACT INFORMATION
 Enter information for the buyer. Note: If buyer is LLC, society, association, corporation, joint stock company, estate or party that is not an individual agent or fiduciary, then a name and contact information of an individual responsible party who can answer questions regarding the transfer must be entered. Type or print clearly.)

Cox Amanda W.
 * LAST NAME * FIRST NAME
 (518) 457-6137
 * AREA CODE * TELEPHONE NUMBER (Ex. 9999999)
 1220 Washington Ave, Bldg. 22
 * STREET NUMBER * STREET NAME
 Albany NY 12226
 * CITY OR TOWN * STATE * ZIP CODE

Konz Jeanne M.
 * LAST NAME * FIRST NAME
 (518) 776-2704
 * AREA CODE * TELEPHONE NUMBER (Ex. 9999999)





ALBANY COUNTY – STATE OF NEW YORK
BRUCE A. HIDLEY COUNTY CLERK
 16 EAGLE STREET, ALBANY, NEW YORK 12207

COUNTY CLERK'S RECORDING PAGE

*****THIS PAGE IS PART OF THE DOCUMENT – DO NOT DETACH*****



INSTRUMENT #: R2023-19845

Receipt#: 20230654944

Clerk: HC

Rec Date: 11/02/2023 12:50:43 PM

Doc Grp: D

Descrip: DEED

Num Pgs: 7

Rec'd Frm: THE PEOPLE OF THE STATE OF NEW YORK

Party1: 17 CORPORATE WOODS LLC

Party2: PEOPLE OF STATE OF NEW YORK

Muni: COLONIE TOWN

Recording:

Cover Page	0.00
Recording Fee	0.00
Cultural Ed	0.00
Records Management - Coun	0.00
Records Management - Stat	0.00
TP584	0.00
Notice of Transfer of Sal	0.00
RP5217 - County	0.00
RP5217 All others - State	0.00

Sub Total: 0.00

Transfer Tax	
Transfer Tax - State	0.00

Sub Total: 0.00

Total: 0.00

****** NOTICE: THIS IS NOT A BILL ******

******* Transfer Tax *******

Transfer Tax #: 2019

Exempt

Total: 0.00

Record and Return To:

SASE/DIVISION OF NYS POLICE

THIS PAGE CONSTITUTES THE CLERK'S
 ENDORSEMENT, REQUIRED BY SECTION 316-a (5)
 & 319 OF THE REAL PROPERTY LAW OF THE
 STATE OF NEW YORK.

Bruce A. Hidley
 Albany County Clerk

7
Col
HC

Proceeding 6105
Division of State Police
Acquisition of Property
from 17 Corporate Woods, LLC
Albany County
File No. 23-01

DEED

This DEED, made the 19 day of October, 20 23, between
17 CORPORATE WOODS, LLC, a limited liability company organized under the laws of the
State of New York, having its principal office at 20 Corporate Woods Boulevard, Albany, New York
12211, Grantor, and

THE PEOPLE OF THE STATE OF NEW YORK, acting by and through their Commissioner
of State Police, having offices at 1220 Washington Avenue, Albany, New York 12226, Grantees,

WITNESSETH, that the Grantor, in consideration of TWELVE MILLION and NO/100
DOLLARS (\$12,000,000.00), lawful money of the United States, paid by the Grantees, does hereby grant
and release unto the Grantees, their successors and assigns forever,

ALL THAT TRACT, PIECE OR PARCEL OF LAND, together with the buildings, structures,
and improvements thereon and any fixtures or appurtenances thereto, situate, lying and being in the Town
of Colonie, County of Albany and State of New York, as shown on a plan titled "Minor Subdivision Plan,
lands known as St. No.'s 17, 17A and 19 Corporate Woods Boulevard", dated June 8, 2023, last revised
August 1, 2023, as prepared by ABD Engineers & Surveyors LLP and filed in the Albany County Clerk's
Office on August 11, 2023, as Map No. 14430 and being more particularly bounded and described as
follows:

BEGINNING at a point of non-tangent in the westerly road boundary of Corporate Woods
Boulevard at its intersection with the division line between Lot No. 1 (as shown on the above referenced
map) on the south and lands now or formerly of Corporate Woods 15, LLC as described in Liber 2527 of
deeds at page 1106 on the north; thence southerly along said westerly road boundary of Corporate Woods
Boulevard the following two (2) courses and distances:

1. Along an arc of a curve to the right having a radius of 2,970.00 feet, an arc length of
108.83 feet, chord bearing South 18°06'55" East, 108.83 feet to a point of tangent; THENCE
2. South 17°04'02" East, 528.19 feet to a point at its intersection with the existing division line
between the aforementioned Lot No. 1 on the north and Lot No. 2 (also as shown on the
above referenced map) on the south;

THENCE along said division line and along the proposed division line between said Lot No. 1 and Lot No. 2 the following three (3) courses and distances:

1. South 72°56'01" West, 190.00 feet to a point; thence
2. South 08°31'20" West, 137.59 feet to a point; thence
3. South 55°53'19" East, 178.26 feet to a point of non-tangent in the westerly road boundary of the aforementioned Corporate Woods Boulevard;

THENCE along said westerly road boundary of Corporate Woods Boulevard the following two (2) courses and distances:

1. Along an arc of a curve to the right having a radius of 320.00 feet, an arc length of 32.94 feet, chord bearing South 41°08'57" West, 32.92 feet to a point of compound curvature; THENCE
2. Along an arc of a curve to the right having a radius of 525.72 feet, an arc length of 560.79 feet, chord bearing South 74°39'23" West, 534.57 feet to a point of non-tangent at its intersection with the division line between the aforementioned Lot No. 1 on the east and lands now or formerly of Picotte Development Company, LP as described in Liber 2503 of deeds at page 71 on the west;

THENCE along said division line North 17°04'02" West, 901.24 feet to a point in the aforementioned division line between Lot No. 1 and Corporate Woods 15, LLC; THENCE along said division line North 72°55'58" East, 698.01 feet to a point of non-tangent, the point and place of BEGINNING and containing 607,385 square feet (13.944 acres) of land more or less.

TOGETHER with and SUBJECT to a forty-foot (40') wide easement along an existing roadway running from Corporate Woods Boulevard to the premises hereinabove described and conveyed for ingress, egress and regress, said easement being more particularly bounded and described as follows:

COMMENCING at a point in the southerly road boundary of Corporate Woods Boulevard at its intersection with the division line between Lot No. 1 on the north and Lot No. 2 on the south (both lots as shown on the above referenced map); THENCE southerly along said southerly road boundary of Corporate Woods Boulevard along an arc of a curve to the right having a radius of 320.00 feet, an arc length of 268.64 feet and a chord of South 06°59'01" West, 260.82 feet to the point and place of BEGINNING; THENCE continuing along said southerly road boundary of Corporate Woods Boulevard along an arc of a curve to the right having a radius of 320.00 feet, an arc length of 40.03 feet and a chord of South 34°37'02" West, 40.00 feet to a point of non-tangent at its intersection with the division line between Lot No. 2 on the east and Lot No. 1 on the west; THENCE along said division line the following two (2) courses and distances:

1. North 55°53'19" West, 178.26 feet to a point; THENCE
2. North 08°31'20" East, 44.35 feet to a point therein;

THENCE through the aforementioned Lot No. 2 South 55°53'19" East, 197.77 feet to the point and place of BEGINNING and CONTAINING 7,537 square feet of land more or less.

TOGETHER with the right to maintain the roadway in the event that Grantor, its successors and assigns, fail to maintain the roadway. GRANTOR hereby AGREES for itself, its successors and assigns, that, should the roadway be maintained by Grantees upon the Grantor's failure to do so, it shall reimburse the Grantees for the cost of such maintenance.

GRANTOR, as fee owner of Lot 2 shown on a subdivision map entitled "Minor Subdivision Plan, Lands Known as St. No.'s 17, 17A, & 19 Corporate Woods Boulevard, Town of Colonie, County of Albany, State of New York" dated June 8, 2023, last revised August 1, 2023, made by Robert D. Davis, Jr., P.L.S. Lic. No. 51060, of ABD Engineers & Surveyors, Drawing No. 1648A-P23, and filed in the Albany County Clerk's Office on August 11, 2023, as Map No. 14430, FURTHER hereby AGREES for itself, its successors and assigns, that it shall not permanently or temporarily improve or obstruct the easement or cause any improvements or obstructions to be constructed within the easement that would impair the exercise by the Grantees herein, their successors and assigns, of the rights granted by the easement, except for temporary obstructions required for the Grantor's performance of routine maintenance and only upon the Grantor's providing thirty (30) days' written notice to the Grantees prior to performing any such maintenance.

TOGETHER with an easement to run utilities, in over and across any private drive, lane, waterway or road, running between any highway, road, street or avenue and the property conveyed herein.

BEING a portion of premises conveyed to the Grantor by deed from Corporate Woods Partners dated August 29, 1995, and recorded in the Albany County Clerk's Office on August 30, 1995, in Liber 2540 of Deeds at Page 587.

ALL AS SHOWN on a survey map entitled "Survey & Map of Lands to be Conveyed to The People of the State of New York, St. No. 17, 17A Corporate Woods Boulevard, Town of Colonie, County of Albany, State of New York" dated August 30, 2023, last revised on September 19, 2023, made by Robert D. Davis, Jr., P.L.S. Lic. No. 51060, of ABD Engineers & Surveyors, Drawing No. 1648A-P23, filed at the Albany office of the New York State Office of General Services as OGS Map No. 2875 and intended to be filed in the Albany County Clerk's Office prior hereto or simultaneously herewith.

TOGETHER with three (3) rights of way reserved by William P. Van Rensselaer Estate Corporation in a deed to the City of Albany dated June 18, 1931, and recorded in the Albany County Clerk's Office on June 19, 1931, in Liber 842 of Deeds at Page 273, said rights of way being more particularly described therein as Right-of-Way Parcel Nos. 1, 2 and 3.

TOGETHER with "that certain right of way off Shaker Road lying between the City of Albany conduit right of way and the property now or formerly of Leonard" as granted by Shaker Heights Development, Inc., to Walfred Corp., by deed dated June 18, 1957, and recorded in the Albany County Clerk's Office on June 18, 1957, in Liber 1541 of Deeds at Page 359.

SUBJECT to the following, as they may affect:

1. Easement granted by West Bradford Corporation to the Town of Colonie dated December 2, 1982, and recorded in the Albany County Clerk's Office on January 6, 1983, in Liber 2234 of Deeds at Page 87.
2. Easement granted by West Bradford Corporation to the Town of Colonie dated December 2, 1982, and recorded in the Albany County Clerk's Office on January 6, 1983, in Liber 2234 of Deeds at Page 90.

3. Permanent drainage easement granted by West Bradford Corporation to the Town of Colonie dated December 2, 1982, and recorded in the Albany County Clerk's Office on January 6, 1983, in Liber 2234 of Deeds at Page 95.
4. Easement granted by West Bradford Corporation to the Town of Colonie dated December 2, 1982, and recorded in the Albany County Clerk's Office on January 6, 1983, in Liber 2234 of Deeds at Page 105.
5. Permanent drainage easement granted by West Bradford Corporation to the Town of Colonie dated December 2, 1982, and recorded in the Albany County Clerk's Office on January 6, 1983, in Liber 2234 of Deeds at Page 108.
6. Permanent utility easement granted by West Bradford Corporation to the Town of Colonie dated May 3, 1982, and recorded in the Albany County Clerk's Office on January 6, 1983, in Liber 2234 of Deeds at Page 121.
7. Permanent utility easement granted by West Bradford Corporation to the Town of Colonie by deed dated December 2, 1982, and recorded in the Albany County Clerk's Office on March 17, 1983, in Liber 2237 of Deeds at Page 55.
8. Sewer line easement granted by Walfred Corporation to the Town of Colonie dated January 29, 1975, and recorded in the Albany County Clerk's Office on February 14, 1975, in Liber 2094 of Deeds at Page 681.
9. Sanitary line easement granted by Walfred Corp. to the Town of Colonie dated September 2, 1975, and recorded in the Albany County Clerk's Office on September 16, 1975, in Liber 2103 of Deeds at Page 981.
10. Easement granted by West Bradford Corporation to Niagara Mohawk Power Corporation and New York Telephone Company dated March 15, 1983, and recorded in the Albany County Clerk's Office on March 28, 1983, in Liber 2237 of Deeds at Page 565.
11. Easement granted by Corporate Woods Partners to the Town of Colonie dated January 21, 1990; and recorded in the Albany County Clerk's Office on January 24, 1990, in Liber 2408 of Deeds at Page 875.
12. Easement granted by 17 Corporate Woods, LLC, to Niagara Mohawk Power Corporation and New York Telephone Company d/b/a NYNEX dated June 17, 1997, and recorded in the Albany County Clerk's Office on June 30, 1997, in Liber 2582 of Deeds at Page 56.
13. Drainage easements granted to the Town of Colonie, recorded in Liber 2290 of Deeds at Pages 335 and 339.
14. Utility easement granted to Town of Colonie, recorded in Liber 2509 of Deeds at Page 233 (for signal support).
15. 20-foot-wide sanitary sewer easement granted to the Town of Colonie by Liber 2303 of Deeds at Page 137.

SUBJECT to the rights of others, if any, in and to any street, road, highway, or public or private right of way within the premises.

SUBJECT to the rights, including riparian rights, of others, if any, in and to any stream, watercourse, or body of water within the premises.

TOGETHER with all right, title and interest, if any, of the Grantor in and to any strips and gores between the above-described parcel and adjoining owners.

TOGETHER with all right, title and interest of the Grantor in and to all streets, lanes, roads, and rights of way traversing or adjoining the premises herein above described, and the ponds, marshes, rivers, lakes, creeks, waters, and lands under water located in, upon or adjoining the above-described premises, and all littoral, riparian and shore rights in any wise pertaining or belonging thereto.

TOGETHER with the appurtenances and all the estate and rights of the Grantor in and to said premises.

TO HAVE AND TO HOLD the above-granted premises unto the Grantees, their successors and assigns forever.

The property described above does not constitute all or substantially all of the assets of the Grantor.

And said Grantor covenants with the Grantees as follows:

FIRST: That said Grantor is seized of said premises in fee simple, and has good right to convey the same;

SECOND: That the Grantees shall quietly enjoy the said premises;

THIRD: That the said premises are free from incumbrances;

FOURTH: That the Grantor will execute or procure any further necessary assurance of the title to said premises;

FIFTH: That said Grantor will forever WARRANT the title to said premises; and

SIXTH: That this conveyance is subject to the trust fund provisions of Section Thirteen of the Lien Law.

IN WITNESS WHEREOF, the Grantor has caused this instrument to be signed by its duly authorized officer the day and year first above written.

17 CORPORATE WOODS, LLC

By: John D. PicotteJohn D. Picotte
Managing MemberBy: Michael B. PicotteMichael B. Picotte
Managing Member

STATE OF NEW YORK)

) ss.:

COUNTY OF Albany)

On the 19th day of October in the year 2023, before me, the undersigned, personally appeared John D. Picotte, personally known to me or proved to me on the basis of satisfactory evidence to be the individual(s) whose name(s) is (are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their capacity(ies), and that by his/her/their signature(s) on the instrument, the individual(s), or the person upon behalf of which the individual(s) acted, executed the instrument.

Jacquelyn L. Finn
Notary Public, State of New York

JACQUELYN L. FINN
NOTARY PUBLIC, STATE OF NEW YORK
Registration No. 01F14942169
Qualified in Rensselaer County
Commission Expires September 12, 2026

STATE OF NEW YORK)

) ss.:

COUNTY OF Albany)

On the 19th day of October in the year 2023, before me, the undersigned, personally appeared Michael B. Picotte, personally known to me or proved to me on the basis of satisfactory evidence to be the individual(s) whose name(s) is (are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their capacity(ies), and that by his/her/their signature(s) on the instrument, the individual(s), or the person upon behalf of which the individual(s) acted, executed the instrument.

Jacquelyn L. Finn
Notary Public, State of New York

JACQUELYN L. FINN
NOTARY PUBLIC, STATE OF NEW YORK
Registration No. 01F14942169
Qualified in Rensselaer County
Commission Expires September 12, 2026

RECORD & RETURN TO:

Amanda W. Cox, Esq.
Assistant Counsel
Division of State Police
1220 Washington Avenue, Bldg. 22
Albany, New York 12226-2252

00/00/24

RESOLUTION NO. _____

AUTHORIZING CANCELLATION OF A CERTAIN UNENFORCEABLE DELINQUENT REAL PROPERTY TAX LIENS ON 17 AND 17A CORPORATE WOODS BOULEVARD IN THE TOWN COLONIE AND CHARGE BACK OF THE AMOUNT CREDITED IN CONNECTION WITH SAID LIENS

Introduced: 00/00/24

By Audit and Finance Committee:

WHEREAS, the Director of the County Real Property Tax Services Agency has advised the Commissioner of the County Department of Management and Budget as Enforcing Officer of the Albany County Tax District ("Tax District Enforcing Officer") that the following real property tax liens held against properties owned by the State of New York cannot be enforced by reason of the fact that they were levied after the date upon which the State acquired said properties and under such circumstances are further rendered permanently unenforceable and cannot be cancelled and charged back pursuant to RPTL Article Eleven, Title 3:

<u>TOWN OF COLONIE</u>				
<u>Parcel Location</u>	<u>Tax Map No.</u>	<u>Tax Lien Year(s)</u>	<u>Charge Back Amount</u>	<u>Legal Impediment</u>
17 Corporate Woods Boulevard, Town of Colonie	54.3-1-35.7-1	2024 Property Tax	\$56,821.30	This parcel is owned by the New York State Police, an agency of the New York State government. Pursuant to RPTL §404 (subd.1) the property is exempt from real property taxation.
17A Corporate Woods Boulevard, Town of Colonie	54.3-1-35.7-2	2024 Property Tax	\$5,575.25	This parcel is owned by the New York State Police, an agency of the New York State government.

				Pursuant to RPTL §404 (subd.1) the property is exempt from real property taxation.
--	--	--	--	--

, and

WHEREAS, the County Legislature in its capacity as the governing body of the Tax District has, however, upon the advice of the Enforcing Officer determined that under the circumstances cancellation and chargeback of said delinquent real property tax liens can be accomplished pursuant to RPTL §558 (subd, 1) would be effective to accomplish such cancellation and chargeback, now, therefore be it

RESOLVED, that pursuant to RPTL §558 (subd, 1) the above specified delinquent tax liens on said parcels are hereby cancelled and the Enforcing Officer is directed to charge back to the municipal corporations within which said parcels are located any and all amounts credited or guaranteed to such corporation[s] by the Tax District in connection with said cancelled delinquent real property tax liens, and, be it further

RESOLVED, That the Clerk of the County Legislature is hereby directed to file a copy of this Resolution with said Enforcing Officer and to forward certified copies thereof to the other appropriate County Officials.



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
ECONOMIC DEVELOPMENT, CONSERVATION AND PLANNING
112 STATE STREET – ROOM 1310
ALBANY, NEW YORK 12207-2021
(518) 447-5670 - FAX (518) 447-5662

March 27, 2024

Hon. Joanne Cunningham
Albany County Legislature
112 State St., Rm. 710
Albany, NY 12207

Dear Chairwoman Cunningham,

Please find enclosed a Request for Legislative Action to be considered at the April 2024 Conservation, Sustainability and Green Initiatives Committee meeting.

Three parcels are proposed to be conveyed to NYS Department of Environmental Conservation for conservation purposes: **Town of Berne:** Cook Hill Road Tax Map No. 112.-2-3, Bradt Hollow Road Tax Map No. 100.-1-6 **Town of Rensselaerville:** SR 145 Tax Map No. 158.-1-12.10 Pursuant to Resolution # 408 of 2011, foreclosed properties located in the Town of Berne, Cook Hill Road Tax Map No. 112.-2-3 and Bradt Hollow Road Tax Map No. 100.-1-6 were declared no longer needed for county use. These properties were then authorized to be conveyed to the NYS DEC pursuant to Resolution No. 409 of 2011. These parcels were recommended for conservation as they are vacant land within a State Reforestation Area and the state-owned Partridge Run Wildlife Management Area respectively.

Pursuant to Resolution No. 103 of 2013 tax foreclosed property located in the Town of Rensselaerville known as SR 145 Tax Map No. 158.-1-12.10 was authorized for conveyance to the NYS Department of Environmental Conservation. This parcel was recommended for conveyance to establish public fishing rights.

As these conveyances were never completed and NYS Department of Environmental Conservation is again requesting these properties, legislative action is being requested in two parts:

First, to declare property located in the Town of Rensselaerville known as SR 145 Tax Map No. 158.-1-12.10 no longer necessary for public use pursuant to County Code S65-3
Secondly, to rescind the sales as specified in Resolutions No. 409 of 2011 and 103 of 2013 and transfer all three properties together to the NYS Department of Environmental Conservation for \$1.00 each

Sincerely,

Laura DeGaetano



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-5339, **Version:** 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Conveyance of real property for conservation

Date: 3/27/2024
Submitted By: Laura DeGaetano
Department: Economic Development, Conservation and Planning
Title: Sr. Natural Resource Planner
Phone: 518-447-5670
Department Rep.
Attending Meeting: Laura DeGaetano

Purpose of Request:

- ☐ Adopting of Local Law
- ☐ Amendment of Prior Legislation
- ☐ Approval/Adoption of Plan/Procedure
- ☐ Bond Approval
- ☐ Budget Amendment
- ☐ Contract Authorization
- ☐ Countywide Services
- ☐ Environmental Impact/SEQR
- ☐ Home Rule Request
- ☒ Property Conveyance
- ☐ Other: (state if not listed) Click or tap here to enter text.

CONCERNING BUDGET AMENDMENTS

Increase/decrease category (choose all that apply):

- ☐ Contractual
- ☐ Equipment
- ☐ Fringe
- ☐ Personnel
- ☐ Personnel Non-Individual

☐ Revenue

Increase Account/Line No.: Click or tap here to enter text.

Source of Funds: Click or tap here to enter text.

Title Change: Click or tap here to enter text.

CONCERNING CONTRACT AUTHORIZATIONS

Type of Contract:

☐ Change Order/Contract Amendment

☐ Purchase (Equipment/Supplies)

☐ Lease (Equipment/Supplies)

☐ Requirements

☐ Professional Services

☐ Education/Training

☐ Grant

Choose an item.

Submission Date Deadline Click or tap to enter a date.

☐ Settlement of a Claim

☐ Release of Liability

☐ Other: (state if not listed) Click or tap here to enter text.

Contract Terms/Conditions:

Party (Name/address):

Click or tap here to enter text.

Additional Parties (Names/addresses):

Click or tap here to enter text.

Amount/Raise Schedule/Fee: Click or tap here to enter text.

Scope of Services: Click or tap here to enter text.

Bond Res. No.: Click or tap here to enter text.

Date of Adoption: Click or tap here to enter text.

CONCERNING ALL REQUESTS

Mandated Program/Service: Yes ☐ No ☒

If Mandated Cite Authority: Click or tap here to enter text.

Is there a Fiscal Impact: Yes ☐ No ☒

Anticipated in Current Budget: Yes ☐ No ☒

County Budget Accounts:

Revenue Account and Line: Click or tap here to enter text.
Revenue Amount: Click or tap here to enter text.

Appropriation Account and Line: Click or tap here to enter text.
Appropriation Amount: Click or tap here to enter text.

Source of Funding - (Percentages)

Federal: Click or tap here to enter text.
State: Click or tap here to enter text.
County: Click or tap here to enter text.
Local: Click or tap here to enter text.

Original Awarding Agency / Funder:
Click or tap here to enter text.
New York State Pass-Through Agency (if applicable):
Click or tap here to enter text.

Term

Term: (Start and end date) Click or tap here to enter text.
Length of Contract: Click or tap here to enter text.

Impact on Pending Litigation

If yes, explain: Yes ☐ No ☒
Click or tap here to enter text.

Previous requests for Identical or Similar Action:

Resolution/Law Number: 408, 409, 103
Date of Adoption: 10/11/2011, 10/11/2011, 4/8/13

Justification: (state briefly why legislative action is requested)

Three parcels are proposed to be conveyed to NYS Department of Environmental Conservation for conservation purposes: **Town of Berne:** Cook Hill Road Tax Map No. 112.-2-3, Bradt Hollow Road Tax Map No. 100.-1-6 **Town of Rensselaerville:** SR 145 Tax Map No. 158.-1-12.10

Pursuant to Resolution # 408 of 2011, foreclosed properties located in the Town of Berne, Cook Hill Road Tax Map No. 112.-2-3 and Bradt Hollow Road Tax Map No. 100.-1-6 were declared no longer needed for county use. These properties were then authorized to be conveyed to the NYS DEC pursuant to Resolution No. 409 of 2011. These parcels were recommended for conservation as they are vacant land within a State Reforestation Area and the state-owned Partridge Run Wildlife Management Area respectively.

Pursuant to Resolution No. 103 of 2013 tax foreclosed property located in the Town of Rensselaerville known as SR 145 Tax Map No. 158.-1-12.10 was authorized for conveyance to the NYS Department of Environmental Conservation. This parcel was recommended for conveyance to establish public fishing rights.

As these conveyances were never completed and NYS Department of Environmental Conservation is again requesting these properties, legislative action is being requested in two parts:

First, to declare property located in the Town of Rensselaerville known as SR 145 Tax Map No. 158.-1-12.10 no longer

necessary for public use pursuant to County Code S65-3

Secondly, to rescind the sales as specified in Resolutions No. 409 of 2011 and 103 of 2013 and transfer all three properties together to the NYS Department of Environmental Conservation for \$1.00 each

RESOLUTION NO. 408

DETERMINATION THAT TWO PARCELS LOCATED ON BRADT HOLLOW ROAD AND COOK HILL ROAD IN THE TOWN OF BERNE ARE NO LONGER REQUIRED FOR COUNTY USE

Introduced: 10/11/11

By Mr. Gordon:

WHEREAS, It has been determined that two parcels located on Cook Hill Road and Bradt Hollow Road in the Town of Berne are surplus property no longer required for County use and should be sold to the NYS Department of Environmental Conservation, the owner of an adjacent parcel of property, and

WHEREAS, The Cook Hill Road property is approximately 3.1 acres and is landlocked and surrounded by the NYS Department of Environmental Conservation Reforestation Area, and

WHEREAS, The Bradt Hollow Road property is completely surrounded by the Partridge Run State Wildlife Management Area and the NYS Department of Environmental Conservation has expressed an interest in adding this land to the Partridge Run open space, now, therefore be it

RESOLVED, That the Albany County Legislature declares a 3.1 acre parcel north of Cook Hill Road in the Town of Berne, tax map number 112.00-2-3, as surplus property no longer needed for public use, and, be it further

RESOLVED, That the Albany County Legislature declares a 3.0 acre parcel on Bradt Hollow Road in the Town of Berne, tax map number 100.00-1-6, as surplus property no longer needed for public use, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.

Adopted by unanimous vote. 10/11/11

RESOLUTION NO. 409

AUTHORIZING THE CONVEYANCE OF TWO PARCELS LOCATED ON BRADT HOLLOW ROAD AND COOK HILL ROAD IN THE TOWN OF BERNE TO THE NYS DEPARTMENT OF ENVIRONMENTAL CONSERVATION

Introduced: 10/11/11

By Mr. Gordon:

WHEREAS, By Resolution No. 408 for 2011, this Honorable Body declared two parcels located on Cook Hill Road and Bradt Hollow Road in the Town of Berne as surplus property no longer required for County use which allows the County to sell the parcels to the adjacent property owner, the NYS Department of Environmental Conservation, and

WHEREAS, The Wild Turkey Federation has authorized the contribution of \$2,500 to the State of New York for the purchase of the two parcels, and

WHEREAS, As part of the sales agreement with the Department of Environmental Conservation, the County of Albany will retain the mining rights to the Bradt Hollow Road parcel for a period of ten (10) years which rights shall be assigned to the Town of Berne together with the right of the Town of Berne to store equipment and materials on the site for mining operations and when working on Town property for a period of ten (10) years, now, therefore be it

RESOLVED, By the Albany County Legislature that the County Executive is authorized to execute all necessary documents to convey a 3.1 acre parcel on Cook Hill Road in the Town of Berne, tax map number 112.00-2-3, and a 3.0 acre parcel on Bradt Hollow Road, tax map number 100.00-1-6 to the NYS Department of Environmental Conservation for \$2,500 in accordance with the terms as aforesaid, and, be it further

RESOLVED, That the deed conveying the Bradt Hollow Road parcel retain both mining rights and the right of the Town of Berne to store equipment and materials on site for a period of ten (10) years, and, be it further

RESOLVED, That the County Executive is authorized to execute the necessary documents to assign the rights retained to the Town of Berne, and, be it further

RESOLVED, That the County Attorney is authorized to approve said conveyance and agreements as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.

Adopted by unanimous vote. 10/11/11

RESOLUTION NO. 103

AUTHORIZING THE CONVEYANCE OF A PARCEL OF REAL PROPERTY IN THE TOWN OF RENSSELAERVILLE TO THE NYS DEPARTMENT OF ENVIRONMENTAL CONSERVATION

Introduced: 4/8/13

By Conservation and Improvement Committee and Ms. Busch:

WHEREAS, The County of Albany has acquired, through in rem foreclosure, title to a parcel of real property tax map number 158-1-12.1 in the Town of Rensselaerville, and

WHEREAS, The NYS Department of Environmental Conservation has expressed an interest in acquiring the aforementioned property, which is currently impaired as a result of the impact of Tropical Storms Irene and Lee, in order that 350 feet of frontage on the Catskill Creek may be developed for public fishing access, and

WHEREAS, In exchange for the property, the NYS Department of Environmental Conservation will clean up and dispose of all on-site building and other flood debris, conduct necessary site-grading and stabilization work, and develop a public fishing access site including an off-road parking area and information kiosk, now, therefore be it

RESOLVED, By the Albany County Legislature that the County Executive is authorized to execute on behalf of the County any documents necessary to convey tax map number 158-1-12.1 in the Town of Rensselaerville to the NYS Department of Environmental Conservation in order that 350 feet of storm-impaired frontage on the Catskill Creek may be developed for public fishing access, and, be it further

RESOLVED, That the County Attorney is authorized to approve said conveyance as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.

Adopted by unanimous vote. 4/8/13

Proposed Berne Property Conveyance to NYSDEC

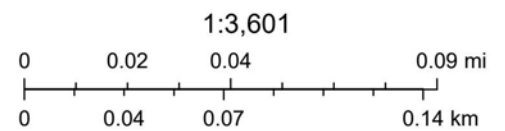


Rensselaerville - 158.-1-12.10- .74 acres



3/27/2024, 2:24:30 PM

 Tax Parcels - 2023



Esri Community Maps Contributors, © OpenStreetMap, Microsoft, Esri, TomTom, Garmin, SafeGraph, GeoTechnologies, Inc, METI/NASA, USGS, EPA, NPS, US Census Bureau, USDA, USFWS



Daniel P. McCoy
County Executive

Mark S. Olsen
Executive Director

March 26th, 2024

The Honorable Joanne Cunningham
Chairwoman, Albany County Legislature
Legislative Clerk's Office
112 State Street, Suite 710
Albany, New York 12207

Dear Chairwoman Cunningham:

Shaker Place Rehabilitation and Nursing Center respectfully requests approval of financing authorization for the contingency allowance for the Shaker Place Garage and Parking Lot Extension capital project. The initial financing for the project was authorized in the amount of \$9,250,000.00 in Resolution 161 of 2024. While this amount covers the work for construction, it does not include contingency funding for change orders that may be necessary during the construction phase of this project. As part of the 2025 – 2029 Capital Plan, the financing authorization of up to \$2,000,000.00 is requested. This request is for contingency allowance funding to allow for change orders needed during construction. If any additional information is required, please do not hesitate to contact me.

Sincerely,

Mark S. Olsen
Executive Director

cc: Dennis Feeney, Majority Leader
Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
Arnis Zilgme, Minority Counsel





County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-5326, **Version:** 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

FINANCING AUTHORIZATION FOR CONTINGENCY FUNDING FOR THE SHAKER PLACE
GARAGE AND PARKING LOT EXTENSION CAPITAL PROJECT

Date: 3/26/2024
Submitted By: SHAWN THELEN
Department: Shaker Place Rehabilitation and Nursing Center
Title: Deputy Executive Director
Phone: 518-447-7108
Department Rep.
Attending Meeting: Mark S. Olsen

Purpose of Request:

- ☐ Adopting of Local Law
- ☐ Amendment of Prior Legislation
- ☐ Approval/Adoption of Plan/Procedure
- ☒ Bond Approval
- ☐ Budget Amendment
- ☐ Contract Authorization
- ☐ Countywide Services
- ☐ Environmental Impact/SEQR
- ☐ Home Rule Request
- ☐ Property Conveyance
- ☐ Other: (state if not listed) [Click or tap here to enter text.](#)

CONCERNING BUDGET AMENDMENTS

Increase/decrease category (choose all that apply):

- ☒ Contractual
- ☐ Equipment
- ☐ Fringe
- ☐ Personnel

- ☐ Personnel Non-Individual
☐ Revenue

Increase Account/Line No.: Click or tap here to enter text.
Source of Funds: Click or tap here to enter text.
Title Change: Click or tap here to enter text.

CONCERNING CONTRACT AUTHORIZATIONS

Type of Contract:

- ☐ Change Order/Contract Amendment
☐ Purchase (Equipment/Supplies)
☐ Lease (Equipment/Supplies)
☒ Requirements
☐ Professional Services
☐ Education/Training
☐ Grant

Choose an item.

Submission Date Deadline Click or tap to enter a date.

- ☐ Settlement of a Claim
☐ Release of Liability
☐ Other: (state if not listed) Click or tap here to enter text.

Contract Terms/Conditions:

Party (Name/address):
Click or tap here to enter text.

Additional Parties (Names/addresses):
Click or tap here to enter text.

Amount/Raise Schedule/Fee: \$2,000,000.00
Scope of Services: Click or tap here to enter text.

Bond Res. No.: Click or tap here to enter text.
Date of Adoption: Click or tap here to enter text.

CONCERNING ALL REQUESTS

Mandated Program/Service: Yes ☐ No ☒
If Mandated Cite Authority: Click or tap here to enter text.

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Current Budget: Yes ☒ No ☐

County Budget Accounts:

Revenue Account and Line: Click or tap here to enter text.

Revenue Amount: Click or tap here to enter text.

Appropriation Account and Line: Click or tap here to enter text.

Appropriation Amount: Click or tap here to enter text.

Source of Funding - (Percentages)

Federal:	0
State:	0
County:	100
Local:	0

Original Awarding Agency / Funder:

Click or tap here to enter text.

New York State Pass-Through Agency (if applicable):

Click or tap here to enter text.

Term

Term: (Start and end date) Click or tap here to enter text.

Length of Contract: Click or tap here to enter text.

Impact on Pending Litigation

Yes ☐ No ☒

If yes, explain: Click or tap here to enter text.

Previous requests for Identical or Similar Action:

Resolution/Law Number: Reso 161 of 2024

Date of Adoption: 3/11/2024

Justification: (state briefly why legislative action is requested)

Requesting financing authorization for the contingency allowance for the Shaker Place Garage and Parking Lot Extension capital project. The initial financing authorization of \$9,250,000 is to cover the project as bid. Each project discipline contains a contingency allowance for change orders that may arise during the construction period. This request is for financing options for the contingency allowance outside of using capital reserves if necessary.

RESOLUTION NO. 161

SUPPLEMENTAL BOND RESOLUTION DATED MARCH 11, 2024. A RESOLUTION AMENDING CERTAIN TERMS OF BOND RESOLUTION NO. 589 OF THE COUNTY OF ALBANY, NEW YORK, ADOPTED DECEMBER 4, 2023, AUTHORIZING IMPROVEMENTS TO THE SHAKER PLACE GARAGE AND PARKING LOT EXTENSION, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$9,295,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$4,647,500 OF SERIAL BONDS OF SAID COUNTY TO FINANCE A PORTION OF SAID APPROPRIATION

Introduced: 3/11/24

By Audit and Finance Committee:

THE COUNTY LEGISLATURE OF THE COUNTY OF ALBANY, NEW YORK, HEREBY RESOLVES AS FOLLOWS:

WHEREAS, on December 4, 2023, the County Legislature of the County of Albany, New York adopted Bond Resolution No. 589 of 2023 entitled:

BOND RESOLUTION OF THE COUNTY OF ALBANY, NEW YORK, AUTHORIZING IMPROVEMENTS TO THE SHAKER PLACE GARAGE AND PARKING LOT EXTENSION, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$9,295,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$4,647,500 OF SERIAL BONDS OF SAID COUNTY TO FINANCE A PORTION OF SAID APPROPRIATION

(the “Bond Resolution”); and

WHEREAS, on the date the County Legislature adopted the Bond Resolution the maximum estimated cost of the undertaking of the project described in Section 1 of the Bond Resolution (the “Project”) was estimated to equal an amount not to exceed \$9,295,000; and

WHEREAS, since the date of the Bond Resolution, the County Legislature has received additional information and desires to revise the plan of finance to include authorization for issuance of an amount not to exceed \$9,295,000 of serial bonds (and bond anticipation notes in anticipation of the issuance of such serial bonds) in such series and amounts as may be necessary to pay the maximum estimated cost of the Project; and

WHEREAS, Section 32.00 of the New York Local Finance Law requires, among other things, that any bond resolution adopted by the finance board of a municipality contain a statement of the maximum estimated cost of the object or purpose for which

obligations are being authorized and the maximum amount of the bonds authorized to be issued for such purpose; and

WHEREAS, the County Legislature desires to amend the Bond Resolution for the purpose of revising the plan of financing of the Project, and, in particular, Section 1 of the Bond Resolution, and the aggregate maximum principal amount of bonds authorized to be issued in the Bond Resolution;

NOW, THEREFORE, BE IT RESOLVED, by the County Legislature of the County of Albany, New York, as follows:

SECTION 1. The Bond Resolution is hereby amended as follows:

(A) Section 1 of the Bond Resolution is amended to read as follows:

The County is hereby authorized to undertake the construction of a parking garage and parking lot extension at the Shaker Place Rehabilitation and Nursing Center, in the City of Albany, New York, together with any necessary site work and the acquisition and installation of furnishings, equipment, machinery and apparatus for the foregoing purposes, together with the preparation of planning, engineering and feasibility studies and review, as further described in the 2024 Capital Plan in the County's 2024-2028 Capital Program. The estimated maximum cost of said class of objects or purposes, including preliminary costs and costs incidental thereto and the financing thereof (including costs relating to the issuance of the obligations authorized by this resolution), is an amount not to exceed \$9,295,000 and said amount is hereby appropriated therefor. The plan of financing is described as follows: (a) the issuance of an amount not to exceed \$9,295,000 of serial bonds (and bond anticipation notes in anticipation of the issuance of such serial bonds) in such series and amounts as may be necessary to pay the cost thereof, but in no event in excess of \$9,295,000 to pay the cost of the capital projects, (b) the receipt of various federal and state grants, and (c), subject to the discretion of the County, the application of moneys from the County's General Fund or such other moneys of the County that may be available to pay the costs thereof. In the event that such grants or other moneys become available, the County will issue obligations in a principal amount less than the \$9,295,000 amount authorized by this resolution, or pay down such obligations with the amount of such grants and other moneys.

The period of probable usefulness of the class of objects or purposes herein authorized and for which \$9,295,000 of said serial bonds are herein authorized to be issued, within the limitations of Section 11.00(a)(11) of the Law, is thirty (30) years.

(B) Section 2 of the Bond Resolution is hereby amended to read as follows:

Serial bonds (and bond anticipation notes in anticipation of the issuance of such serial bonds) in the aggregate principal amount not to exceed \$9,295,000 to finance said appropriation are hereby authorized to be issued pursuant to the provisions of the Law.

SECTION 2. By separate resolutions, the County has complied with the provisions of the State Environmental Quality Review Act ("SEQRA") with respect to the capital projects described in this resolution pursuant to Section 8 of Bond Resolution No. 589 of 2023.

SECTION 3. The Bond Resolution adopted by the County Legislature on December __, 2023, as amended by this Supplemental Bond Resolution, shall otherwise remain in full force and effect in accordance with its terms, and is hereby ratified and confirmed.

SECTION 4. The validity of said serial bonds or of any bond anticipation notes issued in anticipation of the sale of said serial bonds may be contested only if:

(1) (a) such obligations are authorized for an object or purpose for which said County is not authorized to expend money or

(b) the provisions of law which should be complied with at the date of publication of this resolution are not substantially complied with,

and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication; or

(2) said obligations are authorized in violation of the provisions of the Constitution of New York.

SECTION 5. This resolution shall take effect immediately.



DANIEL P. McCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF PUBLIC WORKS
449 NEW SALEM ROAD
VOORHEESVILLE, NEW YORK 12186-4826
(518) 765-2055 - FAX (518) 447-7047
WWW.ALBANYCOUNTY.COM

LISA M. RAMUNDO, PE
COMMISSIONER

December 29, 2023

Hon. Joanne Cunningham, Chairwoman
Albany County Legislature
112 State Street, Rm. 710
Albany, NY 12207

Dear Chairwoman Cunningham:

The Department of Public Works is requesting the Legislature's funding authorization in the amount of \$1,691,070.00 to finance additional construction costs for the CR157 Watervliet Shaker Reconstruction Project. Utility relocation delays resulted in extra costs associated with work beyond the regularly scheduled construction season.

We previously received authority to bond \$7,948,000.00 for construction of the project (Resolution 92-2022 and 328-2022).

If there are any questions or further information is needed, please feel free to contact my office.

Sincerely,

Lisa M. Ramundo
Commissioner

cc: Hon. Dennis Feeney, Majority Leader
Hon. Frank Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
Arnis Zilgme, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-4962, **Version:** 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Funding authorization in the amount of \$1,691,070.00 to finance additional construction costs for the CR157 Watervliet Shaker Reconstruction Project

Date:	December 22, 2023
Submitted By:	Rosa Maria Tirino
Department:	Public Works
Title:	Director of Operations
Phone:	518-655-7919
Department Rep.	
Attending Meeting:	Lisa M. Ramundo, PE, Commissioner

Purpose of Request:

- ☐ Adopting of Local Law
- ☐ Amendment of Prior Legislation
- ☐ Approval/Adoption of Plan/Procedure
- ☒ Bond Approval
- ☐ Budget Amendment
- ☐ Contract Authorization
- ☐ Countywide Services
- ☐ Environmental Impact/SEQR
- ☐ Home Rule Request
- ☐ Property Conveyance
- ☐ Other: (state if not listed) Click or tap here to enter text.

CONCERNING BUDGET AMENDMENTS

Increase/decrease category (choose all that apply):

- ☐ Contractual
- ☐ Equipment
- ☐ Fringe
- ☐ Personnel
- ☐ Personnel Non-Individual

☐ Revenue

Increase Account/Line No.: Click or tap here to enter text.

Source of Funds: Click or tap here to enter text.

Title Change: Click or tap here to enter text.

CONCERNING CONTRACT AUTHORIZATIONS

Type of Contract:

☐ Change Order/Contract Amendment

☐ Purchase (Equipment/Supplies)

☐ Lease (Equipment/Supplies)

☐ Requirements

☐ Professional Services

☐ Education/Training

☐ Grant

Choose an item.

Submission Date Deadline Click or tap to enter a date.

☐ Settlement of a Claim

☐ Release of Liability

☐ Other: (state if not listed) Click or tap here to enter text.

Contract Terms/Conditions:

Party (Name/address):

Click or tap here to enter text.

Additional Parties (Names/addresses):

Click or tap here to enter text.

Amount/Raise Schedule/Fee: Click or tap here to enter text.

Scope of Services:

Bond Res. No.: Click or tap here to enter text.

Date of Adoption: Click or tap here to enter text.

CONCERNING ALL REQUESTS

Mandated Program/Service: Yes ☐ No ☒

If Mandated Cite Authority: Click or tap here to enter text.

Is there a Fiscal Impact: Yes ☒ No ☐

Anticipated in Current Budget: Yes ☒ No ☐

County Budget Accounts:

Revenue Account and Line: Click or tap here to enter text.
Revenue Amount: Click or tap here to enter text.

Appropriation Account and Line: Click or tap here to enter text.
Appropriation Amount: \$1,691,070.00

Source of Funding - (Percentages)

Federal: Click or tap here to enter text.
State: Click or tap here to enter text.
County: 100%
Local: Click or tap here to enter text.

Original Awarding Agency / Funder:
Click or tap here to enter text.
New York State Pass-Through Agency (if applicable):
Click or tap here to enter text.

Term

Term: (Start and end date) Click or tap here to enter text.
Length of Contract: Click or tap here to enter text.

Impact on Pending Litigation

If yes, explain: Yes ☐ No ☒
Click or tap here to enter text.

Previous requests for Identical or Similar Action:

Resolution/Law Number: 92 / 328
Date of Adoption: 2022 / 2022

Justification: (state briefly why legislative action is requested)

Funding authorization in the amount of \$1,691,070.00 to finance additional construction costs for the CR157 Watervliet Shaker Reconstruction Project. Utility relocation delays resulted in extra costs associated with work beyond the regularly scheduled construction season. We previously received authority to bond \$7,948,000.00 for construction of the project (Resolution 92-2022 and 328-2022).

Albany County
Department of Economic Development, Conservation and Planning
112 State Street, Room 800
Albany, NY 12207-2021
Telephone: (518) 447-5670 Fax: (518) 447-5662
<http://www.albanycounty.com>

MEMORANDUM

TO: Lisa Ramundo, Commissioner DPW
CC: William Anslow
FROM: Laura DeGaetano, Sr. Natural Resource Planner
DATE: October 7, 2019
RE: SEQR Compliance
SUBJECT: Watervliet-Shaker Road Realignment - New Karner Rd. to Sand Creek Rd.

I have reviewed the documentation provided for the above referenced project as it relates to State Environmental Quality Review (SEQR). This project is Phase 3 of the Watervliet-Shaker Road (WSR) Realignment Project which includes design and construction of WSR between New Karner Rd. and Sand Creek Rd. The project includes reconstruction and widening of approximately 0.75 miles of WSR which could include the addition of a center turn lane or two additional lanes, a new traffic signal at the intersection of New Karner Rd. and WSR, drainage improvements and new pavement.

As described above, the planning, design, and engineering phase of the project has been determined to be a Type II action under SEQR (c)(24)(27). Accordingly, this action has been determined not to have a significant impact on the environment, and as such, no procedural compliance beyond this determination is required at this time.

The construction phase of the project has been determined to be a Type I Action under SEQRA 617.4 (b)(9). As such, the county must take steps to establish lead agency status, coordinate a review with involved agencies, prepare a determination of significance, and comply with all necessary notifications consistent with review as a Type I action. DPW will include the necessary SEQR review in the scope of planning phase.

Should you have any questions or require additional information, please feel free to call me at your convenience.



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
WATER PURIFICATION DISTRICT
1 CANAL ROAD, SOUTH
ALBANY, NEW YORK 12204

REMITTANCE: 112 STATE ST., BASEMENT, ALBANY, NY 12207
PHONE: (518) 447-1611 FAX: (518) 433-0369
www.albanycounty.com

COMMISSION
DENNIS RIGOSU
CHAIRMAN

NICHOLAS W. FOGLIA
MAGGIE ALIX
CHARLES G. CARLUCCIO
GARRY NATHAN

ANGELO GAUDIO, P.E.
EXECUTIVE DIRECTOR

March 27, 2024

Hon. Joanne Cunningham
Chairwomen
Albany County Legislature
112 State Street – Suite 710
Albany, New York 12207

**Re: North and South Plant HVAC Upgrade Project
Bond Resolution Approval**

Dear Ms. Cunningham

The Albany County Water Purification District (ACWPD), is requesting Legislative approval to authorize a bond resolution in the amount of \$ 1,500,000 to finance 100% of the anticipated project costs for the above referenced project.

The North and South Plant HVAC Upgrade Project has been approved under the 2025-2029 Capital Plan and will allow ACWPD to replace HVAC systems that are past their useful life.

If you have any questions or concerns you may reach me at (518) 447-1617.

Sincerely,

Angelo Gaudio, P.E.
Executive Director

Enc: Capital Plan

cc: Dennis A. Feeney, Majority Leader
Rebekah Kennedy, Majority Counsel
Frank Mauriello, Minority Leader
Arnis Zilgme, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-5346, **Version:** 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Authorization of a bond resolution in the amount \$1,500,000 for the Water Purification District's North and South Plant HVAC Upgrade project as approved under the 2025-2029 Capital Plan.

Date: 3/27/2024
Submitted By: Angelo Gaudio
Department: Water Purification District
Title: Executive Director
Phone: 518-447-1624
Department Rep.
Attending Meeting: Angelo Gaudio

Purpose of Request:

- ☐ Adopting of Local Law
- ☐ Amendment of Prior Legislation
- ☐ Approval/Adoption of Plan/Procedure
- ☒ Bond Approval
- ☐ Budget Amendment
- ☐ Contract Authorization
- ☐ Countywide Services
- ☐ Environmental Impact/SEQR
- ☐ Home Rule Request
- ☐ Property Conveyance
- ☐ Other: (state if not listed) [Click or tap here to enter text.](#)

CONCERNING BUDGET AMENDMENTS

Increase/decrease category (choose all that apply):

- ☐ Contractual
- ☐ Equipment
- ☐ Fringe
- ☐ Personnel

- ☐ Personnel Non-Individual
☐ Revenue

Increase Account/Line No.: Click or tap here to enter text.
Source of Funds: Click or tap here to enter text.
Title Change: Click or tap here to enter text.

CONCERNING CONTRACT AUTHORIZATIONS

Type of Contract:

- ☐ Change Order/Contract Amendment
☐ Purchase (Equipment/Supplies)
☐ Lease (Equipment/Supplies)
☐ Requirements
☐ Professional Services
☐ Education/Training
☐ Grant

Choose an item.

Submission Date Deadline Click or tap to enter a date.

- ☐ Settlement of a Claim
☐ Release of Liability
☐ Other: (state if not listed) Click or tap here to enter text.

Contract Terms/Conditions:

Party (Name/address):
Click or tap here to enter text.

Additional Parties (Names/addresses):
Click or tap here to enter text.

Amount/Raise Schedule/Fee: Click or tap here to enter text.
Scope of Services: Click or tap here to enter text.

Bond Res. No.: Click or tap here to enter text.
Date of Adoption: Click or tap here to enter text.

CONCERNING ALL REQUESTS

Mandated Program/Service: Yes ☐ No ☒
If Mandated Cite Authority: Click or tap here to enter text.

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Current Budget: Yes ☒ No ☐

County Budget Accounts:

Revenue Account and Line: Click or tap here to enter text.

Revenue Amount: Click or tap here to enter text.

Appropriation Account and Line: Click or tap here to enter text.

Appropriation Amount: Click or tap here to enter text.

Source of Funding - (Percentages)

Federal: Click or tap here to enter text.

State: Click or tap here to enter text.

County: 100%

Local: Click or tap here to enter text.

Original Awarding Agency / Funder:

Click or tap here to enter text.

New York State Pass-Through Agency (if applicable):

Click or tap here to enter text.

Term

Term: (Start and end date) July 1, 2024- December 31, 2025

Length of Contract: 18 months

Impact on Pending Litigation

Yes ☐ No ☒

If yes, explain: Click or tap here to enter text.

Previous requests for Identical or Similar Action:

Resolution/Law Number: Click or tap here to enter text.

Date of Adoption: Click or tap here to enter text.

Justification: (state briefly why legislative action is requested)

The North and South Plant HVAC Upgrade Project has been approved under the 2025-2029 Capital Project in the amount of \$1,500,000 and will allow ACWPD to replace HVAC systems that are past their useful life.

G Fund Water Purification Capital Projects

North and South Plant HVAC Upgrades

Project Number: G2301

Debt Account: _____

Project Description: Project to include the study, design, and construction of improvement to existing HVAC systems at both the North and South treatment plants. Existing HVAC systems at both facilities are at the end of their useful life.

Amendment(s): 2024-2028 - Increase Costs.

Project Status:	New	1	Existing	Amended	Continual	Completed		
Authorized Funding	Pre-2024	2024	2025	2026	2027	2028	2029	Total
County		0.150	1.350					1.500
State								-
Federal								-
Other								-
Total Project Cost	-	0.150	1.350	-	-	-	-	1.500

North Plant Treatment Process Improvements

Project Number: G2401

Debt Account: _____

Project Description: Project will include improvements to unit treatment process associated with the North Plant. Phase 1 of the project will include replacement of the mechanical screens, grit system, primary and secondary clarifiers, plant water pumps and upgrades to the high voltage electrical system. Upgrades have been identified in a 2023 Capital Study that is currently being finalized. Grouping projects together will allow the District to maximize the potential for grant opportunities in the coming years.

Amendment(s): 2025-2029 - cost of project amended to account for EFC fees.

Project Status:	New	Existing	1	Amended	Continual	Completed		
Authorized Funding	Pre-2024	2024	2025	2026	2027	2028	2029	Total
County		0.100	5.000	45.000	19.900	2.294		72.294
State								-
Federal								-
Other Approp.	0.150	0.150						0.300
Total Project Cost	0.150	0.250	5.000	45.000	19.900	2.294	-	72.594

South Plant Treatment Process Improvements

Project Number: G2402

Debt Account: _____

Project Description: Project will include improvements to unit treatment process associated with the North Plant. Phase 1 of the project will include replacement of the mechanical screens, grit system, primary and secondary clarifiers, plant water pumps and upgrades to the high voltage electrical system. Upgrades have been identified in a 2023 Capital Study that is currently being finalized. Grouping projects together will allow the District to maximize the potential for grant opportunities in the coming years.

Amendment(s): 2025-2029 - cost of project amended to account for administration fees from EFC.

Project Status:	New	Existing	1	Amended	Continual	Completed		
Authorized Funding	Pre-2024	2024	2025	2026	2027	2028	2029	Total
County			3.000	25.000	7.500	5.392		40.892
State								-
Federal								-
Other Approp.		0.150						0.150
Total Project Cost	-	0.150	3.000	25.000	7.500	5.392	-	41.042

G Fund Water Purification Capital Projects

Sludge Processing Improvements

Project Number: G2501

Debt Account: _____

Project Description:

Project to include the study, design and construction of improvements to the existing sludge processing systems. Project will be broken up into phases. Phase 1 work will include improvements to the North Plant incinerators, sludge mixing and dewatering systems. Phase 2 work will include work to consolidate sludge operation to the North Plant via a new sludge forcemain and upgrades to the North Plant incinerator emissions controls. The final scope for Phase 2 emission controls is still being negotiated with DEC. Project has been amended to include the Phase 2 work and the work associated with the Septage and FOG receiving station project. Phase 1, Phase 2 and Septage/FOG receiving work has been consolidated into a single comprehensive project to take advantage EFC funding opportunities, namely the Bipartisan Infrastructure Grant and 0% hardship financing. District will be pursuing additional grants with EFC in 2024.

Amendment(s):

Project Status:	<u>1</u>	New	<u> </u>	Existing	<u> </u>	Amended	<u> </u>	Continual	<u> </u>	Completed
Authorized Funding		Pre-2024	2024	2025	2026	2027	2028	2029	Total	
County State Federal Other			1.000	11.500	20.000	20.000	9.000		61.500	
									-	
			3.500						3.500	
									-	
Total Project Cost		-	4.500	11.500	20.000	20.000	9.000	-	65.000	

Water Purification District Capital Plan Summary: All Projects

Project Status:	<u>1</u>	New	<u>1</u>	Existing	<u>2</u>	Amended	<u>0</u>	Continual	<u>0</u>	Completed
Authorized Funding	Pre-2024	2024	2025	2026	2027	2028	2029	Total		
County	-	1.250	20.850	90.000	47.400	16.686	-	176.186		
State	-	-	-	-	-	-	-	-		
Federal	-	3.500	-	-	-	-	-	3.500		
Other	0.150	0.300	-	-	-	-	-	0.450		
Total Project Cost	0.150	5.050	20.850	90.000	47.400	16.686	-	180.136		



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
WATER PURIFICATION DISTRICT
1 CANAL ROAD, SOUTH
ALBANY, NEW YORK 12204
REMITTANCE: 112 STATE ST., BASEMENT, ALBANY, NY 12207
PHONE: (518) 447-1611 FAX: (518) 433-0369
www.albanycounty.com

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MAGGIE ALIX
CHARLES G. CARLUCCIO
GARRY NATHAN

ANGELO GAUDIO, P.E.
EXECUTIVE DIRECTOR

March 27, 2024

Hon. Joanne Cunningham
Chairwomen
Albany County Legislature
112 State Street – Suite 710
Albany, New York 12207

**Re: Sludge Processing Improvement Project
Bond Resolution Approval**

Dear Ms. Cunningham

The Albany County Water Purification District (ACWPD) is requesting Legislative approval to authorize a bond resolution in the amount of \$ 65,000,000 to finance 100% of the anticipated project costs for the above referenced project. The bond resolution will be used to apply for project financing through New York State Environmental Facilities Corporation.

The Sludge Processing Improvement Project has been approved under the 2025-2029 Capital Plan and will allow ACWPD to improve sludge processing systems at both the North and South Plants that are past their useful life.

If you have any questions or concerns you may reach me at (518) 447-1617.

Sincerely,

Angelo Gaudio, P.E.
Executive Director

Enc: Capital Plan

cc: Dennis A. Feeney, Majority Leader
Rebekah Kennedy, Majority Counsel
Frank Mauriello, Minority Leader
Arnis Zilgme, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-5349, **Version:** 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Authorize a bond resolution in the amount of \$65,000,000 for the Water Purification District's Sludge Processing Improvement Project as approved under the 2025-2029 Capital Plan

Date: 3/27/2024
Submitted By: Angelo Gaudio
Department: Water Purification District
Title: Executive Director
Phone: 518-447-1624
Department Rep.
Attending Meeting: Angelo Gaudio

Purpose of Request:

- ☐ Adopting of Local Law
- ☐ Amendment of Prior Legislation
- ☐ Approval/Adoption of Plan/Procedure
- ☒ Bond Approval
- ☐ Budget Amendment
- ☐ Contract Authorization
- ☐ Countywide Services
- ☐ Environmental Impact/SEQR
- ☐ Home Rule Request
- ☐ Property Conveyance
- ☐ Other: (state if not listed) [Click or tap here to enter text.](#)

CONCERNING BUDGET AMENDMENTS

Increase/decrease category (choose all that apply):

- ☐ Contractual
- ☐ Equipment
- ☐ Fringe
- ☐ Personnel

- ☐ Personnel Non-Individual
☐ Revenue

Increase Account/Line No.: Click or tap here to enter text.
Source of Funds: Click or tap here to enter text.
Title Change: Click or tap here to enter text.

CONCERNING CONTRACT AUTHORIZATIONS

Type of Contract:

- ☐ Change Order/Contract Amendment
☐ Purchase (Equipment/Supplies)
☐ Lease (Equipment/Supplies)
☐ Requirements
☐ Professional Services
☐ Education/Training
☐ Grant

Choose an item.

Submission Date Deadline Click or tap to enter a date.

- ☐ Settlement of a Claim
☐ Release of Liability
☐ Other: (state if not listed) Click or tap here to enter text.

Contract Terms/Conditions:

Party (Name/address):
Click or tap here to enter text.

Additional Parties (Names/addresses):
Click or tap here to enter text.

Amount/Raise Schedule/Fee: Click or tap here to enter text.
Scope of Services: Click or tap here to enter text.

Bond Res. No.: Click or tap here to enter text.
Date of Adoption: Click or tap here to enter text.

CONCERNING ALL REQUESTS

Mandated Program/Service: Yes ☐ No ☐
If Mandated Cite Authority: Click or tap here to enter text.

Is there a Fiscal Impact: Yes ☐ No ☐
Anticipated in Current Budget: Yes ☐ No ☐

County Budget Accounts:

Revenue Account and Line: Click or tap here to enter text.

Revenue Amount: Click or tap here to enter text.

Appropriation Account and Line: Click or tap here to enter text.

Appropriation Amount: Click or tap here to enter text.

Source of Funding - (Percentages)

Federal: Click or tap here to enter text.

State: Click or tap here to enter text.

County: Click or tap here to enter text.

Local: Click or tap here to enter text.

Original Awarding Agency / Funder:

Click or tap here to enter text.

New York State Pass-Through Agency (if applicable):

Click or tap here to enter text.

Term

Term: (Start and end date) Click or tap here to enter text.

Length of Contract: Click or tap here to enter text.

Impact on Pending Litigation

Yes ☐ No ☒

If yes, explain: Click or tap here to enter text.

Previous requests for Identical or Similar Action:

Resolution/Law Number: Click or tap here to enter text.

Date of Adoption: Click or tap here to enter text.

Justification: (state briefly why legislative action is requested)

The Sludge Processing Improvement Project has been approved under the 2025-2029 Capital Plan in the amount of \$65,000,000 and will allow ACWPD to upgrade sludge processes at both the North and South Plant that are past their useful life. Bond resolution will be used to secure financing from New York State Environmental Facilities Corporation.

G Fund Water Purification Capital Projects

North and South Plant HVAC Upgrades

Project Number: G2301

Debt Account: _____

Project Description: Project to include the study, design, and construction of improvement to existing HVAC systems at both the North and South treatment plants. Existing HVAC systems at both facilities are at the end of their useful life.

Amendment(s): 2024-2028 - Increase Costs.

Project Status:	New	1	Existing	Amended	Continual	Completed		
Authorized Funding	Pre-2024	2024	2025	2026	2027	2028	2029	Total
County		0.150	1.350					1.500
State								-
Federal								-
Other								-
Total Project Cost	-	0.150	1.350	-	-	-	-	1.500

North Plant Treatment Process Improvements

Project Number: G2401

Debt Account: _____

Project Description: Project will include improvements to unit treatment process associated with the North Plant. Phase 1 of the project will include replacement of the mechanical screens, grit system, primary and secondary clarifiers, plant water pumps and upgrades to the high voltage electrical system. Upgrades have been identified in a 2023 Capital Study that is currently being finalized. Grouping projects together will allow the District to maximize the potential for grant opportunities in the coming years.

Amendment(s): 2025-2029 - cost of project amended to account for EFC fees.

Project Status:	New	Existing	1	Amended	Continual	Completed		
Authorized Funding	Pre-2024	2024	2025	2026	2027	2028	2029	Total
County		0.100	5.000	45.000	19.900	2.294		72.294
State								-
Federal								-
Other Approp.	0.150	0.150						0.300
Total Project Cost	0.150	0.250	5.000	45.000	19.900	2.294	-	72.594

South Plant Treatment Process Improvements

Project Number: G2402

Debt Account: _____

Project Description: Project will include improvements to unit treatment process associated with the North Plant. Phase 1 of the project will include replacement of the mechanical screens, grit system, primary and secondary clarifiers, plant water pumps and upgrades to the high voltage electrical system. Upgrades have been identified in a 2023 Capital Study that is currently being finalized. Grouping projects together will allow the District to maximize the potential for grant opportunities in the coming years.

Amendment(s): 2025-2029 - cost of project amended to account for administration fees from EFC.

Project Status:	New	Existing	1	Amended	Continual	Completed		
Authorized Funding	Pre-2024	2024	2025	2026	2027	2028	2029	Total
County			3.000	25.000	7.500	5.392		40.892
State								-
Federal								-
Other Approp.		0.150						0.150
Total Project Cost	-	0.150	3.000	25.000	7.500	5.392	-	41.042

G Fund Water Purification Capital Projects

Sludge Processing Improvements

Project Number: G2501

Debt Account: _____

Project Description:

Project to include the study, design and construction of improvements to the existing sludge processing systems. Project will be broken up into phases. Phase 1 work will include improvements to the North Plant incinerators, sludge mixing and dewatering systems. Phase 2 work will include work to consolidate sludge operation to the North Plant via a new sludge forcemain and upgrades to the North Plant incinerator emissions controls. The final scope for Phase 2 emission controls is still being negotiated with DEC. Project has been amended to include the Phase 2 work and the work associated with the Septage and FOG receiving station project. Phase 1, Phase 2 and Septage/FOG receiving work has been consolidated into a single comprehensive project to take advantage EFC funding opportunities, namely the Bipartisan Infrastructure Grant and 0% hardship financing. District will be pursuing additional grants with EFC in 2024.

Amendment(s):

Project Status:	<u>1</u>	New	<u> </u>	Existing	<u> </u>	Amended	<u> </u>	Continual	<u> </u>	Completed
Authorized Funding		Pre-2024	2024	2025	2026	2027	2028	2029	Total	
County State Federal Other			1.000	11.500	20.000	20.000	9.000		61.500	
									-	
			3.500						3.500	
									-	
Total Project Cost		-	4.500	11.500	20.000	20.000	9.000	-	65.000	

Water Purification District Capital Plan Summary: All Projects

Project Status:	<u>1</u>	New	<u>1</u>	Existing	<u>2</u>	Amended	<u>0</u>	Continual	<u>0</u>	Completed
Authorized Funding	Pre-2024	2024	2025	2026	2027	2028	2029	Total		
County	-	1.250	20.850	90.000	47.400	16.686	-	176.186		
State	-	-	-	-	-	-	-	-		
Federal	-	3.500	-	-	-	-	-	3.500		
Other	0.150	0.300	-	-	-	-	-	0.450		
Total Project Cost	0.150	5.050	20.850	90.000	47.400	16.686	-	180.136		



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
WATER PURIFICATION DISTRICT
1 CANAL ROAD, SOUTH
ALBANY, NEW YORK 12204
REMITTANCE: 112 STATE ST., BASEMENT, ALBANY, NY 12207
PHONE: (518) 447-1611 FAX: (518) 433-0369
www.albanycounty.com

COMMISSION
DENNIS RIGOSU
CHAIRMAN

NICHOLAS W. FOGLIA
MAGGIE ALIX
CHARLES G. CARLUCCIO
GARRY NATHAN

ANGELO GAUDIO, P.E.
EXECUTIVE DIRECTOR

March 27, 2024

Hon. Joanne Cunningham
Chairwomen
Albany County Legislature
112 State Street – Suite 710
Albany, New York 12207

**Re: North Plant Treatment Processing Improvement Project
Bond Resolution Approval**

Dear Ms. Cunningham

The Albany County Water Purification District (ACWPD), is requesting Legislative approval to authorize a bond resolution in the amount of \$ 72,594,000 to finance 100% of the anticipated project costs for the above referenced project. The bond resolution will be used to apply for project financing through New York State Environmental Facilities Corporation.

The North Plant Treatment Processing Improvement Project has been approved under the 2025-2029 Capital Plan and will allow ACWPD to improve treatment processing systems at the North Plant that are past their useful life.

If you have any questions or concerns you may reach me at (518) 447-1617.

Sincerely,

Angelo Gaudio, P.E.
Executive Director

Enc: Capital Plan

cc: Dennis A. Feeney, Majority Leader
Rebekah Kennedy, Majority Counsel
Frank Mauriello, Minority Leader
Arnis Zilgme, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-5351, **Version:** 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Authorize a bond resolution in the amount of \$72,594,000 for the Water Purification District's North Plant Treatment Process Improvement as approved under the 2025-2029 Capital Plan

Date: 3/27/2028
Submitted By: Angelo Gaudio
Department: Water Purification
Title: Executive Director
Phone: 518-447-1624
Department Rep.
Attending Meeting: Angelo Gaudio

Purpose of Request:

- ☐ Adopting of Local Law
- ☐ Amendment of Prior Legislation
- ☐ Approval/Adoption of Plan/Procedure
- ☒ Bond Approval
- ☐ Budget Amendment
- ☐ Contract Authorization
- ☐ Countywide Services
- ☐ Environmental Impact/SEQR
- ☐ Home Rule Request
- ☐ Property Conveyance
- ☐ Other: (state if not listed) Click or tap here to enter text.

CONCERNING BUDGET AMENDMENTS

Increase/decrease category (choose all that apply):

- ☐ Contractual
- ☐ Equipment
- ☐ Fringe
- ☐ Personnel

- ☐ Personnel Non-Individual
☐ Revenue

Increase Account/Line No.: Click or tap here to enter text.
Source of Funds: Click or tap here to enter text.
Title Change: Click or tap here to enter text.

CONCERNING CONTRACT AUTHORIZATIONS

Type of Contract:

- ☐ Change Order/Contract Amendment
☐ Purchase (Equipment/Supplies)
☐ Lease (Equipment/Supplies)
☐ Requirements
☐ Professional Services
☐ Education/Training
☐ Grant

Choose an item.

Submission Date Deadline Click or tap to enter a date.

- ☐ Settlement of a Claim
☐ Release of Liability
☐ Other: (state if not listed) Click or tap here to enter text.

Contract Terms/Conditions:

Party (Name/address):
Click or tap here to enter text.

Additional Parties (Names/addresses):
Click or tap here to enter text.

Amount/Raise Schedule/Fee: Click or tap here to enter text.
Scope of Services: Click or tap here to enter text.

Bond Res. No.: Click or tap here to enter text.
Date of Adoption: Click or tap here to enter text.

CONCERNING ALL REQUESTS

Mandated Program/Service: Yes ☐ No ☒
If Mandated Cite Authority: Click or tap here to enter text.

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Current Budget: Yes ☒ No ☐

County Budget Accounts:

Revenue Account and Line: Click or tap here to enter text.

Revenue Amount: Click or tap here to enter text.

Appropriation Account and Line: Click or tap here to enter text.

Appropriation Amount: Click or tap here to enter text.

Source of Funding - (Percentages)

Federal: Click or tap here to enter text.

State: Click or tap here to enter text.

County: 100%

Local: Click or tap here to enter text.

Original Awarding Agency / Funder:

Click or tap here to enter text.

New York State Pass-Through Agency (if applicable):

Click or tap here to enter text.

Term

Term: (Start and end date) Click or tap here to enter text.

Length of Contract: Click or tap here to enter text.

Impact on Pending Litigation

Yes ☐ No ☒

If yes, explain: Click or tap here to enter text.

Previous requests for Identical or Similar Action:

Resolution/Law Number: Click or tap here to enter text.

Date of Adoption: Click or tap here to enter text.

Justification: (state briefly why legislative action is requested)

The North Plant Treatment Processing Improvement Project has been approved under the 2025-2029 Capital Plan and will allow ACWPD to improve various treatment processes at the North Plant that are past their useful life. The bond resolution will be used to secure financing from New York State Environmental Facilities Corporation.

G Fund Water Purification Capital Projects

North and South Plant HVAC Upgrades

Project Number: G2301

Debt Account: _____

Project Description: Project to include the study, design, and construction of improvement to existing HVAC systems at both the North and South treatment plants. Existing HVAC systems at both facilities are at the end of their useful life.

Amendment(s): 2024-2028 - Increase Costs.

Project Status:	New	1	Existing	Amended	Continual	Completed		
Authorized Funding	Pre-2024	2024	2025	2026	2027	2028	2029	Total
County		0.150	1.350					1.500
State								-
Federal								-
Other								-
Total Project Cost	-	0.150	1.350	-	-	-	-	1.500

North Plant Treatment Process Improvements

Project Number: G2401

Debt Account: _____

Project Description: Project will include improvements to unit treatment process associated with the North Plant. Phase 1 of the project will include replacement of the mechanical screens, grit system, primary and secondary clarifiers, plant water pumps and upgrades to the high voltage electrical system. Upgrades have been identified in a 2023 Capital Study that is currently being finalized. Grouping projects together will allow the District to maximize the potential for grant opportunities in the coming years.

Amendment(s): 2025-2029 - cost of project amended to account for EFC fees.

Project Status:	New	Existing	1	Amended	Continual	Completed		
Authorized Funding	Pre-2024	2024	2025	2026	2027	2028	2029	Total
County		0.100	5.000	45.000	19.900	2.294		72.294
State								-
Federal								-
Other Approp.	0.150	0.150						0.300
Total Project Cost	0.150	0.250	5.000	45.000	19.900	2.294	-	72.594

South Plant Treatment Process Improvements

Project Number: G2402

Debt Account: _____

Project Description: Project will include improvements to unit treatment process associated with the North Plant. Phase 1 of the project will include replacement of the mechanical screens, grit system, primary and secondary clarifiers, plant water pumps and upgrades to the high voltage electrical system. Upgrades have been identified in a 2023 Capital Study that is currently being finalized. Grouping projects together will allow the District to maximize the potential for grant opportunities in the coming years.

Amendment(s): 2025-2029 - cost of project amended to account for administration fees from EFC.

Project Status:	New	Existing	1	Amended	Continual	Completed		
Authorized Funding	Pre-2024	2024	2025	2026	2027	2028	2029	Total
County			3.000	25.000	7.500	5.392		40.892
State								-
Federal								-
Other Approp.		0.150						0.150
Total Project Cost	-	0.150	3.000	25.000	7.500	5.392	-	41.042

G Fund Water Purification Capital Projects

Sludge Processing Improvements

Project Number: G2501

Debt Account: _____

Project Description:

Project to include the study, design and construction of improvements to the existing sludge processing systems. Project will be broken up into phases. Phase 1 work will include improvements to the North Plant incinerators, sludge mixing and dewatering systems. Phase 2 work will include work to consolidate sludge operation to the North Plant via a new sludge forcemain and upgrades to the North Plant incinerator emissions controls. The final scope for Phase 2 emission controls is still being negotiated with DEC. Project has been amended to include the Phase 2 work and the work associated with the Septage and FOG receiving station project. Phase 1, Phase 2 and Septage/FOG receiving work has been consolidated into a single comprehensive project to take advantage EFC funding opportunities, namely the Bipartisan Infrastructure Grant and 0% hardship financing. District will be pursuing additional grants with EFC in 2024.

Amendment(s):

Project Status: <u>1</u> New		Existing		Amended		Continual		Completed	
Authorized Funding		Pre-2024	2024	2025	2026	2027	2028	2029	Total
County State Federal Other			1.000	11.500	20.000	20.000	9.000		61.500
									-
			3.500						3.500
									-
Total Project Cost		-	4.500	11.500	20.000	20.000	9.000	-	65.000

Water Purification District Capital Plan Summary: All Projects

Project Status:	<u>1</u>	New	<u>1</u>	Existing	<u>2</u>	Amended	<u>0</u>	Continual	<u>0</u>	Completed
Authorized Funding	Pre-2024	2024	2025	2026	2027	2028	2029	Total		
County	-	1.250	20.850	90.000	47.400	16.686	-	176.186		
State	-	-	-	-	-	-	-	-		
Federal	-	3.500	-	-	-	-	-	3.500		
Other	0.150	0.300	-	-	-	-	-	0.450		
Total Project Cost	0.150	5.050	20.850	90.000	47.400	16.686	-	180.136		



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
WATER PURIFICATION DISTRICT
1 CANAL ROAD, SOUTH
ALBANY, NEW YORK 12204
REMITTANCE: 112 STATE ST., BASEMENT, ALBANY, NY 12207
PHONE: (518) 447-1611 FAX: (518) 433-0369
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COMMISSION
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CHARLES G. CARLUCCIO
GARRY NATHAN

ANGELO GAUDIO, P.E.
EXECUTIVE DIRECTOR

March 27, 2024

Hon. Joanne Cunningham
Chairwomen
Albany County Legislature
112 State Street – Suite 710
Albany, New York 12207

**Re: North Plant Treatment Processing Improvement Project
Bond Resolution Approval**

Dear Ms. Cunningham

The Albany County Water Purification District (ACWPD), is requesting Legislative approval to authorize a bond resolution in the amount of \$ 72,594,000 to finance 100% of the anticipated project costs for the above referenced project. The bond resolution will be used to apply for project financing through New York State Environmental Facilities Corporation.

The North Plant Treatment Processing Improvement Project has been approved under the 2025-2029 Capital Plan and will allow ACWPD to improve treatment processing systems at the North Plant that are past their useful life.

If you have any questions or concerns you may reach me at (518) 447-1617.

Sincerely,

Angelo Gaudio, P.E.
Executive Director

Enc: Capital Plan

cc: Dennis A. Feeney, Majority Leader
Rebekah Kennedy, Majority Counsel
Frank Mauriello, Minority Leader
Arnis Zilgme, Minority Counsel



County of Albany

Harold L. Joyce
Albany County Office
Building
112 State Street - Albany,
NY 12207

Legislation Text

File #: TMP-5353, **Version:** 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Authorize of a bond resolution in the amount of \$41,042,000 for the Water Purification District's South Plant Treatment Process Improvement as approved under the 2025-2029 Capital Plan.

Date: 3/27/2024
Submitted By: Angelo Gaudio
Department: Water Purification District
Title: Executive Director
Phone: 515-447-1624
Department Rep.
Attending Meeting: Angelo Gaudio

Purpose of Request:

- ☐ Adopting of Local Law
- ☐ Amendment of Prior Legislation
- ☐ Approval/Adoption of Plan/Procedure
- ☒ Bond Approval
- ☐ Budget Amendment
- ☐ Contract Authorization
- ☐ Countywide Services
- ☐ Environmental Impact/SEQR
- ☐ Home Rule Request
- ☐ Property Conveyance
- ☐ Other: (state if not listed) [Click or tap here to enter text.](#)

CONCERNING BUDGET AMENDMENTS

Increase/decrease category (choose all that apply):

- ☐ Contractual
- ☐ Equipment
- ☐ Fringe
- ☐ Personnel

- ☐ Personnel Non-Individual
☐ Revenue

Increase Account/Line No.: Click or tap here to enter text.
Source of Funds: Click or tap here to enter text.
Title Change: Click or tap here to enter text.

CONCERNING CONTRACT AUTHORIZATIONS

Type of Contract:

- ☐ Change Order/Contract Amendment
☐ Purchase (Equipment/Supplies)
☐ Lease (Equipment/Supplies)
☐ Requirements
☐ Professional Services
☐ Education/Training
☐ Grant

Choose an item.

Submission Date Deadline Click or tap to enter a date.

- ☐ Settlement of a Claim
☐ Release of Liability
☐ Other: (state if not listed) Click or tap here to enter text.

Contract Terms/Conditions:

Party (Name/address):
Click or tap here to enter text.

Additional Parties (Names/addresses):
Click or tap here to enter text.

Amount/Raise Schedule/Fee: Click or tap here to enter text.
Scope of Services: Click or tap here to enter text.

Bond Res. No.: Click or tap here to enter text.
Date of Adoption: Click or tap here to enter text.

CONCERNING ALL REQUESTS

Mandated Program/Service: Yes ☒ No ☐
If Mandated Cite Authority: Click or tap here to enter text.

Is there a Fiscal Impact: Yes ☒ No ☐
Anticipated in Current Budget: Yes ☒ No ☐

County Budget Accounts:

Revenue Account and Line: Click or tap here to enter text.

Revenue Amount: Click or tap here to enter text.

Appropriation Account and Line: Click or tap here to enter text.

Appropriation Amount: Click or tap here to enter text.

Source of Funding - (Percentages)

Federal: Click or tap here to enter text.

State: Click or tap here to enter text.

County: 100%

Local: Click or tap here to enter text.

Original Awarding Agency / Funder:

Click or tap here to enter text.

New York State Pass-Through Agency (if applicable):

Click or tap here to enter text.

Term

Term: (Start and end date) Click or tap here to enter text.

Length of Contract: Click or tap here to enter text.

Impact on Pending Litigation

Yes ☐ No ☒

If yes, explain: Click or tap here to enter text.

Previous requests for Identical or Similar Action:

Resolution/Law Number: Click or tap here to enter text.

Date of Adoption: Click or tap here to enter text.

Justification: (state briefly why legislative action is requested)

The South Plant Treatment Processing Improvement Project has been approved under the 2025-2029 Capital Plan and will allow ACWPD to improve various treatment processes at the South Plant that are past their useful life. The bond resolution will be used to secure financing from New York State Environmental Facilities Corporation.

G Fund Water Purification Capital Projects

North and South Plant HVAC Upgrades

Project Number: G2301

Debt Account: _____

Project Description: Project to include the study, design, and construction of improvement to existing HVAC systems at both the North and South treatment plants. Existing HVAC systems at both facilities are at the end of their useful life.

Amendment(s): 2024-2028 - Increase Costs.

Project Status:	New	1	Existing	Amended	Continual	Completed		
Authorized Funding	Pre-2024	2024	2025	2026	2027	2028	2029	Total
County		0.150	1.350					1.500
State								-
Federal								-
Other								-
Total Project Cost	-	0.150	1.350	-	-	-	-	1.500

North Plant Treatment Process Improvements

Project Number: G2401

Debt Account: _____

Project Description: Project will include improvements to unit treatment process associated with the North Plant. Phase 1 of the project will include replacement of the mechanical screens, grit system, primary and secondary clarifiers, plant water pumps and upgrades to the high voltage electrical system. Upgrades have been identified in a 2023 Capital Study that is currently being finalized. Grouping projects together will allow the District to maximize the potential for grant opportunities in the coming years.

Amendment(s): 2025-2029 - cost of project amended to account for EFC fees.

Project Status:	New	Existing	1	Amended	Continual	Completed		
Authorized Funding	Pre-2024	2024	2025	2026	2027	2028	2029	Total
County		0.100	5.000	45.000	19.900	2.294		72.294
State								-
Federal								-
Other Approp.	0.150	0.150						0.300
Total Project Cost	0.150	0.250	5.000	45.000	19.900	2.294	-	72.594

South Plant Treatment Process Improvements

Project Number: G2402

Debt Account: _____

Project Description: Project will include improvements to unit treatment process associated with the North Plant. Phase 1 of the project will include replacement of the mechanical screens, grit system, primary and secondary clarifiers, plant water pumps and upgrades to the high voltage electrical system. Upgrades have been identified in a 2023 Capital Study that is currently being finalized. Grouping projects together will allow the District to maximize the potential for grant opportunities in the coming years.

Amendment(s): 2025-2029 - cost of project amended to account for administration fees from EFC.

Project Status:	New	Existing	1	Amended	Continual	Completed		
Authorized Funding	Pre-2024	2024	2025	2026	2027	2028	2029	Total
County			3.000	25.000	7.500	5.392		40.892
State								-
Federal								-
Other Approp.		0.150						0.150
Total Project Cost	-	0.150	3.000	25.000	7.500	5.392	-	41.042

G Fund Water Purification Capital Projects

Sludge Processing Improvements

Project Number: **G2501**

Debt Account:

Project Description:

Project to include the study, design and construction of improvements to the existing sludge processing systems. Project will be broken up into phases. Phase 1 work will include improvements to the North Plant incinerators, sludge mixing and dewatering systems. Phase 2 work will include work to consolidate sludge operation to the North Plant via a new sludge forcemain and upgrades to the North Plant incinerator emissions controls. The final scope for Phase 2 emission controls is still being negotiated with DEC. Project has been amended to include the Phase 2 work and the work associated with the Septage and FOG receiving station project. Phase 1, Phase 2 and Septage/FOG receiving work has been consolidated into a single comprehensive project to take advantage EFC funding opportunities, namely the Bipartisan Infrastructure Grant and 0% hardship financing. District will be pursuing additional grants with EFC in 2024.

Amendment(s):

Project Status:	1	New	Existing	Amended	Continual	Completed		
Authorized Funding	Pre-2024	2024	2025	2026	2027	2028	2029	Total
County		1.000	11.500	20.000	20.000	9.000		61.500
State								-
Federal		3.500						3.500
Other								-
Total Project Cost	-	4.500	11.500	20.000	20.000	9.000	-	65.000

Water Purification District Capital Plan Summary: All Projects

Project Status:	<u>1</u> New	<u>1</u> Existing	<u>2</u> Amended	<u>0</u> Continual	<u>0</u> Completed				
Authorized Funding	Pre-2024	2024	2025	2026	2027	2028	2029	Total	
County	-	1.250	20.850	90.000	47.400	16.686	-	176.186	
State	-	-	-	-	-	-	-	-	
Federal	-	3.500	-	-	-	-	-	3.500	
Other	0.150	0.300	-	-	-	-	-	0.450	
Total Project Cost	0.150	5.050	20.850	90.000	47.400	16.686	-	180.136	



SUSAN A. RIZZO
COUNTY COMPTROLLER

STEPHANIE SLOMINSKI CIA CFE
CHIEF AUDITOR

COUNTY OF ALBANY
OFFICE OF THE COMPTROLLER
112 STATE STREET, ROOM 1030
ALBANY, NEW YORK 12207-2021
(518) 447-7130
susan.rizzo@albanycountyny.gov

EDWARD L. DOTT
EXECUTIVE DEPUTY COMPTROLLER

FRANK COMMISSO
EXECUTIVE DEPUTY COMPTROLLER

April 17, 2024

Hon. Joanne Cunningham, Chairwoman
Albany County Legislature
112 State Street – Suite 710
Albany, New York 12207

RE: RLA – Technical Amendments to Certain Previously Adopted Bond Authorizations

Dear Chairwoman Cunningham,

The Department of Audit and Control is requesting approval of technical amendments to certain previously adopted bond authorizations. The changes requested will provide Albany County with flexibility to use the General Fund to pay for certain projects within the adopted Capital Plan. This flexibility is necessary in those instances where Albany County seeks to avoid increased interest expense.

Should you require any additional information, please let me know.

Sincerely,

Susan A. Rizzo
Albany County Comptroller
112 State Street, Room 1030
Albany, New York 12207
(518) 447-7130

CC: Dennis Feeney, Majority Leader
Frank Mauriello, Minority Leader
Necole Chambers, Clerk of the Legislature
Caitlin O'Brien, Chief of Staff
Patrick Collins, Counsel to the Chair
Rebekah Kennedy, Majority Counsel
Arnis Zilgme, Minority Counsel

REQUEST FOR LEGISLATIVE ACTION

Technical Amendments to Certain Previously Adopted Bond Authorizations

Date: April 17, 2024
Submitted By: Susan A. Rizzo
Department: Department of Audit and Control
Title: Albany County Comptroller
Phone: (518) 447-7130
Department Rep.
Attending Meeting: Susan A. Rizzo

Purpose of Request:

- ☐ Adopting of Local Law
 - ☒ Amendment of Prior Legislation
 - ☐ Approval/Adoption of Plan/Procedure
 - ☐ Bond Approval
 - ☐ Budget Amendment
 - ☐ Contract Authorization
 - ☐ Countywide Services
 - ☐ Environmental Impact/SEQR
 - ☐ Home Rule Request
 - ☐ Property Conveyance
 - ☐ Other: (state if not listed) _____
-

CONCERNING BUDGET AMENDMENTS

Increase/decrease category (choose all that apply):

- ☐ Contractual
- ☐ Equipment
- ☐ Fringe
- ☐ Personnel
- ☐ Personnel Non-Individual
- ☐ Revenue

Increase Account/Line No.: N/A
Source of Funds: N/A
Title Change: N/A

CONCERNING CONTRACT AUTHORIZATIONS

Type of Contract:

- ☐ Change Order/Contract Amendment
- ☐ Purchase (Equipment/Supplies)
- ☐ Lease (Equipment/Supplies)
- ☐ Requirements
- ☐ Professional Services
- ☐ Education/Training
- ☐ Grant

Choose an item.

Submission Date Deadline Click or tap to enter a date.

- ☐ Settlement of a Claim
- ☐ Release of Liability
- ☐ Other: (state if not listed) _____

Contract Terms/Conditions:

Party (Name/address):

Additional Parties (Names/addresses):
Click or tap here to enter text.

Amount/Raise Schedule/Fee: N/A
Scope of Services: N/A

Bond Res. No.:
Date of Adoption:

CONCERNING ALL REQUESTS

Mandated Program/Service: Yes ☐ No ☒
If Mandated Cite Authority: Click or tap here to enter text.

Is there a Fiscal Impact: Yes ☐ No ☒
Anticipated in Current Budget: Yes ☒ No ☐

County Budget Accounts:

Revenue Account and Line: N/A
Revenue Amount: N/A

Appropriation Account and Line: N/A
Appropriation Amount: N/A

Source of Funding – (Percentages)

Federal:

State:
County: 100%
Local:

Term

Term: (Start and end date) N/A
Length of Contract: N/A

Impact on Pending Litigation Yes ☐ No ☒
If yes, explain:

Previous requests for Identical or Similar Action:

Resolution/Law Number: Resolution No. 51 of 2020
Date of Adoption: February 10, 2020

Resolution/Law Number: Resolution No. 96 of 2022
Date of Adoption: March 14, 2022

Resolution/Law Number: Resolution No. 97 of 2022
Date of Adoption: March 14, 2022

Resolution/Law Number: Resolution No. 98 of 2022
Date of Adoption: March 14, 2022

Resolution/Law Number: Resolution No. 99 of 2022
Date of Adoption: March 14, 2022

Resolution/Law Number: Resolution No. 299 of 2022
Date of Adoption: August 8, 2022

Resolution/Law Number: Resolution No. 328 of 2022
Date of Adoption: September 12, 2022

Justification:

This legislation will provide for technical amendments to certain previously authorized -- but not yet borrowed against -- bond authorizations. These technical amendments will provide for flexibility to use the General Fund to pay for certain projects within the adopted Capital Plan. This flexibility is necessary in those instances where Albany County seeks to avoid increased interest expense.

Schedule A of the attached omnibus legislation provides detail.



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF HUMAN RESOURCES
112 STATE STREET - SUITE 900
ALBANY, NEW YORK 12207
447-5510 - FAX (518) 447-5586
WWW.ALBANYCOUNTY.COM

JENNIFER S. CLEMENT
COMMISSIONER

MICHAEL P. McLAUGHLIN, JR.
DEPUTY COUNTY EXECUTIVE

TYRELL GLADDEN
DEPUTY COMMISSIONER

March 26, 2024

Honorable Joanne Cunningham, Chair
Albany County Legislature
112 State Street, Room 710
Albany, New York 12207

Dear Chairwoman Cunningham,

In an ongoing effort to recruit and retain employees, I respectfully recommend the following amendments to the Rules and Regulations for Albany County Employees:

- 1) Provide Continuation of Coverage for Surviving Spouse and/or Dependents.
Albany County Rules and Regulations, Article IX Section B(3)(b), full time employees with an employment date after October of 1999, need a minimum of 30 years of service to obtain a limited continuation of Surviving Spousal and Dependent Coverage. I recommend removing this section in its entirety, reverting to 15 years of service, and modifying the years of continuation and contribution rates for active employee and retirees after 1/1/2024.
- 2) Rescind Resolution No 37 of 2013 Re: Liquidation of Vacation Accrual Upon Separation.
In 2013, Resolution No. 37 authorized an amendment to the Rules and Regulations for new Albany County employees regarding vacation accruals.
- 3) Add Paid Parenting Leave to Article VI of the Albany County Rules and Regulations for Employees.
Background: Employees currently utilize earned accruals to cover leaves of absence for birth, placement for adoption or foster care.
- 4) Amend Albany County Rules and Regulations for Employees, Article V (A) (2), granting Compensatory Time for all hours worked, greater than the standard work week for all FLSA Non-Covered Employees (Exempt).
- 5) Amend Rules and Regulations Article VI (L), Donated Leave Program to Include Donations of Sick Accruals in Excess of 13 weeks.

Thank you in advance for your consideration. Please contact me with any questions or concerns you may have.

Sincerely,

Jennifer Clement

CC: See attachment

CC: Hon. Dennis A. Feeney, Majority Leader
Hon. Frank A. Mauriello, Minority Leader
Rebekah Kennedy, Majority Counsel
Arnis Zilgme, Minority Counsel



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Legislation Text

File #: TMP-5240, **Version:** 1

REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):
Amendment to Rules and Regulations for Albany County Employees

Date: 3/4/2024
Submitted By: Jennifer Clement
Department: Human Resources
Title: Commissioner
Phone: 518-447-5510
Department Rep.
Attending Meeting: Jennifer Clement

Purpose of Request:

- ☐ Adopting of Local Law
- ☒ Amendment of Prior Legislation
- ☐ Approval/Adoption of Plan/Procedure
- ☐ Bond Approval
- ☐ Budget Amendment
- ☐ Contract Authorization
- ☐ Countywide Services
- ☐ Environmental Impact/SEQR
- ☐ Home Rule Request
- ☐ Property Conveyance
- ☐ Other: (state if not listed) Click or tap here to enter text.

CONCERNING BUDGET AMENDMENTS

Increase/decrease category (choose all that apply):

- ☐ Contractual
- ☐ Equipment
- ☐ Fringe
- ☐ Personnel
- ☐ Personnel Non-Individual

☐ Revenue

Increase Account/Line No.: Click or tap here to enter text.

Source of Funds: Click or tap here to enter text.

Title Change: Click or tap here to enter text.

CONCERNING CONTRACT AUTHORIZATIONS

Type of Contract:

☐ Change Order/Contract Amendment

☐ Purchase (Equipment/Supplies)

☐ Lease (Equipment/Supplies)

☐ Requirements

☐ Professional Services

☐ Education/Training

☐ Grant

Choose an item.

Submission Date Deadline Click or tap to enter a date.

☐ Settlement of a Claim

☐ Release of Liability

☐ Other: (state if not listed) Click or tap here to enter text.

Contract Terms/Conditions:

Party (Name/address):

Click or tap here to enter text.

Additional Parties (Names/addresses):

Click or tap here to enter text.

Amount/Raise Schedule/Fee: Click or tap here to enter text.

Scope of Services: Click or tap here to enter text.

Bond Res. No.: Click or tap here to enter text.

Date of Adoption: Click or tap here to enter text.

CONCERNING ALL REQUESTS

Mandated Program/Service: Yes ☐ No ☒

If Mandated Cite Authority: Click or tap here to enter text.

Is there a Fiscal Impact: Yes ☒ No ☐

Anticipated in Current Budget: Yes ☒ No ☐

County Budget Accounts:

Revenue Account and Line: Click or tap here to enter text.
Revenue Amount: Click or tap here to enter text.

Appropriation Account and Line: Click or tap here to enter text.
Appropriation Amount: Click or tap here to enter text.

Source of Funding - (Percentages)

Federal: Click or tap here to enter text.
State: Click or tap here to enter text.
County: Click or tap here to enter text.
Local: Click or tap here to enter text.

Original Awarding Agency / Funder:
Click or tap here to enter text.
New York State Pass-Through Agency (if applicable):
Click or tap here to enter text.

Term

Term: (Start and end date) Click or tap here to enter text.
Length of Contract: Click or tap here to enter text.

Impact on Pending Litigation

If yes, explain: Yes ☐ No ☒
Click or tap here to enter text.

Previous requests for Identical or Similar Action:

Resolution/Law Number: Click or tap here to enter text.
Date of Adoption: Click or tap here to enter text.

Justification: See Attached

- 1. Under Article IX of Albany County Rules and Regulations, Section B, 3. for full time employees with an employment date after October of 1999, employees would need a minimum of 30 years of service to obtain a limited Spousal/Dependent Coverage. The Department recommends removing this section in its entirety and reverting to the previous 15 years of service with an additional amendment to include modified contribution rates and continuous coverage regardless of years.**
- 2. Rescind Resolution No 37 of 2013 Re: Liquidation of Vacation Accrual Upon Separation**
- 3. Adding Paid Parenting Leave to Article VI of the Albany County Rules and Regulations for Employees
Background: Employees currently utilize earned accruals to cover leaves of absence for birth, placement for adoption or foster care.**
- 4. Amending Albany County Rules and Regulations for Employees, Article V (A) (2), granting
Compensatory Time for all hours worked, greater than the standard work week for all FLSA Non-Covered**

Employees (Exempt)

- 5. Amending Rules and Regulations Article VI (L), Donated Leave Program to Include Donations of Sick Accruals in Excess of 13 weeks.**



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DEPUTY COUNTY EXECUTIVE

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DEPUTY COMMISSIONER

Proposed amendments to the Albany County Rules and Regulations for Employees

1) Continuation of Coverage for Surviving Spouse and/or Dependents

Background: Under Albany County Rules and Regulations, Article IX Section B(3)(b), full time employees with an employment date after October of 1999, need a minimum of 30 years of service to obtain a limited continuation of Surviving Spousal and Dependent Coverage. I recommend removing this section in its entirety, reverting to 15 years of service, and modifying the years of continuation and contribution rates for active employee and retirees after 1/1/2024.

The proposed amendment is as follows:

Spousal/Dependent Coverage.

Spouses and eligible dependents of County retirees (employees) remain covered as long as the retiree maintains an active enrollment. At the time of death of a retired, or active, County employee, spouse and dependent coverage may be extended as follows:

Spouses and eligible dependents will be covered for a three (3) month period. At the end of three months spouses and eligible dependents have the option of electing COBRA benefits or as specified in b.

For all full-time employees or retirees with a minimum of fifteen (15) years of full-time equivalent County service coverage will be extended with a premium contribution as follows:

Years of Completed Full Time Equivalent County Service	Number of Years Eligible for Continuation of Health Insurance Benefits	Premium Contribution Rate
15 – or more	Continuous	Same Contribution Rate as Employee or Retiree

Estimated Cost: The cost of this proposal is already accounted for in the current projected retiree benefit costs. Surviving spousal coverage is already included in the maximum liability coverage under the Government Accountability Standards Board (GASB), when projecting the accrued liability of our retiree benefits and their existing contribution rates.

2) Rescind Resolution No 37 of 2013 Re: Liquidation of Vacation Accrual Upon Separation

Background: In 2013, Resolution No. 37 authorized an amendment to the Rules and Regulations for new Albany County employees regarding vacation accruals. The 2013 amendment reduced the number of vacation days that may be liquidated upon separation from County service for new employees hired on or after March 1, 2013. A maximum of thirty (30) days of unused vacation leave may be liquidated upon separation for hires after March 1, 2023. At the time of passage, there was an immediate need to reduce the accrued liability of unpaid accruals at the time of employee separated from service. Subsequently, the County

has established accrued liability lines and no longer needs to limit the benefit of accruing vacation time. Additionally, as a result of staffing shortages and in some cases there is an inability for employees to utilize accruals, it is prudent to increase the maximum amount eligible for payout upon separation from service.

By rescinding Resolution No 37 of 2013, Albany County shall revert to the existing terms Under Article VI (N) (5), Liquidation of Accruals Upon Separation. Unused vacation leave up to a maximum of sixty-five (65) days credit shall be liquidated in cash upon separation from County service. An employee who has completed less than six months of County service has not yet accrued any vacation leave, and will not have any vacation leave to liquidate at separation. A separating employee must liquidate unused vacation leave in cash as soon as possible following his or her last day worked. A separating employee shall not be allowed to remain on the payroll until his or her vacation credits are exhausted.

Estimated Cost: Vacation Accrual payouts of time is encompassed in each employee's personnel line, which is already accounted for in the existing budget. Many budgets already include an accrued liability line for the purposes of these payouts.

- 3) **Add Paid Parenting Leave to Article VI of the Albany County Rules and Regulations for Employees**
Background: Employees currently utilize earned accruals to cover leaves of absence for birth, placement for adoption or foster care. A twelve week absence for a full time 35 hour employee would involve the use of 420 hours of time. For most, this amount would have taken nearly two years to accumulate, and that is with very few absences in between and prior to the leave. The impact of this substantial accrual use also impacts the employee when they return to work following the absence. Since all accruals have been depleted, routine medical appointments, with are common with a child, are not able to be covered with paid accruals and can cause greater stress to the new family.

Under the Paid Parenting Leave an employee shall be granted a paid leave of absence for twenty (20) consecutive standard work days to care to the employee's child after birth, placement for adoption or foster care.

Eligibility for the Paid Parenting Leave expires at the end of the twelve month period beginning on the date of birth or placement.

Employees must be eligible for Family and Medical Leave Act (FMLA) under are Article VII of Albany County Rules and Regulations in order to qualify for Paid Parenting Leave.

Paid Parenting Leave shall run concurrent with the qualifying FMLA absence.

Twenty (20) consecutive Paid Parenting Leave days shall be utilized prior to the use of any other accruals or unpaid absences during the FMLA leave.

No portion of the Paid Parenting Leave is transferrable, cashable or eligible to be saved for the use at another time. In the event that both parents are Albany County employees, only one parent may qualify for the Paid Parenting Leave at any given time.

Estimate Cost: Paid Parenting Leave use is encompassed in each employee's budgeted personnel line, which is already accounted for in the existing budget. There is no liquidation of this leave upon separation. The cost/value of the Leave Day use is based on the cost/value of an eligible employee to be absent from work. However it is worthy to note that the absence is likely to have occurred regardless of the availability of the accrual, therefore the absence would have needed to have already been anticipated. It is possible that an employee would have returned, due to insufficient paid time available, but perhaps would now the absence will be extended by the twenty paid work days.

- 4) **Amend Albany County Rules and Regulations for Employees, Article V (A) (2), granting Compensatory Time for all hours worked, greater than the standard work week for all FLSA Non-Covered Employees (Exempt)**

Background: The current Rules and Regulations grants FLSA Non-Covered (FLSA exempt) employees Compensatory Time for all hours greater than 40 per week.

This amendment would allow FLSA exempt employees to be permitted to earn Compensatory Time for all hours worked in excess of the standard work week. The amendment will replace Article V (A) (2), with the following:

FLSA Exempt employees must work in excess of their standard work week (not including meal breaks) to be eligible to accrue compensatory time. Only hours worked in excess of the standard work week shall apply. Absences covered by accruals shall not be eligible for compensatory time.

- i) All other provisions of the Article shall remain in effect, including the note of this same section which reads as follows:

Certain employees who are classified as non-covered or exempt are not eligible for overtime or compensatory time. These employees shall include the Administrative Head of each Department, Division, Bureau, and Office, Board or commission or other Agency of the County Government appointed by the County Executive and/or County Legislature and their deputies.

Estimate Cost: Comp time accrual use is encompassed in each employee's personnel line, which is already accounted for in the existing budget. There is no liquidation of this accrual, for FLSA exempt employees, upon separation. The cost/value of the accrual use is based on the cost for an eligible employee to be absent, since this was not previously eligible to accrue for this limited set of employees. There are currently only approximately 200 employees included in the FLSA, exempt category.

5) Amend Rules and Regulations Article VI (L), Donated Leave Program to Include Donations of Sick Accruals in Excess of 13 weeks.

- i) The existing Donated Leave Program allows employees to donate time to a coworker in need of absence due to personal illness or due to the need to provide care to an immediate family member, as defined under the Family Medical Leave Act (FMLA). The existing donated leave program under Article VI (L) only permits the donation of personal, vacation and comp time to transfer to the requesting employee's sick leave account. Donated sick time would operate in tandem with the existing Donated Leave program. Under this amendment, employees with Sick accruals in excess of 13 weeks may donate Sick Accrual Hours to other employees.

Estimated Cost: Sick time accrual use is encompassed in each employee's personnel line, which is already accounted for in the existing budget. The cost/value of the recipient of the donated time is also factored into that employee's compensation rate, which is also already budgeted.